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On some problems in βN

In: Zdeněk Frolík (ed.): Abstracta. 8th Winter School on Abstract Analysis. Czechoslovak Academy of Sciences, Praha, 1980. pp. 158--160.

Persistent URL: <http://dml.cz/dmlcz/701200>

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8th Winter school on abstract analysis (1980)

On some problems in $\beta\mathcal{N}$.

J. Soušek

I have introduced a new type of infinite numbers.

Definition. Let E be a set and R a reflexive symmetric transitive relation between subsets of E denoted by

$a_1 \sim_R a_2$ or $a_1 = a_2 \text{ mod } R$ (for $a_1, a_2 \subseteq E$). Let us denote

$a_1 \leq a_2 \text{ mod } R \iff \exists a'_2 \subseteq a_2$ such that $a_1 = a'_2 \text{ mod } R$,

$a_1 < a_2 \text{ mod } R \iff (a_1 \leq a_2 \text{ mod } R \text{ and } a_1 \neq a_2 \text{ mod } R)$.

(E, R) is an ∞ -number if

(i) $a_1, a_2 \subseteq E, |a_1|, |a_2| < \aleph_0 \implies (a_1 = a_2 \text{ mod } R \iff |a_1| = |a_2|)$,

(ii) $a_1, a_2 \subseteq E \implies a_1 \leq a_2 \text{ mod } R$ or $a_1 \geq a_2 \text{ mod } R$ (com. comparit.),

(iii) $a_1 \subset a_2$ (i.e. $a_1 \neq a_2$) $\implies a_1 < a_2 \text{ mod } R$

- i.e. " $<$ " is the finest relation possible - for $\lambda \in \mathbb{A}$, $a \setminus \{\lambda\}$ is strictly smaller than a ,

(iv) $a_1 \sim a'_1, a_2 \sim a'_2, a_1 \cap a_2 = a'_1 \cap a'_2 = \emptyset \implies (a_1 \cup a_2) \sim (a'_1 \cup a'_2)$.

Cardinal and ordinal numbers are not very different from each other with respect to the inclusion. ($\omega_0 - n = \omega_0$) - ∞ -numbers are the finest possible ones in this sense.

Definition. Let $\alpha_i = (A_i, R_i), i=1,2$ be two ∞ -numbers. Then

$(A_1, R_1) = (A_2, R_2)$ iff they are isomorphic,

$(A_1, R_1) \leq (A_2, R_2)$ iff $\exists L \subseteq A_2$ s.t. $(A_1, R_1) = (L, R_{1|L})$,

where $R_{1|L}$ denotes restriction of equivalence R_1 to subsets of L ,

$(A_1, R_1) + (A_2, R_2) = (A, R)$ iff $\exists E = A'_1 \cup A'_2, A'_1 \cap A'_2 = \emptyset$ such that

$$(A_i, R_i) = (A'_i, R_{i|A'_i}), i=1,2.$$

Basic properties of ∞ -numbers are summarized in

Theorem 1. Let (A, R) be an ∞ -number. Then the relation "isomorphic"

for $a_1, a_2 \subseteq A$ is $(a_1, R_{1|a_1}) = (a_2, R_{1|a_2})$ coincides with $a_1 \sim a_2$.

Especially $E_1 \subset E$ (i.e. $E_1 \neq E$) $\Rightarrow (E_1, R) \not\subseteq (E, R)$.

Theorem 2. $\alpha_1 + \alpha_2$ is uniquely determined and $\alpha_1 + \alpha_2$ exists iff $\alpha_1 \geq \alpha_2$ ($\Leftrightarrow \alpha_1 \leq \alpha_2$ or $\alpha_1 \geq \alpha_2$). Moreover $\sum_{x \in (E, R)} n_x$ may be uniquely defined as ∞ -number if $|n_x| \leq K, \forall x, (K \text{ finite number})$.

In fact, there is a more subtle structure in (E, R) .

Definition. $\mathcal{P} = \{\text{all partitions of } E\}$;

$$\mathcal{P}_0 = \{P = \{I^\alpha\} \in \mathcal{P} \mid |I^\alpha| < \aleph_0, \forall \alpha\};$$

$$\mathcal{P}_{\infty} = \{P = \{I^\alpha\} \mid |I^\alpha| > 1 \text{ only for finite number of } \alpha\};$$

$$I_1 \leq I_2 \Leftrightarrow \forall I_1^{\alpha_1} \exists I_2^{\alpha_2} : I_1^{\alpha_1} \subseteq I_2^{\alpha_2};$$

$$I_1 \vee I_2 = \inf \{I \mid I \supseteq I_1, I \supseteq I_2\};$$

$$\mathcal{J} \subset \mathcal{P}_0 \text{ is an ideal} \Leftrightarrow (I_1 \leq I \in \mathcal{J} \Rightarrow I_1 \in \mathcal{J}; I_1, I_2 \in \mathcal{J} \Rightarrow I_1 \vee I_2 \in \mathcal{J});$$

$$\mathcal{F} = \{f : E \rightarrow \mathbb{Z}\}, \quad \mathbb{Z} = \text{integers};$$

$$\mathcal{F}_b = \{f : E \rightarrow \mathbb{Z} \mid f \text{ is bounded}\};$$

For $f \in \mathcal{F}, I \in \mathcal{P}_0$ we define f_I on $I = \{I^\alpha\}$ by $f_I(I^\alpha) = \sum_{x \in I^\alpha} f(x)$.

Theorem 3. Let (E, R) be an ∞ -number. Then there exists an ideal

$$\mathcal{J} \subset \mathcal{P}_0, \mathcal{J} \supset \mathcal{P}_{\infty} \text{ such that}$$

$$(1) \forall f \in \mathcal{F}_b \exists I \in \mathcal{J} : f_I \geq 0 \text{ or } f_I \leq 0 \text{ on } I,$$

$$(2) a_1 = a_2 \text{ mod } \mathcal{J} \Leftrightarrow \exists I = \{I^\alpha\} \in \mathcal{J} : |I^\alpha \cap a_1| = |I^\alpha \cap a_2|, \forall \alpha.$$

Pr. Opposite is obvious - \mathcal{R} is defined by (2) and (ii) follows from (1).

Theorem 4. Suppose that there is a selective ultrafilter in $\beta\mathcal{N}$ (\mathcal{N} a cardinal number). Then there is an ∞ -number (\mathcal{N}, R) .

Construction: Let ϕ be a selective ultrafilter on \mathcal{N} (i.e. if $\{I^\alpha\}$ is a partition of $\mathcal{N}, I^\alpha \notin \phi \forall \alpha \Rightarrow \exists u \in \phi : |u \cap I^\alpha| = 1 \forall \alpha$).

The existence of ϕ follows from the continuum hypothesis. For

$$u = \{u_1, u_2, \dots\} \in \phi \text{ we define } I[u] = \{(1, u_1), (u_1+1, u_2), (u_2+1, u_3), \dots\}$$

where (a, b) stands an interval in the natural ordering of \mathcal{N} .

Lemma. $\forall f : \mathcal{N} \rightarrow \mathbb{Z}, \exists u \in \phi$ such that f is non-decreasing on u

or f is non-increasing on u .

Lemma \Rightarrow Th.4 : let us denote $\mathcal{J} = \{P[u] \mid u \in \phi\}$ and $\forall f : \mathcal{N} \rightarrow \mathbb{Z}$ denote $\tilde{f}(n) = \sum_{k=1}^n f(k)$, $n \in \mathcal{N}$. For each f there is $u \in \phi$, such that \tilde{f} is (for example) non-decreasing on u and then

$\forall P^\alpha = (u_{\alpha-1}+1, u_\alpha) \in P[u]$ we obtain

$$f/P^\alpha[u](P^\alpha) = \sum_{k \in P^\alpha} f(k) = \tilde{f}(u_\alpha) - \tilde{f}(u_{\alpha-1}) \geq 0.$$

Let us denote \mathcal{A} the set of all ∞ -numbers (\mathcal{N}, R) obtainable from some selective ultrafilter through this construction.

Problems.

Problem 1. Does $\alpha_1 \geq \alpha_2$ hold? Especially for $\alpha_1, \alpha_2 \in \mathcal{A}$?

If the answer is negative, is there a reasonable subclass of comparable ∞ -numbers?

Problem 2. Assuming the generalized continuum hypothesis, are there (\mathbb{E}, R) with uncountable \mathbb{E} ?

Problem 3. To find the explicit condition for $\alpha \in \mathcal{A}$. To clarify the relation between \mathcal{A} and the set of selective ultrafilters in $\beta\mathcal{N}$ - our construction (from ϕ to α) depends clearly on the natural ordering of \mathcal{N} . To study properties of

$$\mathcal{A}_\phi = \{\alpha \text{ obtainable from } \phi \text{ by some } \omega_0\text{-ordering of } \mathbb{E}\},$$

$$\Phi_\alpha = \{\phi \mid \alpha \text{ can be obtained from } \phi \text{ using some } \omega_0\text{-ordering of } \mathbb{E}\}.$$

Problem 4. May the product $\alpha_1 \cdot \alpha_2$ be reasonably defined?

Probably the definition of ∞ -numbers must be refined.

Problem 5. The set $\{\alpha \mid \alpha \leq (\mathbb{E}, R)\} \cong \mathbb{E}^{\mathbb{E}}/R$ is linearly ordered by the inclusion. May properties of (\mathbb{E}, R) (or relations between them) be translated into language of such orderings? To characterize all orderings of this type.