

Xiao-He Hu

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COMPLEX SYMMETRY OF TOEPLITZ OPERATORS  
ON THE WEIGHTED BERGMAN SPACES

XIAO-HE HU, Xinxiang

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*Abstract.* We give a concrete description of complex symmetric monomial Toeplitz operators  $T_{z^p \bar{z}^q}$  on the weighted Bergman space  $A^2(\Omega)$ , where  $\Omega$  denotes the unit ball or the unit polydisk. We provide a necessary condition for  $T_{z^p \bar{z}^q}$  to be complex symmetric. When  $p, q \in \mathbb{N}^2$ , we prove that  $T_{z^p \bar{z}^q}$  is complex symmetric on  $A^2(\Omega)$  if and only if  $p_1 = q_2$  and  $p_2 = q_1$ . Moreover, we completely characterize when monomial Toeplitz operators  $T_{z^p \bar{z}^q}$  on  $A^2(\mathbb{D}_n)$  are  $J_U$ -symmetric with the  $n \times n$  symmetric unitary matrix  $U$ .

*Keywords:* complex symmetry; Toeplitz operator; weighted Bergman space

*MSC 2020:* 47B35, 32A36

## 1. INTRODUCTION

The general study of complex symmetric operators was initiated by Garcia and Putinar in [4] and followed up by many mathematicians over the past decade, see [5], [6], [7] for more details. In particular, weighted shifts play a basic role in exploring the structure of complex symmetric operators. By using Kakutani's unilateral weighted shift operator, Zhu et al. in [17] gave a negative answer to the question of whether or not the class of complex symmetric operators is norm closed. Garcia and Poore in [3] solved this problem via the unilateral shift and its adjoint to construct a different counterexample. Guo et al. in [8] characterized the weighted shifts with nonzero weights to be norm limits of complex symmetric operators.

As a natural extension of the classical weighted shift, monomial Toeplitz operators on weighted Bergman spaces enjoy interesting structure and properties. Inspired by Zhu and Li (see [16]), who completely determined when a weighted shift is complex symmetric, the aim of this paper is to give a characterization of monomial Toeplitz operators on weighted Bergman spaces being complex symmetric. Note

that  $\dim \ker T_{z^p \bar{z}^q} = \dim \ker T_{z^q \bar{z}^p}$  is a necessary condition for  $T_{z^p \bar{z}^q}$  to be complex symmetric, see [5], Proposition 1 for more details. When  $n = 1$ , it is easy to check that  $\dim \ker T_{z^p \bar{z}^q} = \dim \ker T_{z^q \bar{z}^p}$  if and only if  $p = q$  (i.e., the symbol function is radial); it is often called the *trivial case*. In the higher dimensional case, as we all know, the problem will become more complicated and difficult. Indeed, when  $n \geq 2$ ,  $\dim \ker T_{z^p \bar{z}^q} = \dim \ker T_{z^q \bar{z}^p} = \infty$  will appear for many different pairs  $(p, q)$ , thus it is worth to study the complex symmetry of Toeplitz operators  $T_{z^p \bar{z}^q}$ . Throughout this paper we consider the case  $n \geq 2$ . The reader is referred to [1], [9], [10], [12], [13], [14], [15] for more results about complex symmetric Toeplitz operators.

Let  $\mathbb{B}_n$  be the unit ball in  $\mathbb{C}_n$ . For any  $t > -1$ , the weighted Lebesgue measure  $dv_t$  is defined by

$$dv_t(z) = \frac{\Gamma(n+t+1)}{n! \Gamma(t+1)} (1 - |z|^2)^t dV(z),$$

where  $dV(z)$  denotes the standard volume measure on  $\mathbb{B}_n$ . Another domain in  $\mathbb{C}_n$  we consider is the unit polydisk  $\mathbb{D}_n$ , write

$$dv(z) = \prod_{i=1}^n dA(z_i),$$

where  $dA$  is the normalized area measure on the unit disk  $\mathbb{D}$ .

For the sake of simplicity, letting  $[n] = \{1, 2, \dots, n\}$ , denote  $\Omega$  as  $\mathbb{B}_n$  or  $\mathbb{D}_n$  and let  $L^2(\Omega)$  be the square integrable function spaces equipped with the corresponding weighted measure  $dv_t(z)$  or  $dv(z)$ . The weighted Bergman space  $A^2(\Omega)$  is the closed subspace of  $L^2(\Omega)$  consisting of all holomorphic functions on  $\Omega$ . Let  $P$  be the projection from  $L^2(\Omega)$  onto  $A^2(\Omega)$ . For  $\varphi \in L^\infty(\Omega)$ , the Toeplitz operator  $T_\varphi$  on  $A^2(\Omega)$  is defined by  $T_\varphi(f) = P(\varphi f)$  for all  $f \in A^2(\Omega)$ .

A conjugation on a complex Hilbert space  $\mathcal{H}$  is an anti-linear operator  $\mathcal{C}: \mathcal{H} \rightarrow \mathcal{H}$  such that  $\mathcal{C}^2 = I$  and  $\langle \mathcal{C}f, \mathcal{C}g \rangle = \langle g, f \rangle$  for all  $f, g \in \mathcal{H}$ . A bounded linear operator  $T$  on  $\mathcal{H}$  is called *complex symmetric* if there exists a conjugation  $\mathcal{C}$  such that  $T\mathcal{C} = \mathcal{C}T^*$  ( $\mathcal{C}T\mathcal{C} = T^*$ ), we also say that  $T$  is a  $\mathcal{C}$ -symmetric operator.

Before stating our main theorems, we require a few notations.

**Definition 1.** Given a tuple  $p, q \in \mathbb{N}^n$ , we say a pair  $(p, q)$  is in standard form if  $(p, q)$  satisfies the following conditions:

$$(1) \quad p - q = (a_1, \dots, a_{k_1}, -a_{k_1+1}, \dots, -a_{k_1+k_2}, a_{n-k_3+1}, \dots, a_n),$$

where  $a_i > 0$  for  $1 \leq i \leq k_1 + k_2$ ,  $a_i = 0$  for  $n - k_3 + 1 \leq i \leq n$ . Here  $k_1, k_2, k_3$  may take 0.

For any fixed  $m_i \in \mathbb{N}$ ,  $i \in [n]$ , let

$$I_{m_1, m_2, \dots, m_n} = \overline{\text{span}}\{z_1^{\alpha_1} z_2^{\alpha_2} \dots z_n^{\alpha_n} : m_1 a_1 \leq \alpha_1 < (m_1 + 1)a_1, \dots, m_{k_1+k_2} a_{k_1+k_2} \\ \leq \alpha_{k_1+k_2} < (m_{k_1+k_2} + 1)a_{k_1+k_2}, m_{n-k_3+1} \leq \alpha_{n-k_3+1} \\ < m_{n-k_3+1} + 1, \dots, m_n \leq \alpha_n < m_n + 1\}.$$

It follows that  $\bigoplus_{m_1, m_2, \dots, m_n} I_{m_1, m_2, \dots, m_n} = A^2(\Omega)$ .

Observe that for each pair  $(p, q)$  there is a permutation matrix  $V$  such that  $(Vp, Vq)$  is in standard form. For example, if  $p = (1, 3, 4, 6, 0, 1)$ ,  $q = (3, 2, 5, 4, 0, 2)$ , let

$$V = \begin{pmatrix} 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 1 & 0 \end{pmatrix},$$

then  $Vp = (3, 6, 1, 4, 1, 0)$ ,  $Vq = (2, 4, 3, 5, 2, 0)$  (i.e.,  $(Vp, Vq)$  is in standard form). For a pair  $(p, q)$  in standard form, write  $p = (p_1, \dots, p_n) = (p_{(1)}, \dots, p_{(3)})$  with  $p_{(j)} = (p_{k_1+\dots+k_{j-1}+1}, \dots, p_{k_1+\dots+k_j}) \in \mathbb{N}^{k_j}$  for  $j = 1, 2, 3$ . There is a similar notation for  $q$ .

**Definition 2.** Suppose that  $U_1 = (b_{ij})_{1 \leq i, j \leq n}$  is a symmetric permutation of  $\text{diag}\{e^{i\theta_1}, e^{i\theta_2}, \dots, e^{i\theta_n}\}$ , where  $\theta_i \in \mathbb{R}$ ,  $i \in [n]$ . We say matrix  $A = (a_{ij})_{1 \leq i, j \leq n}$  is mutually associated with  $U_1$  if

$$a_{ij} = \begin{cases} 0 & \text{if } b_{ij} = 0, \\ 1 & \text{if } b_{ij} = e^{i\theta_j}, \end{cases}$$

where  $j \in \mathbb{R}$ ,  $i \in [n]$ .

**Definition 3.** The *rising factorial* is defined by  $x^{(n)} = x(x+1)(x+2)\dots(x+n-1)$ . The *falling factorial* is defined as  $x_{(n)} = x(x-1)\dots(x-n+1)$ . Moreover, the rising factorial can be extended to real values of  $n$  using the gamma function provided  $x$  and  $x+n$  are real numbers that are nonnegative integers:

$$x^{(n)} = \frac{\Gamma(x+n)}{\Gamma(x)}.$$

And so can the falling factorial:

$$x_{(n)} = \frac{\Gamma(x+1)}{\Gamma(x-n+1)}.$$

The following theorem completely characterizes the complex symmetry of Toeplitz operators  $T_{z^p \bar{z}^q}$  on the weighted Bergman space  $A^2(\Omega)$ .

**Theorem 4.** *Let  $p, q \in \mathbb{N}^2$ . Then  $T_{z^p \bar{z}^q}$  is complex symmetric on  $A^2(\Omega)$  if and only if  $p_1 = q_2$  and  $p_2 = q_1$ .*

An exact description of complex symmetry of  $T_{z^p \bar{z}^q}$  on  $A^2(\Omega)$  (when  $n \geq 3$ ) is difficult, though the property of conjugation  $\mathcal{C}$  can be given, see Lemma 9. Following this idea, is it natural to ask when  $T_{z^p \bar{z}^q}$  is complex symmetric on  $A^2(\Omega)$  with some special conjugation? We will answer this question for the case of  $A^2(\mathbb{D}_n)$ .

Consider the anti-linear mapping  $J_U: A^2(\mathbb{D}_n) \rightarrow A^2(\mathbb{D}_n)$ ,  $(J_U f)(z) = \overline{f(U\bar{z})}$ , where  $f \in A^2(\mathbb{D}_n)$ ,  $z \in \mathbb{D}_n$ ,  $U$  is a symmetric permutation of  $\text{diag}\{e^{i\theta_1}, e^{i\theta_2}, \dots, e^{i\theta_n}\}$  with  $\theta_i \in \mathbb{R}$ ,  $i \in [n]$ . Since  $U$  is symmetric and unitary, it is easy to check that  $J_U$  defines a conjugation on  $A^2(\mathbb{D}_n)$ .

The following theorem first investigates when a Toeplitz operator  $T_{z^p \bar{z}^q}$  (the pair  $(p, q)$  is in standard form) is complex symmetric for some conjugation  $J_U$ .

**Theorem 5.** *Let  $p, q \in \mathbb{N}^n$  and  $(p, q)$  is in standard form. Then the following statements are equivalent:*

- (a)  $T_{z^p \bar{z}^q}$  is complex symmetric on  $A^2(\mathbb{D}_n)$  for a conjugation  $J_U$ .
- (b)  $p = (p_{(1)}, p_{(2)}, p_{(3)})$ ,  $q = (A_1 p_{(2)}, A_1^t p_{(1)}, p_{(3)})$ , where  $A_1 \in M_{k_1}(\mathbb{C})$  is a permutation matrix. Moreover, we get all possible matrices  $U$  such that  $T_{z^p \bar{z}^q}$  is  $J_U$ -symmetric with

$$(2) \quad U = \begin{pmatrix} & U_1 & \\ U_1^t & & \\ & & U_3 \end{pmatrix},$$

where  $U_1 \in M_{k_1}(\mathbb{C})$  is a permutation matrix of  $\text{diag}\{e^{i\theta_1}, \dots, e^{i\theta_{k_1}}\}$  with  $(\theta_1, \dots, \theta_{k_1}) \in \mathbb{R}^{k_1}$  and  $U_3 \in M_{k_3}(\mathbb{C})$  is a symmetric permutation matrix of  $\text{diag}\{e^{i\theta_{k_1+k_2+1}}, \dots, e^{i\theta_{k_1+k_2+k_3}}\}$  with  $(\theta_{k_1+k_2+1}, \dots, \theta_{k_1+k_2+k_3}) \in \mathbb{R}^{k_3}$ .

Note that Proposition 8 shows that  $T_{z^p \bar{z}^q}$  is complex symmetric with  $J_U$  if and only if  $T_{z^p \bar{z}^q}$  is complex symmetric with  $J_{V^t U V}$ , where  $V$  is a permutation matrix such that  $(Vp, Vq)$  is in standard form. For general  $p, q \in \mathbb{N}^n$ , combining Proposition 8 with Theorem 5 we have the following result.

**Theorem 6.** *Let  $p, q \in \mathbb{N}^n$ . Then the following statements are equivalent:*

- (a)  $T_{z^p \bar{z}^q}$  is complex symmetric on  $A^2(\mathbb{D}_n)$  for some conjugation  $J_U$ .

- (b)  $\text{card}\{1 \leq i \leq n: p_i < q_i\} = \text{card}\{1 \leq j \leq n: p_j > q_j\}$  and  $p = V^tAVq$ , where  $V$  is an  $n \times n$  permutation matrix such that  $(Vp, Vq)$  is in standard form and

$$A = \begin{pmatrix} & A_1 & \\ A_1^t & & \\ & & A_3 \end{pmatrix},$$

where  $A_1 \in M_{k_1}(\mathbb{C})$  is a permutation matrix and  $A_3 \in M_{k_3}(\mathbb{C})$  is a symmetric permutation matrix.

Moreover, in this case the symmetric unitary matrix  $V^tUV$  must be of the form (2).

In fact, Theorem 6 provides an easy way to determine whether or not  $T_{z^p\bar{z}^q}$  is complex symmetric on  $A^2(\mathbb{D}_n)$  for a conjugation  $J_U$ . For example, let  $p = (3, 4, 2, 1, 6, 4)$ ,  $q = (1, 4, 4, 3, 6, 2)$ , choose a permutation matrix  $V$  such that  $Vp = (3, 4, 1, 2, 4, 6)$ ,  $Vq = (1, 2, 3, 4, 4, 6)$ , i.e.,  $(Vp, Vq)$  is in standard form. Theorem 6 shows that  $T_{z^p\bar{z}^q}$  is  $J_U$  symmetric if  $V^tUV$  is one of the following forms:

$$\begin{pmatrix} 0 & 0 & e^{i\theta_1} & 0 & 0 & 0 \\ 0 & 0 & 0 & e^{i\theta_2} & 0 & 0 \\ e^{i\theta_1} & 0 & 0 & 0 & 0 & 0 \\ 0 & e^{i\theta_2} & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & e^{i\theta_3} & 0 \\ 0 & 0 & 0 & 0 & 0 & e^{i\theta_4} \end{pmatrix}, \begin{pmatrix} 0 & 0 & e^{i\theta_1} & 0 & 0 & 0 \\ 0 & 0 & 0 & e^{i\theta_2} & 0 & 0 \\ e^{i\theta_1} & 0 & 0 & 0 & 0 & 0 \\ 0 & e^{i\theta_2} & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & e^{i\theta_3} \\ 0 & 0 & 0 & 0 & e^{i\theta_4} & 0 \end{pmatrix},$$

where  $\theta_i \in \mathbb{R}$  for  $i \in [4]$ .

## 2. SOME PROPOSITIONS

For  $p, q \in \mathbb{N}^n$ , we write  $p \succeq q$  if  $p_i \geq q_i$  for all  $i \in [n]$ ,  $p \not\preceq q$  if  $p_i < q_i$  for some  $i \in [n]$ ,  $p \not\preceq q$  if  $p_i \geq q_i$  for all  $i \in [n]$  and there exists  $j \in [n]$  such that  $p_j > q_j$ ,  $|p| = p_1 + \dots + p_n$ .

Let  $\{e^\alpha: \alpha \in \mathbb{N}^n\}$  be an orthonormal basis on  $A^2(\Omega)$ . Then

$$e^\alpha = \begin{cases} \left[ \frac{\Gamma(n + |\alpha| + t + 1)}{\alpha! \Gamma(n + t + 1)} \right]^{1/2} z^\alpha & \text{if } \Omega = \mathbb{B}_n, \\ \prod_{i=1}^n \sqrt{\alpha_i + 1} z^\alpha & \text{if } \Omega = \mathbb{D}_n \end{cases}$$

for any  $\alpha \in \mathbb{N}^n$ ,  $z \in \Omega$ .

The next lemma will be essential for our main results.

**Lemma 7.** *Let  $p, q \in \mathbb{N}^n$ . Then on  $A_t^2(\Omega)$  the following conclusions hold.*

(i) If  $\Omega = \mathbb{B}_n$  for each  $\alpha \in \mathbb{N}^n$  we have

$$T_{z^p \bar{z}^q}(e^\alpha) = \begin{cases} C_\alpha^{p,q} e^{\alpha+p-q}, & \alpha + p \succeq q, \\ 0, & \alpha + p \not\succeq q, \end{cases}$$

where

$$C_\alpha^{p,q} = \frac{(\alpha + p)!}{\Gamma(n + |\alpha| + |p| + t + 1)} \sqrt{\frac{\Gamma(n + |\alpha| + t + 1)}{\alpha!}} \sqrt{\frac{\Gamma(n + |\alpha| + |p| - |q| + t + 1)}{(\alpha + p - q)!}}.$$

(ii) If  $\Omega = \mathbb{D}_n$  for each  $\alpha \in \mathbb{N}^n$  we have

$$T_{z^p \bar{z}^q}(e^\alpha) = \begin{cases} H_{p,q}(\alpha) e^{\alpha+p-q}, & \alpha + p \succeq q, \\ 0, & \alpha + p \not\succeq q, \end{cases}$$

where

$$H_{p,q}(\alpha) = \prod_{i=1}^n \frac{\sqrt{(\alpha_i + 1)(\alpha_i + p_i - q_i + 1)}}{\alpha_i + p_i + 1}.$$

**Proof.** (i) It follows immediately from [2], Lemma 4 and the fact that  $\|z^\alpha\|_{\mathbb{B}_n}^2 = \alpha! \Gamma(n + t + 1) / \Gamma(n + |\alpha| + t + 1)$ .

(ii) The proof is obvious from [11], Lemma 2.1 and the fact that  $\|z^\alpha\|_{\mathbb{D}_n}^2 = \prod_{i=1}^n 1/(\alpha_i + 1)$ .  $\square$

The next proposition provides a unitary equivalence relation for  $\mathcal{C}$ -symmetric Toeplitz operators.

**Proposition 8.** *Let  $p, q \in \mathbb{N}^n$  and  $V$  be an  $n \times n$  permutation matrix. Then  $T_{z^p \bar{z}^q}$  is complex symmetric on  $A^2(\Omega)$  with respect to conjugation  $\mathcal{C}$  if and only if  $T_{z^{Vp} \bar{z}^{Vq}}$  is complex symmetric with  $C_{V^t} \mathcal{C} C_V$ .*

**Proof.** Let  $C_V: A^2(\Omega) \rightarrow A^2(\Omega)$  be defined by  $(C_V f)(z) = f(Vz)$  for all  $f \in A^2(\Omega)$ . Since  $V$  is a permutation matrix, a direct calculation shows that

$$(Vz)^\alpha = z^{V^t \alpha} \quad \forall \alpha \in \mathbb{N}^n.$$

For the case  $\Omega = \mathbb{B}_n$ , a computation using Lemma 7 (i) shows that

$$\begin{aligned} & C_{V^t} T_{z^p \bar{z}^q} C_V(z^\alpha) \\ &= C_{V^t} T_{z^{Vp} \bar{z}^{Vq}}(z^{V^t \alpha}) \\ &= C_{V^t} \frac{\Gamma(n + |\alpha| + |p| - |q| + t + 1)(V^t \alpha + p)!}{\Gamma(n + |\alpha| + |p| + t + 1)(V^t \alpha + p - q)!} z^{V^t \alpha + p - q} \\ &= \frac{\Gamma(n + |\alpha| + |p| - |q| + t + 1)(V^t \alpha + p)!}{\Gamma(n + |\alpha| + |p| + t + 1)(V^t \alpha + p - q)!} z^{\alpha + V(p-q)} \quad \forall V^t \alpha + p \succeq q \end{aligned}$$

and

$$T_{z^V p \bar{z}^V q}(z^\alpha) = \frac{\Gamma(n + |\alpha| + |p| - |q| + t + 1)(\alpha + Vp)!}{\Gamma(n + |\alpha| + |p| + t + 1)(\alpha + Vp - Vq)!} z^{\alpha + V(p-q)} \quad \forall \alpha + Vp \succeq Vq.$$

Since  $V^t \alpha + p \succeq q$  is equivalent to  $\alpha + Vp \succeq Vq$ , we get that

$$C_{V^t} T_{z^V p \bar{z}^V q} C_V = T_{z^V p \bar{z}^V q}.$$

Note that  $C_V$  is a unitary operator on  $A_t^2(\mathbb{B}_n)$  and  $C_{V^t} \mathcal{C} C_V$  is also a conjugation on  $A_t^2(\mathbb{B}_n)$ , the desired result then follows from [4], page 1291. For the case of  $A_t^2(\mathbb{D}_n)$ , the proof is similar.  $\square$

When  $p \succeq q \succeq 0$  or  $q \succeq p \succeq 0$ , it follows from [10], Corollary 9 that if  $T_{z^V p \bar{z}^V q}$  is  $\mathcal{C}$ -symmetric on  $A^2(\Omega)$ , then  $p = q$ . If  $p = q$ , we know that  $T_{z^V p \bar{z}^V p}$  is complex symmetric. In fact, consider the conjugation  $Jf(z) = \overline{f(\bar{z})}$ ,  $f \in A^2(\Omega)$ ,  $z \in \Omega$ . It is easy to check that  $JT_{z^V p \bar{z}^V p} z^\alpha = T_{z^V p \bar{z}^V p} Jz^\alpha$  for all  $\alpha \in \mathbb{N}^n$ . In the case  $p \not\succeq q$  and  $p \not\preceq q$  it remains to be found precisely when  $T_{z^V p \bar{z}^V q}$  is complex symmetric. Thus, the use of our work will focus on  $p \not\succeq q$  and  $p \not\preceq q$  (i.e.,  $k_1, k_2 \geq 1$  in Definition 1) in Section 3.

**Lemma 9.** *Let  $p, q \in \mathbb{N}^n$  and  $(p, q)$  be in standard form. If  $T_{z^V p \bar{z}^V q}$  is complex symmetric on  $A^2(\Omega)$  with respect to a conjugation  $\mathcal{C}$ , then we have*

$$\mathcal{C} \left( \bigoplus_{\substack{\min\{m_1, \dots, m_{k_1}\} = l_1 \\ \min\{m_{k_1+1}, \dots, m_{k_1+k_2}\} = l_2}} I_{m_1, \dots, m_n} \right) = \bigoplus_{\substack{\min\{m_1, \dots, m_{k_1}\} = l_2 \\ \min\{m_{k_1+1}, \dots, m_{k_1+k_2}\} = l_1}} I_{m_1, m_2, \dots, m_n}$$

for any  $l_1, l_2 \in \mathbb{N}$ .

*Proof.* For the sake of convenience denote  $T_{z^V p \bar{z}^V q} = T$ ,  $T_{\bar{z}^V p z^V q} = T^*$ . By Lemma 7, we obtain

$$T(I_{m_1, m_2, \dots, m_n}) = \begin{cases} I_{m_1+1, \dots, m_{k_1}+1, m_{k_1+1}-1, \dots, m_{k_1+k_2}-1, m_{k_1+k_2}+1, \dots, m_n}, & m_{k_1+1}, \dots, m_{k_1+k_2} > 0, \\ 0, & m_{k_1+1}, \dots, \text{ or } m_{k_1+k_2} = 0 \end{cases}$$

and

$$T^*(I_{m_1, m_2, \dots, m_n}) = \begin{cases} I_{m_1-1, \dots, m_{k_1}-1, m_{k_1+1}+1, \dots, m_{k_1+k_2}+1, m_{k_1+k_2}+1, \dots, m_n}, & m_1, \dots, m_{k_1} > 0, \\ 0, & m_1, \dots, \text{ or } m_{k_1} = 0. \end{cases}$$



It follows that

$$\ker T = \bigoplus_{\min\{m_{k_1+1}, \dots, m_{k_1+k_2}\}=0} I_{m_1, m_2, \dots, m_n}, \quad \ker T^* = \bigoplus_{\min\{m_1, \dots, m_{k_1}\}=0} I_{m_1, m_2, \dots, m_n}.$$

Suppose that  $T$  is complex symmetric with conjugation  $\mathcal{C}$ . Then we have

$$\begin{aligned} (3) \quad T\mathcal{C} \left( \bigoplus_{\substack{\min\{m_1, \dots, m_{k_1}\}=0 \\ \min\{m_{k_1+1}, \dots, m_{k_1+k_2}\}=0}} I_{m_1, \dots, m_n} \right) \\ = \mathcal{C}T^* \left( \bigoplus_{\substack{\min\{m_1, \dots, m_{k_1}\}=0 \\ \min\{m_{k_1+1}, \dots, m_{k_1+k_2}\}=0}} I_{m_1, m_2, \dots, m_n} \right) = 0 \end{aligned}$$

and

$$\begin{aligned} (4) \quad T^*\mathcal{C} \left( \bigoplus_{\substack{\min\{m_1, \dots, m_{k_1}\}=0 \\ \min\{m_{k_1+1}, \dots, m_{k_1+k_2}\}=0}} I_{m_1, m_2, \dots, m_n} \right) \\ = \mathcal{C}T \left( \bigoplus_{\substack{\min\{m_1, \dots, m_{k_1}\}=0 \\ \min\{m_{k_1+1}, \dots, m_{k_1+k_2}\}=0}} I_{m_1, m_2, \dots, m_n} \right) = 0. \end{aligned}$$

By (3) and (4), we get

$$\begin{aligned} (5) \quad \mathcal{C} \left( \bigoplus_{\substack{\min\{m_1, \dots, m_{k_1}\}=0 \\ \min\{m_{k_1+1}, \dots, m_{k_1+k_2}\}=0}} I_{m_1, m_2, \dots, m_n} \right) \subset \ker T \cap \ker T^* \\ = \bigoplus_{\substack{\min\{m_1, \dots, m_{k_1}\}=0 \\ \min\{m_{k_1+1}, \dots, m_{k_1+k_2}\}=0}} I_{m_1, m_2, \dots, m_n}. \end{aligned}$$

Combining (5) with the property that  $\mathcal{C}^2 = I$ , we conclude that

$$\mathcal{C} \left( \bigoplus_{\substack{\min\{m_1, \dots, m_{k_1}\}=0 \\ \min\{m_{k_1+1}, \dots, m_{k_1+k_2}\}=0}} I_{m_1, m_2, \dots, m_n} \right) = \bigoplus_{\substack{\min\{m_1, \dots, m_{k_1}\}=0 \\ \min\{m_{k_1+1}, \dots, m_{k_1+k_2}\}=0}} I_{m_1, m_2, \dots, m_n}.$$

Let  $L \in \mathbb{N}$  be fixed and, in order to use induction, assume that

$$(6) \quad \mathcal{C} \left( \bigoplus_{\substack{\min\{m_1, \dots, m_{k_1}\}=l_1 \\ \min\{m_{k_1+1}, \dots, m_{k_1+k_2}\}=l_2}} I_{m_1, m_2, \dots, m_n} \right) = \bigoplus_{\substack{\min\{m_1, \dots, m_{k_1}\}=l_2 \\ \min\{m_{k_1+1}, \dots, m_{k_1+k_2}\}=l_1}} I_{m_1, m_2, \dots, m_n}$$

for any  $l_1 + l_2 \leq L$ . We seek to show that

$$\mathcal{C} \left( \bigoplus_{\substack{\min\{m_1, \dots, m_{k_1}\} = l_1 \\ \min\{m_{k_1+1}, \dots, m_{k_1+k_2}\} = l_2}} I_{m_1, m_2, \dots, m_n} \right) = \bigoplus_{\substack{\min\{m_1, \dots, m_{k_1}\} = l_2 \\ \min\{m_{k_1+1}, \dots, m_{k_1+k_2}\} = l_1}} I_{m_1, m_2, \dots, m_n}$$

for any  $l_1 + l_2 = L + 1$ .

Let  $l_1, l_2 \in \mathbb{N}$  be fixed and note that  $T\mathcal{C} = \mathcal{C}T^*$  implies  $T^{l_1+1}\mathcal{C} = \mathcal{C}(T^*)^{l_1+1}$  for any  $l \in \mathbb{N}$ . Some calculations give that

$$\begin{aligned} T^{l_1+1}\mathcal{C} \left( \bigoplus_{\substack{\min\{m_1, \dots, m_{k_1}\} = l_1 \\ \min\{m_{k_1+1}, \dots, m_{k_1+k_2}\} = l_2}} I_{m_1, m_2, \dots, m_n} \right) \\ = \mathcal{C}(T^*)^{l_1+1} \left( \bigoplus_{\substack{\min\{m_1, \dots, m_{k_1}\} = l_1 \\ \min\{m_{k_1+1}, \dots, m_{k_1+k_2}\} = l_2}} I_{m_1, m_2, \dots, m_n} \right) = 0 \end{aligned}$$

and

$$\begin{aligned} (T^*)^{l_2+1}\mathcal{C} \left( \bigoplus_{\substack{\min\{m_1, \dots, m_{k_1}\} = l_1 \\ \min\{m_{k_1+1}, \dots, m_{k_1+k_2}\} = l_2}} I_{m_1, m_2, \dots, m_n} \right) \\ = \mathcal{C}T^{l_2+1} \left( \bigoplus_{\substack{\min\{m_1, \dots, m_{k_1}\} = l_1 \\ \min\{m_{k_1+1}, \dots, m_{k_1+k_2}\} = l_2}} I_{m_1, m_2, \dots, m_n} \right) = 0. \end{aligned}$$

Therefore, we deduce that

$$\begin{aligned} (7) \quad \mathcal{C} \left( \bigoplus_{\substack{\min\{m_1, \dots, m_{k_1}\} = l_1 \\ \min\{m_{k_1+1}, \dots, m_{k_1+k_2}\} = l_2}} I_{m_1, m_2, \dots, m_n} \right) &\subset \ker T^{l_1+1} \cap \ker (T^*)^{l_2+1} \\ &= \bigoplus_{\substack{\min\{m_1, \dots, m_{k_1}\} \leq l_2 \\ \min\{m_{k_1+1}, \dots, m_{k_1+k_2}\} \leq l_1}} I_{m_1, m_2, \dots, m_n}. \end{aligned}$$

Thus, for any  $l_1, l_2$  with  $l_1 + l_2 = L + 1$ , we get

$$\begin{aligned} (8) \quad \mathcal{C} \left( \bigoplus_{\substack{\min\{m_1, \dots, m_{k_1}\} = l_1 \\ \min\{m_{k_1+1}, \dots, m_{k_1+k_2}\} = l_2}} I_{m_1, m_2, \dots, m_n} \right) &\subset \bigoplus_{\substack{\min\{m_1, \dots, m_{k_1}\} \leq l_2 \\ \min\{m_{k_1+1}, \dots, m_{k_1+k_2}\} \leq l_1}} I_{m_1, m_2, \dots, m_n} \\ &\subset \bigoplus_{\substack{\min\{m_1, \dots, m_{k_1}\} + \\ \min\{m_{k_1+1}, \dots, m_{k_1+k_2}\} \leq L+1}} I_{m_1, m_2, \dots, m_n}. \end{aligned}$$

Observe that

$$\left( \bigoplus_{\substack{\min\{m_1, \dots, m_{k_1}\} = l_1 \\ \min\{m_{k_1+1}, \dots, m_{k_1+k_2}\} = l_2}} I_{m_1, m_2, \dots, m_n} \right) \perp \left( \bigoplus_{\substack{\min\{m_1, \dots, m_{k_1}\} + \\ \min\{m_{k_1+1}, \dots, m_{k_1+k_2}\} \leq L}} I_{m_1, m_2, \dots, m_n} \right)$$

for any  $l_1, l_2$  with  $l_1 + l_2 = L + 1$ . Since  $\mathcal{C}$  preserves orthogonality, we have

$$\mathcal{C} \left( \bigoplus_{\substack{\min\{m_1, \dots, m_{k_1}\} = l_1 \\ \min\{m_{k_1+1}, \dots, m_{k_1+k_2}\} = l_2}} I_{m_1, m_2, \dots, m_n} \right) \perp \mathcal{C} \left( \bigoplus_{\substack{\min\{m_1, \dots, m_{k_1}\} + \\ \min\{m_{k_1+1}, \dots, m_{k_1+k_2}\} \leq L}} I_{m_1, m_2, \dots, m_n} \right),$$

then by the induction hypothesis (6) we have

$$(9) \quad \mathcal{C} \left( \bigoplus_{\substack{\min\{m_1, \dots, m_{k_1}\} = l_1 \\ \min\{m_{k_1+1}, \dots, m_{k_1+k_2}\} = l_2}} I_{m_1, m_2, \dots, m_n} \right) \perp \left( \bigoplus_{\substack{\min\{m_1, \dots, m_{k_1}\} + \\ \min\{m_{k_1+1}, \dots, m_{k_1+k_2}\} \leq L}} I_{m_1, m_2, \dots, m_n} \right).$$

By (8) and (9), we get

$$\mathcal{C} \left( \bigoplus_{\substack{\min\{m_1, \dots, m_{k_1}\} = l_1 \\ \min\{m_{k_1+1}, \dots, m_{k_1+k_2}\} = l_2}} I_{m_1, m_2, \dots, m_n} \right) \subset \bigoplus_{\substack{\min\{m_1, \dots, m_{k_1}\} + \\ \min\{m_{k_1+1}, \dots, m_{k_1+k_2}\} = L+1}} I_{m_1, m_2, \dots, m_n}.$$

Now by using (7), we obtain that

$$\begin{aligned} \mathcal{C} \left( \bigoplus_{\substack{\min\{m_1, \dots, m_{k_1}\} = l_1 \\ \min\{m_{k_1+1}, \dots, m_{k_1+k_2}\} = l_2}} I_{m_1, m_2, \dots, m_n} \right) &\subset \left( \bigoplus_{\substack{\min\{m_1, \dots, m_{k_1}\} + \\ \min\{m_{k_1+1}, \dots, m_{k_1+k_2}\} = L+1}} I_{m_1, m_2, \dots, m_n} \right) \\ \cap \left( \bigoplus_{\substack{\min\{m_1, \dots, m_{k_1}\} \leq l_2 \\ \min\{m_{k_1+1}, \dots, m_{k_1+k_2}\} \leq l_1}} I_{m_1, m_2, \dots, m_n} \right) &= \bigoplus_{\substack{\min\{m_1, \dots, m_{k_1}\} = l_2 \\ \min\{m_{k_1+1}, \dots, m_{k_1+k_2}\} = l_1}} I_{m_1, m_2, \dots, m_n}. \end{aligned}$$

Similarly, we deduce that

$$\mathcal{C} \left( \bigoplus_{\substack{\min\{m_1, \dots, m_{k_1}\} = l_2 \\ \min\{m_{k_1+1}, \dots, m_{k_1+k_2}\} = l_1}} I_{m_1, m_2, \dots, m_n} \right) \subset \bigoplus_{\substack{\min\{m_1, \dots, m_{k_1}\} = l_1 \\ \min\{m_{k_1+1}, \dots, m_{k_1+k_2}\} = l_2}} I_{m_1, m_2, \dots, m_n}$$

for any  $l_1, l_2$  with  $l_1 + l_2 = L + 1$ . Thus,

$$\mathcal{C} \left( \bigoplus_{\substack{\min\{m_1, \dots, m_{k_1}\} = l_1 \\ \min\{m_{k_1+1}, \dots, m_{k_1+k_2}\} = l_2}} I_{m_1, m_2, \dots, m_n} \right) = \bigoplus_{\substack{\min\{m_1, \dots, m_{k_1}\} = l_2 \\ \min\{m_{k_1+1}, \dots, m_{k_1+k_2}\} = l_1}} I_{m_1, m_2, \dots, m_n}$$

for any  $l_1, l_2$  with  $l_1 + l_2 = L + 1$ . Hence, an induction argument shows that

$$\mathcal{C} \left( \bigoplus_{\substack{\min\{m_1, \dots, m_{k_1}\} = l_1 \\ \min\{m_{k_1+1}, \dots, m_{k_1+k_2}\} = l_2}} I_{m_1, m_2, \dots, m_n} \right) = \bigoplus_{\substack{\min\{m_1, \dots, m_{k_1}\} = l_2 \\ \min\{m_{k_1+1}, \dots, m_{k_1+k_2}\} = l_1}} I_{m_1, m_2, \dots, m_n}$$

for any  $l_1, l_2 \in \mathbb{N}$ . This completes the proof.  $\square$

### 3. PROOFS OF MAIN RESULTS

This entire section is devoted to the proofs of Theorems 4 and 5.

**3.1. Proof of Theorem 4.** Now we are ready to prove Theorem 4 for the case of the unit ball  $\mathbb{B}_n$ .

*Proof.* Since  $p, q \in \mathbb{N}^2$ ,  $p \succcurlyeq q$  and  $q \succcurlyeq p$ , by Proposition 8 there is no loss of generality in assuming that  $p_1 - q_1 > 0$ ,  $q_2 - p_2 > 0$  and we proceed under this assumption. Suppose that  $T_{z^p \bar{z}^q}$  is complex symmetric with conjugation  $\mathcal{C}$ . By Lemma 9 we have

$$\mathcal{C}I_{l_1, l_2} = I_{l_2, l_1} \quad \forall l_1, l_2 \in \mathbb{N}.$$

Let  $l_1 \in \mathbb{N}$ ,  $l_2 \in \mathbb{N} \setminus \{0\}$  be fixed and arbitrarily choose an  $e^\alpha \in I_{l_1, l_2}$ . By Lemma 7 we have  $T_{z^p \bar{z}^q} e^\alpha = C_\alpha^{p, q} e^{\alpha + p - q}$ . This gives that  $\|T_{z^p \bar{z}^q} e^\alpha\|^2 = (C_\alpha^{p, q})^2$ . Hence, we have

$$\|T_{z^p \bar{z}^q}|_{I_{l_1, l_2}}\|^2 = \max\{(C_\alpha^{p, q})^2: l_1 a_1 \leq \alpha_1 < (l_1 + 1)a_1, l_2 a_2 \leq \alpha_2 < (l_2 + 1)a_2\}.$$

Similarly, we obtain

$$\|T_{z^q \bar{z}^p}|_{I_{l_2, l_1}}\|^2 = \max\{(C_\alpha^{q, p})^2: l_2 a_1 \leq \alpha_1 < (l_2 + 1)a_1, l_1 a_2 \leq \alpha_2 < (l_1 + 1)a_2\}.$$

Since  $\mathcal{C}T_{z^p \bar{z}^q} = T_{z^q \bar{z}^p}\mathcal{C}$  and  $\mathcal{C}$  is isometric, it holds that

$$(10) \quad \|T_{z^p \bar{z}^q}|_{I_{l_1, l_2}}\|^2 = \|T_{z^q \bar{z}^p}|_{I_{l_2, l_1}}\|^2 \quad \forall l_1 \in \mathbb{N}, l_2 \in \mathbb{N} \setminus \{0\}.$$

Let

$$(11) \quad F(\alpha) = \frac{\prod_{i=1}^2 \alpha_{i(p_i)} \alpha_{i(q_i)}}{(2 + |\alpha| + t)_{(|p|)} (2 + |\alpha| + t)_{(|q|)}},$$

where  $\alpha_i \geq p_i$ ,  $\alpha_i \geq q_i$ ,  $i = 1, 2$ . Then we have  $F(\alpha + p) = (C_\alpha^{p, q})^2$ ,  $F(\alpha + q) = (C_\alpha^{q, p})^2$ .

Set  $S(\alpha) = \prod_{i=1}^2 \alpha_{i(p_i)} \alpha_{i(q_i)}$  and  $Q(\alpha) = (2 + |\alpha| + t)_{(|p|)} (2 + |\alpha| + t)_{(|q|)}$ . Obviously, they are two polynomials in  $\alpha_1, \alpha_2$  and

$$\frac{\partial F(\alpha)}{\partial \alpha_i} = \frac{(\partial S(\alpha) / \partial \alpha_i) Q(\alpha) - S(\alpha) (\partial Q(\alpha) / \partial \alpha_i)}{Q(\alpha)^2}, \quad i = 1, 2.$$

Note that the numerator of  $\partial F(\alpha)/\partial\alpha_i$  is also a polynomial. Then we have  $\partial F(\alpha)/\partial\alpha_i \geq 0$  or  $\partial F(\alpha)/\partial\alpha_i \leq 0$  for  $\alpha_i$  large enough, i.e.,  $F(\alpha)$  is a monotone function of  $\alpha_i$  for  $\alpha_i$  large enough, where  $i = 1, 2$ .

Next we will break the discussion into four cases.

*Case 1:* For  $\alpha_1, \alpha_2$  large enough, the function  $F(\alpha)$  is monotone increasing in  $\alpha_1, \alpha_2$ , respectively. It follows from (10) that  $\|T_{z^p\bar{z}^q}|_{I_{l_1, l_2}}\|^2 = \|T_{z^q\bar{z}^p}|_{I_{l_2, l_1}}\|^2$  for all  $l_1 \in \mathbb{N}, l_2 \in \mathbb{N} \setminus \{0\}$ , i.e.,

$$\begin{aligned} & \max\{(C_\alpha^{p,q})^2: l_1 a_1 \leq \alpha_1 < (l_1 + 1)a_1, l_2 a_2 \leq \alpha_2 < (l_2 + 1)a_2\} \\ & = \max\{(C_\alpha^{q,p})^2: l_2 a_1 \leq \alpha_1 < (l_2 + 1)a_1, l_1 a_2 \leq \alpha_2 < (l_1 + 1)a_2\}. \end{aligned}$$

Note that  $F(\alpha + p) = (C_\alpha^{p,q})^2, F(\alpha + q) = (C_\alpha^{q,p})^2$  and the function  $F(\alpha)$  is monotone increasing in  $\alpha_1, \alpha_2$  when  $\alpha_1, \alpha_2$  are large enough. Thus, we have

$$(12) \quad F(l_1 a_1 + p_1, l_2 a_2 + p_2) = F(l_2 a_1 + q_1, l_1 a_2 + q_2)$$

and

$$(13) \quad \begin{aligned} & F((l_1 + 1)a_1 - 1 + p_1, (l_2 + 1)a_2 - 1 + p_2) \\ & = F((l_2 + 1)a_1 - 1 + q_1, (l_1 + 1)a_2 - 1 + q_2) \end{aligned}$$

for any  $l_1, l_2$  large enough. Since  $F(\alpha) = S(\alpha)/Q(\alpha)$ , where  $S(\alpha)$  and  $Q(\alpha)$  are two polynomials in  $\alpha_1, \alpha_2$ , we can deduce that (12) and (13) hold for any  $l_1 \in \mathbb{N}, l_2 \in \mathbb{N} \setminus \{0\}$ .

Combining (11) with (12), we have

$$\begin{aligned} & \frac{\prod_{i=1}^2 [(l_i a_i + 1)^{(p_i)} (l_i a_i + p_i)_{(q_i)}]}{(3 + l_1 a_1 + l_2 a_2 + t)^{\binom{|p|}{|p|}} (2 + l_1 a_1 + l_2 a_2 + t + |p|)_{\binom{|q|}{|q|}}} \\ & = \frac{(l_2 a_1 + 1)^{\binom{q_1}{q_1}} (l_1 a_2 + 1)^{\binom{q_2}{q_2}} (l_2 a_1 + q_1)_{\binom{p_1}{p_1}} (l_1 a_2 + q_2)_{\binom{p_2}{p_2}}}{(3 + l_2 a_1 + l_1 a_2 + t)^{\binom{|q|}{|q|}} (2 + l_2 a_1 + l_1 a_2 + t + |q|)_{\binom{|p|}{|p|}}} \end{aligned}$$

for any  $l_1 \in \mathbb{N}, l_2 \in \mathbb{N} \setminus \{0\}$ .

Comparing the highest degree of  $l_1$  and the coefficients of the highest degree of  $l_1$  on each side of the above equation, respectively, we have

$$(14) \quad p_1 + q_1 = p_2 + q_2$$

and

$$\frac{[(l_2 a_2 + p_2)!]^2}{a_1^{p_2+q_2} (l_2 a_2)! (l_2 a_2 + p_2 - q_2)!} = \frac{[(l_2 a_1 + q_1)!]^2}{a_2^{p_1+q_1} (l_2 a_1)! (l_2 a_1 + q_1 - p_1)!}$$

for any  $l_2 \in \mathbb{N} \setminus \{0\}$  or write

$$(15) \quad \frac{[(l_2 a_2 + a_2 + p_2)!]^2}{a_1^{p_2+q_2} (l_2 a_2 + a_2)! (l_2 a_2 + a_2 + p_2 - q_2)!} = \frac{[(l_2 a_1 + a_1 + q_1)!]^2}{a_2^{p_1+q_1} (l_2 a_1 + a_1)! (l_2 a_1 + a_1 + q_1 - p_1)!}$$

for any  $l_2 \in \mathbb{N}$ . By (11) and (13), we see that

$$\frac{\prod_{i=1}^2 [(l_i a_i + a_i)^{(p_i)} (l_i a_i + a_i + p_i)_{(q_i)}]}{(1 + l_1 a_1 + l_2 a_2 + a_1 + a_2 + t)^{(|p|)} (l_1 a_1 + l_2 a_2 + a_1 + a_2 + |p| + t)_{(|q|)}} = \frac{(l_2 a_1 + a_1)^{(q_1)} (l_1 a_2 + a_2)^{(q_2)} (l_2 a_1 + a_1 + q_1)_{(p_1)} (l_1 a_2 + a_2 + q_2)_{(p_2)}}{(1 + l_2 a_1 + l_1 a_2 + a_1 + a_2 + t)^{(|p|)} (l_2 a_1 + l_1 a_2 + a_1 + a_2 + |q| + t)_{(|p|)}}$$

for any  $l_1 \in \mathbb{N}$ ,  $l_2 \in \mathbb{N} \setminus \{0\}$ . Then comparing the coefficients of  $l_1^{p_2+q_2}$  on each side of the above equation we obtain

$$(16) \quad \frac{[(l_2 a_2 + a_2 + p_2 - 1)!]^2}{a_1^{p_2+q_2} (l_2 a_2 + a_2 - 1)! (l_2 a_2 + a_2 + p_2 - q_2 - 1)!} = \frac{[(l_2 a_1 + a_1 + q_1 - 1)!]^2}{a_2^{p_1+q_1} (l_2 a_1 + a_1 - 1)!}$$

for any  $l_2 \in \mathbb{N} \setminus \{0\}$ . It follows from (15) and (16) that

$$\frac{(l_2 a_2 + a_2 + p_2)^2}{(l_2 a_2 + a_2) l_2 a_2} = \frac{(l_2 a_1 + a_1 + q_1)^2}{(l_2 a_1 + a_1) l_2 a_1} \quad \forall l_2 \in \mathbb{N} \setminus \{0\}.$$

Straightforward computation shows that

$$0 = a_2(l_2 a_1 + a_1 + q_1) - a_1(l_2 a_2 + a_2 + p_2) = a_2 q_1 - a_1 p_2 = q_1 q_2 - p_1 p_2,$$

that is  $p_1 p_2 = q_1 q_2$ . Now substituting  $q_2 = p_1 p_2 / q_1$  back into (14), we have

$$0 = p_1 q_1 + q_1^2 - p_2 q_1 - p_1 p_2 = (p_1 + q_1)(q_1 - p_2),$$

which means that  $p_1 = q_2$ ,  $p_2 = q_1$ .

*Case 2:* For  $\alpha_1$ ,  $\alpha_2$  large enough, the function  $F(\alpha)$  is monotone decreasing in  $\alpha_1$ ,  $\alpha_2$ , respectively. In this case, by (10) we see that

$$F((l_1 + 1)a_1 - 1 + p_1, (l_2 + 1)a_2 - 1 + p_2) = F((l_2 + 1)a_1 - 1 + q_1, (l_1 + 1)a_2 - 1 + q_2)$$

and

$$F(l_1 a_1 + p_1, l_2 a_2 + p_2) = F(l_2 a_1 + q_1, l_1 a_2 + q_2)$$

for any  $l_1, l_2$  large enough. Just like in Case 1, we also get  $p_1 = q_2$ ,  $p_2 = q_1$ .

*Case 3:* For  $\alpha_1, \alpha_2$  large enough, the function  $F(\alpha)$  is monotone increasing in  $\alpha_1$  and  $F(\alpha)$  is monotone decreasing in  $\alpha_2$ . Then (10) implies that

$$F((l_1 + 1)a_1 - 1 + p_1, l_2 a_2 + p_2) = F((l_2 + 1)a_1 - 1 + q_1, l_1 a_2 + q_2)$$

and

$$F(l_1 a_1 + p_1, (l_2 + 1)a_2 - 1 + p_2) = F(l_2 a_1 + q_1, (l_1 + 1)a_2 - 1 + q_2)$$

for any  $l_1, l_2$  large enough. Using a similar argument as in Case 1, one can prove that  $p_1 = q_2, p_2 = q_1$ .

*Case 4:* For  $\alpha_1, \alpha_2$  large enough, the function  $F(\alpha)$  is monotone decreasing in  $\alpha_1$  and  $F(\alpha)$  is monotone increasing in  $\alpha_2$ . In this case, we have

$$F(l_1 a_1 + p_1, (l_2 + 1)a_2 - 1 + p_2) = F(l_2 a_1 + q_1, (l_1 + 1)a_2 - 1 + q_2)$$

and

$$F((l_1 + 1)a_1 - 1 + p_1, l_2 a_2 + p_2) = F((l_2 + 1)a_1 - 1 + q_1, l_1 a_2 + q_2)$$

for any  $l_1, l_2$  large enough. So it follows from Case 3 that  $p_1 = q_2, p_2 = q_1$ .

Conversely, if  $p_1 = q_2, p_2 = q_1$ , then consider the conjugation  $J_U f(z) = \overline{f(U\bar{z})}$  for all  $f \in A_t^2(\mathbb{B}_n)$ ,  $z \in \mathbb{B}^n$ , where  $U = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ . It is easy to check that  $J_U T_{z^p \bar{z}^q} z^\alpha = T_{z^q \bar{z}^p} J_U z^\alpha$  for all  $\alpha \in \mathbb{N}^n$ , thus  $T_{z^p \bar{z}^q}$  is complex symmetric.  $\square$

Now we will give the proof of Theorem 4 in the case of the unit polydisk.

**P r o o f.** First we show that  $H_{p,q}^2(\alpha), H_{q,p}^2(\alpha)$  are monotone increasing functions of  $\alpha_1, \alpha_2$  when  $\alpha_1, \alpha_2$  are large enough. In fact,

$$\begin{aligned} \frac{\partial H_{p,q}^2(\alpha)}{\partial \alpha_1} &= \frac{(\alpha_2 + 1)(\alpha_2 + p_2 - q_2 + 1)[(p_1 + q_1)\alpha_1 + p_1^2 + p_1 - p_1 q_1 + q_1]}{(\alpha_2 + p_2 + 1)^2(\alpha_1 + p_1 + 1)^3}, \\ \frac{\partial H_{p,q}^2(\alpha)}{\partial \alpha_2} &= \frac{(\alpha_1 + 1)(\alpha_1 + p_1 - q_1 + 1)[(p_2 + q_2)\alpha_2 + p_2^2 + p_2 - p_2 q_2 + q_2]}{(\alpha_1 + p_1 + 1)^2(\alpha_2 + p_2 + 1)^3}. \end{aligned}$$

Thus,  $\partial H_{p,q}^2(\alpha)/\partial \alpha_i \geq 0$  for  $\alpha_i$  large enough, where  $i = 1, 2$ , therefore,  $H_{p,q}^2(\alpha)$  has the desired property, the result for  $H_{q,p}^2(\alpha)$  can be proved in a similar manner.

Suppose that  $T_{z^p \bar{z}^q}$  is  $\mathcal{C}$ -symmetric. Applying the same reasoning as in Theorem 4 (see the first paragraph and Case 1 of the proof of Theorem 4) for any  $l_1, l_2 \in \mathbb{N} \setminus \{0\}$  we have

$$(17) \quad H_{p,q}^2(l_1 a_1, l_2 a_2) = H_{q,p}^2(l_2 a_1, l_1 a_2)$$

and

$$(18) \quad H_{p,q}^2((l_1 + 1)a_1 - 1, (l_2 + 1)a_2 - 1) = H_{q,p}^2((l_2 + 1)a_1 - 1, (l_1 + 1)a_2 - 1).$$

From Lemma 7 (ii) and (18) we obtain that

$$\begin{aligned} & \frac{[(l_1 + 1)a_1][(l_1 + 2)a_1][(l_2 + 1)a_2]l_2a_2}{[(l_1 + 1)a_1 + p_1]^2[(l_2 + 1)a_2 + p_2]^2} \\ &= \frac{[(l_2 + 1)a_1]l_2a_1[(l_1 + 1)a_2][(l_1 + 2)a_2]}{[(l_2 + 1)a_1 + q_1]^2[(l_1 + 1)a_2 + q_2]^2} \quad \forall l_1, l_2 \in \mathbb{N} \setminus \{0\}. \end{aligned}$$

After eliminating the molecules, this simplifies to

$$\begin{aligned} 0 &= [(l_1 + 1)a_1 + p_1][(l_2 + 1)a_2 + p_2] - [(l_2 + 1)a_1 + q_1][(l_1 + 1)a_2 + q_2] \\ &= l_1(a_1p_2 - a_2q_1) + l_2(a_2p_1 - a_1q_2) + a_1p_2 - a_2q_1 + a_2p_1 - a_1q_2 + p_1p_2 - q_1q_2 \\ &= l_1(p_1p_2 - q_1q_2) + l_2(q_1q_2 - p_1p_2) + p_1p_2 - q_1q_2 \quad \forall l_1, l_2 \in \mathbb{N} \setminus \{0\}, \end{aligned}$$

which yields that  $p_1p_2 = q_1q_2$ .

On the other hand, Lemma 7 (ii), along with (17), gives that

$$\begin{aligned} & \frac{(l_1a_1 + 1)(l_1a_1 + a_1 + 1)(l_2a_2 + 1)(l_2a_2 - a_2 + 1)}{(l_1a_1 + p_1 + 1)^2(l_2a_2 + p_2 + 1)^2} \\ &= \frac{(l_2a_1 + 1)(l_2a_1 - a_1 + 1)(l_1a_2 + 1)(l_1a_2 + a_2 + 1)}{(l_2a_1 + q_1 + 1)^2(l_1a_2 + q_2 + 1)^2} \quad \forall l_1, l_2 \in \mathbb{N} \setminus \{0\}. \end{aligned}$$

By eliminating the denominators, we have

$$\begin{aligned} (19) \quad & [l_1^2a_1^2 + (2a_1 + a_1^2)l_1 + 1 + a_1][l_1^2a_2^2 + 2(q_2 + 1)a_2l_1 + (q_2 + 1)^2] \\ & \times [l_2^2a_2^2 + (2a_2 - a_2^2)l_2 + 1 - a_2][l_2^2a_1^2 + 2(q_1 + 1)a_1l_2 + (q_1 + 1)^2] \\ & = [l_1^2a_2^2 + (2a_2 + a_2^2)l_1 + 1 + a_2][l_1^2a_1^2 + 2(p_1 + 1)a_1l_1 + (p_1 + 1)^2] \\ & \times [l_2^2a_1^2 + (2a_1 - a_1^2)l_2 + 1 - a_1][l_2^2a_2^2 + 2(p_2 + 1)a_2l_2 + (p_2 + 1)^2]. \end{aligned}$$

Comparing the coefficients of  $l_1^4$  and  $l_2^4$  in (19) we obtain that

$$(20) \quad (1 - a_1)(p_2 + 1)^2 = (1 - a_2)(q_1 + 1)^2$$

and

$$(21) \quad (1 + a_1)(q_2 + 1)^2 = (1 + a_2)(p_1 + 1)^2,$$

where  $a_1 = p_1 - q_1$ ,  $a_2 = q_2 - p_2$ .

Subtracting Equations (20) and (21) we get

$$(1 - p_1 + q_1)(p_2 + 1)^2 - (1 + p_1 - q_1)(q_2 + 1)^2 = (1 - q_2 + p_2)(q_1 + 1)^2 - (1 + q_2 - p_2)(p_1 + 1)^2.$$



By substituting  $q_2 = p_1 p_2 / q_1$  into this equation and simplifying, we have

$$\begin{aligned}
0 &= -p_1^3 p_2^2 + p_1^3 p_2 q_1 + p_1^2 p_2^2 q_1 - p_1^2 p_2 q_1^2 - p_1 p_2^2 q_1^2 + p_1 p_2 q_1^3 \\
&\quad + p_2^2 q_1^3 - p_2 q_1^4 - p_1^2 p_2^2 + p_1^2 q_1^2 + p_2^2 q_1^2 - q_1^4 \\
&= p_1^3 p_2 (q_1 - p_2) + p_1^2 p_2 q_1 (p_2 - q_1) - p_1 p_2 q_1^2 (p_2 - q_1) \\
&\quad + p_2 q_1^3 (p_2 - q_1) + p_1^2 (q_1^2 - p_2^2) + q_1^2 (p_2^2 - q_1^2) \\
&= p_1^2 p_2 (q_1 - p_2) (p_1 - q_1) - p_2 q_1^2 (p_2 - q_1) (p_1 - q_1) + (q_1^2 - p_2^2) (p_1^2 - q_1^2) \\
&= (q_1 - p_2) (p_1 - q_1) (p_1^2 p_2 + p_2 q_1^2 + p_1 q_1 + q_1^2 + p_1 p_2 + p_2 q_1).
\end{aligned}$$

Since  $(p_1 - q_1)(p_1^2 p_2 + p_2 q_1^2 + p_1 q_1 + q_1^2 + p_1 p_2 + p_2 q_1) \neq 0$ , it then follows that

$$p_1 = q_2, \quad p_2 = q_1.$$

Conversely, the idea of the proof is the same as in the unit ball, so we omit the details.  $\square$

### 3.2. Proof of Theorem 5.

*Proof.* First we show (a) implies (b). Suppose that  $T_{z^p \bar{z}^q}$  is  $J_U$ -symmetric, where  $U$  is a symmetric permutation of  $\text{diag}\{e^{i\theta_1}, e^{i\theta_2}, \dots, e^{i\theta_n}\}$ , where  $\theta_i \in \mathbb{R}$ ,  $i \in [n]$ , then we have

$$J_U T_{z^p \bar{z}^q} z^\alpha = T_{z^q \bar{z}^p} J_U z^\alpha \quad \forall \alpha \in \mathbb{N}^n.$$

Notice that  $J_U z^\alpha = e^{i\theta \cdot \alpha} z^{A\alpha}$ , where matrix  $A$  is mutually associated with  $U$ .

Using Lemma 7 (ii), some elementary calculations give us that

$$\begin{aligned}
(22) \quad J_U T_{z^p \bar{z}^q} z^\alpha &= J_U \left( \prod_{i=1}^n \frac{\alpha_i + p_i - q_i + 1}{\alpha_i + p_i + 1} \right) z^{\alpha+p-q} \\
&= e^{-i\theta \cdot (\alpha+p-q)} \left( \prod_{i=1}^n \frac{\alpha_i + p_i - q_i + 1}{\alpha_i + p_i + 1} \right) z^{A(\alpha+p-q)} \quad \forall \alpha + p \succeq q
\end{aligned}$$

and

$$\begin{aligned}
(23) \quad T_{z^q \bar{z}^p} J_U z^\alpha &= e^{-i\theta \cdot \alpha} T_{z^q \bar{z}^p} z^{A\alpha} \\
&= e^{-i\theta \cdot \alpha} \left( \prod_{i=1}^n \frac{\alpha_{\sigma(i)} + q_i - p_i + 1}{\alpha_{\sigma(i)} + q_i + 1} \right) z^{A\alpha+q-p} \quad \forall A\alpha + q \succeq p,
\end{aligned}$$

where  $A\alpha = (\alpha_{\sigma(1)}, \dots, \alpha_{\sigma(n)})$ ,  $\sigma$  is a permutation of  $[n]$ .

Let  $\alpha = (\alpha_1, \dots, \alpha_n) \in \mathbb{N}^n$  with  $\alpha_{(2)} = q_{(2)} - p_{(2)}$ ,  $\alpha_j = 0$  for  $j \in [n] \setminus \{k_1 + 1, \dots, k_1 + k_2\}$ , this gives that  $\alpha + p - q = (p_{(1)} - q_{(1)}, 0, \dots, 0)$ . Comparing the degree of  $z$  in (22) and (23), we get that

$$(24) \quad A(p_{(1)} - q_{(1)}, p_{(2)} - q_{(2)}, 0, \dots, 0) = (q_{(1)} - p_{(1)}, q_{(2)} - p_{(2)}, 0, \dots, 0).$$

From Equation (24) we see that

$$(25) \quad \prod_{i=1}^n (\alpha_i + p_i - q_i + 1) = \prod_{i=1}^n (\alpha_{\sigma(i)} + q_i - p_i + 1).$$

Let  $V_1 = (p_{(1)} - q_{(1)}, p_{(2)} - q_{(2)}, 0, \dots, 0)$ ,  $V_2 = (q_{(1)} - p_{(1)}, q_{(2)} - p_{(2)}, 0, \dots, 0)$ , note that  $A$  is a permutation matrix, thus  $V_2$  is an elementary row transformation of  $V_1$ , then we have

$$\text{card}\{1 \leq i \leq n: p_i < q_i\} = \text{card}\{1 \leq j \leq n: p_j > q_j\}.$$

Note that  $A$  is a symmetric permutation matrix by Definition 2, this, together with (24), implies that  $A$  must have the following form:

$$(26) \quad A = \begin{pmatrix} & A_1 & \\ A_1^t & & \\ & & A_3 \end{pmatrix},$$

where  $A_1 \in M_{k_1}(\mathbb{C})$  is a permutation matrix and  $A_3 \in M_{k_3}(\mathbb{C})$  is a symmetric permutation matrix. Moreover, we get all possible forms of  $U$  such that  $T_{z^p \bar{z}^q}$  is  $J_U$ -symmetric:

$$U = \begin{pmatrix} & U_1 & \\ U_1^t & & \\ & & U_3 \end{pmatrix},$$

where  $U_1 \in M_{k_1}(\mathbb{C})$  is a permutation of  $\text{diag}\{e^{i\theta_1}, \dots, e^{i\theta_{k_1}}\}$  with  $(\theta_1, \dots, \theta_{k_1}) \in \mathbb{R}^{k_1}$  and  $U_3 \in M_{k_3}(\mathbb{C})$  is a symmetric permutation of  $\text{diag}\{e^{i\theta_{k_1+k_2+1}}, \dots, e^{i\theta_{k_1+k_2+k_3}}\}$  with  $(\theta_{k_1+k_2+1}, \dots, \theta_{k_1+k_2+k_3}) \in \mathbb{R}^{k_3}$ .

From (24) and (26), we obtain that  $A_1^t(p_{(1)} - q_{(1)}) = q_{(2)} - p_{(2)}$ . In addition, by (26) we have  $A_1^t \theta_{(1)} = \theta_{(2)}$ .

Let  $\alpha = (\alpha_1, \dots, \alpha_n) \in \mathbb{N}^n$  with  $\alpha_{(2)} \succeq q_{(2)} - p_{(2)}$ ,  $\alpha_j = 0$  for  $j \in [n] \setminus \{k_1 + 1, \dots, k_1 + k_2\}$ , this gives that  $\alpha + p \succeq q$  and  $A\alpha + q \succeq p$ . Since  $A_1^t(p_{(1)} - q_{(1)}) = q_{(2)} - p_{(2)}$ , we have

$$\begin{aligned} e^{-i\theta \cdot (\alpha + p - q)} &= e^{-i\theta \cdot \alpha + i\theta_{(1)} \cdot (q_{(1)} - p_{(1)}) + iA_1 \theta_{(2)} \cdot (A_1(q_{(2)} - p_{(2)}))} \\ &= e^{-i\theta \cdot \alpha + i\theta_{(1)} \cdot (q_{(1)} - p_{(1)}) + i\theta_{(1)} \cdot (p_{(1)} - q_{(1)})} = e^{-i\theta \cdot \alpha}. \end{aligned}$$

Then comparing the coefficients of  $z^{A\alpha+q-p}$  in (22) and (23), we see that

$$(27) \quad \prod_{i=1}^n (\alpha_i + p_i + 1) = \prod_{i=1}^n (\alpha_{\sigma(i)} + q_i + 1)$$

holds for an infinite  $\alpha \in \mathbb{N}^n$ . Observe that both sides of (27) are polynomials in  $\alpha_i$ ,  $i \in [n]$ , thus Equation (27) holds for any  $\alpha \in \mathbb{N}^n$ , then it is clear that

$$q_{(1)} = A_1 p_{(2)}, \quad q_{(2)} = A_1^t p_{(1)},$$

thus, we get  $p = (p_{(1)}, p_{(2)}, p_{(3)})$ ,  $q = (A_1 p_{(2)}, A_1^t p_{(1)}, p_{(3)})$ , as desired.

Conversely, if  $p = (p_{(1)}, p_{(2)}, p_{(3)})$ ,  $q = (A_1 p_{(2)}, A_1^t p_{(1)}, p_{(3)})$ , where  $A_1$  is a  $k_1 \times k_1$  permutation matrix.

Let

$$A = \begin{pmatrix} & A_1 & \\ A_1^t & & \\ & & A_3 \end{pmatrix},$$

where  $A_1 \in M_{k_1}(\mathbb{C})$  is a permutation matrix and  $A_3 \in M_{k_3}(\mathbb{C})$  is a symmetric permutation matrix. It is easy to check that  $\alpha + p \succeq q$  is equivalent to  $A\alpha + q \succeq p$ .

Now let  $U$  be a symmetric permutation of  $\text{diag}\{e^{i\theta_1}, e^{i\theta_2}, \dots, e^{i\theta_n}\}$  such that the above matrix  $A$  is mutually associated with  $U$ , where  $\theta_i \in \mathbb{R}$ ,  $i \in [n]$ . From the sufficiency part of the proof of the theorem,

$$J_U T_{z^p \bar{z}^q} z^\alpha = T_{z^q \bar{z}^p} J_U z^\alpha \quad \forall \alpha \in \mathbb{N}^n.$$

This completes the proof. □

The results in this paper lead us to consider the following problem:

**Open question.** Let  $p, q \in \mathbb{N}^n$  with  $n \geq 3$ . If  $T_{z^p \bar{z}^q}$  is complex symmetric on  $A^2(\Omega)$  with respect to a conjugation  $\mathcal{C}$ , what is the relationship between  $p$  and  $q$ ?

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*Author's address:* Xiao-He Hu, College of Mathematics and Information Science, Henan Normal University, 46 East of Construction Road, Xixiang Henan, 453007, P. R. China, e-mail: [huxiaohe94@163.com](mailto:huxiaohe94@163.com).