

# Point Sets

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## Chapter III: Special metric spaces

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## Chapter III

# SPECIAL METRIC SPACES

### § 15. Complete spaces

**15.1.** Let  $P$  be a metric space. Let  $\{x_n\}$  be a sequence of points of  $P$ . We say that  $\{x_n\}$  is a *Cauchy sequence* if, for every  $\varepsilon > 0$ , there is an index  $p(\varepsilon)$  such that

$$m > p(\varepsilon), n > p(\varepsilon) \Rightarrow \varrho(x_m, x_n) < \varepsilon.$$

The notion of a Cauchy sequence is metric, not topological.

**15.1.1.** *Any convergent sequence is a Cauchy sequence.*

*Proof:* Let  $x_n \rightarrow x$ . Let  $\varepsilon > 0$ . There is an index  $p(\varepsilon)$  such that  $n > p(\varepsilon)$  implies  $\varrho(x_n, x) < \varepsilon/2$ . Then

$$m > p(\varepsilon), n > p(\varepsilon) \Rightarrow \varrho(x_m, x_n) \leq \varrho(x_m, x) + \varrho(x, x_n) < \varepsilon/2 + \varepsilon/2 = \varepsilon.$$

$P$  is said to be a *complete space* if  $P$  is a metric space such that every Cauchy sequence of points of  $P$  is convergent in  $P$ . Evidently, every finite metric space (e.g.  $\theta$ ) is complete. Completeness is also a metric notion and not a topological one.

**15.1.2.** *If  $P$  and  $Q$  are complete spaces, then  $P \times Q$  is a complete space.*

*Proof:* Let  $\{(x_n, y_n)\}$  be a Cauchy sequence of points of  $P \times Q$ . As

$$\varrho(x_m, x_n) \leq \varrho[(x_m, y_m), (x_n, y_n)],$$

$\{x_n\}$  is evidently also a Cauchy sequence. Since  $P$  is complete, there exists  $\lim x_n = x \in P$ ; and analogously  $\lim y_n = y \in Q$ . Obviously  $(x_n, y_n) \rightarrow (x, y)$ .

**15.1.3.** *The euclidean space  $\mathbf{E}_m$  is complete.*

*Proof:* I.  $\mathbf{E}_1$  is complete by the well-known Bolzano-Cauchy theorem from the calculus.

II. If  $\mathbf{E}_m$  is complete,  $\mathbf{E}_{m+1} = \mathbf{E}_m \times \mathbf{E}_1$  is complete by 15.1.2.

**15.1.4.** *The Hilbert space  $\mathbf{H}$  is complete.*

*Proof:* Let  $\{x_n\}_{n=1}^{\infty}$  be a Cauchy sequence of points  $x_n = \{x_{ni}\}_{i=1}^{\infty} \in \mathbf{H}$ . As  $\varrho(x_{mi}, x_{ni}) \leq \varrho(x_m, x_n)$ , for every  $i$   $\{x_{ni}\}_{n=1}^{\infty}$  is a Cauchy sequence. Since the space  $\mathbf{E}_1$

is complete,  $y_i = \lim_{n \rightarrow \infty} x_{ni}$  exists for every  $i$ . Put  $y = \{y_i\}_{i=1}^{\infty}$ . Let us choose an  $\varepsilon > 0$ . Since  $\{x_n\}_{n=1}^{\infty}$  is a Cauchy sequence, there is an index  $p(\varepsilon)$  such that

$$m > p(\varepsilon), \quad n > p(\varepsilon) \Rightarrow \varrho(x_m, x_n) < \varepsilon.$$

We have, for  $k = 1, 2, 3, \dots$ ,

$$\sum_{i=1}^k (x_{mi} - x_{ni})^2 \leq \sum_{i=1}^{\infty} (x_{mi} - x_{ni})^2 = [\varrho(x_m, x_n)]^2,$$

and hence

$$m > p(\varepsilon), \quad n > p(\varepsilon) \Rightarrow \sum_{i=1}^{\infty} (x_{mi} - x_{ni})^2 < \varepsilon^2.$$

On the other hand

$$\sum_{i=1}^k (y_i - x_{ni})^2 = \lim_{m \rightarrow \infty} \sum_{i=1}^k (x_{mi} - x_{ni})^2,$$

and therefore

$$n > p(\varepsilon) \Rightarrow \sum_{i=1}^k (y_i - x_{ni})^2 \leq \varepsilon^2.$$

Hence, the series  $\sum_{i=1}^{\infty} (y_i - x_{ni})^2$  converges, and, by formula (2) in 6.1, the series  $\sum_{i=1}^{\infty} y_i^2$  also converges, i.e.  $y \in \mathbf{H}$ . Moreover

$$[\varrho(y, x_n)]^2 = \lim_{k \rightarrow \infty} \sum_{i=1}^k (y_i - x_{ni})^2,$$

and hence  $n > p(\varepsilon)$  implies  $\varrho(y, x_n) \leq \varepsilon$ . Hence  $y = \lim x_n$ .

**15.2.** Let  $Q$  be a point set embedded into a metric space  $P$ ; hence  $Q$  is also a metric space. If  $\{x_n\}$  is a sequence of points of  $Q$ , then  $\{x_n\}$  is a Cauchy sequence in the space  $Q$  if and only if it is a Cauchy sequence in the space  $P$ . On the other hand, a sequence may be convergent in the space  $P$  without being convergent in the space  $Q$ .

**15.2.1.** Let  $Q \subset P$ . Let  $Q$  be a complete space. Then  $Q$  is closed in  $P$ .

*Proof:* Let  $\{x_n\}$  be a sequence of points of  $Q$ . Let there exist  $x = \lim x_n \in P$ . By 8.3.3, it suffices to show that  $x \in Q$ . But  $\{x_n\}$  is a Cauchy sequence by 15.1.1. Since the space  $Q$  is complete, there exists  $\lim x_n \in Q$ . Hence,  $x \in Q$ .

**15.2.2.** Let  $P$  be a complete space, let  $Q \subset P$  be closed. Then  $Q$  is a complete space.

*Proof:* Let  $\{x_n\}$  be a Cauchy sequence of points of  $Q$ . Since  $P$  is a complete space, there exists  $x = \lim x_n \in P$ . As  $Q$  is closed,  $x \in Q$  by 8.3.3. Hence, the sequence  $\{x_n\}$  is convergent in  $Q$ .

**15.3.** Let  $P$  be an arbitrary space. Let  $\mathbf{C}$  be the set of all Cauchy sequences of points of  $P$ , which are not convergent in  $P$ . If  $\{x_n\}$  and  $\{y_n\}$  are elements of  $\mathbf{C}$ , we shall call them (in this section only) *equivalent* if  $\varrho(x_n, y_n) \rightarrow 0$  ( $\varrho$  denotes the distance function in  $P$ , of course). It is easy to prove that the set  $\mathbf{C}$  may be divided into classes such that: [1] every sequence  $\{x_n\} \in \mathbf{C}$  belongs to exactly one class, [2] two sequences  $\{x_n\} \in \mathbf{C}$  and  $\{y_n\} \in \mathbf{C}$  are equivalent if and only if they belong to the same class. Let us choose a subset  $Q$  of  $\mathbf{C}$  containing exactly one element from any class. (Of course, if the space  $P$  is complete,  $\mathbf{C} = Q = \emptyset$ .)

In what follows, for convenience, lower case Latin letters denote the elements of  $P$ , lower case Greek letters denote the elements of  $Q$ .

We define a function  $\varrho_0$  in the range  $(P \cup Q) \times (P \cup Q)$  as follows:

[1] if  $a \in P, b \in P$ , then  $\varrho_0(a, b) = \varrho(a, b)$ ;

[2] if  $\alpha = \{a_n\} \in Q, b \in P$ , then  $\varrho_0(\alpha, b) = \varrho_0(b, \alpha) = \lim \varrho(a_n, b)$ . Certainly we must prove that  $\{\varrho(a_n, b)\}$  converges in  $\mathbf{E}_1$ . Since  $\mathbf{E}_1$  is complete, it suffices to prove that  $\{\varrho(a_n, b)\}$  is a Cauchy sequence. Let  $\varepsilon > 0$ . As  $\{a_n\}$  is a Cauchy sequence, there is an index  $p$  such that  $m > p, n > p$  imply  $\varrho(a_m, a_n) < \varepsilon$ . Let  $m > p, n > p$ ; then  $\varrho(a_m, b) \leq \varrho(a_m, a_n) + \varrho(a_n, b) < \varrho(a_n, b) + \varepsilon$  and similarly  $\varrho(a_n, b) < \varrho(a_m, b) + \varepsilon$ . Consequently  $m > p, n > p$  imply  $|\varrho(a_m, b) - \varrho(a_n, b)| < \varepsilon$  and hence  $\{\varrho(a_n, b)\}$  is indeed a Cauchy sequence.

[3] if  $\alpha = \{a_n\} \in Q, \beta = \{b_n\} \in Q$ , then  $\varrho_0(\alpha, \beta) = \lim \varrho(a_n, b_n)$ . Again, we have to prove that  $\{\varrho(a_n, b_n)\}$  is convergent in  $\mathbf{E}_1$  and again it suffices to prove that it is a Cauchy sequence. Let  $\varepsilon > 0$ . As  $\{a_n\}$  and  $\{b_n\}$  are Cauchy sequences, there is an index  $p$  such that  $m > p, n > p$  imply  $\varrho(a_m, a_n) < \varepsilon/2$  and  $\varrho(b_m, b_n) < \varepsilon/2$ . Let  $m > p, n > p$ ; then  $\varrho(a_m, b_m) \leq \varrho(a_m, a_n) + \varrho(a_n, b_n) + \varrho(b_n, b_m) < \varrho(a_n, b_n) + \varepsilon$ , and similarly  $\varrho(a_n, b_n) < \varrho(a_m, b_m) + \varepsilon$ . Consequently  $m > p, n > p$  implies  $|\varrho(a_m, b_m) - \varrho(a_n, b_n)| < \varepsilon$ , and hence  $\{\varrho(a_n, b_n)\}$  is indeed a Cauchy sequence.

We shall prove that  $\varrho_0$  is a distance function in  $P \cup Q$ , i.e. that it possesses the properties [1], [2], [3] exhibited in section 6.1.

I. Evidently  $\varrho_0(a, a) = 0, \varrho_0(\alpha, \alpha) = 0$  and also  $\varrho_0(a, b) > 0$  for  $a \neq b$ . If  $\alpha \neq \beta$ , then  $\varrho_0(\alpha, \beta) = \lim \varrho(a_n, b_n) \neq 0$  (and hence  $> 0$ ), since, by the definition of the set  $Q$ , the sequences  $\alpha = \{a_n\}$  and  $\beta = \{b_n\}$  are not equivalent. Also  $\varrho_0(\alpha, b) = \varrho_0(b, \alpha) = \lim \varrho(a_n, b) \neq 0$  (and hence  $> 0$ ); the equality  $\lim a_n = b$  cannot hold, as  $\alpha = \{a_n\} \in Q \subset \mathbf{C}$  is not convergent in  $P$ .

II.  $\varrho_0(a, b) = \varrho_0(b, a), \varrho_0(\alpha, b) = \varrho_0(b, \alpha), \varrho_0(\alpha, \beta) = \varrho_0(\beta, \alpha)$  is evident.

III. Let  $\alpha, \beta, \gamma$  be three elements of  $P \cup Q$ . If  $\alpha \in Q$ , then  $\alpha = \{a_n\}$ , where  $\{a_n\}$  is a sequence of points of  $P$ ; if  $\alpha \in P$ , put  $a_n = \alpha$  for every  $n$ . The sequences  $\{b_n\}, \{c_n\}$  are defined analogously. Then  $\varrho_0(\alpha, \beta) = \lim \varrho(a_n, b_n)$  and similarly for  $\varrho_0(\alpha, \gamma), \varrho_0(\beta, \gamma)$ . However,  $\varrho(a_n, c_n) \leq \varrho(a_n, b_n) + \varrho(b_n, c_n)$  and hence  $\lim \varrho(a_n, c_n) \leq \lim \varrho(a_n, b_n) + \lim \varrho(b_n, c_n)$ , i.e.  $\varrho_0(\alpha, \gamma) \leq \varrho_0(\alpha, \beta) + \varrho_0(\beta, \gamma)$ .

Consequently, the set  $P \cup Q$  endowed with the distance function  $\varrho_0$  is a metric

space. Since the partial distance function  $(\varrho_0)_{P \times P}$  coincides with  $\varrho$ , the space  $P = (P, \varrho)$  is a point set, embedded into  $P \cup Q = (P \cup Q, \varrho_0)$ .

Let  $\alpha = \{a_n\} \in Q$ , then  $\varrho_0(\alpha, a_n) = \lim_{k \rightarrow \infty} \varrho(a_k, a_n)$ . Let  $\varepsilon > 0$ . Then there is an index  $p$  such that  $k > p, n > p$  imply  $\varrho(a_k, a_n) < \varepsilon$ . Hence  $n > p$  implies  $\lim_{k \rightarrow \infty} \varrho(a_k, a_n) \leq \varepsilon$ , i.e.  $n > p$  implies  $\varrho_0(\alpha, a_n) \leq \varepsilon$ . Hence  $\varrho_0(\alpha, a_n) \rightarrow 0$ , i.e.  $a_n \rightarrow \alpha$ . Consequently, by exercise 12.2, the set  $P$  is dense in the space  $P \cup Q$ .

The space  $P \cup Q$  is complete. Let  $\{\alpha_n\}$  be a Cauchy sequence in  $P \cup Q$ . Since the set  $P$  is dense in  $P \cup Q$ , there are points  $a_n \in P$  such that  $\varrho_0(\alpha_n, a_n) < 1/n$ . For every  $\varepsilon > 0$  there is an index  $p(\varepsilon)$  such that  $m > p(\varepsilon), n > p(\varepsilon)$  imply  $\varrho(\alpha_m, \alpha_n) < \varepsilon/3$ ; obviously, we may assume  $p(\varepsilon) > 3/\varepsilon$ . For  $m > p(\varepsilon), n > p(\varepsilon)$  we have  $\varrho(a_m, a_n) = \varrho_0(a_m, a_n) \leq \varrho_0(a_m, \alpha_m) + \varrho_0(\alpha_m, \alpha_n) + \varrho_0(\alpha_n, a_n) < 1/m + \varepsilon/3 + 1/n < \varepsilon$ . Thus,  $\{a_n\}$  is a Cauchy sequence of points of  $P$ . Now, it suffices to prove that  $\{a_n\}$  converges in  $P \cup Q$ , since if  $a_n \rightarrow \beta$  then  $\varrho_0(\alpha_n, \beta) \leq \varrho_0(\alpha_n, a_n) + \varrho_0(a_n, \beta) < \varrho_0(a_n, \beta) + 1/n$  and thus also  $\alpha_n \rightarrow \beta$ . In the case that  $\{a_n\}$  is convergent in  $P$  there remains nothing to prove. In the other case  $\{a_n\} \in \mathbf{C}$ , and hence there is a  $\beta = \{b_n\} \in Q$  equivalent with  $\{a_n\}$ . We know (see above) that  $\varrho_0(b_n, \beta) \rightarrow 0$ ; as  $\{a_n\}$  and  $\{b_n\}$  are equivalent, we have  $\varrho_0(a_n, b_n) = \varrho(a_n, b_n) \rightarrow 0$ ; as  $\varrho_0(a_n, \beta) \leq \varrho_0(a_n, b_n) + \varrho_0(b_n, \beta)$ , there is  $\varrho_0(a_n, \beta) \rightarrow 0$ , i.e.  $a_n \rightarrow \beta$ , and hence  $\{a_n\}$  is convergent in  $P \cup Q$ .

**15.4.** A metric space  $P_0$  is called a *completion* of a metric space  $P$  if: [1]  $P$  is a point set embedded into  $P_0$ , [2]  $P_0$  is complete, [3]  $P$  is dense in  $P_0$ . If  $P = P_0$ , then the space  $P$  is complete. On the other hand, if  $P$  is complete, then  $P = \bar{P}$  by 15.2.1 ( $\bar{P}$  denotes the closure of the set  $P$  in  $P_0$ ). But  $\bar{P} = P_0$  by [3] and hence  $P = P_0$ .

**15.4.1.** Every metric space has a completion. If  $P_1$  and  $P_2$  are two completions of a space  $P$ , then there exists an isometric mapping  $f$  of  $P_1$  onto  $P_2$  such that  $f(x) = x$  for every  $x \in P$ .

*Proof:* In section 15.3 we constructed the metric space  $P \cup Q$ , which is obviously a completion of the metric space  $P$ . Let  $P_1$  and  $P_2$  be two completions of a metric space  $P$ , let  $\varrho, \varrho_1$  and  $\varrho_2$  be distance functions in  $P, P_1$  and  $P_2$  respectively; hence,  $\varrho = (\varrho_1)_{P \times P}$ . If  $x \in P_1$  then by exercise 12.2 there is a sequence  $\{a_n\}$  such that  $a_n \in P, \varrho_1(a_n, x) \rightarrow 0$ . The sequence  $\{a_n\}$  is a Cauchy sequence by 15.1.1. Since the space  $P_2$  is complete, there is a point  $y \in P_2$  such that  $\varrho_2(a_n, y) \rightarrow 0$ . Preserving the original point  $x \in P_1$ , let us replace the sequence  $\{a_n\}$  by a sequence  $\{b_n\}$  having the same properties, i.e.  $b_n \in P, \varrho_1(b_n, x) \rightarrow 0$ . Instead of  $y$  we obtain some point  $z \in P_2$  such that  $\varrho_2(b_n, z) \rightarrow 0$ . Then  $\varrho_2(y, z) \leq \varrho_2(y, a_n) + \varrho_2(a_n, b_n) + \varrho_2(b_n, z)$ . But  $\varrho_2(a_n, b_n) = \varrho(a_n, b_n) = \varrho_1(a_n, b_n) \leq \varrho_1(a_n, x) + \varrho_1(b_n, x)$ . Since  $\varrho_2(y, a_n) \rightarrow 0, \varrho_2(b_n, z) \rightarrow 0, \varrho_1(a_n, x) \rightarrow 0, \varrho_1(b_n, x) \rightarrow 0$ , we have  $\varrho_2(y, z) = 0$  and hence  $z = y$ ; i.e., the point  $y \in P_2$  is uniquely determined by the point  $x \in P_1$ . On putting  $y = f(x)$  we obtain a mapping  $f$  of the space  $P_1$  into the space  $P_2$ . If  $x \in P$ , we may choose

$a_n = x$  for every  $n$ , and hence  $f(x) = x$  for  $x \in P$ . If  $x \in P_1$ ,  $x' \in P_1$ , we choose sequences  $\{a_n\}$ ,  $\{a'_n\}$  such that  $a_n \in P$ ,  $a'_n \in P$ ,  $\varrho_1(a_n, x) \rightarrow 0$ ,  $\varrho_1(a'_n, x') \rightarrow 0$ . By definition of  $f$ , we have  $\varrho_2[a_n, f(x)] \rightarrow 0$ ,  $\varrho_2[a'_n, f(x')] \rightarrow 0$ . Consequently  $a_n \rightarrow x$ ,  $a'_n \rightarrow x'$  in  $P_1$  and  $a_n \rightarrow f(x)$ ,  $a'_n \rightarrow f(x')$  in  $P_2$  and hence, by exercise 9.12,  $\varrho_1(a_n, a'_n) \rightarrow \varrho_1(x, x')$ ,  $\varrho_2(a_n, a'_n) \rightarrow \varrho_2[f(x), f(x')]$ . Since  $\varrho_1(a_n, a'_n) = \varrho(a_n, a'_n) = \varrho_2(a_n, a'_n)$ , we have  $\varrho_1(x, x') = \varrho_2[f(x), f(x')]$ . Hence, the mapping  $f$  is isometric. It remains to show that  $f$  is a mapping of  $P_1$  onto  $P_2$ , i.e. that for every  $y \in P_2$  there is an  $x \in P_1$  such that  $f(x) = y$ . Let  $y \in P_2$ . By exercise 12.2 there is a sequence  $\{a_n\}$  such that  $a_n \in P$ ,  $\varrho_2(a_n, y) \rightarrow 0$ . The sequence  $\{a_n\}$  is a Cauchy sequence by 15.1.1. Since the space  $P_1$  is complete, there is a point  $x \in P_1$  such that  $\varrho_1(a_n, x) \rightarrow 0$ . Evidently  $f(x) = y$ .

**15.5.** A metric space  $P$  is said to be *absolutely closed*, if it has the following property: If  $P$  is embedded into any space  $P_0$ , then  $P$  is a closed subset of  $P_0$ .

**15.5.1.** A metric space is *absolutely closed* if and only if it is complete.

*Proof:* I. Let  $P$  be absolutely closed. Let  $P_0$  be its completion. (cf. 15.1.1). By 15.2.2  $P$  is complete.

II. Let  $P$  be complete. Then it is absolutely closed by 15.2.1.

A metric space  $P$  is said to be an *absolute  $\mathbf{G}_\delta$ -space*, if it possesses the following property: if  $P$  is embedded into any metric space  $P_0$ , then  $P$  is always a  $\mathbf{G}_\delta$ -set in  $P_0$ . For reasons which will be evident immediately (cf. 15.6.3), we shall use the term *topologically complete space* instead of absolute  $\mathbf{G}_\delta$ -space.

**15.5.2.** A metric space  $P$  is *topologically complete* if and only if there is a complete space  $Q$  such that  $P$  is a  $\mathbf{G}_\delta$ -set in  $Q$ .

*Proof:* I. Let  $P$  be an absolute  $\mathbf{G}_\delta$ -space. Let  $P_0$  be its completion (cf. 15.4.1). Evidently  $P$  is a  $\mathbf{G}_\delta$ -set in  $P_0$  and  $P_0$  is complete.

II. Let there exist a complete space  $Q$  such that  $P$  is a  $\mathbf{G}_\delta$ -set in  $Q$ . Let  $R$  be a metric space into which  $P$  is embedded. We have to prove that  $P$  is a  $\mathbf{G}_\delta$ -set in  $R$ . Let  $R_0$  be a completion of the space  $R$  (cf. 15.4.1). Hence  $Q$  and  $R_0$  are complete spaces and  $P$  is embedded into both of them. Let  $\bar{P}(Q)$  and  $\bar{P}(R_0)$  be closures of the set  $P$  in  $Q$  and  $R_0$  respectively. By 15.2.2  $\bar{P}(Q)$  and  $\bar{P}(R_0)$  are complete spaces and  $P$  is embedded into both. Obviously,  $P$  is dense in both  $\bar{P}(Q)$  and  $\bar{P}(R_0)$ , and hence  $\bar{P}(Q)$  and  $\bar{P}(R_0)$  are two completions of the space  $P$ . Hence, by 15.4.1, there exists an isometric mapping  $f$  of the space  $\bar{P}(Q)$  onto the space  $\bar{P}(R_0)$  such that  $f(x) = x$  for  $x \in P$ . As  $P$  is a  $\mathbf{G}_\delta$ -set in  $Q$  and  $P \subset \bar{P}(Q) \subset Q$ ,  $P$  is a  $\mathbf{G}_\delta$ -set in  $\bar{P}(Q)$  by 13.6.1. Since the concept of a  $\mathbf{G}_\delta$ -set is metric (even topological), we conclude from the existence of the mapping  $f$  that  $P$  is a  $\mathbf{G}_\delta$ -set in  $\bar{P}(R_0)$  too. By 13.2,  $\bar{P}(R_0)$  is a  $\mathbf{G}_\delta$ -set

in  $R_0$  and hence, by exercise 13.10,  $P$  is a  $\mathbf{G}_\delta$ -set in  $R_0$ . As  $P \subset R \subset R_0$ ,  $P$  is a  $\mathbf{G}_\delta$ -set in  $R$  by 13.6.1.

**15.5.3.** Let  $P$  be a topologically complete space. Let  $A$  be a  $\mathbf{G}_\delta$ -set in  $P$ . Then  $A$  is a topologically complete space.

*Proof:* By 15.5.2 there is a complete space  $Q$  such that  $P$  is a  $\mathbf{G}_\delta$ -set in  $Q$ . By exercise 13.10,  $A$  is a  $\mathbf{G}_\delta$ -set in  $Q$  and hence  $A$  is topologically complete by 15.5.2.

**15.6. 15.6.1.** Let  $f$  be a homeomorphism of a metric space  $P$  onto a metric space  $Q$ . Then there exist topologically complete spaces  $P_0$  and  $Q_0$  such that: [1]  $P$  is embedded into  $P_0$ , [2]  $Q$  is embedded into  $Q_0$ , [3] there exists a homeomorphism  $\varphi$  of the space  $P_0$  onto the space  $Q_0$  such that  $\varphi(x) = f(x)$  for  $x \in P$ .

*Proof:* Let  $P_1$  and  $Q_1$  be completions of  $P$  and  $Q$  respectively (cf. 15.4.1). Denote by  $P_2$  the set of all  $x \in P_1$  such that for every  $\varepsilon > 0$  there is a  $\delta > 0$  such that

$$a \in P, a' \in P, \varrho(a, x) < \delta, \varrho(a', x) < \delta \Rightarrow \varrho[f(a), f(a')] \leq \varepsilon. \quad (1)$$

Let  $Q_2$  denote the set of all elements  $y \in Q_1$  such that for every  $\varepsilon > 0$  there is a  $\delta > 0$  with

$$b \in Q, b' \in Q, \varrho(b, y) < \delta, \varrho(b', y) < \delta \Rightarrow \varrho[f_{-1}(b), f_{-1}(b')] \leq \varepsilon.$$

For positive integers  $m, n$  let  $A_{mn}$  be the set of all  $x \in P_1$  satisfying

$$a \in P, a' \in P, \varrho(a, x) < \frac{1}{n}, \varrho(a', x) < \frac{1}{n} \Rightarrow \varrho[f(a), f(a')] \leq \frac{1}{m}$$

It is easy to see that

$$P_2 = \bigcap_{m=1}^{\infty} \bigcup_{n=1}^{\infty} A_{mn}. \quad (2)$$

If  $x \in \bigcup_{n=1}^{\infty} A_{mn}$ , there is an index  $n$  such that  $x \in A_{mn}$ ; if  $x' \in P_1$  and  $\varrho(x, x') < 1/2n$ , then obviously  $x' \in A_{m, 2n}$  and hence  $x' \in \bigcup_{n=1}^{\infty} A_{mn}$ . Consequently, for every  $x \in \bigcup_{n=1}^{\infty} A_{mn}$  there is a  $\delta > 0$  such that  $\Omega_{P_1}(x, \delta) \subset \bigcup_{m=1}^{\infty} A_{mn}$  and hence, by (2),  $P_2$  is a  $\mathbf{G}_\delta$ -set in  $P_1$ . Similarly,  $Q_2$  is a  $\mathbf{G}_\delta$ -set in  $Q_1$ .

The mapping  $f$  is continuous, since it is a homeomorphism. Hence, given a point  $x \in P$ , to every  $\varepsilon > 0$  there is a  $\delta > 0$  such that

$$a \in P, \varrho(a, x) < \delta \Rightarrow \varrho[f(a), f(x)] \leq \frac{\varepsilon}{2}. \quad (3)$$

However, from (3) there follows (1), i.e.  $x \in P_2$ . Hence  $P \subset P_2$ . As the inverse mapping  $f_{-1}$  is also continuous, we obtain similarly that  $Q \subset Q_2$ .

Choose a point  $x \in P_2$ . As  $P_1$  is the completion of  $P$ ; the set  $P$  is dense in  $P_1$  and  $P_1$  contains  $P_2$ ; hence, by exercise 12.2, there is a sequence  $\{a_n\}$  such that  $a_n \in P$  and  $a_n \rightarrow x$ . Let  $\varepsilon > 0$ . Since  $x \in P_2$ , there is a  $\delta > 0$  such that (1) holds. Since  $a_n \rightarrow x$ , there is an index  $p$  such that  $n > p$  implies  $\varrho(a_n, x) < \delta$ . By (1)  $m > p$  and  $n > p$  imply  $\varrho[f(a_m), f(a_n)] \leq \varepsilon$ . Hence,  $\{f(a_n)\}$  is a Cauchy sequence. Since  $f(a_n) \in Q \subset Q_1$  and  $Q_1$  is a complete space, there is a point  $y \in Q_1$  such that  $f(a_n) \rightarrow y$ . Preserving the point  $x$ , we replace the sequence  $\{a_n\}$  by another sequence  $\{a'_n\}$  with the same properties, i.e.  $a'_n \in P$ ,  $a'_n \rightarrow x$ . Put  $a''_{2n-1} = a_n$ ,  $a''_{2n} = a'_n$ ; since  $a''_n \in P$ ,  $a''_n \rightarrow x \in P_2$ , there is  $\lim f(a''_n) \in Q_1$ . By 7.1.2  $\lim f(a_n) = \lim f(a'_n)$ ,  $\lim f(a'_n) = \lim f(a''_n)$  and consequently  $\lim f(a_n) = \lim f(a'_n)$ . Hence, the point  $y = \lim f(a_n)$  depends on the point  $x \in P_2$  only, and not on the choice of the sequence  $\{a_n\}$ . Hence, we may put  $y = \varphi_1(x)$ . If  $x \in P$ , we may choose  $a_n = x$  for all  $n$ ; consequently  $\varphi_1(x) = f(x)$ . Hence,  $\varphi_1$  is a mapping of  $P_2$  into  $Q_1$  such that  $\varphi_1(x) = f(x)$  for  $x \in P$ . Similarly we construct a mapping  $\varphi_2$  of the set  $Q_2$  into the set  $P_1$  such that  $y \in Q$  implies  $\varphi_2(y) = f_{-1}(y)$ .

Let  $x_n \in P_2$ ,  $x \in P_2$ ,  $x_n \rightarrow x$ . For every  $n$  there is a sequence  $\{a_{ni}\}_{i=1}^\infty$  such that  $a_{ni} \in P$ ,  $\lim_{i \rightarrow \infty} a_{ni} = x_n$ ; hence,  $\lim_{i \rightarrow \infty} f(a_{ni}) = \varphi_1(x_n)$ . With every  $n$  we may associate an index  $i(n)$  such that for  $b_n = a_{n, i(n)}$  we have  $\varrho(b_n, x_n) < 1/n$ ,  $\varrho[f(b_n), \varphi_1(x_n)] < 1/n$ . Now  $b_n \in P$ ,  $b_n \rightarrow x$  and hence  $f(b_n) \rightarrow \varphi_1(x)$ . As  $\varrho[f(b_n), \varphi_1(x_n)] < 1/n$ , we also have  $\varphi_1(x_n) \rightarrow \varphi_1(x)$ . This proves that the mapping  $\varphi_1$  of  $P_2$  into  $Q_1$  is continuous. The continuity of the mapping  $\varphi_2$  of  $Q_2$  into  $P_1$  may be proved in a similar manner.

Put  $P_0 = \underset{x}{E}[x \in P_2, \varphi_1(x) \in Q_2]$ ,  $Q_0 = \underset{y}{E}[y \in Q_2, \varphi_2(y) \in P_2]$ . Evidently  $P \subset P_0$ ,  $Q \subset Q_0$ . As  $Q_2$  is a  $\mathbf{G}_\delta$ -set in  $Q_1$  and as  $\varphi_1$  is a continuous mapping of  $P_2$  into  $Q_1$ , by exercise 13.7 the set  $P_0$  is a  $\mathbf{G}_\delta$ -set in  $P_2$ . Since  $P_2$  is a  $\mathbf{G}_\delta$ -set in  $P_1$ , the set  $P_0$  is a  $\mathbf{G}_\delta$ -set in  $P_1$  by exercise 13.10. As  $P_1$  is a complete space,  $P_0$  is topologically complete by 15.5.2. Similarly  $Q_0$  is topologically complete.

Put  $\varphi = (\varphi_1)_{P_0}$ ,  $\psi = (\varphi_2)_{Q_0}$  (cf. 2.4). If  $x \in P_0$ , there is a sequence  $\{a_n\}$  such that  $a_n \in P$ ,  $a_n \rightarrow x$ ,  $f(a_n) \rightarrow \varphi_1(x) = \varphi(x)$ . Since  $x \in P_0$ , we have  $\varphi(x) \in Q_2$ . Since  $f(a_n) \in Q$ ,  $f(a_n) \rightarrow \varphi(x)$ , we have  $a_n = f_{-1}[f(a_n)] \rightarrow \varphi_2[\varphi(x)]$  and hence  $\varphi_2[\varphi(x)] = x$ . Since  $\varphi(x) \in Q_2$ ,  $x \in P_2$ , we have  $\varphi(x) \in Q_0$ . Hence  $\varphi(P_0) \subset Q_0$ . Since  $\varphi(x) \in Q_0$ , we have  $\varphi_2[\varphi(x)] = \psi[\varphi(x)]$ , hence  $\psi[\varphi(x)] = x$  and consequently  $\psi(Q_0) \supset P_0$ . Similarly we prove that  $\psi(Q_0) \subset P_0$ ,  $\varphi(P_0) \supset Q_0$ . Hence,  $\varphi(P_0) = Q_0$ ,  $\psi(Q_0) = P_0$ , i.e.  $\varphi$  is a continuous mapping of  $P_0$  onto  $Q_0$  and  $\psi$  is a continuous mapping of  $Q_0$  onto  $P_0$ . We have also seen that  $\psi[\varphi(x)] = x$  for  $x \in P_0$ , and hence  $\psi = \varphi_{-1}$  and  $\varphi$  is a homeomorphic mapping of  $P_0$  onto  $Q_0$ . Of course  $x \in P$  implies  $\varphi(x) = f(x)$ .

**15.6.2.** Let  $P$  and  $Q$  be homeomorphic spaces. If  $P$  is topologically complete, then  $Q$  is also topologically complete.

Thus, topological completeness is not only a metric property (which was obvious from the definition), but, moreover, a topological property.



*Proof:* Let  $f$  be a homeomorphism of a topologically complete space  $P$  onto a metric space  $Q$ . By 15.6.1 there are topologically complete spaces  $P_0, Q_0$  containing  $P, Q$  respectively, and a homeomorphic mapping  $\varphi$  of  $Q_0$  onto  $P_0$  such that  $\varphi(Q) = P$ . As  $P$  is topologically complete and  $P \subset P_0$ ,  $P$  is a  $\mathbf{G}_\delta$ -set in  $P_0$ . Since  $\varphi$  is a continuous mapping of the space  $Q_0$  onto the space  $P_0$  and  $Q = \varphi^{-1}(P)$ ,  $Q$  is a  $\mathbf{G}_\delta$ -set in  $Q_0$  by exercise 13.7. Let  $Q_1$  be a completion of  $Q_0$  (cf. 15.4.1). Since  $Q_0$  is topologically complete,  $Q_0$  is a  $\mathbf{G}_\delta$ -set in  $Q_1$ . Hence, by exercise 13.10,  $Q$  is a  $\mathbf{G}_\delta$ -set in  $Q_1$  and consequently, by 15.5.2,  $Q$  is topologically complete.

**15.6.3.** *A metric space  $P$  is topologically complete if and only if there is a complete space homeomorphic to  $P$ .*

*Proof:* I. By 13.2, 15.5.1 and by the definition of topologically complete spaces, any complete space is topologically complete. Hence by 15.6.2, a space homeomorphic with a complete space is topologically complete.

II. Let  $P = (P, \varrho)$  be a topologically complete space with the distance function  $\varrho$ . It suffices to prove (cf. 9.3) that there is a distance function  $\varrho_0$  in  $P$  equivalent with the distance function  $\varrho$  and such that  $(P, \varrho_0)$  is complete. By 15.4.1, the space  $P = (P, \varrho)$  may be embedded into a complete space  $Q$ . Without danger of misunderstanding, we may denote the distance function in  $Q$  by  $\varrho$  just as the previously given distance function in  $P$ . Since  $P$  is topologically complete, there exist open sets  $G_n$  in  $Q$  such that  $P = \bigcap_{n=1}^{\infty} G_n$ . If  $P = Q$ , the space  $(P, \varrho)$  is complete and there is nothing to prove. Hence we may suppose  $P \neq Q$  and then, of course, we may suppose  $G_n \neq Q$  for every  $n$ .\* For  $x \in P, y \in P, n = 1, 2, \dots$  put

$$f_n(x, y) = \varrho(x, y) + \varrho(x, Q - G_n) + \varrho(y, Q - G_n), \quad (1)$$

$$g_n(x, y) = \frac{\varrho(x, y)}{f_n(x, y)}, \quad (2)$$

$$\varrho_0(x, y) = \varrho(x, y) + \sum_{n=1}^{\infty} \frac{1}{2^n} g_n(x, y). \quad (3)$$

As  $x \in P \subset G_n, Q - G_n = \overline{Q - G_n}$  (where the right hand side denotes, of course, the closure in  $Q$ ), we have  $\varrho(x, Q - G_n) > 0$ , and similarly  $\varrho(y, Q - G_n) > 0$ . Hence  $0 \leq \varrho(x, y) < f_n(x, y)$ , and consequently

$$0 \leq g_n(x, y) < 1; \quad (4)$$

thus the series on the right hand side of (3) is convergent. Moreover,

$$0 \leq \varrho(x, y) \leq \varrho_0(x, y). \quad (5)$$

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\*) There is an  $a \in Q - P$  and hence  $P = \bigcap_{n=1}^{\infty} [G_n - (a)]$  where  $G_n - (a) \neq Q$  are open; consequently, we could take  $G_n - (a)$  instead of  $G_n$ .

Evidently  $\varrho_0(x, x) = 0$  and  $\varrho_0(x, y) > 0$  for  $x \neq y$ . Obviously  $\varrho_0(x, y) = \varrho_0(y, x)$ . Since for any numbers  $c > 0$ ,  $t_1 \geq 0$ ,  $t_2 \geq t_1$ , one has the evident relation

$$\frac{t_1}{c + t_1} \leq \frac{t_2}{c + t_2}$$

and since for  $x \in P$ ,  $y \in P$ ,  $z \in P$  we have  $\varrho(x, z) \leq \varrho(x, y) + \varrho(y, z)$ , we obtain

$$g_n(x, z) \leq \frac{\varrho(x, y) + \varrho(y, z)}{\varrho(x, y) + \varrho(x, Q - G_n) + \varrho(y, z) + \varrho(z, Q - G_n)}. \quad (6)$$

By exercise 6.6,

$$\begin{aligned} \varrho(y, Q - G_n) &\leq \varrho(x, y) + \varrho(x, Q - G_n), \\ \varrho(y, Q - G_n) &\leq \varrho(y, z) + \varrho(z, Q - G_n), \end{aligned}$$

and hence the denominator on the right-hand side in (6) is not less than either of the two following numbers

$$\begin{aligned} \varrho(x, y) + \varrho(x, Q - G_n) + \varrho(y, Q - G_n), \\ \varrho(y, z) + \varrho(y, Q - G_n) + \varrho(z, Q - G_n). \end{aligned}$$

Hence, by (6), it follows that

$$g_n(x, z) \leq g_n(x, y) + g_n(y, z)$$

and consequently, by (3), we obtain  $\varrho_0(x, z) \leq \varrho_0(x, y) + \varrho_0(y, z)$ .

We have proved that  $\varrho_0$  is a distance function in  $P$ . We shall show that the distance functions  $\varrho_0$  and  $\varrho$  in  $P$  are equivalent, i.e. that for  $x_n \in P$ ,  $x \in P$  there is

$$\varrho(x_n, x) \rightarrow 0 \Leftrightarrow \varrho_0(x_n, x) \rightarrow 0.$$

If  $\varrho_0(x_n, x) \rightarrow 0$  then  $\varrho(x_n, x) \rightarrow 0$  by (5). Now let  $\varrho(x_n, x) \rightarrow 0$ . Choose an  $\varepsilon > 0$ . Find an index  $k$  such that  $1/2^k < \varepsilon/2$ . By (4), for every  $n$  there is

$$\sum_{i=k+1}^{\infty} \frac{1}{2^i} g_i(x_n, x) \leq \sum_{i=k+1}^{\infty} \frac{1}{2^i} = \frac{1}{2^k} < \frac{\varepsilon}{2},$$

hence

$$\varrho_0(x_n, x) < \varrho(x_n, x) + \sum_{i=1}^k \frac{1}{2^i} g_i(x_n, x) + \frac{\varepsilon}{2},$$

and thus, by (1) and (2),

$$\varrho_0(x_n, x) < \varrho(x_n, x) + \sum_{i=1}^k \frac{1}{2^i} \frac{\varrho(x_n, x)}{\varrho(x_n, x) + \varrho(x, Q - G_i)} + \frac{\varepsilon}{2}.$$

Putting

$$f(t) = t + \sum_{i=1}^k \frac{1}{2^i} \frac{t}{t + \varrho(x, Q - G_i)},$$

we obtain a continuous function  $f$  with domain  $E[t \mid t \geq 0]$  such that  $f(0) = 0$ .

Hence there is a  $\delta > 0$  such that  $0 \leq t < \delta$  implies  $f(t) < \varepsilon/2$ . Since  $\varrho(x_n, x) \rightarrow 0$ , there is an index  $p$  such that the following sequence of implications holds:

$$n > p \Rightarrow 0 \leq \varrho(x_n, x) < \delta \Rightarrow f[\varrho(x_n, x)] < \frac{\varepsilon}{2} \Rightarrow \varrho_0(x_n, x) < \frac{\varepsilon}{2} + \frac{\varepsilon}{2} = \varepsilon.$$

Hence indeed  $\varrho_0(x_n, x) \rightarrow 0$ .

It remains to prove that the space  $(P, \varrho_0)$  is complete. Let  $\{x_n\}$  be a Cauchy sequence in this space. We have to show that there is a point  $x \in P$  such that  $\varrho_0(x_n, x) \rightarrow 0$ ; of course, it suffices to prove  $\varrho(x_n, x) \rightarrow 0$ , since the distance functions  $\varrho_0$  and  $\varrho$  are equivalent. Since  $\{x_n\}$  is a Cauchy sequence with respect to the distance function  $\varrho_0$ , it is, by (5), a Cauchy sequence with respect to the distance function  $\varrho$ . As  $(Q, \varrho)$  is complete, there is a point  $x \in Q$  such that  $\varrho(x_n, x) \rightarrow 0$ . We are to prove that  $x \in P$ . Assume the contrary, that  $x \in Q - P$ . Since  $P = \bigcap_{n=1}^{\infty} G_n$ , there is an index  $k$  such that  $x \in Q - G_k$ . Hence  $\varrho(x_n, Q - G_k) \leq \varrho(x_n, x)$ . Since  $\varrho(x_n, x) \rightarrow 0$ , we have

$$\varrho(x_n, Q - G_k) \rightarrow 0. \quad (7)$$

By (3),

$$\varrho_0(x_m, x_n) \geq \frac{1}{2^k} g_k(x_m, x_n).$$

Hence, by (1) and (2),

$$\varrho_0(x_m, x_n) \geq \frac{1}{2^k} \frac{\varrho(x_m, x_n)}{\varrho(x_m, x_n) + \varrho(x_m, Q - G_k) + \varrho(x_n, Q - G_k)}.$$

By exercise 6.6 we have

$$\varrho(x_m, Q - G_k) \leq \varrho(x_m, x_n) + \varrho(x_n, Q - G_k);$$

hence,

$$\varrho_0(x_m, x_n) \geq \frac{1}{2^{k+1}} \frac{\varrho(x_m, x_n)}{\varrho(x_m, x_n) + \varrho(x_n, Q - G_k)}.$$

Since  $\{x_n\}$  is a Cauchy sequence with respect to  $\varrho_0$ , there is an index  $p$  such that  $m > p, n > p$  imply  $\varrho_0(x_m, x_n) < 1/2^{k+2}$ . Hence the following implications hold

$$m > p, n > p \Rightarrow \frac{\varrho(x_m, x_n)}{\varrho(x_m, x_n) + \varrho(x_n, Q - G_k)} < \frac{1}{2} \Rightarrow \varrho(x_m, x_n) < \varrho(x_m, Q - G_k).$$

Consequently

$$m > p \Rightarrow \lim_{n \rightarrow \infty} \varrho(x_m, x_n) \leq \lim_{n \rightarrow \infty} \varrho(x_n, Q - G_k)$$

and hence by (7) and by exercise 9.10 it follows that

$$m > p \Rightarrow g(x_m, x) \leq 0 \Rightarrow \varrho(x_m, x) = 0 \Rightarrow x_m = x,$$

which is a contradiction, since  $x_m \in P$  and  $x \in Q - P$ .

**15.7. 15.7.1.** Let  $P$  be a complete space. Let  $A_n$  ( $n = 1, 2, 3, \dots$ ) be point sets embedded into  $P$ , such that  $A_n \neq \emptyset$ ,  $d(A_n) \rightarrow 0$ ,  $A_n \supset \bar{A}_{n+1}$ . Then the set  $\bigcap_{n=1}^{\infty} A_n$  consists of exactly one point.

*Proof:* Let us choose  $a_n \in A_n$ . If  $\varepsilon > 0$ , there is an index  $p$  such that  $d(A_p) < \varepsilon$ . For  $n > p$  we have  $a_n \in A_n \subset A_p$ . Consequently,  $m > p$  and  $n > p$  imply  $\varrho(a_m, a_n) \leq d(A_p) < \varepsilon$ . Hence,  $\{a_n\}$  is a Cauchy sequence. As the space  $P$  is complete, there is a point  $x_0$  such that  $a_n \rightarrow x_0$ . Given a positive integer  $n$ ,  $a_i \in A_{n+1}$  for  $i \geq n + 1$ ; hence, by 8.2.1,  $x_0 \in \bar{A}_{n+1} \subset A_n$ . Consequently  $x_0 \in \bigcap_{n=1}^{\infty} A_n$ . Let  $x \in \bigcap_{n=1}^{\infty} A_n$ . For every  $n$  there is  $\varrho(x, x_0) \leq d(A_n)$ . Since  $d(A_n) \rightarrow 0$ , we obtain  $\varrho(x, x_0) = 0$ , and hence  $x = x_0$ .

The theorem just proved is a (particularly important) special case of the following more general theorem:

**15.7.2.** Let  $P$  be a complete space. For  $n = 1, 2, 3, \dots$ , let  $\delta_n > 0$  with  $\delta_n \rightarrow 0$ ,  $A_n \subset P$ ,  $A_n \neq \emptyset$ ,  $A_n \supset \bar{A}_{n+1}$ . Let there exist finite sets  $K_n \subset P$ ,  $K_n \neq \emptyset$  such that  $x \in A_n$  implies  $\varrho(x, K_n) < \delta_n$ . Then  $\bigcap_{n=1}^{\infty} A_n \neq \emptyset$ .

*Proof:* I. Let us choose  $a_n \in A_n$ . We shall prove that the sequence  $\{a_n\}$  contains a convergent subsequence. For every  $n$ ,  $a_n \in A_n \subset A_1$ , and hence there is a point  $x \in K_1$  such that  $\varrho(a_n, x) < \delta_1$ . Since the set  $K_1$  is finite, there is a point  $x_1 \in K_1$  such that there is a subsequence  $\{a_{1n}\}_{n=1}^{\infty}$  of  $\{a_n\}$  with  $\varrho(a_{1n}, x) < \delta_1$  for every  $n$ ; as  $A_n \supset A_{n+1}$ , evidently  $a_{1n} \in A_n$  for every  $n$ .

Now, suppose that for a given  $i$  ( $= 1, 2, 3, \dots$ ) the same construction has been carried out as for  $i = 1$ ; namely, that we have determined a point  $x_i \in K_i$  and a sequence  $\{a_{in}\}_{n=1}^{\infty}$  such that, for every  $n$ ,  $a_{in} \in A_n$ ,  $\varrho(a_{in}, x_i) < \delta_i$ . For  $n > i$  we have  $a_{in} \in A_n \subset A_{i+1}$  and hence, for every  $n > i$ , there is a point  $x \in K_{i+1}$  such that  $\varrho(a_{in}, x) < \delta_{i+1}$ . As the set  $K_{i+1}$  is finite, there is a point  $x_{i+1} \in K_{i+1}$  and a subsequence  $\{a_{i+1,n}\}_{n=1}^{\infty}$  of  $\{a_{in}\}_{n=1}^{\infty}$  such that  $\varrho(a_{i+1,n}, x_i) < \delta_{i+1}$  for every  $n$ . Evidently,  $a_{i+1,n} \in A_n$ . Hence, we may construct recursively the sequences  $\{a_{in}\}_{n=1}^{\infty}$  for  $i = 1, 2, 3, \dots$

Put  $b_n = a_{nn}$ ; hence  $\{b_n\}$  is a subsequence of the sequence  $\{a_n\}$ . We have to prove that  $\{b_n\}$  is convergent; since the space  $P$  is complete, it suffices to prove that  $\{b_n\}$  is a Cauchy sequence. The sequence  $\{b_n\}_{n=i}^{\infty}$  is a subsequence of  $\{a_{in}\}_{n=1}^{\infty}$ . Hence  $n \geq i$  implies  $\varrho(b_n, x_i) < \delta_i$  and consequently  $m \geq i$ ,  $n \geq i$  imply  $\varrho(b_m, b_n) < 2\delta_i$ .

Since  $\delta_i \rightarrow 0$ ,  $\{b_n\}$  is a Cauchy sequence. Since  $P$  is complete,  $\{b_n\}$  is convergent.

II. By I., there is a convergent sequence  $\{a_n\}$  such that  $a_n \in A_n$ . Let  $a_n \rightarrow x_0$ . If  $n$  is given and  $i \geq n + 1$  then  $a_i \in A_{n+1}$ , and hence, by 8.2.1,  $x_0 \in \bar{A}_{n+1} \subset A_n$ . Consequently  $x_0 \in \bigcap_{n=1}^{\infty} A_n$ , and hence  $\bigcap_{n=1}^{\infty} A_n \neq \emptyset$ .

**15.8. 15.8.1.\*)** Let  $P \neq \emptyset$  be a topologically complete space. Let  $G_n \subset P$  be open sets,  $G_n$  dense in  $P$ . Then  $\bigcap_{n=1}^{\infty} G_n \neq \emptyset$ ; moreover, the set  $\bigcap_{n=1}^{\infty} G_n$  is dense in  $P$ .

*Proof:* I. First show that  $\bigcap_{n=1}^{\infty} G_n \neq \emptyset$ . From 15.6.3 it follows easily that it suffices to prove this under the assumption that  $P$  is complete. Choose a point  $a_1 \in G_1$ . Since the set  $G_1$  is open, there is a real number  $\delta_1$  such that  $0 < \delta_1 < 1/2$  and  $E[\varrho(a_1, x) \leq \delta_1] \subset G_1$ . More generally, for any given  $n$  ( $= 1, 2, 3, \dots$ ) assume there have been found a point  $a_n$  and a number  $\delta_n$  such that  $a_n \in G_n$ ,  $0 < \delta_n < 1/2^n$ ,  $E[\varrho(a_n, x) \leq \delta_n] \subset G_n$ . As the set  $G_{n+1}$  is dense, there is a point  $a_{n+1} \in G_{n+1}$  such that  $\varrho(a_n, a_{n+1}) < \delta_n$ . As  $G_{n+1}$  and  $E[\varrho(a_n, x) < \delta_n]$  are open sets, there is a number  $\delta_{n+1}$  such that  $0 < \delta_{n+1} < 1/2^{n+1}$ ,  $E[\varrho(a_{n+1}, x) \leq \delta_{n+1}] \subset G_{n+1} \cap E[\varrho(a_n, x) \leq \delta_n]$ . Hence, the points  $a_n$  and the numbers  $\delta_n$  may be constructed recursively. Put  $S_n = E[\varrho(a_n, x) \leq \delta_n]$ . Then  $S_n \subset G_n$ ,  $S_n \supset S_{n+1}$ ,  $d(S_n) \leq 2\delta_n \rightarrow 0$ ,  $S_n \neq \emptyset$ . Moreover,  $S_n = \bar{S}_n$  (e.g. by 9.5 and exercise 9.10). Hence, by 15.7.1,  $\bigcap_{n=1}^{\infty} S_n \neq \emptyset$ . As  $S_n \subset G_n$ ,  $\bigcap_{n=1}^{\infty} G_n \neq \emptyset$ .

II. Let  $\Gamma \neq \emptyset$  be an open set. By 12.1.2, it suffices to show that  $\Gamma \cap \bigcap_{n=1}^{\infty} G_n \neq \emptyset$ . By 13.1.1 and 15.5.3,  $\Gamma$  is a topologically complete space. The sets  $\Gamma \cap G_n$  are open by 8.7.5, and dense in  $\Gamma$  by exercise 12.3. Hence, by I,  $\bigcap_{n=1}^{\infty} (\Gamma \cap G_n) \neq \emptyset$ , i.e.  $\Gamma \cap \bigcap_{n=1}^{\infty} G_n \neq \emptyset$ .

**15.8.2.** Let  $P \neq \emptyset$  be a topologically complete space. Let  $A$  be a set of the first category in  $P$ . Then  $P - A \neq \emptyset$ ; moreover, the set  $P - A$  is dense in  $P$ .

*Proof:* We have  $A = \bigcup_{n=1}^{\infty} A_n$ , where  $A_n$  are nowhere dense sets, i.e. the (open) sets  $G_n = P - \bar{A}_n$  are dense. Hence, by 15.8.1, the set  $\bigcap_{n=1}^{\infty} G_n = P - \bigcup_{n=1}^{\infty} \bar{A}_n$  is dense. By 12.1.1 also the set  $P - A \supset P - \bigcup_{n=1}^{\infty} \bar{A}_n$  is dense.

\*) 15.8.1 (15.8.2, resp.) is sometimes called Baire's theorem.

**15.8.3.** Let  $P \neq \emptyset$  be a topologically complete space. Let  $f$  be a function of the first class with domain  $P$ . Let  $C$  be the set of all  $x \in P$  at which  $f$  is continuous. The set  $C$  is dense in  $P$ .

*Proof:* By 14.5.2,  $P - C$  is a set of the first category. Hence, by 15.8.2, the set  $C$  is dense.

**15.8.4.** The set  $R$  of all rational numbers is not a  $\mathbf{G}_\delta$ -set in  $\mathbf{E}_1$ .

*Proof:* If  $a \in R$ , then the set  $(a)$  is nowhere dense in  $R$  by exercise 12.5. Hence, by exercise 3.1, the set  $R$  is a set of the first category in  $R$ . Consequently, the space  $R$  is not topologically complete by 15.8.2. Hence,  $R$  is not a  $\mathbf{G}_\delta$ -set in  $\mathbf{E}_1$  by 15.1.3 and 15.5.2.

Exercises

- 15.1. Let  $P = A \cup B$ . Let  $A$  and  $B$  be complete spaces. Then  $P$  is a complete space.
- 15.2. Let  $P = A \cup B$ . Let  $A$  and  $B$  be topologically complete spaces. Then  $P$  is a topologically complete space.
- 15.3. Let  $C$  be a non-void set. Let  $P$  be a metric space. For each  $z \in C$  let  $A(z)$  be a complete space embedded into  $P$ . Then  $\bigcap_{z \in C} A(z)$  is a complete space.
- 15.4. Let  $P$  be a metric space. For  $n = 1, 2, 3, \dots$  let  $A_n$  be topologically complete spaces embedded into  $P$ . Then  $\bigcap_{n=1}^{\infty} A_n$  is a topologically complete space.
- 15.5. Let  $P$  and  $Q$  be topologically complete spaces. Then  $P \times Q$  is a topologically complete space.
- 15.6. Every absolutely open space (cf. analogous definitions in section 15.5) is void.
- 15.7. Let  $P$  be a complete space. Let  $Q \subset P$ . Then  $\bar{Q}$  is a completion of the space  $Q$ .
- 15.8. Let  $P$  be a topologically complete space. Let  $A$  be a closed set of the first category in  $P$ . Then  $A$  is nowhere dense in  $P$ .
- 15.9. Let  $P$  be a topologically complete space. Let  $A_n$  ( $n = 1, 2, 3, \dots$ ) be dense  $\mathbf{G}_\delta$ -sets in  $P$ . Then the set  $\bigcap_{n=1}^{\infty} A_n$  is dense in  $P$ .
- 15.10. The spaces in exercises 6.5, 7.2, and 7.4 are complete.
- 15.11. In the proof of theorem 15.6.1 the following equalities hold:  $P_0 = P_2 \cap \varphi_2(Q_2)$ ,  $Q_0 = Q_2 \cap \varphi_1(P_2)$ .
- 15.12. In theorem 15.6.1 we may put  $P = E[0 < t < 1] \cup E[1 < t < 2] \cup E[2 < t < 3] = Q$ ,  $f(t) = 1 - t$  for  $0 < t < 1$ ,  $f(t) = 3 - t$  for  $1 < t < 2$ ,  $f(t) = t$  for  $2 < t < 3$ ,  $P_1 = Q_1 = P \cup (0) \cup (1) \cup (2) \cup (3)$ . In the proof of the quoted theorem we have  $P_2 = P \cup (0) \cup (3) = Q_2$ ,  $P_0 = P \cup (3) = Q_0$ .
- 15.13. Let  $P$  be a topologically complete space. Let  $f$  be a function of the first class with domain  $P$ . Let  $Q$  be a non-void  $\mathbf{G}_\delta$ -set in  $P$ . Then there is a point  $x \in Q$  such that the partial function  $f_Q$  is continuous at  $x$ .
- 15.14. Let  $f$  be a function with domain  $\mathbf{E}_1$ . Let  $C$  be the set of all points  $x \in \mathbf{E}_1$  such that  $f$  is continuous at  $x$ . Then  $C$  is not the set of all rational numbers. (This may be proved using 13.4.) Compare with the result of exercise 9.2.

**15.15.** For  $x \in E_1$  put  $f(x) = \lim_{m \rightarrow \infty} \lim_{n \rightarrow \infty} \cos^{2^n} m! \pi x$ . The function  $f$  is nowhere continuous. Hence it is not a function of the first class.

**15.16.\*** The set of all members of a Cauchy sequence is bounded.

§ 16. Separable spaces

**16.1.** An open basis of a metric space  $P$  is a system  $\mathfrak{B}$  of open subsets of  $P$  such that for every neighborhood  $U$  of any point  $x \in P$  there is a neighborhood  $V$  of the point  $x$  with  $V \in \mathfrak{B}$  and  $V \subset U$ .

**16.1.1.** Let  $\mathfrak{B}$  be a system of subsets of a metric space  $P$ .  $\mathfrak{B}$  is an open basis of  $P$  if and only if: [1] every set from  $\mathfrak{B}$  is open; [2] for every open  $G \subset P$ ,  $G \neq \emptyset$ , there is a system  $\mathfrak{A} \subset \mathfrak{B}$ ,  $\mathfrak{A} \neq \emptyset$ , such that  $G = \bigcup_{X \in \mathfrak{A}} X$ .

*Proof:* I. Let the system  $\mathfrak{B}$  have the properties [1] and [2]. Let  $U$  be a neighborhood of a point  $x \in P$ . Then there is a system  $\mathfrak{A} \subset \mathfrak{B}$ ,  $\mathfrak{A} \neq \emptyset$ , such that  $U = \bigcup_{X \in \mathfrak{A}} X$ . Since  $x \in U$ , there is a  $V \in \mathfrak{A}$  with  $x \in V$ . The set  $V$  is a neighborhood of  $x$  and we have  $V \subset U$ .

II. Let  $\mathfrak{B}$  be an open basis of the space  $P$ . Let  $G \subset P$  be a non-void open set. As  $G \neq \emptyset$ , there is a point  $a \in G$ .  $G$  is a neighborhood of the point  $a$  and hence there is a set  $H \in \mathfrak{B}$  with  $a \in H \subset G$ . Thus, the system  $\mathfrak{A}$  of all the  $X \in \mathfrak{B}$  such that  $X \subset G$  is non-void. Evidently  $\bigcup_{X \in \mathfrak{A}} X \subset G$ . If  $x \in G$ ,  $G$  is a neighborhood of the point  $a$ , so that there is a set  $U \in \mathfrak{B}$  with  $x \in U \subset G$ ; thus,  $U \in \mathfrak{A}$  and consequently  $x \in \bigcup_{X \in \mathfrak{A}} X$ . Thus,  $G \subset \bigcup_{X \in \mathfrak{A}} X$ , i.e.  $G = \bigcup_{X \in \mathfrak{A}} X$ .

A separable space is a metric space which has (at least one) countable open basis. This is obviously a topological property.

**16.1.2.** Let  $P$  be a separable space. Let  $Q \subset P$ . Then  $Q$  is separable.

*Proof:* If  $\mathfrak{B}$  is an open basis of  $P$  and if we replace every set  $X \in \mathfrak{B}$  by the set  $Q \cap X$ , we obtain a system  $\mathfrak{B}_0$ . 8.7.5 yields that  $\mathfrak{B}_0$  is an open basis of the space  $Q$ . If  $\mathfrak{B}$  is countable, the system  $\mathfrak{B}_0$  is evidently also countable.

**16.1.3.** A metric space  $P$  is separable if and only if there is a countable  $A \subset P$  dense in  $P$ .

*Proof:* I. Let  $\mathfrak{B}$  be a countable open basis of the space  $P$ . Let us choose one point in each non-void  $X \in \mathfrak{B}$ . Let  $A$  be the set of all chosen points. Then  $A$  is a countable set. If  $G$  is non-void and open, choose an  $x \in G$ . As  $\mathfrak{B}$  is a basis, there exists a  $U \in \mathfrak{B}$

with  $x \in U \subset G$ . If  $a \in A$  is the point chosen in  $U$ , we have  $a \in A \cap U \subset A \cap G$ . Thus,  $A \cap G \neq \emptyset$  for every non-void open  $G$ , so that the set  $A$  is dense by 12.1.2.

II. Let  $A$  be a dense countable subset of  $P$ . Let  $\mathfrak{B}$  be the system of all  $\Omega(a, r)$ , where  $a$  varies over all the points of  $A$  and  $r$  varies over all the positive rational numbers. By 3.5.2 and 3.6 we see easily that the system  $\mathfrak{B}$  is countable. By 8.6.1 we see easily that  $\mathfrak{B}$  is an open basis of  $P$ .

**16.1.4. The Hilbert space  $\mathbf{H}$  is separable.**

*Proof:* Let  $A$  be the set of all  $r = \{r_n\}_1^\infty$  such that: [1] every  $r_n$  is a rational number; [2] there exists an index  $p$  such that  $r_n = 0$  for every  $n > p$ . Evidently  $A \subset \mathbf{H}$ . Let  $x = \{x_n\}_1^\infty \in \mathbf{H}$ . Choose an  $\varepsilon > 0$ . There exists an index  $p$  such that  $\sum_{n=p+1}^\infty x_n^2 < \varepsilon^2/2$ . For  $1 \leq n \leq p$  there are rational numbers  $r_n$  such that  $\sum_{n=1}^p (x_n - r_n)^2 < \varepsilon^2/2$ . For  $n > p$  put  $r_n = 0$ . If  $r = \{r_n\}_1^\infty$ , we have  $r \in A$ ,  $\varrho(x, r) < \varepsilon$ . Thus,  $\varrho(x, A) < \varepsilon$ . As  $\varepsilon > 0$  was arbitrary, we have  $\varrho(x, A) = 0$ , i.e.  $x \in \bar{A}$ . Thus,  $\bar{A} = \mathbf{H}$ , i.e. the set  $A$  is dense in  $\mathbf{H}$ . The set  $A$  is countable by ex. 3.1 and 3.14.

**16.1.5. The euclidean space  $\mathbf{E}_m$  ( $m = 1, 2, 3, \dots$ ) is separable.**

*Proof:* Let  $Q_m$  be the set of all points  $x = \{x_n\}_1^\infty \in \mathbf{H}$  with  $x_n = 0$  for  $n > m$ .  $Q_m$  is separable by 16.1.2 and 16.1.4. The spaces  $\mathbf{E}_m$  and  $Q_m$  are evidently isometric, so that  $\mathbf{E}_m$  is also separable.

**16.1.6. Let  $P$  be a metric space. For every  $\delta > 0$  let there be a countable set  $A(\delta) \subset P$  such that  $\varrho[x, A(\delta)] < \delta$  for every  $x \in P$ . Then  $P$  is separable.**

*Proof:* Put  $B = \bigcup_{n=1}^\infty A(1/n)$ . The set  $B$  is countable by 3.6. For every point  $x \in P$  we have  $\varrho(x, B) \leq \varrho[x, A(1/n)] < 1/n$ , hence  $\varrho(x, B) = 0$ , i.e.  $x \in \bar{B}$ . Thus,  $\bar{B} = P$ , i.e. the set  $B$  is dense, so that  $P$  is separable by 16.1.3.

**16.1.7. Let  $P$  be a metric space. Let there exist a number  $\delta > 0$  and an uncountable set  $A \subset P$  such that**

$$x \in A, y \in A, x \neq y \text{ imply } \varrho(x, y) > \delta.$$

*Then  $P$  is not separable.*

*Remark:* This theorem is a useful criterion for proving that a given space is not separable. Its converse is valid; however, it cannot be proved without a use of the theorem that the set  $P$  may be well ordered. (Which is not proved in this book; see 4.3.)

*Proof:* Let  $\mathfrak{B}$  be an open basis of the space  $P$ . For every  $x \in A$  there is a set  $B(x) \in \mathfrak{B}$  with  $x \in B(x) \subset \Omega(x, \delta)$ . If  $x \in A, y \in A, x \neq y$ , we have  $y \in B(y)$ , while  $x$  is not



in  $B(y)$ , hence,  $B(x) \neq B(y)$ . As the set  $A$  is uncountable, the system of all the  $B(x)$  is uncountable. Thus, the system  $\mathfrak{B}$  is uncountable.

**16.2. 16.2.1.** *Let  $P$  be a separable space. Let  $\mathfrak{A}$  be a disjoint system of open subsets of  $P$ . Then the system  $\mathfrak{A}$  is countable.*

*Proof:* By 16.1.3 there is a countable dense subset  $A$ . By 12.1.2, we may choose in every  $G \in \mathfrak{A}$  – with the exception of  $G = \emptyset$ , which may be also an element of  $\mathfrak{A}$  – a point  $\varphi(G) \in A \cap G$ . The set of all points  $\varphi(G)$  is countable by 3.4.1. Since the system  $\mathfrak{A}$  is disjoint, we have  $\varphi(G_1) \neq \varphi(G_2)$  for  $G_1 \neq G_2$ . Thus, the system  $\mathfrak{A}$  is also countable.

**16.2.2.** *A necessary and sufficient condition for  $P$  to be a separable space is the following: For every system  $\mathfrak{A}$  of open sets with  $\bigcup_{X \in \mathfrak{A}} X = P$  there is a countable system  $\mathfrak{A}_0 \subset \mathfrak{A}$  such that  $\bigcup_{X \in \mathfrak{A}_0} X = P$ .*

*Proof:* I. Let the condition be satisfied. For  $n = 1, 2, 3, \dots$  denote by  $\mathfrak{S}_n$  the system of all  $\Omega(x, 1/n)$  with  $x \in P$ . There is a countable  $\mathfrak{I}_n \subset \mathfrak{S}_n$  such that  $\bigcup_{X \in \mathfrak{I}_n} X = P$ . Put  $\mathfrak{B} = \bigcup_{n=1}^{\infty} \mathfrak{I}_n$ . Then  $\mathfrak{B}$  is a countable (see 3.6) system of open sets. It suffices to prove that  $\mathfrak{B}$  is an open basis of the space  $P$ , i.e. that for any given neighborhood  $U$  of a given point  $a \in P$  there is a set  $V \in \mathfrak{B}$  with  $a \in V \subset U$ . There is a number  $r > 0$  with  $\Omega(a, r) \subset U$ . Choose an index  $n > 2/r$ . Since  $\mathfrak{I}_n \subset \mathfrak{S}_n$ ,  $\bigcup_{X \in \mathfrak{I}_n} X = P$ , there is a point  $b \in P$  such that  $\Omega(b, 1/n) \in \mathfrak{I}_n \subset \mathfrak{B}$  and  $a \in \Omega(b, 1/n)$ . Then the following sequence of implications holds

$$\begin{aligned} x \in \Omega(b, 1/n) &\Rightarrow \varrho(b, x) < 1/n \Rightarrow \varrho(a, x) \leq \varrho(a, b) + \\ &+ \varrho(b, x) \leq 2/n < r \Rightarrow x \in \Omega(a, r) \subset U, \end{aligned}$$

hence  $\Omega(b, 1/n) \subset U$ . This  $\Omega(b, 1/n)$  is an element of  $\mathfrak{B}$ .

II. Let  $P$  be separable. Let  $\mathfrak{A}$  be a system of open sets with  $\bigcup_{X \in \mathfrak{A}} X = P$ . Let  $\mathfrak{B}$  be a countable basis of the space  $P$ . With every  $x \in P$  we may associate a set  $A_x \in \mathfrak{A}$  such that  $x \in A_x$ ; then we choose a set  $B_x \in \mathfrak{B}$  such that  $x \in B_x \subset A_x$ . Evidently  $\bigcup_{x \in P} B_x = P$ . Since the system  $\mathfrak{B}$  is countable, there is a countable  $C \subset P$  such that  $\bigcup_{x \in C} B_x = \bigcup_{x \in P} B_x$  i.e.  $\bigcup_{x \in C} B_x = P$ . As  $A_x \supset B_x$ , we also have  $\bigcup_{x \in C} A_x = P$ . Thus, the system  $\mathfrak{A}_0$  of all  $A_x$  with  $x \in C$  is countable and such that  $\mathfrak{A}_0 \subset \mathfrak{A}$ ,  $\bigcup_{X \in \mathfrak{A}_0} X = P$ .

**16.2.3.** *A necessary and sufficient condition for  $P$  to be separable is the following: Every open basis contains a countable open basis.*

*Proof:* I. Let the condition be satisfied. Since there is at least one open basis (namely the system of all the open sets), there is a countable open basis, i.e.  $P$  is separable.

II. Let  $P$  be separable. Let  $\mathfrak{B}$  be a countable open basis. Let  $\mathfrak{A}$  be an arbitrary open basis. If there is given a point  $x \in P$  and an index  $n = 1, 2, 3, \dots$ , there is a set  $A_n(x) \in \mathfrak{A}$  such that  $x \in A_n(x) \subset \Omega(x, 1/n)$ ; further, there is a set  $B_n(x) \in \mathfrak{B}$  such that  $x \in B_n(x) \subset A_n(x)$ . Since the system  $\mathfrak{B}$  is countable, there is, for every  $n$ , a countable set  $C_n \subset P$  such that  $\bigcup_{x \in C_n} B_n(x) = \bigcup_{x \in P} B_n(x)$ , i.e.  $\bigcup_{x \in C_n} B_n(x) = P$ . Since  $B_n(x) \subset A_n(x)$ , we have  $\bigcup_{x \in C_n} A_n(x) = P$ . Let  $\mathfrak{A}_0$  be the system of all  $A_n(x)$  ( $n = 1, 2, 3, \dots$ ,  $x \in C_n$ ). Then  $\mathfrak{A}_0 \subset \mathfrak{A}$  and the system  $\mathfrak{A}_0$  is countable by 3.4.1 and 3.6. It suffices to prove that the system  $\mathfrak{A}_0$  is an open basis, i.e. that for every neighborhood  $U$  of any point  $a \in P$  there is a set  $V \in \mathfrak{A}_0$  with  $a \in V \subset U$ . There is a number  $r > 0$  such that  $\Omega(a, r) \subset U$ . Choose an index  $n > 2/r$ . Since  $\bigcup_{x \in C_n} A_n(x) = P$ , there is a point  $b \in C_n$  with  $a \in A_n(b)$ . We have  $A_n(b) \subset \Omega(b, 1/n)$ , hence  $\varrho(a, b) < 1/n$ ; thus  $x \in \Omega(b, 1/n)$  implies  $\varrho(b, x) < 1/n$  which implies  $\varrho(a, x) \leq \varrho(a, b) + \varrho(b, x) < < 2/n < r$ , hence  $\Omega(b, 1/n) \subset \Omega(a, r) \subset U$ . Hence  $a \in A_n(b) \subset U$ . Since  $b \in C_n$ , we have  $A_n(b) \in \mathfrak{A}_0$ .

**16.3. 16.3.1.** *Let  $P$  be an uncountable separable space. Let  $Q$  be the set of all the  $x \in P$  such that every neighborhood of  $x$  is uncountable. Then: [1]  $P - Q$  is countable, hence, the set  $Q$  is uncountable, [2] the set  $Q$  is dense-in-itself.*

*Proof:* I. With every  $x \in P - Q$  we may associate a countable neighborhood  $U(x)$ . The sets  $U(x) - Q$  are (see 8.7.5) open in  $P - Q$  and we have  $\bigcup_{x \in P - Q} (U(x) - Q) = P - Q$ .  $P - Q$  is a separable space by 16.1.2. Thus, by 16.2.2, there is a countable  $A \subset P - Q$  such that  $\bigcup_{x \in P - Q} [U(x) - Q] = \bigcup_{x \in A} [U(x) - Q]$ , i.e.  $\bigcup_{x \in A} [U(x) - Q] = P - Q$ . Hence, the set  $P - Q$  is countable by 3.6.  $Q$  is uncountable, since otherwise the set  $P = (P - Q) \cup Q$  would be also countable.

II. If  $x \in Q$  and  $\varepsilon > 0$ , the set  $\Omega(x, \varepsilon)$  is a neighborhood of the point  $x$  and it is, consequently, uncountable. Since  $P - Q$  is countable, the set  $Q \cap \Omega(x, \varepsilon) = \Omega(x, \varepsilon) - (P - Q)$  is uncountable. Hence, there is a  $y \in Q$ ,  $y \neq x$  with  $\varrho(x, y) < \varepsilon$ . Thus,  $x$  is not an isolated point of the set  $Q$ . Hence,  $Q$  is dense-in-itself.

**16.3.2.** *Every dispersed separable space  $P$  is countable.*

*Proof:* If  $P$  were uncountable, it would contain, by 16.3.1, a dense-in-itself set  $Q$ .

**16.4.** *Let  $P$  be a separable space. Let a non-void system  $\mathfrak{A}$  of closed subsets of  $P$  have the following property: If, for  $p = 1, 2, 3, \dots$ ,  $A_n \in \mathfrak{A}$ ,  $A_n \supset A_{n+1}$ , then  $\bigcap_{n=1}^{\infty} A_n \in \mathfrak{A}$ .*

Then there is at least one minimal set  $M \in \mathfrak{A}$ , i.e. a set  $M$  such that  $A \in \mathfrak{A}$ ,  $A \subset M$  imply  $A = M$ .

*Proof:* Let  $\{B_n\}_1^\infty$  be a sequence the members of which are exactly all the elements of a (countable) open basis  $\mathfrak{B}$  of the space  $P$ . Choose arbitrarily a set  $A_1 \in \mathfrak{A}$ . If (for  $n = 1, 2, 3, \dots$ ) the set  $A_n \in \mathfrak{A}$  is chosen, choose, if it is possible, an  $A_{n+1} \in \mathfrak{A}$  with  $A_{n+1} \subset A_n - B_n$ ; if it is not possible, put  $A_{n+1} = A_n$ . Then we always have  $A_n \in \mathfrak{A}$ ,  $A_{n+1} \subset A_n$  and hence  $M = \bigcap_{n=1}^\infty A_n \in \mathfrak{A}$ . Let us prove that  $M$  is minimal in  $\mathfrak{A}$ . Let there be, on the contrary, a set  $C \in \mathfrak{A}$  with  $C \subset M \neq C$ . Choose a point  $a \in M - C$ . The set  $P - C$  is a neighborhood of the point  $a$ . Since  $\mathfrak{B}$  is an open basis, there is an index  $n$  such that  $a \in B_n \subset P - C$ . We have  $C \in \mathfrak{A}$ ,  $C \subset M - B_n \subset A_n - B_n$ . Hence,  $A_{n+1} \subset A_n - B_n$ , so that  $a \in P - A_{n+1}$  for  $a \in B_n$ . This is a contradiction, since  $a \in M \subset A_{n+1}$ . (The theorem just proved is called the *Brouwer reduction theorem*.)

**16.5.** *A metric space  $P$  is separable if and only if there is a point set  $Q$  embedded into the Urysohn space  $\mathbf{U}$  which is homeomorphic with  $P$ .*

*Proof:* I. Since  $\mathbf{U} \subset \mathbf{H}$ , the space  $Q$  embedded into  $\mathbf{U}$  is, by 16.1.2, and 16.1.4, separable; thus, a space  $P$  homeomorphic with  $Q$  is also separable.

II. Let  $P$  be separable. We may assume that  $P \neq \emptyset$ . By 16.1.3 there is a countable set  $A$  dense in  $P$ . Let  $T$  be the set of all the triples  $(a, r, s)$  where  $a \in A$  and  $r, s$  are rational numbers such that  $0 < r < s$ . Evidently (see 3.5.2 and 3.6)  $T$  is a non-void countable set, so that we may form a one-to-one sequence  $\{(a_n, r_n, s_n)\}_1^\infty$  consisting exactly of all the elements of  $T$ . For  $x \in P$ ,  $n = 1, 2, 3, \dots$  put

$$f_n(x) = \frac{\varrho[x, \Omega(a_n, r_n)]}{\varrho[x, \Omega(a_n, r_n)] + \varrho[x, P - \Omega(a_n, s_n)]} \tag{1}$$

if  $\Omega(a_n, s_n) \neq P$ ,

$$f_n(x) = 0 \quad \text{if} \quad \Omega(a_n, s_n) = P.$$

The denominator on the right-hand side in (1) could be zero only in the case of  $x \in \bar{\Omega}(a_n, r_n) - \Omega(a_n, s_n)$ ; in such a case we would have simultaneously  $\varrho(a_n, x) \leq r_n$  and  $\varrho(a_n, x) \geq s_n$ , which is impossible, as  $r_n < s_n$ . Thus,  $f_n$  is a finite continuous function (see ex. 9.3) on  $P$ . Evidently: [1] for  $x \in P$  we have  $0 \leq f_n(x) \leq 1$ , so that  $\{(1/n)f_n(x)\}_1^\infty \in \mathbf{U}$ ; [2]  $\varrho(a_n, x) < r_n$  implies  $f_n(x) = 0$ , [3]  $\varrho(a_n, x) > s_n$  implies  $f_n(x) = 1$ . Put

$$F(x) = \left\{ \frac{1}{n} f_n(x) \right\}_1^\infty \quad \text{and} \quad Q = F(P).$$

Then  $F$  is a mapping of the space  $P$  onto the space  $Q$ . We shall prove that  $F$  is a homeomorphic mapping, i.e. that: [1]  $F$  is one-to-one, [2]  $F$  is continuous, [3]  $F^{-1}$  is continuous.

Let  $x \in P, y \in P, x \neq y$ . Since  $A$  is dense in  $P$ , there is an  $a \in A$  such that  $\varrho(a, x) < \frac{1}{2}\varrho(x, y)$ . Since  $\varrho(x, y) \leq \varrho(a, x) + \varrho(a, y)$ , we have  $\varrho(a, y) > \varrho(a, x)$ . Hence there exist rational numbers  $r, s$  with  $0 \leq \varrho(a, x) < r < s < \varrho(a, y)$ . There is an index  $n$  such that  $a = a_n, r = r_n, s = s_n$ . We have  $f_n(x) = 0, f_n(y) = 1$ , hence  $f_n(x) \neq f_n(y)$ , hence  $F(x) \neq F(y)$ . Thus, the mapping  $F$  is one-to-one.

Let  $x_i \in P, x \in P, x_i \rightarrow x$ . Since the functions  $f_n$  are continuous, we have, for every  $n, \lim_{i \rightarrow \infty} f_n(x_i) = f_n(x)$  so that, by 7.3.1,  $\lim_{i \rightarrow \infty} F(x_i) = F(x)$ . Thus, the mapping  $F$  is continuous.

Let  $x_i \in P, x \in P, \lim_{i \rightarrow \infty} F(x_i) = F(x)$ . By 7.3.1,  $\lim_{i \rightarrow \infty} f_n(x_i) = f_n(x)$  for every index  $n$ . Let us assume that  $\lim_{i \rightarrow \infty} x_i \neq x$ . Then there is a number  $\varepsilon > 0$  and an infinite set  $M$  of indices  $i$  such that  $i \in M$  implies  $\varrho(x_i, x) > \varepsilon$ . As  $A$  is dense in  $P$ , there is an  $a \in A$  with  $\varrho(a, x) < \varepsilon/2$ . There are rational numbers  $r, s$  with  $0 \leq \varrho(a, x) < r < s < \varepsilon/2$ . There is an index  $n$  such that  $a = a_n, r = r_n, s = s_n$ . Then  $\varrho(a_n, x) < r_n$  and, for  $i \in M, \varrho(a_n, x_i) > s_n$ , so that  $f_n(x) = 0$  and, for  $i \in M, f_n(x_i) = 1$ . Since the set  $M$  is infinite,  $f_n(x_i)$  does not converge to  $f_n(x)$ ; this is a contradiction. Thus,  $\lim_{i \rightarrow \infty} x_i = x$ . Hence, the mapping  $F_{-1}$  is continuous.

**16.6. 16.6.1.** Let  $P$  be a separable space. Let  $\varepsilon$  be a positive number. Let  $f$  be a finite function on  $P$ . For every  $a \in P$  let there be a number  $\delta^{(a)} > 0$  and a finite function  $\varphi^{(a)}$  of the first class on  $\Omega(a, \delta^{(a)})$  such that  $|\varphi^{(a)}(x) - f(x)| < \varepsilon$  for every  $x \in \Omega(a, \delta^{(a)})$ . Then there is a finite function  $\varphi$  of the first class on  $P$  such that  $|\varphi(x) - f(x)| < \varepsilon$  for every  $x \in P$ .

*Proof:* The sets  $\Omega(a, \delta^{(a)})$  are open and we have  $\bigcup_{a \in P} \Omega(a, \delta^{(a)}) = P$ . Hence, by 16.2.2, there are (with the exception of the trivial case of  $P = \emptyset$ ) sequences  $\{a_n\}_1^\infty$  and  $\{\delta_n\}_1^\infty$  such that  $a_n \in P, \delta_n = \delta^{(a_n)}, \bigcup_{n=1}^\infty \Omega(a_n, \delta_n) = P$ . Put  $\varphi_n = \varphi^{(a_n)}, A_1 = \Omega(a_1, \delta_1), A_{n+1} = \Omega(a_{n+1}, \delta_{n+1}) - \bigcup_{i=1}^n \Omega(a_i, \delta_i)$  ( $n = 1, 2, 3, \dots$ ). The sets  $A_n$  are  $\mathbf{F}_\sigma$  (see 13.3.2, 13.3.4 and 13.3.5) and we have  $P = \bigcup_{n=1}^\infty A_n$  with disjoint summands. Hence there is a finite function  $\varphi$  on  $P$  such that  $x \in A_n$  implies  $\varphi(x) = \varphi_n(x)$ . Evidently  $|\varphi(x) - f(x)| < \varepsilon$  for every  $x \in P$ . Thus it suffices to prove that  $\varphi$  is a function of the first class.

Let  $c \in \mathbf{E}_1$ . We have

$$E[\varphi(x) > c] = \bigcup_{n=1}^\infty A_n \cap \bigcap_x E[x \in \Omega(a_n, \delta_n), \varphi_n(x) > c].$$

Since  $\varphi_n$  is a function of the first class on  $\Omega(a_n, \delta_n)$ , the set  $B_n = \bigcap_x E[x \in \Omega(a_n, \delta_n), \varphi_n(x) > c]$  is, by 14.3.1,  $\mathbf{F}_\sigma[\Omega(a_n, \delta_n)]$ . The set  $\Omega(a_n, \delta_n)$  is open in  $P$  and hence

it is  $\mathbf{F}_\sigma(P)$  by 13.3.5. Hence,  $B_n$  is  $\mathbf{F}_\sigma(P)$  by ex. 13.10. Thus,  $A_n \cap B_n$  is  $\mathbf{F}_\sigma(P)$  by 13.3.4, so that  $E[\varphi(x) > c] = \bigcup_{n=1}^{\infty} (A_n \cap B_n)$  is  $\mathbf{F}_\sigma(P)$  by 13.3.3. Similarly we may prove that  $E[\varphi(x) < c]$  is  $\mathbf{F}_\sigma(P)$ . Thus,  $\varphi$  is a function of the first class by 14.3.1.

**16.6.2.** *Let  $P$  be a separable space. Let  $f$  be a function on  $P$  with the following property: in every non-void closed set  $A \subset P$  there is at least one point at which the partial function  $f_A$  is continuous. Then  $f$  is a function of the first class.*

*Proof:* I. First, let us assume that the function  $f$  is finite. It suffices to prove that for every  $\varepsilon > 0$  there is a finite function  $F_\varepsilon$  of the first class such that  $|f(x) - F_\varepsilon(x)| < \varepsilon$ . Then  $f$  is the uniform limit of the sequence  $\{F_{1/n}\}$ , so that, by 14.2.1,  $f$  is a function of the first class. Let us assume that the function  $F_\varepsilon$  does not exist for some  $\varepsilon > 0$ . Let us denote by  $G$  the set of all the  $a \in P$  for which there is a number  $\delta^{(a)} > 0$  and a finite function  $\varphi^{(a)}$  of the first class on  $\Omega(a, \delta^{(a)})$  such that  $|f(x) - \varphi^{(a)}(x)| < \varepsilon$  for every  $x \in \Omega(a, \delta^{(a)})$ . If  $a \in G$  we see easily that  $\Omega(a, \delta^{(a)}) \subset G$ . Hence  $G = \bigcup_{a \in G} \Omega(a, \delta^{(a)})$ , so that the set  $G$  is open. Since we assume that  $F_\varepsilon$  does not exist, we have, by 16.6.1,  $G \neq P$ . Thus,  $P - G$  is a non-void closed set, so that, by the assumed property of the function  $f$  there is a point  $a \in P - G$  in which the partial function  $(f)_{P-Q}$  is continuous. Since the function  $(f)_{P-Q}$  is finite and continuous at the point  $a$ , there is a  $\delta > 0$  such that  $|f(x) - f(a)| < \varepsilon$  for every  $x \in (P - G) \cap \Omega(a, \delta)$ .

By 16.1.2,  $G$  is a separable space.  $(f)_G$  is a finite function on  $G$ . By the definition of the set  $G$  and by theorem 16.6.1, where we replace  $P$  by  $G$ , there is a finite function  $\psi$  of the first class on  $G$  such that  $|f(x) - \psi(x)| < \varepsilon$  for every  $x \in G$ .

Let us define a finite function  $\varphi$  on  $\Omega(a, \delta)$  as follows: For  $x \in G \cap \Omega(a, \delta)$  put  $\varphi(x) = \psi(x)$ , for  $x \in (P - G) \cap \Omega(a, \delta)$  put  $\varphi(x) = f(a)$ . Thus,  $x \in \Omega(a, \delta)$  implies  $|\varphi(x) - f(x)| < \varepsilon$ . It suffices to prove that  $\varphi$  is a function of the first class on  $\Omega(a, \delta)$ , as then it follows from the definition of the set  $G$  that  $a \in G$ , which is a contradiction.

Let  $c \in \mathbf{E}_1$ . Since  $\psi$  is a function of the first class on  $G$ , the partial function  $(\psi)_{G \cap \Omega(a, \delta)}$  is of the first class on  $G \cap \Omega(a, \delta)$ , so that, by 14.3.1, the set

$$E[x \in G \cap \Omega(a, \delta), \psi(x) > c] \tag{1}$$

is  $\mathbf{F}_\sigma[G \cap \Omega(a, \delta)]$ . The set  $G \cap \Omega(a, \delta)$  is open in  $\Omega(a, \delta)$  and hence it is  $\mathbf{F}_\sigma[\Omega(a, \delta)]$  by 13.3.5, so that the set (1) is also  $\mathbf{F}_\sigma[\Omega(a, \delta)]$  by ex. 13.10.

If  $c \geq f(a)$ , we have

$$E[x \in \Omega(a, \delta), \varphi(x) > c] = E[x \in G \cap \Omega(a, \delta), \psi(x) > c]$$

so that  $E[x \in \Omega(a, \delta), \varphi(x) > c]$  is  $F_\sigma[\Omega(a, \delta)]$ . If  $c < f(a)$ , we have

$$\begin{aligned} E[x \in \Omega(a, \delta), \varphi(x) > c] &= \\ &= E[x \in G \cap \Omega(a, \delta), \psi(x) > c] \cup [(P - G) \cap \Omega(a, \delta)] \end{aligned} \tag{2}$$

and the first summand is  $F_\sigma[\Omega(a, \delta)]$ . The set  $(P - G) \cap \Omega(a, \delta)$  is closed in  $\Omega(a, \delta)$ , hence, by 13.3.2, it is  $F_\sigma[\Omega(a, \delta)]$ . Thus, by (2) and 13.3.3, the set  $E[x \in \Omega(a, \delta), \varphi(x) > c]$  is  $F_\sigma[\Omega(a, \delta)]$ .

Similarly we can prove that, for every  $c \in E_1$ , the set  $E[x \in \Omega(a, \delta), \varphi(x) < c]$  is  $F_\sigma[\Omega(a, \delta)]$ . Hence, by 14.3.1,  $\varphi$  is a function of the first class on  $\Omega(a, \delta)$ .

II. There remains the case of  $f$  which is not finite. By ex. 9.18, there is a homeomorphic mapping  $\varphi$  of the set  $\mathbf{R}$  onto the interval  $E[-1 \leq t \leq 1]$ . Put  $F(x) = \varphi[f(x)]$ . Then  $F$  is a finite function on  $P$ . If a set  $A \subset P, A \neq \emptyset$  is closed, there is a point  $a \in A$  such that the partial function  $f_A$  is continuous at  $a$ . Evidently the function  $F_A$  is also continuous at  $a$ . By I,  $F$  is a function of the first class. As  $f(x) = \varphi_{-1}[F(x)]$ ,  $f$  is also a function of the first class.

**16.6.3.** *Let  $P$  be a topologically complete separable space. A necessary and sufficient condition for a function  $f$  on  $P$  to be of the first class is the following: In every non-void closed set  $A \subset P$  there is at least one point such that the partial function  $f_A$  is continuous at it.\*)*

*Proof:* I. The condition is sufficient by 16.6.2.

II. Let  $f$  be a function of the first class on  $P$ . Let  $A \subset P$  be a non-void closed set. By 13.2 and 15.5.3  $A$  is a topologically complete space. Let  $C$  be the set of all  $x \in A$  at which the function  $f_A$  is continuous.  $f_A$  is a function of the first class on  $P$ , so that, by 15.8.3, the set  $C$  is dense in  $A$ . Since  $A \neq \emptyset$ , we have  $C \neq \emptyset$ .

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\*) This necessary and sufficient condition may be replaced by several others. Let  $P$  be a topologically complete separable space. Let  $f$  be a function on  $P$ . For  $A \subset P$  let  $S_A$  be the set of all  $x \in A$  at which the partial function  $f_A$  is continuous; put  $D_A = A - S_A$ . Then every one of the following conditions [1], [2], [3], [4] is a necessary and sufficient condition for  $f$  to be of the first class:

- [1] For every non-void closed  $A \subset P, S_A \neq \emptyset$ .
- [2] For every non-void closed  $A \subset P, S_A$  is dense in  $A$ .
- [3] For every non-void closed  $A \subset P, D_A$  is of the first category in  $A$ .
- [4] For every  $A \subset P, D_A$  is of the first category in  $A$ .

*Proof:* By 16.6.3 it suffices to prove that conditions [1], [2], [3], [4] are equivalent. i.e. that [1]  $\Rightarrow$  [4]  $\Rightarrow$  [3]  $\Rightarrow$  [2]  $\Rightarrow$  [1]. If [1] holds,  $f$  is of the first class by 16.6.3 and hence, by 14.5.3 (see the footnote to theorem 14.5.2), [4] holds. Evidently [4]  $\Rightarrow$  [3]. If [3] holds, [2] holds by 13.2, 15.5.3, 15.8.2. Finally, obviously [2]  $\Rightarrow$  [1].

**16.6.4.** *Countable metric spaces are topologically complete if and only if they are dispersed.*

*Proof:* I. Let  $P$  be a countable topologically complete space. Let us assume that  $P$  is not dispersed. Let  $Q$  be its kernel (see 11.1). Then  $Q \neq \emptyset$ ,  $Q = \overline{Q}$  and  $Q$  is dense-in-itself, i.e. it has no isolated points. By 13.2 and 15.5.3,  $Q$  is a topologically complete space. Since  $Q$  is countable and has no isolated points,  $Q$  is, by ex. 12.13, of the first category in  $Q$ , in contradiction with 15.8.2.

II. Let  $P$  be a countable dispersed space. Let  $P_0$  be its completion (see 15.4.1). As  $P$  is dense in  $P_0$ ,  $P_0$  is separable by 16.13. Let us define a function  $f$  on  $P_0$  as follows:  $f(x) = 1$  for  $x \in P$ ,  $f(x) = 0$  for  $x \in P_0 - P$ . Let  $A$  be a non-void closed subset of  $P_0$ . If  $A \cap P = \emptyset$ , the partial function  $f_A$  is continuous (since it is a constant). As  $P$  is dispersed, if  $A \cap P \neq \emptyset$ , there exists an isolated point  $a$  of the set  $A \cap P$ . There is a  $\delta > 0$  such that  $x \in A \cap P$ ,  $\varrho(a, x) < 2\delta$  imply  $x = a$ . If  $a$  is an isolated point of the set  $A$ , then  $f_A$  is obviously continuous at the point  $a$ . In the converse case there is a point  $b \in A$  such that  $a \neq b$ ,  $\varrho(a, b) = \delta_1 < \delta$ . If  $x \in A$  and  $\varrho(b, x) < \varrho(a, b)$ , we have  $\varrho(a, x) \leq \varrho(a, b) + \varrho(b, x) < 2\varrho(a, b) < 2\delta$  and, moreover,  $x \neq a$ , so that, by the choice of the number  $\delta$ ,  $x$  is not an element of  $A \cap P$ . Thus,  $A \cap \Omega(b, \delta_1) \subset P_0 - P$ , so that  $x \in A \cap \Omega(b, \delta_1)$  implies  $f(x) = 0$ , and hence  $f_A$  is continuous at the point  $b$ . Thus, in all cases, there is a point  $a \in A$  at which  $f_A$  is continuous. Since  $P_0$  is separable,  $f$  is, by 16.6.2, of the first class, so that, by 14.3, the set  $P = E[f(x) \geq 1]$  is  $\mathbf{G}_\delta(P_0)$ . As  $P_0$  is complete,  $P$  is topologically complete by 15.5.2.

**16.7.** *Let  $P$  be a separable space. Let  $A_n \subset P$  ( $n = 1, 2, 3, \dots$ ). Then there is a subsequence  $\{C_n\}$  of  $\{A_n\}$  such that  $\text{Lim } C_n$  (see 8.8) exists.*

*Proof:* As  $P$  is separable, there is a sequence  $\{B_n\}_{n=1}^\infty$  such that its terms form an open basis of the space  $P$ . Put  $A_n^{(0)} = A_n$ . If, for some  $i$  ( $= 1, 2, 3, \dots$ ), the sequence  $\{A_n^{(i-1)}\}_1^\infty$  is chosen, we choose, if it is possible, some subsequence  $\{A_n^{(i)}\}_1^\infty$  for which  $B_i \cap \text{Lim}_{n \rightarrow \infty} A_n^{(i)} = \emptyset$ ; if it is not possible, put  $A_n^{(i)} = A_n^{(i-1)}$  for every  $n$ . Put  $C_n = A_n^{(n)}$ , so that the sequence  $\{C_n\}$  is a subsequence of  $\{A_n\}$ . We have to prove that  $\text{Lim } C_n$  exists. Let us assume the contrary. Hence,  $\text{Lim } C_n \neq \overline{\text{Lim } C_n}$ , so that there exists a point

$$x \in \overline{\text{Lim } C_n} - \text{Lim } C_n .$$

By ex. 8.16,  $\varrho(x, C_n)$  does not converge to zero. Thus, there is a number  $\delta > 0$  and indices  $j_1 < j_2 < j_3 < \dots$  such that  $\varrho(x, C_{j_n}) > \delta$  for every  $n$ . If  $\varrho(x, y) < \delta$ , by ex. 6.6 we have  $\varrho(y, C_{j_n}) > \delta - \varrho(x, y) > 0$  for every  $n$ , so that, by ex. 8.16,  $y$  is not an element of  $\text{Lim } C_{j_n}$ . Thus,  $\Omega(x, \delta) \cap \text{Lim } C_{j_n} = \emptyset$ . Since  $\Omega(x, \delta)$  is a neighbourhood of  $x$ , there is, by definition of the sequence  $\{B_n\}$ , an index  $i$  such that

$x \in B_i \subset \Omega(x, \delta)$ , hence,  $B_i \cap \overline{\text{Lim } C_{j_n}} = \emptyset$ . For  $n \geq i - 1$  we have  $j_n \geq i - 1$ , so that  $C_{j_n} = A_{j_n}^{(j_n)}$  is a term of the sequence  $\{A_n^{(i-1)}\}_1^\infty$ . Hence, there is a subsequence  $\{C_{j_n}\}_{n=1}^\infty$  of  $\{A_n^{(i-1)}\}$  such that the set  $B_i$  contains no point of the upper limit of the subsequence. Thus,  $B_i \cap \overline{\text{Lim } A_n^{(i)}} = \emptyset$ . Since  $\{C_n\}_{n=1}^\infty$  is a subsequence of  $\{A_n^{(i)}\}$ , we have, by ex. 8.20,  $\overline{\text{Lim } C_n} \subset \overline{\text{Lim } A_n^{(i)}}$  and hence  $B_i \cap \overline{\text{Lim } C_n} = \emptyset$ . This is a contradiction, as  $x \in B_i \cap \overline{\text{Lim } C_n}$ .

Exercises

- 16.1. Let  $A$  be a dense subset of a metric space  $P$ . Let  $A$  be a separable space. Then  $P$  is separable.
- 16.2. Let  $A_n$  ( $n = 1, 2, 3, \dots$ ) be separable spaces embedded into a metric space  $P$ . Let  $\bigcup_{n=1}^\infty A_n = P$ . Then  $P$  is separable.
- 16.3. Let  $A$  be a separable space embedded into a metric space  $P$ . Then the closure  $\bar{A}$  and the derived set  $A'$  of  $A$  are separable spaces.
- 16.4. Let  $P$  and  $Q$  be separable spaces. Then  $P \times Q$  is a separable space.
- 16.5. The spaces from exercises 7.2 and 7.4 are separable.
- 16.6. The space from exercise 6.5 is not separable.
- 16.7. A system  $\mathfrak{B}$  of open subsets of a metric space  $P$  is an open basis of the space  $P$  if and only if for every  $\varepsilon > 0$

$$\bigcup_{X \in \mathfrak{B}_\varepsilon} X = P \quad \text{where} \quad \mathfrak{B}_\varepsilon = \{X \in \mathfrak{B}, d(X) < \varepsilon\}.$$

- 16.8.\* Let  $\mathfrak{B}_1$  be an open basis of a metric space  $P$ . Let  $\mathfrak{B}_2$  be an open basis of a metric space  $Q$ . Let  $\mathfrak{B}_{12}$  be the system of all the sets of form  $G_1 \times G_2$  where  $G_1 \in \mathfrak{B}_1, G_2 \in \mathfrak{B}_2$ . Then  $\mathfrak{B}_{12}$  is an open basis of the space  $P \times Q$ .

§ 17. Compact spaces

17.1. A *totally bounded* space is a metric space  $P$  such that every sequence of points of  $P$  has a Cauchy subsequence. This is obviously a *metric property*; however, it is not a topological property (see 17.2.5). Since a point set embedded into a metric space is a metric space, we need not define the notion of totally bounded point set. Evidently:

17.1.1. *Point sets embedded into a totally bounded space are totally bounded.*

17.1.2. *Each totally bounded space  $P$  is bounded.*

*Proof:* If  $d(P) = \infty$ , there is a sequence  $\{x_n\}$  such that  $x_n \in P, \varrho(x_i, x_n) > n$  for  $i < n$ .  $\{x_n\}$  has no bounded subsequence, while every Cauchy sequence is bounded (ex. 15.16).



**17.1.3.** Let  $P$  be a metric space. Let there be an infinite set  $A \subset P$  and a number  $\delta > 0$  such that

$$x \in A, y \in A, x \neq y \quad \text{imply} \quad \varrho(x, y) \geq \delta.$$

Then  $P$  is not totally bounded.

*Proof:* There is a one-to-one sequence  $\{x_n\}$ ,  $x_n \in A$ .  $\{x_n\}$  has no Cauchy subsequence.

**17.1.4.** A metric space  $P$  is totally bounded if and only if for every  $\delta > 0$  there is a finite set  $A(\delta) \subset P$  such that  $\varrho[x, A(\delta)] < \delta$  for every  $x \in P$ .

*Proof:* I. Let the sets  $A(\delta)$  exist. Let  $x_n \in P$  ( $n = 1, 2, 3, \dots$ ). Put  $x_n^{(0)} = x_n$  and construct recursively sequences  $[x_n^{(i)}]_{n=1}^\infty$  ( $i = 0, 1, 2, \dots$ ) as follows: Since  $A(1/i)$  is finite and is less than  $1/i$  in distance from every  $x_n^{(i-1)}$ , there is a point  $y_i \in A(1/i)$  and a subsequence  $\{x_n^{(i)}\}_{n=1}^\infty$  of  $\{x_n^{(i-1)}\}_{n=1}^\infty$  such that  $\varrho(y_i, x_n^{(i)}) < 1/i$  for every  $n$ . Put  $z_n = x_n^{(n)}$ . Then  $\{z_n\}_{n=1}^\infty$  is a subsequence of  $\{x_n\}_1^\infty$ . It suffices to prove that  $\{z_n\}$  is a Cauchy sequence. Let  $\varepsilon > 0$ . Choose an index  $i$  such that  $1/i < \varepsilon/2$ . Then  $\{z_n\}_{n=1}^\infty$  is a subsequence of  $\{x_n^{(i)}\}_{n=1}^\infty$ . Hence

$$m > i, n > i \Rightarrow \varrho(y_i, z_m) < 1/i, \quad \varrho(y_i, z_n) < 1/i \Rightarrow \varrho(z_m, z_n) < \varepsilon.$$

II. Let  $P$  be totally bounded. Let  $\delta > 0$ . Choose an arbitrary  $x_1 \in P$ . If points  $x_i$  ( $1 \leq i \leq n$ ) are chosen, choose a point  $x_{n+1} \in P$ , if it is possible, such that  $\varrho(x_i, x_{n+1}) \geq \delta$ . By 17.1.3 there is an index  $n$  such that  $x_1, x_2, \dots, x_n$  exist, while there is no  $x_{n+1}$ . The points  $x_1, \dots, x_n$  form a finite set  $A(\delta) \subset P$  such that  $\varrho[x, A(\delta)] < \delta$  for every  $x \in P$ .

**17.1.5.** A point set  $Q$  embedded into the euclidean  $E_m$  is totally bounded if and only if it is bounded.

*Proof:* I. Totally bounded  $Q$  is bounded by 17.1.2.

II. Let  $Q$  be bounded. There exists a  $c$  ( $= 1, 2, 3, \dots$ ) such that  $Q \subset R$  where

$$R = E_{(x_1, \dots, x_m)} \quad [ |x_1| \leq c, \dots, |x_m| \leq c ].$$

If  $\delta > 0$  is given, choose an index  $k$  such that  $\sqrt{m/k} < \delta$  and denote by  $A(\delta)$  the set of all  $(x_1, \dots, x_m)$  with  $kx_i = \gamma_i$  ( $1 \leq i \leq m$ ), where  $\gamma_i$  are integers and  $|\gamma_i| \leq ck$ . Then  $A(\delta)$  is a finite set,  $A(\delta) \subset R$  and  $\varrho[x, A(\delta)] < \delta$  for every  $x \in R$ . Thus,  $R$  is totally bounded by 17.1.4. Thus,  $Q$  is totally bounded by 17.1.1.

**17.1.6.** Every totally bounded point set  $Q$  embedded into the Hilbert space  $H$  is nowhere dense in  $H$ .

*Proof:* Let  $Q$  not be nowhere dense. By 12.2.3 there is an open  $G \neq ()$  such that  $Q \cap \Gamma \neq \emptyset$  for every open  $\Gamma$  such that  $\emptyset \neq \Gamma \subset G$ . Choose an  $a = \{a_n\}_1^\infty \in G$ .

There is a  $\delta > 0$  such that  $\Omega(a, \delta) \subset G$ . Put  $b_{in} = a_n$  for  $i \neq n$ ,  $b_{nn} = a_n + \delta/2$ ,  $b_i = \{b_{in}\}_{n=1}^{\infty}$ . Then  $\varrho(a, b_i) = \delta/2$  so that  $\Omega(b_i, \delta/4) \subset \Omega(a, \delta) \subset G$ . Since the set  $\Gamma_i = \Omega(b_i, \delta/4)$  is open and  $\emptyset \neq \Gamma_i \subset G$ , there is a point  $c_i \in Q \cap \Gamma_i$ . For  $i \neq k$  we have

$$\delta \frac{\sqrt{2}}{2} = \varrho(b_i, b_k) \leq \varrho(b_i, c_i) + \varrho(c_i, c_k) + \varrho(c_k, b_k) < \frac{\delta}{4} + \varrho(c_i, c_k) + \frac{\delta}{4},$$

hence,

$$\varrho(c_i, c_k) > \frac{\sqrt{2}-1}{\sqrt{2}} \delta > 0 \quad \text{for } i \neq k.$$

Thus,  $Q$  is not totally bounded by 17.1.3.

**17.2.** A *compact space* is a metric space such that every sequence of its points has a convergent subsequence. This is evidently a topological property. As a point set  $Q$  embedded into a metric space  $P$  is a metric space, we need not define the notion of compact point set.

Many authors use the term compact for every point set embedded into a compact (in our sense) space, and, for compact (in our sense) point sets, use the term *compact in itself*.

**17.2.1.** A metric space  $P$  is compact if and only if it is complete and totally bounded.

*Proof:* I. Every compact space is complete. Let  $P$  be a compact space embedded into a metric space  $Q$ . Let  $x_n \in P$ ,  $x \in Q$ ,  $x_n \rightarrow x$ . As  $P$  is compact, we may find a subsequence  $\{y_n\}$  of  $\{x_n\}$  such that  $\lim y_n \in P$  exists. By 7.1.2, we have  $\lim y_n = x$ . Thus,  $x \in P$ . Hence, by 8.3.1,  $P$  is a closed subset of  $Q$ . Thus,  $P$  is complete by 15.5.1.

II. Every compact space is totally bounded by 15.1.1.

III. Let  $P$  be a complete totally bounded space. If  $x_n \in P$ ,  $\{x_n\}$  has a Cauchy subsequence. Any Cauchy sequence in  $P$  is convergent. Thus,  $P$  is compact.

**17.2.2.** A point set  $Q$  embedded into a compact space  $P$  is compact if and only if it is closed in  $P$ .

*Proof:* I. Let  $Q$  be compact.  $Q$  is closed in  $P$  by 15.2.1 and 17.2.1.

II. Let  $Q$  be closed in  $P$ . By 17.1.1 and 17.2.1  $Q$  is totally bounded. By 15.2.2 and 17.2.1,  $Q$  is a complete space. Thus,  $Q$  is compact by 17.2.1.

**17.2.3.** A point set  $Q$  embedded into the euclidean  $\mathbf{E}_m$  is compact if and only if it is bounded and closed in  $\mathbf{E}_m$ .

*Proof:* I. Let  $Q$  be compact.  $Q$  is bounded by 17.1.5 and 17.2.1.  $Q$  is closed in  $\mathbf{E}_m$  by 15.5.1 and 17.2.1.

II. Let  $Q$  be bounded and closed in  $\mathbf{E}_m$ .  $Q$  is totally bounded by 17.1.5.  $Q$  is a complete space by 15.1.3 and 15.2.2. Thus,  $Q$  is compact by 17.2.1.

**17.2.4.** *The Urysohn space  $\mathbf{U}$  is compact.*

*Proof:* Let  $n = 1, 2, 3, \dots$ . Denote by  $A_n$  the set of all the sequences  $\{x_i\}_{i=1}^\infty$  such that: for  $i > n$ ,  $x_i = 0$ ; for  $1 \leq i \leq n$ ,  $x_i = \gamma_i/in$ , where  $\gamma_i$  is an integer with  $|\gamma_i| \leq n$ . We have  $A_n \subset \mathbf{U}$  and  $A_n$  is a finite set.

If  $\delta > 0$  is given, choose an  $n$  such that  $\sum_{i=n+1}^\infty 1/i^2 < \delta^2/2$ ,  $\sum_{i=1}^\infty 1/i^2 < n^2(\delta^2/2)$ . Then we prove easily that  $\varrho(x, A_n) < \delta$  for every  $x \in \mathbf{U}$ . Thus,  $\mathbf{U}$  is totally bounded by 17.1.4. It follows easily by 7.3.1 and 8.3.3 that  $\mathbf{U}$  is a closed subset of  $\mathbf{H}$ , so that  $\mathbf{U}$  is a complete space by 15.1.4 and 15.2.2. Thus,  $\mathbf{U}$  is compact by 17.2.1.

**17.2.5.** *A metric space  $P$  is separable if and only if there is a totally bounded space  $Q$  homeomorphic with  $P$ .*

*Proof:* I. Let  $Q$  be totally bounded. Since every finite set is countable,  $Q$  is separable by 16.1.6 and 17.1.4. Since separability is a topological property, the space  $P$  homeomorphic with  $Q$  is also separable.

II. Let  $P$  be separable. By 16.5 there is a point set  $Q \subset \mathbf{U}$  homeomorphic with  $P$ .  $Q$  is totally bounded by 17.1.1, 17.2.1 and 17.2.4.

**17.2.6.** *Every compact space is separable.*

This is an important corollary of theorem 17.2.5.

**17.3. 17.3.1.** Let  $P$  be a metric space. Let  $A \subset P$ ,  $B \subset P$ ,  $A \neq \emptyset \neq B$ . Let  $A$  be compact. Then there are points  $y \in A$ ,  $z \in A$  such that

$$\begin{aligned}\varrho(y, B) &= \min_{x \in A} \varrho(x, B) = \varrho(A, B), \\ \varrho(z, B) &= \max_{x \in A} \varrho(x, B).\end{aligned}$$

If  $d(B) < \infty$ , there are points  $u \in A$ ,  $v \in A$  such that

$$\begin{aligned}d(u, B) &= \min_{x \in A} d(x, B), \\ d(v, B) &= \max_{x \in A} d(x, B) = d(A, B).\end{aligned}$$

*Proof:* There exist sequences  $\{y_n\}$  and  $\{z_n\}$  such that

$$y_n \in A, z_n \in A, \varrho(y_n, B) \rightarrow \inf_{x \in A} \varrho(x, B), \varrho(z_n, B) \rightarrow \sup_{x \in A} \varrho(x, B).$$

As  $A$  is compact, there are subsequences  $\{y'_n\}$  of  $\{y_n\}$ ,  $\{z'_n\}$  of  $\{z_n\}$  and points  $y \in A$ ,  $z \in A$  such that  $y'_n \rightarrow y$ ,  $z'_n \rightarrow z$ , so that, by ex. 9.10,  $\varrho(y'_n, B) \rightarrow \varrho(y, B)$ ,  $\varrho(z'_n, B) \rightarrow \varrho(z, B)$ . By 7.1.2 we have  $\lim \varrho(y'_n, B) = \lim \varrho(y_n, B)$ ,  $\lim \varrho(z'_n, B) = \lim \varrho(z_n, B)$ . Hence,  $\varrho(y, B) = \inf_{x \in A} \varrho(x, B) = \min_{x \in A} \varrho(x, B)$ ,  $\varrho(z, B) = \sup_{x \in A} \varrho(x, B) = \max_{x \in A} \varrho(x, B)$ .

The existence of the points  $u$  and  $v$  can be proved similarly, using  $d(x, B)$  instead of  $\varrho(x, B)$  and ex. 9.11 instead of ex. 9.10.

**17.3.2.** Let  $P$  be a metric space. Let  $A \subset P$ ,  $B \subset P$ ,  $A \neq \emptyset \neq B$ . Let  $A$  and  $B$  be compact. Then there are points  $y_1 \in A$ ,  $y_2 \in B$ ,  $z_1 \in A$ ,  $z_2 \in B$  such that

$$\varrho(y_1, y_2) = \min_{\substack{x_1 \in A \\ x_2 \in B}} \varrho(x_1, x_2) = \varrho(A, B),$$

$$\varrho(z_1, z_2) = \max_{\substack{x_1 \in A \\ x_2 \in B}} \varrho(x_1, x_2) = d(A, B).$$

*Proof:* By 17.3.1 (see also 17.1.2) there are points  $y_1 \in A$ ,  $z_1 \in A$  such that

$$\varrho(y_1, B) = \varrho(A, B), \quad d(z_1, B) = d(A, B).$$

By 17.3.1 there are points  $y_2 \in B$ ,  $z_2 \in B$  such that  $\varrho(y_1, y_2) = \varrho(y_1, B)$ ,  $\varrho(z_1, z_2) = d[z_1, (z_2)] = d(z_1, B)$ .

**17.3.3.** Let  $P$  be a compact space. There exist points  $y \in P$ ,  $z \in P$  such that

$$\varrho(y, z) = \max_{\substack{x_1 \in P \\ x_2 \in P}} \varrho(x_1, x_2) = d(P).$$

This is a particular case of theorem 17.3.2, as  $d(P) = d(P, P)$ .

**17.3.4.** Let  $P$  be a metric space. Let  $A \subset P$ ,  $B \subset P$ ,  $A \neq \emptyset \neq B$ ,  $A \cap B = \emptyset$ . Let  $A$  be compact and let  $B$  be closed in  $P$ . Then  $\varrho(A, B) > 0$ .

*Proof:* Let, on the contrary,  $\varrho(A, B) = 0$ . By 17.3.1 there is a point  $y \in A$  such that  $\varrho(y, B) = 0$  and hence  $y \in \bar{B}$ . This is a contradiction, since  $y \in A$ ,  $B = \bar{B}$ ,  $A \cap B = \emptyset$ .

**17.4. 17.4.1.** Let  $A \subset \mathbf{E}_1$  be a non-void bounded and closed set. Then there exist numbers  $\min A$  and  $\max A$ .

*Proof:* Choose a number  $c \in \mathbf{E}_1$  such that  $A \subset E[x > c]$ . By 17.2.3 and 17.3.1 there exists a number  $y \in A$  such that  $\varrho(c, y) = \min_{x \in A} \varrho(c, x)$ . We have  $\varrho(c, x) = x - c$ ,  $\varrho(c, y) = y - c$ . Hence,  $y - c = \min_{x \in A} (x - c)$ , and hence  $y = \min_{x \in A} x$ . Similarly for the maximum.

**17.4.2.** Let  $f$  be a continuous mapping of a compact space  $P$  onto a metric space  $Q$ . Then  $Q$  is compact.

*Proof:* Let  $y_n \in Q$  ( $n = 1, 2, 3, \dots$ ). There exist points  $x_n \in P$  such that  $f(x_n) = y_n$ . Since  $P$  is compact, there are indices  $i_1 < i_2 < i_3 < \dots$  such that  $\lim_{n \rightarrow \infty} x_{i_n} = x$  exists. Since  $f$  is continuous, we have  $\lim_{n \rightarrow \infty} y_{i_n} = f(x) \in Q$ . Hence,  $\{y_n\}$  has a convergent subsequence  $\{y_{i_n}\}$ .

**17.4.3.** Let  $P$  be a compact space. Let  $f$  be a finite continuous function on  $P$ . The set  $f(P)$  is bounded and closed. There exist numbers  $\min f(A)$  and  $\max f(A)$ .

*Proof:*  $f(P)$  is compact by 17.4.2. Hence, the statement follows from 17.2.3 and 17.4.1.

**17.4.4.** Let  $f$  be a continuous mapping of a compact space  $P$  into a metric space  $Q$ . Then  $f$  is uniformly continuous.

*Proof:* Let  $x_n \in P$ ,  $y_n \in P$ ,  $\varrho(x_n, y_n) \rightarrow 0$ . We have to prove that  $\varrho[f(x_n), f(y_n)] \rightarrow 0$ . Let us assume the contrary. Then there is a number  $\delta > 0$  and indices  $i_1 < i_2 < i_3 < \dots$  such that  $\varrho[f(x_{i_n}), f(y_{i_n})] > \delta$  for every  $n$ . Since  $P$  is compact, there is a subsequence  $\{j_n\}$  of the sequence  $\{i_n\}$  such that  $\lim x_{j_n} = z \in P$  exists. Since  $\varrho(x_n, y_n) \rightarrow 0$ , we also have  $\lim y_{j_n} = z$ . Since the mapping  $f$  is continuous, we have  $\lim f(x_{j_n}) = f(z)$ ,  $\lim f(y_{j_n}) = f(z)$ , hence (see ex. 9.12)  $\lim \varrho[f(x_{j_n}), f(y_{j_n})] = 0$ , which is a contradiction.

**17.4.5.** Let  $P$  be a compact space. Let  $f$  be a finite continuous function on  $P$ . Then  $f$  is uniformly continuous.

This is a particular case of theorem 17.4.4.

**17.4.6.** Let  $f$  be a one-to-one continuous mapping of a compact space  $P$  onto a metric space  $Q$ . Then the inverse mapping  $f_{-1}$  is continuous, i.e.  $f$  is a homeomorphic mapping.

*Proof:* If  $A$  is a closed set in  $P$ , it is compact by 17.2.2. Hence, the set  $f(A)$  is compact by 17.4.2. Thus,  $f(A)$  is closed in  $Q$  by 15.5.1 and 17.2.1. Thus, for every  $A$  closed in  $P$ ,  $f(A)$  is closed in  $Q$  so that  $f_{-1}$  is continuous by 9.2.

**17.5. 17.5.1.** Let  $P$  be a compact space. Let, for  $n = 1, 2, 3, \dots$ ,  $A_n \subset P$ ,  $A_n \neq \emptyset$ ,  $A_n \supset \bar{A}_{n+1}$ . Then  $\bigcap_{n=1}^{\infty} A_n \neq \emptyset$ .

*Proof:* For  $n = 1, 2, 3, \dots$  there is, by 17.1.4, a finite set  $K_n \subset P$  such that  $\varrho(x, K_n) < 1/n$  for every  $x \in P$ . Thus,  $\bigcap_{n=1}^{\infty} A_n \neq \emptyset$  by 15.7.2 and 17.2.1.

**17.5.2.** *The statement of theorem 15.7.2 may be supplemented by the proposition that  $\bigcap_{n=1}^{\infty} A_n$  is compact.\*)*

*Proof:* Let  $a_n \in \bigcap_{i=1}^{\infty} A_i$  ( $n = 1, 2, 3, \dots$ ). Then  $a_n \in A_n$ , so that, by the proof of theorem 15.7.2, we may find a convergent subsequence  $\{b_n\}$  of  $\{a_n\}$ . If  $n \geq i + 1$  we have  $b_n \in A_{i+1}$ , hence  $\lim b_n \in \bar{A}_{i+1} \subset A_i$ , hence  $\lim b_n \in \bigcap_{i=1}^{\infty} A_i$ . Hence, for every sequence  $\{a_n\}$  in the space  $\bigcap_{i=1}^{\infty} A_i$  there is a subsequence  $\{b_n\}$  which has a limit in  $\bigcap_{i=1}^{\infty} A_i$ .

**17.5.3.** *Let a metric space  $P$  not be compact. Then there exist closed sets  $A_n \subset P$  ( $n = 1, 2, 3, \dots$ ) such that  $A_n \neq \emptyset$ ,  $A_n \supset A_{n+1}$ ,  $\bigcap_{n=1}^{\infty} A_n = \emptyset$ .*

*Proof:* There is a sequence  $\{x_n\}_{n=1}^{\infty}$  of points of  $P$  which has no convergent subsequence. By 8.3.3 we conclude easily that the sets  $A_n = \bigcup_{i=n}^{\infty} (x_i)$  are closed. Evidently  $A_n \neq \emptyset$ ,  $A_n \supset A_{n+1}$ ,  $\bigcap_{n=1}^{\infty} A_n = \emptyset$ .

**17.5.4.** *A necessary and sufficient condition for a metric space  $P$  to be compact is the following: For every system  $\mathfrak{A}$  of open sets such that  $\bigcup_{X \in \mathfrak{A}} X = P$  there is a finite system  $\mathfrak{A}_0 \subset \mathfrak{A}$  such that  $\bigcup_{X \in \mathfrak{A}_0} X = P$ .*

*Proof:* I. Let  $P$  be compact. By 16.2.2 and 17.2.6 there is a sequence  $\{X_n\}$  such that  $X_n \in \mathfrak{A}$ ,  $\bigcup_{n=1}^{\infty} X_n = P$ . Put  $A_n = P - \bigcup_{i=1}^n X_i$ . We have  $A_n = \bar{A}_n$ ,  $A_n \supset A_{n+1}$ . We have  $\bigcap_{n=1}^{\infty} A_n = P - \bigcup_{n=1}^{\infty} X_n = \emptyset$ , so that by 17.5.1 there exists an index  $n$  such that  $A_n = \emptyset$ , hence,  $\bigcup_{i=1}^n X_i = P$ .

II. Let  $P$  not be compact. By 17.5.3 there are closed sets  $A_n \subset P$  such that  $A_n \neq \emptyset$ ,  $A_n \supset A_{n+1}$ ,  $\bigcap_{n=1}^{\infty} A_n = \emptyset$ . Put  $G_n = P - A_n$ . Then the sets  $G_n$  are open and we have  $\bigcup_{n=1}^m G_n = P - \bigcap_{n=1}^m A_n = P$ , while, for  $m = 1, 2, 3, \dots$ ,  $\bigcup_{n=1}^m G_n = P - \bigcap_{n=1}^m A_n = P - A_m \neq P$ .

\*) We do not assume that the space is compact. Similarly as in 15.7.2, we assume the completeness of  $P$  only.

**17.6.** Let  $P$  be an arbitrary metric space. Let us denote by  $P^*$  the system of all compact subsets of  $P$  with the exception of the set  $\emptyset$ . If  $A \in P^*$ ,  $B \in P^*$ , there exist (see 17.3.1) real numbers

$$u(A, B) = \max_{x \in A} \varrho(x, B),$$

$$u(B, A) = \max_{y \in B} \varrho(y, A).$$

Put

$$\varrho^*(A, B) = \max [u(A, B), u(B, A)].$$

If  $A = B$ , evidently  $\varrho^*(A, B) = 0$ . If  $A \neq B$ , we have either  $A - B \neq \emptyset$ , so that  $u(A, B) > 0$  (as  $B = \bar{B}$  by 15.2.1 and 17.2.1) or  $B - A \neq \emptyset$  so that  $u(B, A) > 0$ . Thus, for  $A \neq B$  we always have  $\varrho^*(A, B) > 0$ . Obviously always  $\varrho^*(A, B) = \varrho^*(B, A)$ . If also  $C \in P^*$ , then by ex. 6.6 we have for  $x \in A$  and  $y \in B$ :

$$\varrho(x, C) \leq \varrho(x, y) + \varrho(y, C) \leq \varrho(x, y) + u(B, C),$$

hence

$$\begin{aligned} \varrho(x, C) &\leq \min_{y \in B} \varrho(x, y) + u(B, C) = \varrho(x, B) + u(B, C) \leq \\ &\leq u(A, B) + u(B, C) \leq \varrho^*(A, B) + \varrho^*(B, C), \end{aligned}$$

hence

$$u(A, C) \leq \varrho^*(A, B) + \varrho^*(B, C)$$

and similarly

$$u(C, A) \leq \varrho^*(A, B) + \varrho^*(B, C);$$

thus

$$\varrho^*(A, C) \leq \varrho^*(A, B) + \varrho^*(B, C).$$

Thus,  $\varrho^*$  is a distance function in  $P^*$ . The metric space  $(P^*, \varrho^*)$  is called the *Hausdorff hyperspace* of the space  $P$ .

**17.6.1.** If  $A_n \in P^*$  ( $n = 1, 2, 3, \dots$ ),  $A \in P^*$ , then

$$u(A, A_n) \rightarrow 0 \quad \text{if and only if} \quad A \subset \underline{\text{Lim}} A_n.$$

*Proof:* I. Let  $u(A, A_n) \rightarrow 0$ . Let  $a \in A$ . We shall prove that  $a \in \underline{\text{Lim}} A_n$ . We have  $\varrho(a, A_n) \leq \max_{x \in A} \varrho(x, A_n) = u(A, A_n)$ , hence  $\varrho(a, A_n) \rightarrow 0$ . By 17.3.1 there is a point  $a_n \in A_n$  such that  $\varrho(a, a_n) = \varrho(a, A_n)$ . We have  $\varrho(a, a_n) \rightarrow 0$ , hence  $a_n \rightarrow a$ . As  $a_n \in A_n$ , we have  $a \in \underline{\text{Lim}} A_n$ .

II. Let  $A \subset \underline{\text{Lim}} A_n$ . We shall prove that  $u(A, A_n) \rightarrow 0$ . Let us assume the contrary. Then there are a number  $\delta > 0$  and indices  $i_1 < i_2 < i_3 < \dots$  such that  $u(A, A_{i_n}) > \delta$  for every  $n$ . There are points  $b_n \in A$  with  $\varrho(b_n, A_n) = \max_{x \in A} \varrho(x, A_n) = u(A, A_n)$ . Since  $A$  is compact, there is a subsequence  $\{j_n\}$  of  $\{i_n\}$  such that  $\lim b_{j_n} = a \in A$  exists. Since  $A \subset \underline{\text{Lim}} A_n$ , there are points  $a_n \in A_n$  such that  $a_n \rightarrow a$ . As  $b_{j_n} \rightarrow a$ ,

$a_n \rightarrow a$ , we have  $\varrho(b_{j_n}, a_{j_n}) \rightarrow 0$ . Hence, there is an index  $m$  such that  $\varrho(b_{j_m}, a_{j_m}) < \delta$ . We have  $u(A, A_{j_m}) = \varrho(b_{j_m}, A_{j_m}) = \min_{x \in A_{j_m}} \varrho(b_{j_m}, x) \leq \varrho(b_{j_m}, a_{j_m}) < \delta$ . This is a contradiction as  $u(A, A_{j_m}) > \delta$ , since  $j_m$  is a member of the sequence  $\{i_n\}$ .

**17.6.2.** Let  $A_n \in P^*$  ( $n = 1, 2, 3, \dots$ ),  $A \in P^*$ . Then always

$$u(A_n, A) \rightarrow 0 \text{ implies } \overline{\text{Lim}} A_n \subset A$$

and if  $P$  is compact, also

$$\overline{\text{Lim}} A_n \subset A \text{ implies } u(A_n, A) \rightarrow 0.$$

*Proof:* I. Let  $u(A_n, A) \rightarrow 0$ . Let  $a \in \overline{\text{Lim}} A_n$ ; we shall prove that  $a \in A$ . Since  $a \in \overline{\text{Lim}} A_n$ , there exist indices  $i_1 < i_2 < i_3 < \dots$  and points  $a_n \in A_{i_n}$  such that  $a_n \rightarrow a$ . We have  $\varrho(a_n, A) \leq \max_{x \in A_{i_n}} \varrho(x, A) = u(A_{i_n}, A)$ , hence  $\varrho(a_n, A) \rightarrow 0$ , so that, by ex. 9.10,  $\varrho(a, A) = 0$ , i.e.  $a \in A$ . By 15.2.1 and 17.2.1,  $\overline{A} = A$ .

II. Let  $P$  be compact and let  $\overline{\text{Lim}} A_n \subset A$ . We shall prove that  $u(A_n, A) \rightarrow 0$ . Let us assume the contrary. Then there are a number  $\delta > 0$  and indices  $i_1 < i_2 < i_3 < \dots$  such that  $u(A_{i_n}, A) > \delta$  for every  $n$ . There exist points  $a_n \in A_n$  such that  $\varrho(a_n, A) = \max_{x \in A_n} \varrho(x, A) = u(A_n, A)$ . Since  $P$  is compact, there is a subsequence  $\{j_n\}$  of  $\{i_n\}$  such that  $\lim a_{j_n} = a$  exists. Since  $a_{j_n} \in A_{j_n}$ ,  $\overline{\text{Lim}} A_n \subset A$ , we have  $a \in A$  and hence  $\varrho(a, A) = 0$ , so that, by ex. 9.10,  $\varrho(a_{j_n}, A) \rightarrow 0$  i.e.  $u(A_{j_n}, A) \rightarrow 0$ . This is a contradiction, since  $\{j_n\}$  is a subsequence of  $\{i_n\}$  and  $u(A_{i_n}, A) > \delta > 0$  for every  $n$ .

**17.6.3.** Let  $A_n \in P^*$  ( $n = 1, 2, 3, \dots$ )  $A \in P^*$ . If the space  $P$  is compact, then  $A_n \rightarrow A$  (with respect to the distance function  $\varrho^*$ ) if and only if  $\text{Lim} A_n = A$  (in the sense of section 8.8). If  $P$  is an arbitrary metric space, then  $A_n \rightarrow A$  if and only if:

[1]  $\text{Lim} A_n = A$ , [2] the set  $A \cup \bigcup_{n=1}^{\infty} A_n$  is compact.

*Proof:* I. Let  $A_n \rightarrow A$ . Then  $\varrho^*(A_n, A) \rightarrow 0$ , hence on the one hand  $u(A, A_n) \rightarrow 0$ , so that, by 17.6.1,  $A \subset \overline{\text{Lim}} A_n$ , on the other hand  $u(A_n, A) \rightarrow 0$ , so that, by 17.6.2,  $\overline{\text{Lim}} A_n \subset A$ . Since always  $\overline{\text{Lim}} A_n \subset \text{Lim} A_n$ ,  $\text{Lim} A_n = A$ .

II. Let  $A_n \rightarrow A$ . Let  $x_n \in A \cup \bigcup_{i=1}^{\infty} A_i$ . If  $x_n \in A$  for infinitely many indices  $n$ , or if there exists an index  $i$  such that  $x_n \in A_i$  for infinitely many indices  $n$ , then there is a subsequence of  $\{x_n\}$ , which has a limit in  $A \cup \bigcup_{i=1}^{\infty} A_i$ , as the sets  $A$  and  $A_i$  are compact. If none of the cases occur, there are indices  $i_1 < i_2 < i_3 < \dots$  such that there exists a subsequence  $\{y_n\}$  of  $\{x_n\}$  with  $y_n \in A_{i_n}$  for every  $n$ . We have



$\varrho(y_n, A) \leq \max_{x \in A_{i_n}} \varrho(x, A) = u(A_{i_n}, A) \leq \varrho^*(A_{i_n}, A)$ . Since  $A_n \rightarrow A$ , we have  $\varrho(y_n, A) \rightarrow 0$ . By 17.3.1 there exist points  $z_n \in A$  such that  $\varrho(y_n, z_n) = \varrho(y_n, A)$ , hence  $\varrho(y_n, z_n) \rightarrow 0$ . Since  $A$  is compact, there are indices  $n_1 < n_2 < n_3 < \dots$  and a point  $a \in A$  such that  $\lim_{k \rightarrow \infty} z_{n_k} = a$ . Since  $\varrho(y_n, z_n) \rightarrow 0$ , we have  $\lim_{k \rightarrow \infty} y_{n_k} = a$ . Hence there is a subsequence  $\{y_{n_k}\}_{k=1}^\infty$  of  $\{x_n\}$ , which has a limit in  $A \subset A \cup \bigcup_{i=1}^\infty A_i$ . Thus, the set  $A \cup \bigcup_{i=1}^\infty A_i$  is compact.

III. Let  $\text{Lim } A_n = A$  and let either  $P$  or  $A \cup \bigcup_{i=1}^\infty A_i$  be compact. By 17.6.1,  $u(A, A_n) \rightarrow 0$ . In the proof of theorem 17.6.2 we used the assumption of compact  $P$  only in the assertion that a sequence  $\{a_n\}$  with  $a_n \in A_n$  has a convergent subsequence; this, however, follows from the assumption that  $A \cup \bigcup_{n=1}^\infty A_n$  is compact. Hence,  $u(A_n, A) \rightarrow 0$ . Since  $u(A, A_n) \rightarrow 0$ ,  $u(A_n, A) \rightarrow 0$ , we have  $\varrho^*(A_n, A) \rightarrow 0$ , i.e.  $A_n \rightarrow A$ .

**17.6.4.** *Let metric spaces  $P$  and  $Q$  be homeomorphic. Then their Hausdorff hyperspaces  $P^*$  and  $Q^*$  are homeomorphic. More precisely: Let  $f$  be a homeomorphic mapping of the space  $P$  onto the space  $Q$ . For  $X \in P^*$  put  $\varphi(X) = f(X)$ ; then  $\varphi$  is a homeomorphic mapping of  $P^*$  onto  $Q^*$ .*

This is a corollary of theorem 17.6.3 (see also ex. 9.21 and theorem 17.4.2).

**17.6.5.** *If  $P$  is a complete space, then  $P^*$  is also a complete space.*

*Proof:* Let  $\{A_n\}_{n=1}^\infty$  be a Cauchy sequence with respect to the distance function  $\varrho^*$ .

Put  $B_n = \bigcup_{i=n}^\infty A_i$ . Then  $B_n \neq \emptyset$ ,  $B_n \supset B_{n+1}$ ,  $B_n = \bar{B}_n$ . Choose an index  $m$  and a number  $\delta > 0$ . Since the sets  $A_i$  are compact, there is, by 17.1.4, for every  $i$  a finite set  $K_i$  such that  $x \in A_i$  implies  $\varrho(x, K_i) < \frac{1}{2}\delta$ . Since  $\{A_n\}$  is a Cauchy sequence, there is an index  $p > m$  such that for  $n > p$  we have  $u(A_n, A_p) < \frac{1}{2}\delta$ . If  $x \in A_n$ ,  $n > p$ , we have  $\varrho(x, A_p) \leq u(A_n, A_p) < \frac{1}{2}\delta$ , hence there is a point  $y \in A_p$  with  $\varrho(x, y) < \frac{1}{2}\delta$ . We obtain easily that  $\varrho(x, \bigcup_{i=m}^p K_i) \leq \delta$  for every  $x \in B_m$ . Hence, by 15.7.2 the set  $A = \bigcap_{n=1}^\infty B_n$  is non-void. By 17.5.2  $A$  is compact. Hence,  $A \in P^*$ .

Choose an  $\varepsilon > 0$ . Since  $\{A_n\}$  is a Cauchy sequence, there is an index  $q$  such that for  $i > q$ ,  $j > q$  we have  $u(A_i, A_j) < \frac{1}{2}\varepsilon$ .

Choose an  $n > q$ . If  $x \in A$ , we have  $x \in B_n = \bigcup_{i=n}^\infty A_i$  so that there is a point  $x' \in \bigcup_{i=n}^\infty A_i$  such that  $\varrho(x, x') < \frac{1}{2}\varepsilon$ . There exists an index  $i \geq n > q$  with  $x' \in A_i$ . We have  $\varrho(x', A_n) \leq u(A_i, A_n) < \frac{1}{2}\varepsilon$ , so that, by ex. 6.6,  $\varrho(x, A_n) < \varrho(x, x') +$

+  $\varrho(x', A_n) < \varepsilon$ . Thus, for  $n > q$  and  $x \in A$  we have  $\varrho(x, A_n) < \varepsilon$ , so that, for  $n > q$ ,  $u(A, A_n) \leq \varepsilon$ , i.e.  $u(A, A_n) \rightarrow 0$ . Choose again an  $n > q$ . If  $x \in A_n$  then, for every  $i \geq n$ ,  $\varrho(x, A_i) \leq u(A_n, A_i) < \frac{1}{2}\varepsilon$ , so that for every  $i \geq n$  there is a point  $y_i \in A_i \subset B_i$  with  $\varrho(x, y_i) < \frac{1}{2}\varepsilon$ . By the assertion expressed (and then proved) at the beginning of the proof of theorem 15.7.2 we may choose a subsequence of  $\{y_i\}_n^\infty$  which has a limit  $z \in A$ . As  $\varrho(x, y_i) < \frac{1}{2}\varepsilon$ , we have, by ex. 9.12,  $\varrho(x, z) < \varepsilon$ , hence  $\varrho(x, A) < \varepsilon$ . Thus,  $n > q$ ,  $x \in A_n$  imply  $\varrho(x, A) < \varepsilon$ , so that for  $n > q$  we have  $u(A_n, A) \leq \varepsilon$ , i.e.  $u(A_n, A) \rightarrow 0$ . Since also  $u(A, A_n) \rightarrow 0$ , we have  $\varrho^*(A_n, A) \rightarrow 0$ , i.e.  $A_n \rightarrow A$ , so that the sequence  $\{A_n\}$  is convergent (with respect to the distance function  $\varrho^*$ ).

**17.6.6.** *If  $P$  is a totally bounded space, then  $P^*$  is also totally bounded.*

*Proof:* Choose a number  $\delta > 0$ . By 17.1.4 there is a finite set  $K \subset P$  such that  $\varrho(x, K) < \delta$  for every  $x \in P$ . Denote by  $\mathfrak{R}$  the system of all the subsets of  $K$ , with the exception of the set  $\emptyset$ . Evidently  $\mathfrak{R}$  is a finite subset of  $P^*$ . Choose an  $A \in P^*$ . Put  $B = E[x \in K, \varrho(x, A) < \delta]$ . We may prove easily that  $B \in \mathfrak{R}$  and that  $\varrho^*(A, B) < \delta$ . Hence, the space  $P^*$  is totally bounded by 17.1.4.

**17.6.7.** *If  $P$  is a separable space, then  $P^*$  is also separable.*

This is a corollary of theorems 17.2.5, 17.6.4 and 17.6.6.

**17.6.8.** *If  $P$  is a compact space, then  $P^*$  is also compact.*

This is a corollary of theorems 17.2.1, 17.6.5 and 17.6.6.

**17.7.** Let  $K \neq \emptyset$  be a given compact space. Let  $P$  be a given metric space. Let us denote by  $P^K$  the set of all continuous mappings  $f$  of  $K$  into  $P$ .

If  $f \in P^K$ ,  $g \in P^K$ , put  $\varphi(x) = \varrho[f(x), g(x)]$  for  $x \in K$ . By ex. 9.12 we deduce easily that  $\varphi$  is a finite continuous function on  $K$ . By 17.4.3 there exists a number  $\max \varrho[f(x), g(x)]$ ; denote this number by  $\varrho^+(f, g)$ . If  $f = g$ , evidently  $\varrho^+(f, g) = 0$ ; if  $f \neq g$ , evidently  $\varrho^+(f, g) > 0$ . Obviously we always have  $\varrho^+(f, g) = \varrho^+(g, f)$ . If also  $h \in P^K$ , then, for every  $x \in K$ ,  $\varrho[f(x), h(x)] \leq \varrho[f(x), g(x)] + \varrho[g(x), h(x)] \leq \varrho^+(f, g) + \varrho^+(g, h)$ , hence  $\varrho^+(f, h) \leq \varrho^+(f, g) + \varrho^+(g, h)$ . Hence,  $\varrho^+$  is a distance function in  $P^K$ . Whenever we speak about  $P^K$ , we shall mean the metric space  $(P^K, \varrho^+)$ . The following three theorems are evident:

**17.7.1.** *If  $K$  consists of a single point, then the spaces  $P$  and  $P^K$  are isometric.*

**17.7.2.** *If compact spaces  $K \neq \emptyset$  and  $L$  are homeomorphic, then the spaces  $P^K$  and  $P^L$  are isometric.*

**17.7.3.** *If spaces  $P$  and  $Q$  are isometric, then the spaces  $P^K$  and  $Q^K$  are isometric.*

**17.7.4.** If spaces  $P$  and  $Q$  are homeomorphic, then the spaces  $P^K$  and  $Q^K$  are homeomorphic.

*Proof:* Let  $\varphi$  be a homeomorphic mapping of  $P$  onto  $Q$ . Let us associate, with every  $f \in P^K$ , a mapping  $\Phi(f)$  of  $K$  into  $Q$  as follows: the image of a point  $x \in K$  under the mapping  $\Phi(f)$  is the point  $\varphi[f(x)]$ . We see easily that  $\Phi$  is a one-to-one mapping of  $P^K$  onto  $Q^K$ . We have to prove that both the mappings  $\Phi$  and  $\Phi_{-1}$  are continuous. Thus, let  $f_n \in P^K$ ,  $f \in P^K$ ; we have to prove that

$$f_n \rightarrow f \text{ if and only if } \Phi(f_n) \rightarrow \Phi(f).$$

Denote by  $H_1$  and  $H_2$ , respectively, the Hausdorff hyperspaces of  $K \times P$  and  $K \times Q$ . For  $x \in K$ ,  $y \in P$  put  $\psi(x, y) = [x, \varphi(y)]$ . It is easy to see that  $\psi$  is a homeomorphic mapping of  $K \times P$  onto  $K \times Q$ . For  $Z \in H_1$  put  $\Psi(Z) = \psi(Z)$ . By 17.6.4,  $\Psi$  is a homeomorphic mapping of  $H_1$  onto  $H_2$ .

Put

$$\begin{aligned} F_n &= E_{(x, y)} [x \in K, y = f_n(x)], & F &= E_{(x, y)} [x \in K, y = f(x)], \\ G_n &= E_{(x, y)} \{x \in K, z = \varphi[f_n(x)]\}, & G &= E_{(x, z)} \{x \in K, z = \varphi[f(x)]\}. \end{aligned}$$

We can prove easily (see 17.4.2) that  $F_n \in H_1$ ,  $F \in H_1$ ,  $G_n \in H_2$ ,  $G \in H_2$ , and that  $\Psi(F_n) = G_n$ ,  $\Psi(F) = G$ . Since  $\Psi$  is a homeomorphic mapping, we have  $F_n \rightarrow F$  if and only if  $G_n \rightarrow G$ . We shall prove that  $f_n \rightarrow f$  if and only if  $F_n \rightarrow F$ . Similarly we may prove that  $\Phi(f_n) \rightarrow \Phi(f)$  if and only if  $G_n \rightarrow G$ , hence, we prove in fact that  $f_n \rightarrow f$  if and only if  $\Phi(f_n) \rightarrow \Phi(f)$ .

*First*, let  $f_n \rightarrow f$  in  $P^K$ . Choose an  $\varepsilon > 0$ . There is an index  $p$  such that, for  $n > p$ ,  $\varrho^+(f_n, f) < \varepsilon$ , hence  $\varrho[f_n(x), f(x)] < \varepsilon$  for every  $x \in K$ . If  $x \in K$ , we have  $[x, f_n(x)] \in F_n$  and  $[x, f(x)] \in F$ , and the distance of the points  $[x, f_n(x)]$ ,  $[x, f(x)]$  in the space  $K \times P$  is equal to  $\varrho[f_n(x), f(x)]$ . Hence, for  $n > p$ :  $z \in F_n$  implies  $\varrho(z, F) < \varepsilon$ ,  $z \in F$  implies  $\varrho(z, F_n) < \varepsilon$ , so that for  $n > p$  the distance of  $F_n$  from  $F$  in  $H_1$  is less than  $\varepsilon$ . Thus,  $F_n \rightarrow F$  in  $H_1$ .

*Secondly*, let  $F_n \rightarrow F$  in  $H_1$ . Choose an  $\varepsilon > 0$ . By 9.6.1 and 17.4.4 there is a  $\delta > 0$  such that

$$x \in K, \quad y \in K, \quad \varrho(x, y) < \delta \quad \text{imply} \quad \varrho[f(x), f(y)] < \varepsilon/2.$$

We may suppose that  $\delta < \varepsilon/4$ . There exists an index  $p$  such that for  $n > p$  the distance of  $F_n$  from  $F$  in  $P^K$  is less than  $\delta$ . Let  $n > p$ ,  $x \in K$ . Then  $[x, f_n(x)] \in F_n$ , so that there is a point  $[y, f(y)] \in F$  (hence,  $y \in K$ ) such that

$$\varrho\{[x, f_n(x)], [y, f(y)]\} = \sqrt{\{\varrho(x, y)\}^2 + \varrho\{f_n(x), f(y)\}^2} < \delta < \varepsilon/4, \quad (1)$$

so that  $\varrho(x, y) < \delta$ , hence  $\varrho[f(x), f(y)] < \varepsilon/2$  and hence

$$\begin{aligned} \varrho\{[x, f(x)], [y, f(y)]\} &\leq \sqrt{\{\varrho(x, y)\}^2 + \{\varrho\{f(x), f(y)\}\}^2} < \\ &< \sqrt{\{\delta\}^2 + \{\varepsilon/2\}^2} < \sqrt{\{(\varepsilon/4)\}^2 + \{\varepsilon/2\}^2} < 3\varepsilon/4. \end{aligned} \quad (2)$$

By (1) and (2) we obtain

$$\varrho[f_n(x), f(y)] < \varepsilon/4, \quad \varrho[f(x), f(y)] < 3\varepsilon/4$$

and hence  $\varrho[f_n(x), f(x)] < \varepsilon$ . Thus, for  $n > p$  we have  $\varrho^+(f_n, f) < \varepsilon$  so that  $f_n \rightarrow f$  in  $P^K$ .

**17.7.5.** *If  $K \neq \emptyset$  is a compact space and if  $P$  is a complete space, then  $P^K$  is a complete space.*

*Proof:* Let  $\{f_n\}$  be a Cauchy sequence in  $P^K$ . For every  $\varepsilon > 0$  there is an index  $p(\varepsilon)$  such that for  $m > p(\varepsilon)$ ,  $n > p(\varepsilon)$  we have  $\max \varrho[f_m(x), f_n(x)] < \varepsilon$ . Consequently, for every  $x \in K$ ,  $\{f_n(x)\}$  is a Cauchy sequence in  $P$ . As the space  $P$  is complete, we obtain, for every  $x \in K$ , a point  $f(x) \in P$  such that  $f_n(x) \rightarrow f(x)$ . Thus,  $f$  is a mapping of  $K$  into  $P$ . For every  $\varepsilon > 0$

$$x \in K, \quad m > p(\varepsilon), \quad n > p(\varepsilon) \quad \text{imply} \quad \varrho[f_m(x), f_n(x)] < \varepsilon,$$

hence, by ex. 9.12

$$x \in K, \quad m > p(\varepsilon) \quad \text{imply} \quad \varrho[f_m(x), f(x)] \leq \varepsilon.$$

Choose an index  $m > p(\varepsilon/3)$ . By 9.6.1 and 17.4.4, there is a  $\delta > 0$  such that

$$x \in K, \quad y \in K, \quad \varrho(x, y) < \delta \quad \text{imply} \quad \varrho[f_m(x), f_m(y)] < \varepsilon/3.$$

Let  $x \in K$ ,  $y \in K$ ,  $\varrho(x, y) < \delta$ . Then

$$\begin{aligned} \varrho[f(x), f(y)] &\leq \varrho[f(x), f_m(x)] + \varrho[f_m(x), f_m(y)] + \\ &\quad + \varrho[f_m(y), f(y)] < \varepsilon/3 + \varepsilon/3 + \varepsilon/3 = \varepsilon. \end{aligned}$$

Hence, the mapping  $f$  is continuous, so that  $f \in P^K$ . Moreover,  $n > p(\varepsilon)$  implies  $\varrho^+(f_n, f) \leq \varepsilon$ , hence  $f_n \rightarrow f$ , i.e. the sequence  $\{f_n\}$  is convergent in  $P^K$ .

**17.7.6.** *If  $K \neq \emptyset$  is a compact space and if  $P$  is a separable space, then  $P^K$  is a separable space.*

*Proof:* By 16.1.3 there is a countable set  $A$  dense in  $P$ . Choose a  $\delta > 0$ . For  $n = 1, 2, 3, \dots$  denote by  $\Phi_n$  the set of all  $f \in P^K$  such that

$$x \in K, \quad y \in K, \quad \varrho(x, y) < 1/n \quad \text{imply} \quad \varrho[f(x), f(y)] < \frac{1}{4} \delta.$$

By 9.6.1 and 17.4.4,  $\bigcup_{n=1}^{\infty} \Phi_n = P^K$ . By 17.1.4, for every  $n$  there is a finite sequence  $\{c_i\}_{i=1}^m$  (the points  $c_i$  and the number  $m$  depend on  $n$ ) of points of  $K$  such that for every  $x \in K$  there is an index  $i$  such that  $\varrho(x, c_i) < 1/n$ . Let us denote by  $\mathfrak{A}_n$  the set of all the sequences  $\{a_i\}_{i=1}^m$  with  $a_i \in A$ . By ex. 3.14, the set  $\mathfrak{A}_n$  is countable. Let us associate with every  $\{a_i\}_{i=1}^m \in \mathfrak{A}_n$  exactly one mapping  $f \in \Phi_n$ , where, *if it is possible*,

this  $f$  is chosen in such a way that  $\varrho[f(c_i), a_i] < \frac{1}{4}\delta$  for  $1 \leq i \leq m$ . Let  $\Psi_n$  be the set of all the mappings associated with the sequences  $\{a_i\}_{i=1}^m \in \mathfrak{A}_n$ . The set  $\Psi_n$  is countable by 3.4.1, hence, by 3.6, the set  $\Psi = \bigcup_{n=1}^{\infty} \Psi_n$  is also countable.

Now, let  $f \in P^K$  be arbitrary. There is an index  $n$  such that  $f \in \Phi_n$ . Since  $A$  is dense in  $P$ , there is a sequence  $\{a_i\}_{i=1}^m$  such that  $\varrho[f(c_i), a_i] < \frac{1}{4}\delta$  for  $1 \leq i \leq m$ . Let  $g \in \Psi_n$  be the mapping which is associated with the sequence  $\{a_i\}_{i=1}^m$ . For  $1 \leq i \leq m$  we have  $\varrho[g(c_i), a_i] < \frac{1}{4}\delta$ , hence  $\varrho[f(c_i), g(c_i)] < \frac{1}{2}\delta$ . If  $x$  is an arbitrary point of the space  $K$ , there is an index  $i$  with  $\varrho(x, c_i) < 1/n$ . Since  $f \in \Phi_n, g \in \Psi_n \subset \Phi_n$  we have  $\varrho[f(x), f(c_i)] < \frac{1}{4}\delta, \varrho[g(x), g(c_i)] < \frac{1}{4}\delta$ , hence  $\varrho[f(x), g(x)] \leq \varrho[f(x), f(c_i)] + \varrho[f(c_i), g(c_i)] + \varrho[g(x), g(c_i)] < \delta$ ; thus,  $\varrho^+(f, g) < \delta$ . Hence, for every  $f \in P^K$  there is a  $g \in \Psi$  with  $\varrho^+(f, g) < \delta$ . Since  $\Psi$  is countable,  $P^K$  is separable by 16.1.6.

If  $P$  is compact,  $P^K$  need not be compact (see ex. 17.17).

**17.8.** Let  $K$  be a compact point set embedded into the space  $\mathbf{E}_1$ . Let us assume that  $K$  contains at least two distinct points. By 17.2.3 and 17.4.1 there exist points

$$a = \min K, \quad b = \max K.$$

We have  $a < b$ . Put  $J = \mathop{\text{E}}\limits{\uparrow}[a \leq t \leq b]$ . Evidently  $K \subset J$ ; we may have  $K = J$ .

A *contiguous interval* of the set  $K$  is any interval  $S = \mathop{\text{E}}\limits{\uparrow}[u < t < v]$  ( $u \in \mathbf{E}_1, v \in \mathbf{E}_1, u < v$ ) such that [1]  $S \cap K = \emptyset$ , [2]  $u \in K, v \in K$ .

**17.8.1.**  $J - K$  is a disjoint union of all the contiguous intervals of the set  $K$ .

*Proof:* I. Let  $S = \mathop{\text{E}}\limits{\uparrow}[u < t < v]$  be a contiguous interval. Evidently  $a \leq u < v \leq b$ , hence,  $S \subset J$ . As  $S \cap K = \emptyset$ , we have  $S \subset J - K$ .

II. Let  $S_1 = \mathop{\text{E}}\limits{\uparrow}[u_1 < t < v_1], S_2 = \mathop{\text{E}}\limits{\uparrow}[u_2 < t < v_2]$  be two contiguous intervals. Let  $c \in S_1 \cap S_2$ . Since  $S_1 \cap K = \emptyset, v_1 \in K$ , we have  $v_1 = \min K \cap \mathop{\text{E}}\limits{\uparrow}[t > c]$ . Since  $S_2 \cap K = \emptyset, v_2 \in K$ , we have  $v_2 = \min K \cap \mathop{\text{E}}\limits{\uparrow}[t > c]$ . Hence,  $v_1 = v_2$  and similarly we may prove that  $u_1 = u_2$ . Thus,  $S_1 = S_2$ . Hence, the system of contiguous intervals is disjoint.

III. Let  $c \in J - K$ . The sets  $K' = K \cap \mathop{\text{E}}\limits{\uparrow}[t \geq c]$  and  $K'' = K \cap \mathop{\text{E}}\limits{\uparrow}[t \leq c]$  are compact (see 17.2.3). We have  $b \in K', a \in K''$ , hence  $K' \neq \emptyset \neq K''$ . By 17.4.1 there exist  $v = \min K', u = \max K''$ . We have  $u \leq c \leq v$ . Since  $c \in J - K, u \in K, v \in K$ , we have  $u < c < v$ , i.e.  $c \in S = \mathop{\text{E}}\limits{\uparrow}[u < t < v]$ . Obviously  $S$  is a contiguous interval.

**17.8.2.** *Systems of contiguous intervals are countable.*

This follows by 16.1.5, 16.2.1 and 17.8.1.

**17.8.3.** Let  $a \in \mathbf{E}_1, b \in \mathbf{E}_1, a < b$ . Let  $\mathfrak{M}$  be a disjoint (possibly void) system of intervals of the form  $E[u < t < v]$ , where  $a \leq u < v \leq b$ . Then there is exactly one compact set  $K \subset \mathbf{E}_1$  with  $a = \min K, b = \max K$ , such that  $\mathfrak{M}$  is the system of all its contiguous intervals.

*Proof:* Put  $J = E[a \leq t \leq b], M = \bigcup_{X \in \mathfrak{M}} X$ . Evidently  $M \subset J$ . If the required set exists, it must be identical with  $J - M$  by 17.8.1. Thus, put  $K = J - M$ . The set  $M$  is (see 8.5.3) open in  $\mathbf{E}_1$ , so that  $K$  is closed in  $\mathbf{E}_1$  and bounded, and hence compact. Obviously  $a = \min K, b = \max K$ . It remains to be shown that  $\mathfrak{M}$  is the system of all contiguous intervals of  $K$ .

Let  $S = E[u < t < v] \in \mathfrak{M}$ . We have  $S \subset M$ , hence  $S \cap K = \emptyset$ . If there were a  $v \in M$ , there would be an interval  $S_1 \in \mathfrak{M}, v \in S_1$ . We see easily that  $S_1 \cap S \neq \emptyset, S \neq S_1$ , which is a contradiction. Thus,  $v \in K$ , and similarly  $u \in K$ . Hence, every  $S \in \mathfrak{M}$  is a contiguous interval. Since, by 17.8.1,  $M$  is the disjoint union of all contiguous intervals, we deduce easily that every contiguous interval is in  $\mathfrak{M}$ .

For a moment, let us denote by  $M_3$  the set of all the sequences  $\{j_n\}_{n=1}^\infty$  such that their terms are 0, 1 or 2, and by  $M_2$  the set of all  $\{i_n\}_{n=1}^\infty$  such that their terms are either 0 or 2. It is well-known that: [1] if  $\{j_n\} \in M_3$ , then  $\sum_{n=1}^\infty j_n/3^n \in J$ , where  $J = E[0 \leq t \leq 1]$ ; [2] if  $t \in J, t \neq 0, t \neq 1$ , and if there is an index  $m$  such that  $t \cdot 3^m$  is an integer, then there are exactly two sequences  $\{j_m\} \in M_3$  with  $\sum_{n=1}^\infty j_n/3^n = t$  (if we find the least possible  $m$ , then exactly one from the two numbers  $j_m$  is equal to 1, and for  $n > m$  there is always in one sequence a  $j_n = 0$  and in the other always a  $j_n = 2$ ); [3] if  $t \in J$  and if no number  $t \cdot 3^m$  is an integer, then there is exactly one sequence  $\{j_n\} \in M_3$  with  $\sum_{n=1}^\infty j_n/3^n = t$  (and there is infinitely many  $n$  such that  $j_n \neq 0$  and infinitely many  $n$  such that  $j_n \neq 2$ ). Denote by  $D$  the set of all the numbers  $\sum_{n=1}^\infty i_n/3^n$  with  $\{i_n\} \in M_2$ . The set  $D$  is called the (Cantor) discontinuum. Put

$$S = E[1/3 < t < 2/3]; \tag{1}$$

if  $n = 1, 2, 3, \dots$  and if every one of the indices  $i_1, i_2, \dots, i_n$  has either the value 0 or the value 2, put

$$S_{i_1 i_2 \dots i_n} = E \left[ \sum_{k=1}^n \frac{i_k}{3^k} + \frac{1}{3^{n+1}} < t < \sum_{k=1}^n \frac{i_k}{3^k} + \frac{2}{3^{n+1}} \right]. \tag{2}$$

Denote by  $\mathfrak{M}$  the system consisting of the interval (1) and all the intervals (2). We see easily (see 17.8.3) that the set  $D$  is compact,  $\min D = 0, \max D = 1$ , and that  $\mathfrak{M}$  is the system of all the contiguous intervals of the set  $D$ . Put

$$H_0 = E[0 \leq t \leq \frac{1}{3}], \quad H_2 = E[\frac{2}{3} \leq t \leq 1];$$

if  $n = 2, 3, 4, \dots$  and if every one of the indices  $i_1, i_2, \dots, i_n$  has either the value 0 or the value 2, put

$$H_{i_1 i_2 \dots i_n} = E \left[ \sum_{k=1}^n \frac{i_k}{3^k} \leq t \leq \sum_{k=1}^{n-1} \frac{i_k}{3^k} + \frac{i_n + 1}{3^n} \right].$$

Then we have

$$J - S = H_0 \cup H_2$$

with disjoint summands on the right-hand side, and, for  $n = 1, 2, 3, \dots$

$$J - (S \cup \bigcup S_{i_1} \cup \dots \cup S_{i_1 i_2} \cup \dots \cup \bigcup S_{i_1 i_2 \dots i_n}) = \bigcup H_{i_1 i_2 \dots i_n i_{n+1}},$$

hence

$$D = \bigcap_{n=1}^{\infty} \bigcup H_{i_1 i_2 \dots i_n}.$$

For every  $x \in D$  there is exactly one sequence  $\{i_n\} \in M_2$  such that  $x = \sum_{n=1}^{\infty} i_n/3^n$ .

We see easily that then

$$x = \sum_{n=1}^{\infty} \frac{i_n}{3^n} = \bigcap H_{i_1 i_2 \dots i_n}.$$

**17.8.4.** Let  $P \neq \emptyset$  be a compact space. Then there exists a continuous mapping  $f$  of the discontinuum  $D$  onto  $P$ .

*Proof:* I. Choose a  $\delta > 0$ . By 17.1.4 there exists a finite number of points  $a_k \in P$  ( $1 \leq k \leq m$ ) such that

$$P = \bigcup_{k=1}^m \bar{\Omega}(a_k, \delta).$$

Choose a  $h = 1, 2, 3, \dots$  with  $m \leq 2^h$  (the number  $h$  may be chosen greater than a prescribed number) and put  $a_k = a_m$  for  $m + 1 \leq k \leq 2^h$ . Then

$$P = \bigcup_{k=1}^{2^h} \bar{\Omega}(a_k, \delta).$$

The points  $a_k$  ( $1 \leq k \leq 2^h$ ) may be denoted by  $b_{i_1 i_2 \dots i_h}$  where each one of the indices  $i_1, i_2, \dots, i_h$  has either the value 0 or the value 2. Put

$$\bar{\Omega}(b_{i_1 i_2 \dots i_h}, \delta) = P_{i_1 i_2 \dots i_h}.$$

Then

$$P = \bigcup P_{i_1 i_2 \dots i_h}, \quad d(P_{i_1 i_2 \dots i_h}) < 2\delta$$

and the sets  $P_{i_1 i_2 \dots i_h}$  are non-void and compact (see 17.2.2).

II. Let us carry out the construction just described with the given space  $P$  and  $\delta = 1/2^2$ . Let us denote the number  $h$  by  $h_1$ . Now, let us carry out the construction again with any one from the  $2^{h_1}$  spaces  $P_{i_1 i_2 \dots i_{h_1}}$  and  $\delta = 1/2^3$ ; we may assume that

the number  $h$  has in all  $2^{h_1}$  cases the same value, which we denote by  $h_2 - h_1$ . For every  $P_{i_1 i_2 \dots i_{h_1}}$  we obtain  $2^{h_2 - h_1}$  spaces  $P_{i_1 i_2 \dots i_{h_2}}$ . With any  $P_{i_1 i_2 \dots i_{h_2}}$  and  $\delta = 1/2^4$  we again carry out the construction, choosing always the same value  $h_3 - h_2$  for  $h$ . Proceeding this way we obtain natural numbers  $h_1 < h_2 < h_3 < \dots$  and compact sets  $P_{i_1 i_2 \dots i_{h_n}}$  (every index has the value 0 or 2) such that

$$d(P_{i_1 i_2 \dots i_{h_n}}) < 1/2^n, \tag{1}$$

$$P = \bigcup P_{i_1 i_2 \dots i_{h_1}}, \tag{2}$$

$$P_{i_1 i_2 \dots i_{h_n}} = \bigcup P_{i_1 i_2 \dots i_{h_{n+1}}} \tag{3}$$

where, on the right-hand sides, the summation indices are  $i_{h_n+1}, i_{h_n+2}, \dots, i_{h_{n+1}}$ .

For every point  $t \in D$  there is exactly one sequence  $\{i_n\} \in M_2$  with  $t = \sum_{n=1}^{\infty} i_n/3^n$ . The set

$$\bigcap_{n=1}^{\infty} P_{i_1 i_2 \dots i_{h_n}} \tag{4}$$

consists, by (1) and (3), of exactly one point (see 15.7.1, 17.2.1 and 17.2.2), which we denote by  $f(t)$ . In this way we obtain a mapping  $f$  of  $D$  into  $P$ .

For every  $x \in P$  there is, by (2) and (3), at least one sequence  $\{i_n\} \in M_2$  such that  $x$  belongs to the set (4). Thus,  $f$  is a mapping of  $D$  onto  $P$ .

Choose a point  $t_0 = \sum_{n=1}^{\infty} i_n^{(0)}/3^n \in D$ ; hence  $\{i_n^{(0)}\} \in M_2$ . Let  $\varepsilon > 0$ . Determine an  $m$  with  $2^{-m} < \varepsilon$ . We may prove easily that [1]  $t_0 \in H_{i_1^{(0)} i_2^{(0)} \dots i_m^{(0)}}$ , [2] if  $(i_1, i_2, \dots, i_m) \neq (i_1^{(0)}, i_2^{(0)}, \dots, i_m^{(0)})$  then  $\varrho(t_0, H_{i_1 i_2 \dots i_m}) \geq 1/3^m$ . Hence, for  $t \in D$ ,  $|t - t_0| < 1/3^m$ , we have  $t \in H_{i_1^{(0)} i_2^{(0)} \dots i_m^{(0)}}$ ; hence, for  $t \in D$ ,  $|t - t_0| < 1/3^m$ ,  $t = \sum_{n=1}^{\infty} i_n/3^n$ ,  $\{i_n\} \in M_2$  we have  $f(t) \in P_{i_1^{(0)} i_2^{(0)} \dots i_m^{(0)}}$ , hence  $\varrho[f(t), f(t_0)] \leq d(P_{i_1^{(0)} i_2^{(0)} \dots i_m^{(0)}}) < 2^{-m} < \varepsilon$ . Thus, the mapping  $f$  is continuous.

**17.9.** We say that  $P$  is a *locally compact* space, if  $P$  is a metric space and if for every  $x \in P$  there is a neighborhood  $U$  such that its closure  $\bar{U}$  is compact. Local compactness is obviously a topological property.

**17.9.1.** A metric space is *separable and locally compact* if and only if it is *homeomorphic with an open subset of a compact space*.

*Proof:* I. Let  $G$  be an open subset of a compact space  $Q$ . Let  $P$  be homeomorphic with  $G$ . We have to prove that  $P$  is separable and locally compact. Since both properties are topological ones, it suffices to deduce this for  $G$  (instead of  $P$ ).  $G$  is separable by 16.1.2 and 17.2.6. Let  $x \in G$ . Then  $G$  is a neighborhood of the point  $x$  (in the space  $Q$ ), so that, by 10.1.2, there is a neighborhood  $U$  of  $x$  such that  $\bar{U} \subset G$ . The set  $\bar{U}$  is compact by 17.2.2. Since  $\bar{U} \subset G$ , we have  $U = G \cap U$ ,  $\bar{U} =$



$= G \cap \bar{U}$ , i.e.,  $U$  is a neighborhood of  $x$  in  $G$  and  $\bar{U}$  is the closure of  $U$  in  $G$ . Thus,  $G$  is locally compact.

II. Let  $P$  be separable and locally compact. Since  $P$  is separable, there is, by 16.5, a subset  $G$  of the Urysohn space  $\mathbf{U}$  homeomorphic with  $P$  and hence locally compact. The closure  $\bar{G}$  of the set  $G$  in  $\mathbf{U}$  is compact by 17.2.2 and 17.2.4. It remains to show that the set  $G$  is open in  $\bar{G}$ , hence, that  $\bar{G} - G$  is closed in  $\bar{G}$ . Let us assume the contrary. Then (see 8.3.3) there is a sequence  $\{x_n\}$  with  $x_n \in \bar{G} - G$  such that  $\lim x_n = x \in \bar{G}$  exists and does not belong to  $\bar{G} - G$ , so that  $x \in G$ . Since  $G$  is locally compact, there is a set  $V$  open in  $G$ , containing the point  $x$  and such that its closure in  $G$ ,  $V_0$ , is compact. By 8.7.1,  $V_0 = G \cap \bar{V}$ , where (similarly as in the following) the bar denotes the closure in  $\mathbf{U}$ . By 8.7.5,  $V = G \cap W$ , where  $W$  is open in  $\mathbf{U}$ . We have  $G = (G \cap V) \cup (G - V) = (G \cap V) \cup (G - W) \subset (G \cap \bar{V}) \cup (\mathbf{U} - W)$ . i.e.,

$$G \subset V_0 \cup (\mathbf{U} - W). \tag{1}$$

The set  $V_0$  is closed in  $\mathbf{U}$  by 17.2.2. The set  $\mathbf{U} - W$  is also closed in  $\mathbf{U}$  as  $W$  is open in  $\mathbf{U}$ . Hence, the set on the right-hand side in (1) is closed in  $\mathbf{U}$ , so that (see 8.4)  $\bar{G} \subset V_0 \cup (\mathbf{U} - W)$ , hence  $\bar{G} \cap W \subset V_0 \subset G$ . Since  $x_n \rightarrow x \in W$  and since  $W$  is open in  $\mathbf{U}$ , there is an index  $p$  such that for  $n \geq p$  we have  $x_n \in W$ , i.e.  $x_n \in \bar{G} \cap W$ , hence  $x_n \in G$ . This is a contradiction.

**17.9.2.** *A metric space  $P$  is separable and locally compact if and only if there is a compact space  $Q$  and a point  $a \in Q$  such that the set  $Q - (a)$  is homeomorphic with  $P$ .*

*Proof:* I. Let  $Q$  be a compact space. Let  $a \in Q$ . Let  $Q - (a)$  be homeomorphic with  $P$ . The set  $Q - (a)$  is open in  $Q$ . Thus,  $P$  is separable and locally compact by 17.9.1.

II. Let  $P$  be separable and locally compact. By 17.9.1 there exists a compact space  $K = (K, \varrho)$  and an open  $G \subset K$  homeomorphic with  $P$ . Denote by  $Q$  the set consisting of all points of the set  $G$  and one new element, which will be denoted by  $a$ . Let us distinguish two cases:

II $\alpha$ . Let  $P$  be compact so that  $G$  is also compact. By 17.1.2,  $d(G) < \infty$ . Let us define a finite function  $\varrho_0$  on  $Q \times Q$  as follows: for  $x \in G, y \in G$  put  $\varrho_0(x, y) = \varrho(x, y)$ , for  $x \in G$  put  $\varrho_0(a, x) = \varrho_0(x, a) = 1 + d(G)$ , finally, put  $\varrho_0(a, a) = 0$ . We see easily that  $\varrho_0$  is a distance function in  $Q$  and that the space  $(Q, \varrho_0)$  is compact. Since the partial distance functions in  $G$  determined on the one hand by the distance function  $\varrho$  on  $K \supset G$ , on the other hand by the distance function  $\varrho_0$  in  $Q \supset G$  coincide,  $P$  is homeomorphic (moreover, identical) with the set  $Q - (a)$  embedded into  $Q$ .

II $\beta$ . Let  $P$  not be compact, so that  $G$  is not compact either. By 17.2.2.  $G \neq \bar{G}$  so that  $K - G \neq ()$ ; since  $G$  is open in  $K$ ,  $K - G$  is closed in  $K$  and hence compact by 17.2.2.

Let us define a finite function  $\varrho_0$  on  $Q \times Q$  as follows: for  $x \in G, y \in G$  put

$$\varrho_0(x, y) = \min [\varrho(x, y), \varrho(x, K - G) + \varrho(y, K - G)], \tag{1}$$

for  $x \in G$  put  $\varrho_0(x, a) = \varrho_0(a, x) = \varrho(x, K - G)$ ; finally, put  $\varrho_0(a, a) = 0$ . For  $x \in Q, y \in Q$ , evidently  $\varrho_0(x, y) = \varrho_0(y, x)$ . Further,  $\varrho_0(x, y) = 0$  if  $x = y$  and  $\varrho_0(x, y) > 0$  if  $x \neq y$ , since  $\varrho(x, K - G) > 0$  for  $x \in G$  and since  $K - G = \overline{K - G}$ .

Let us define a finite function  $\varrho_1$  on  $K \times K$  as follows: for  $x \in G, y \in G$  put  $\varrho_1(x, y) = \varrho(x, y)$ ; for  $x \in G, y \in K - G$  put  $\varrho_1(x, y) = \varrho_1(y, x) = \varrho(x, K - G)$ ; for  $x \in K - G, y \in K - G$  put  $\varrho_1(x, y) = 0$ . For  $x \in Q, y \in Q$  we define, for the moment, a chain from  $x$  to  $y$  to be every finite sequence  $\{u_i\}_{i=1}^m$  such that: [1]  $u_i \in K$  for  $1 \leq i \leq m$ , [2]  $u_1 = x$  if  $x \in G$  and  $u_1 \in K - G$  if  $x = a$ , [3]  $u_m = y$  if  $y \in G$  and  $u_m \in K - G$  if  $y = a$ . The number

$$\sum_{i=1}^{m-1} \varrho_1(u_i, u_{i+1}) \quad (\text{equal to } 0 \text{ for } m = 1)$$

is called the length of the chain  $\{u_i\}_{i=1}^m$ . We may prove easily that for  $x \in Q, y \in Q$  there are chains from  $x$  to  $y$  and that the number  $\varrho_0(x, y)$  is the least length of such chains.

Let  $x \in Q, y \in Q, z \in Q$ . There is a chain  $\{u_i\}_{i=1}^m$  from  $x$  to  $y$  with length  $\varrho_0(x, y)$ . There is a chain  $\{u_i\}_{i=m+1}^{m+n}$  from  $y$  to  $z$  with length  $\varrho_0(y, z)$ . Then  $\{u_i\}_{i=1}^{m+n}$  is a chain from  $x$  to  $z$  with length on the one hand greater than or equal to  $\varrho_0(x, z)$ , on the other hand equal to  $\varrho_0(x, y) + \varrho_0(y, z)$ . Thus,  $\varrho_0(x, y) + \varrho_0(y, z) \geq \varrho_0(x, z)$ .

This proves that  $\varrho_0$  is a distance function in  $Q$ . Let us prove that the space  $(Q, \varrho_0)$  is compact. Thus, let  $\{x_n\}_1^\infty$  be a point sequence in  $Q$ . We have to prove that there is a subsequence of  $\{x_n\}$  convergent with respect to the distance function  $\varrho_0$ . This is evident if  $x_n = a$  for infinitely many indices  $n$ . In the contrary case we may find a subsequence  $\{x'_n\}_1^\infty$  of  $\{x_n\}$  such that  $x'_n \in G$  for every  $n$ . It may occur that there is a number  $\varepsilon > 0$  such that, for infinitely many indices  $n_1 < n_2 < n_3 < \dots$ ,  $\varrho(x'_{n_i}, K - G) \geq \varepsilon$ . Then we have for every  $i$

$$x'_{n_i} \in K - \Omega_K(K - G, \varepsilon) = L.$$

The set  $\Omega_K(K - G, \varepsilon)$  is open in  $K$ . Hence,  $L$  is closed in  $K$ . Hence, by 17.2.2  $L$  is a compact space (with respect to the partial distance function determined in  $L$  by the distance function  $\varrho$  of the space  $K$ ). Thus, there is a subsequence  $\{y_n\}_1^\infty$  of  $\{x'_{n_i}\}_1^\infty$  such that there is a point  $y \in L$  with  $\varrho(y_n, y) \rightarrow 0$ . As  $\varrho_0(y_n, y) \leq \varrho(y_n, y)$ , we also have  $\varrho_0(y_n, y) \rightarrow 0$ , i.e. the sequence  $\{y_n\}$  is convergent with respect to the distance function  $\varrho_0$ . There remains the case where for every  $\varepsilon > 0$  there is an index  $p$  such that for  $n \geq p$  we always have  $\varrho(x'_n, K - G) < \varepsilon$ . Then  $\varrho_0(x'_n, a) = \varrho(x'_n, K - G) \rightarrow 0$ ; hence,  $x'_n \rightarrow a$  with respect to the distance function  $\varrho_0$ .

It remains to be shown that both the partial distance functions determined in  $G$ , on the one hand by the distance function  $\varrho$  in  $K \supset G$  and on the other hand by the

distance function  $\varrho_0$  in  $Q \supset G$ , are equivalent, i.e. that, for  $x_n \in G$ ,  $x \in G$  we have

$$\varrho(x_n, x) \rightarrow 0 \quad \text{if and only if} \quad \varrho_0(x_n, x) \rightarrow 0.$$

First, if  $\varrho(x_n, x) \rightarrow 0$ , we have  $\varrho_0(x_n, x) \rightarrow 0$ , since  $\varrho_0(x_n, x) \leq \varrho(x_n, x)$ . Let, secondly,  $\varrho_0(x_n, x) \rightarrow 0$ . As  $x \in G$  and  $K - G = \overline{K - G}$ , we have  $\varrho(x, K - G) > 0$ , so that there is an index  $p$  such that, for  $n \geq p$ ,  $\varrho_0(x_n, x) < \varrho(x, K - G) \leq \varrho(x_n, K - G) + \varrho(x, K - G)$ . By (1), for  $n \geq p$  we have  $\varrho_0(x_n, x) = \varrho(x_n, x)$ , so that  $\varrho(x_n, x) \rightarrow 0$ .

**17.10.** 16.1.5 and 17.2.3 yield:

**17.10.1.** *The euclidean space  $\mathbf{E}_m$  ( $m = 1, 2, 3, \dots$ ) is separable and locally compact: however, it is not compact.*

By 17.9.2 there is a compact space  $Q$  and a point  $a \in Q$  such that  $\mathbf{E}_m$  is homeomorphic with  $Q - (a)$ . We are going to construct such a space by means of the elementary calculus.

The set of all points  $x = (x_0, x_1, \dots, x_m)$  of the euclidean space  $\mathbf{E}_{m+1}$  with  $\sum_{i=0}^m x_i^2 = 1$  will be called the *m-dimensional spherical space* ( $m = 0, 1, 2, 3, \dots$ ) and denoted by  $\mathbf{S}_m$ . The distance function in  $\mathbf{S}_m$  is certainly the partial distance function of the usual one in  $\mathbf{E}_{m+1}$ . The space  $\mathbf{S}_0$  consists of exactly two points, while the spaces  $\mathbf{S}_m$  ( $m = 1, 2, 3, \dots$ ) are infinite.

9.5 and 17.2.3 yield:

**17.10.2.** *The spherical space  $\mathbf{S}_m$  ( $m = 0, 1, 2, \dots$ ) is compact.*

**17.10.3.** *Let  $a \in \mathbf{S}_m$ ,  $b \in \mathbf{S}_m$  ( $m = 0, 1, 2, \dots$ ). There exists an isometrical mapping  $f$  of  $\mathbf{S}_m$  onto  $\mathbf{S}_m$  such that  $f(a) = b$ .*

*Proof:* I. We shall prove that, for  $-1 \leq i \leq m - 1$  there is an isometrical mapping  $f_i$  of  $\mathbf{S}_m$  onto  $\mathbf{S}_m$  such that if  $f_i(a) = c_i = (c_{i0}, c_{i1}, \dots, c_{im})$ , then, for  $0 \leq j \leq i$ ,  $c_{ij} = 0$ . This statement is trivial for  $i = -1$ . Let it hold for some  $i$  ( $-1 \leq i \leq m - 2$ ). It suffices to prove that then it also holds for  $i + 1$ . This is evident if  $c_{i,i+1} = 0$ . In the contrary case put, for  $(x_0, x_1, \dots, x_m) \in \mathbf{S}_m : \varphi(x_0, x_1, \dots, x_m) = (x'_0, x'_1, \dots, x'_m)$ , where

$$x'_{i+1} = \frac{c_{i,i+2}x_{i+1} + c_{i,i+1}x_{i+2}}{\sqrt{(c_{i,i+1}^2 + c_{i,i+2}^2)}},$$

$$x'_{i+2} = \frac{-c_{i,i+1}x_{i+1} + c_{i,i+2}x_{i+2}}{\sqrt{(c_{i,i+1}^2 + c_{i,i+2}^2)}},$$

$$x'_j = x_j, \quad 0 \leq j \leq m, \quad i + 1 \neq j \neq i + 2.$$

If we put  $c_{i+1} = (c_{i+1,0}, c_{i+1,1}, \dots, c_{i+1,m})$ , where  $c_{i+1,i+1} = 0$ ,  $c_{i+1,i+2} = \sqrt{c_{i,i+1}^2 + c_{i,i+2}^2}$ ,  $c_{i+1,j} = c_{ij}$  for  $0 \leq j \leq m$ ,  $i+1 \neq j \neq i+2$ , we see easily that  $c_{i+1} \in \mathbf{S}_m$ , that  $c_{i+1,j} = 0$  for  $0 \leq j \leq i+1$ , that  $\varphi$  is an isometrical mapping of  $\mathbf{S}_m$  onto  $\mathbf{S}_m$  and that  $\varphi(c_{i+1}) = c_i$ . The required isometrical mapping  $f_{i+1}$  will be evidently obtained putting  $f_{i+1}(x) = \varphi_{-1}[f_i(x)]$  for  $x \in \mathbf{S}_m$ .

II. By I (where we put  $i = m - 1$ ) there is an isometrical mapping  $f'$  of  $\mathbf{S}_m$  onto  $\mathbf{S}_m$  with either  $f'(a) = (0, \dots, 0, 1)$  or  $f'(a) = (0, \dots, 0, -1)$ . Since there is an isometrical mapping  $h$  of  $\mathbf{S}_m$  onto  $\mathbf{S}_m$  such that  $h(0, \dots, 0, -1) = (0, \dots, 0, 1)$  [it suffices to put  $h(x_0, x_1, \dots, x_m) = (-x_0, -x_1, \dots, -x_m)$ ], we may assume that  $f'(a) = (0, \dots, 0, 1)$ . Similarly, there is an isometrical mapping  $f''$  of  $\mathbf{S}_m$  onto  $\mathbf{S}_m$  such that  $f''(b) = (0, \dots, 0, 1)$ . Putting  $f(x) = f''_{-1}[f'(x)]$  we obtain an isometrical mapping  $f$  such that  $f(a) = b$ .

**17.10.4.** Let  $a \in \mathbf{S}_m$  ( $m = 1, 2, 3, \dots$ ). The spaces  $\mathbf{E}_m$  and  $\mathbf{S}_m - (a)$  are homeomorphic.

*Proof:* By 17.10.3 we may assume that  $a = (1, 0, \dots, 0)$ . For  $(x_0, x_1, \dots, x_m) \in \mathbf{S}_m - (a)$  put  $f(x_0, x_1, \dots, x_m) = (y_1, y_2, \dots, y_m)$  where

$$y_i = \frac{x_i}{1 - x_0}, \quad (1 \leq i \leq m). \quad (1)$$

We calculate easily that equations (1) are equivalent to the equations

$$x_0 = \frac{\sum_{i=1}^m y_i^2 - 1}{\sum_{i=1}^m y_i^2 + 1}, \quad x_j = \frac{2y_j}{\sum_{i=1}^m y_i^2 + 1}, \quad (1 \leq j \leq m). \quad (2)$$

It follows easily that  $f$  is a one-to-one mapping of  $\mathbf{S}_m - (a)$  onto  $\mathbf{E}_m$  and that both the mappings  $f$  and  $f_{-1}$  are continuous.

### Exercises

- 17.1. If  $P$  and  $Q$  are totally bounded spaces, then  $P \times Q$  is a totally bounded space.  
 17.2.\* If  $P$  and  $Q$  are compact spaces, then  $P \times Q$  is compact.  
 17.3. If sets  $A \subset P$  and  $B \subset P$  are totally bounded, then  $A \cup B$  is totally bounded.  
 17.4.\* If  $A \subset P$  and  $B \subset P$  are compact sets, then  $A \cup B$  is compact.  
 17.5. Let  $A \subset P$ . The closure  $\bar{A}$  is compact if and only if every point sequence  $\{x_n\}$  in  $A$  has a convergent subsequence (in  $P$ ; the limit need not belong to  $A$ ).  
 17.6. Let  $P$  be a compact space. Let  $A_n \subset P$ ,  $A_n \supset \bar{A}_{n+1}$ . Let  $G$  be a neighborhood of the set  $\bigcap_{n=1}^{\infty} A_n$ . Then there is an index  $m$  such that  $A_n \subset G$  for every  $n > m$ .

17.7. Let  $Q$  be the completion of a metric space  $P$ .  $P$  is totally bounded if and only if  $Q$  is compact.

A metric space is said to be  $\sigma$ -compact, if  $P = \bigcup_{n=1}^{\infty} A_n$ , where every summand is compact.

17.8. Let  $P$  be a  $\sigma$ -compact space. A point set  $A \subset P$  is  $\sigma$ -compact if and only if it is  $F_{\sigma}(P)$ .

17.9. An isolated metric space is compact if and only if it is finite.

17.10. Let  $A \subset E_m, B \subset E_m$ . Let  $A \neq \emptyset \neq B$ . Let  $A$  be closed and  $B$  bounded. Then there exists a point  $y \in A$  such that

$$\varrho(y, B) = \min_{x \in A} \varrho(x, B) = \varrho(A, B).$$

17.11. Let  $A \subset E_m, B \subset E_m$ . Let  $A \neq \emptyset \neq B$ . Let  $A$  and  $B$  be closed; let  $A$  be bounded. Then there exist points  $y_1 \in A, y_2 \in B$  such that

$$\varrho(y_1, y_2) = \min_{\substack{x_1 \in A \\ x_2 \in B}} \varrho(x_1, x_2) = \varrho(A, B).$$

17.12. Let  $f$  be a continuous mapping of a metric space  $P$  into a metric space  $Q$ . Let  $A \subset P$  be compact. Let  $\varepsilon > 0$ . Then there is a  $\delta > 0$  such that

$$x \in A, y \in P, \varrho(x, y) < \delta \Rightarrow \varrho[f(x), f(y)] < \varepsilon.$$

In the exercises 17.13—17.16,  $P^*$  is the Hausdorff hyperspace of  $P$ .

17.13. If  $P$  is not complete, then  $P^*$  is not complete.

17.14. If  $P$  is not totally bounded, then  $P^*$  is not totally bounded.

17.15. If  $P$  is not separable, then  $P^*$  is not separable.

17.16. If  $P$  is not compact, then  $P^*$  is not compact.

17.17. If  $P = K = E[0 \leq t \leq 1]$  and if  $f_n(t) = t^n$ , then there is no subsequence of  $\{f_n\}$  convergent in  $P^K$ . Thus,  $P^K$  is not compact, while  $K$  and  $P$  are compact.

17.18. Deduce theorem 17.6.7 directly, without use of theorems 17.2.5, 17.6.4 and 17.6.6.

17.19. Deduce theorem 16.7 from theorems 16.5, 17.2.4 and 17.6.8.

17.20.\* Every open subset of a locally compact space is a locally compact space.

17.21. A locally compact space is  $\sigma$ -compact if and only if it is separable.

17.22. Let the assumptions and notation of 17.9.2 be preserved. Let  $f$  be a homeomorphic mapping of  $P$  onto  $Q - (a)$ . Let  $\{x_n\}$  be a point sequence in  $P$ . We have  $f(x_n) \rightarrow a$  if and only if there is no convergent subsequence of  $\{x_n\}$ .

17.23.\*  $\mathbf{R}$  (see 9.4) is a compact space.

17.24. Let  $a \in E_1, b \in E_1, a < b, P = E[a \leq t \leq b]$ . If  $c > 0, \alpha > 0$ , denote by  $\Psi(\alpha, c)$  the system of all the finite functions  $f$  on  $P$  such that

$$x \in P, y \in P \text{ imply } |f(x) - f(y)| \leq c |x - y|^\alpha.$$

If  $\alpha > 0$ , put  $\Phi(\alpha) = \bigcup_{c>0} \Psi(\alpha, c)$ . We say that a function  $f$  on  $P$  satisfies the Lipschitz condition of the order  $\alpha$  if  $f \in \Phi(\alpha)$ . If  $f \in \Phi(\alpha), \alpha > 1$ , then  $f$  is a constant. Let  $0 < \alpha < \beta \leq 1$ , so that  $\Phi(\alpha) \supset \Phi(\beta)$ . Let  $c > 0$ . If  $f_1 \in \Psi(\alpha, c), f_2 \in \Psi(\alpha, c)$ , put

$$\varrho(f_1, f_2) = \max_{x \in P} |f_1(x) - f_2(x)|.$$

Then  $\Psi(\alpha, c) = [\Psi(\alpha, c), \rho]$  is a complete space. The set

$$\Phi(\beta) \cap \Psi(\alpha, c)$$

is of the first category in  $\Psi(\alpha, c)$ . Thus, by 15.8.2, there is a function  $f \in \Phi(\alpha)$  such that  $f \in \Phi(\beta)$  for no  $\beta > \alpha$ . Moreover, it may be shown that there is a function which satisfies the Lipschitz condition of order  $\alpha$  while for no  $\beta > \alpha$  and no interval  $Q = E[a_1 \leq t \leq b_1] \subset P$

does the partial function  $f_Q$  satisfy the Lipschitz condition of order  $\beta$ .

- 17.25.** State the so called Borel (Heine-Borel) theorem. This is obtained from theorem 17.2.3 interpreting the word "compact" in the sense of theorem 17.5.4.