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REMARKS ON THE A PRIORI BOUND FOR THE VORTICITY
OF THE AXISYMMETRIC NAVIER-STOKES EQUATIONS

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Abstract. We study the axisymmetric Navier-Stokes equations. In 2010, Loftus-Zhang used a refined test function and re-scaling scheme, and showed that

$$|\omega^r(x, t)| + |\omega^z(r, t)| \leq \frac{C}{r^{10}}, \quad 0 < r \leq \frac{1}{2}.$$

By employing the dimension reduction technique by Lei-Navas-Zhang, and analyzing ω^r , ω^z and ω^θ/r on different hollow cylinders, we are able to improve it and obtain

$$|\omega^r(x, t)| + |\omega^z(r, t)| \leq \frac{C|\ln r|}{r^{17/2}}, \quad 0 < r \leq \frac{1}{2}.$$

Keywords: axisymmetric Navier-Stokes equations; weighted a priori bounds

MSC 2020: 35B65, 35Q35, 76D03

1. INTRODUCTION

We investigate the axisymmetric solutions to the Navier-Stokes equations

$$(1.1) \quad \begin{cases} \partial_t \mathbf{u} + (\mathbf{u} \cdot \nabla) \mathbf{u} - \Delta \mathbf{u} + \nabla \Pi = \mathbf{0}, \\ \nabla \cdot \mathbf{u} = 0, \end{cases}$$

where $\mathbf{u} = (u^1, u^2, u^3) = u^1 \mathbf{e}_1 + u^2 \mathbf{e}_2 + u^3 \mathbf{e}_3$ is the fluid velocity field, Π is a scalar pressure. In cylindrical coordinates r, θ, z with

$$x_1 = r \cos \theta, \quad x_2 = r \sin \theta, \quad x_3 = z,$$

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the axisymmetric solutions are of the form

$$(1.2) \quad \mathbf{u} = u^r(r, z, t)\mathbf{e}_r + u^\theta(r, z, t)\mathbf{e}_\theta + u^z(r, z, t)\mathbf{e}_z,$$

where

$$\begin{aligned} \mathbf{e}_r &= \left(\frac{x_1}{r}, \frac{x_2}{r}, 0 \right) = (\cos \theta, \sin \theta, 0), & \mathbf{e}_\theta &= \left(-\frac{x_2}{r}, \frac{x_1}{r}, 0 \right) = (-\sin \theta, \cos \theta, 0), \\ \mathbf{e}_z &= (0, 0, 1) \end{aligned}$$

are the basis of \mathbb{R}^3 in the cylindrical coordinates. Here, u^r , u^θ and u^z are called the radial, swirl (or azimuthal) and axial components of \mathbf{u} , respectively. In terms of u^r , u^θ and u^z , the system (1.1) can be equivalently reformulated as

$$(1.3) \quad \begin{cases} \partial_t u^r + (\mathbf{b} \cdot \nabla)u^r - \left(\Delta - \frac{1}{r^2} \right)u^r - \frac{(u^\theta)^2}{r} + \partial_r \Pi = 0, \\ \partial_t u^\theta + (\mathbf{b} \cdot \nabla)u^\theta - \left(\Delta - \frac{1}{r^2} \right)u^\theta + \frac{u^r u^\theta}{r} = 0, \\ \partial_t u^z + (\mathbf{b} \cdot \nabla)u^z - \Delta u^z + \partial_z \Pi = 0, \\ \partial_r(ru^r) + \partial_z(ru^z) = 0, \end{cases}$$

where $\mathbf{b} = u^r \mathbf{e}_r + u^z \mathbf{e}_z$.

Applying the curl operator to (1.1)₁ and putting

$$(1.4) \quad \boldsymbol{\omega} = \text{curl } \mathbf{u} = \omega^r \mathbf{e}_r + \omega^\theta \mathbf{e}_\theta + \omega^z \mathbf{e}_z$$

with

$$(1.5) \quad \omega^r = -\partial_z u^\theta, \quad \omega^\theta = \partial_z u^r - \partial_r u^z, \quad \omega^z = \partial_r u^\theta + \frac{u^\theta}{r} = \frac{1}{r} \partial_r(ru^\theta),$$

we may rewrite the vorticity equation

$$(1.6) \quad \partial_t \boldsymbol{\omega} + (\mathbf{u} \cdot \nabla) \boldsymbol{\omega} - (\boldsymbol{\omega} \cdot \nabla) \mathbf{u} - \Delta \boldsymbol{\omega} = \mathbf{0}$$

as

$$(1.7) \quad \begin{cases} \partial_t \omega^r + (\mathbf{b} \cdot \nabla) \omega^r - \left(\Delta - \frac{1}{r^2} \right) \omega^r - (\omega^r \partial_r + \omega^z \partial_z) u^r = 0, \\ \partial_t \omega^\theta + (\mathbf{b} \cdot \nabla) \omega^\theta - \left(\Delta - \frac{1}{r^2} \right) \omega^\theta - \frac{2u^\theta \partial_z u^\theta}{r} - \frac{u^r \omega^\theta}{r} = 0, \\ \partial_t \omega^z + (\mathbf{b} \cdot \nabla) \omega^z - \Delta \omega^z - (\omega^r \partial_r + \omega^z \partial_z) u^z = 0. \end{cases}$$

A key property of (1.3) is that the quantity $\Theta = ru^\theta$ obeys the equation

$$\partial_t \Theta + (\mathbf{b} \cdot \nabla) \Theta - \Delta \Theta + \frac{2}{r} \partial_r \Theta = 0.$$

Applying the maximum principle as in [1], Proposition 1, we have

$$(1.8) \quad \|\Theta(t)\|_{L^\infty} \leq \|\Theta_0\|_{L^\infty}.$$

When $u_0^\theta = 0$, we deduce from (1.8) that u^θ vanishes for all later times and (1.3) is exactly two-dimensional, and its global well-posedness problem has been solved, see [9], [13], [18]. However, when the initial swirl does not vanish, the global regularity is open, even if (1.3) can be rewritten as a two dimensional form, that is, (1.3)₂ and (1.7)₂, with u^r, u^z being recovered from ω^θ by the axisymmetric Biot-Savart law. And thus, tremendous efforts and interesting progresses have been made on the regularity problem, see [1], [3], [2], [4], [7], [8], [11], [12], [15], [16], [19], [20], [22], [21], [24], [17], [23] and references cited therein. The above sources are what we need (now being in a state of Serrin type for $r^d u^r, r^d u^\theta, r^d u^z, r^d \omega^\theta, r^d \partial_r u^r, r^d \partial_r u^\theta$ and $r^d \partial_z u^z$) to ensure the global regularity. Another interesting topic is to consider what we have, or equivalently, the a priori estimates. Besides (1.8), Chae and Lee [1] showed that

$$(1.9) \quad r^3 \omega^\theta \in L^\infty(0, \infty; L^2(\mathbb{R}^3)) \cap L^2(0, \infty; H^1(\mathbb{R}^3)).$$

Then the weight in (1.9) was lowered down from 3 to 2 by Lei and Zhang [12] and Zhang, Ouyang and Yang [26] independently. Recently, Zhang [25] has refined the weight further as

$$(1.10) \quad r^d \omega^\theta \in L^\infty(0, \infty; L^2(\mathbb{R}^3)) \cap L^2(0, \infty; H^1(\mathbb{R}^3)), \quad \frac{3}{2} < d \leq 3,$$

by the use of the weighted Sobolev inequality (see [5])

$$(1.11) \quad \left. \begin{array}{l} 1 < \gamma, \beta < \infty, -1 \leq a, b \leq 2, 0 \leq b - a < 1, \\ \frac{1}{\gamma} = \frac{1}{\beta} - \frac{1 + a - b}{2} \end{array} \right\} \Rightarrow \|r^a u^r\|_{L^\gamma(\Omega)} \leq C \|r^b \omega^\theta\|_{L^\beta(\Omega)}$$

with $\Omega = \{(r, z); r > 0, z \in \mathbb{R}\}$.

As far as the point-wise bound is concerned, Loftus and Zhang [14] proved

$$(1.12) \quad |\omega^\theta| \leq \frac{C}{r^5}, \quad |\omega^r| + |\omega^z| \leq \frac{C}{r^{10}}, \quad 0 < r \leq \frac{1}{2}.$$

The first bound was improved in Lei, Navas and Zhang [10] as

$$(1.13) \quad |\omega^\theta| \leq \frac{C|\ln r|}{r^{7/2}}, \quad 0 < r \leq \frac{1}{2},$$

by re-scaling and dimensional reduction techniques. Furthermore, Lei, Navas and Zhang in the same paper showed the bound of \mathbf{b} ,

$$(1.14) \quad |\mathbf{b}| \leq \frac{C|\ln r|^{1/2}}{r^2}, \quad 0 < r \leq \frac{1}{2}.$$

The purpose of the present paper is to improve the second bound in (1.12). More precisely, we have

Theorem 1.1. *Suppose \mathbf{u} is a smooth, axisymmetric solution of the three-dimensional Navier-Stokes equations in $\mathbb{R}^3 \times (-T, 0)$ with the initial data $\mathbf{u}_0 = \mathbf{u}(\cdot, -T) = u_0^r \mathbf{e}_r + u_0^\theta \mathbf{e}_\theta + u_0^z \mathbf{e}_z \in L^2(\mathbb{R}^3)$ and $\boldsymbol{\omega} = \omega^r \mathbf{e}_r + \omega^\theta \mathbf{e}_\theta + \omega^z \mathbf{e}_z$ is the vorticity. Assume further $ru_0^\theta \in L^\infty(\mathbb{R}^3)$. Then there exists a constant C depending only on the initial data such that*

$$(1.15) \quad |\omega^r| + |\omega^z| \leq \frac{C|\ln r|}{r^{17/2}}, \quad 0 < r \leq \frac{1}{2}.$$

2. PROOF OF THEOREM 1.1

In this section, we prove (1.15). The main ingredient we introduce in this paper, is analyzing ω^r, ω^z and ω^θ/r on different hollow cylinders. We follow ideas from [10] and [14].

Let (x, t) be the point in the statement of Theorem 1.1. For simplicity, we assume $t = 0$ and $z = 0$. Let $R > 0, S > 0$ and $0 < A < B$ be constants. Denote by

$$(2.1) \quad C_{AR, BR} = \{(r, \theta, z); AR \leq r \leq BR, 0 \leq \theta \leq 2\pi, |z| \leq BR\} \subset \mathbb{R}^3$$

the hollowed out cylinder centered at the origin with the inner radius AR , outer radius BR and height extending up and down BR , and thus $C_{AR, BR}$ has the total height of $2BR$. If $R = 1$, we simply write $C_{A, B}$ instead of $C_{A1, B1}$.

Let

$$(2.2) \quad P_{AR, BR, SR} = C_{AR, BR} \times (-S^2 R^2, 0)$$

be the parabolic region. Again, we write $P_{A, B, S}$ for $P_{A1, B1, S1}$.

Since any point (x, t) aside from that in the z axis belongs to a parabolic region $P_{2k,3k,3k/4}$ for some $k > 0$ and r is comparable with k , it suffices to prove

$$(2.3) \quad |\omega^r(x, t)| + |\omega^z(x, t)| \leq \frac{C|\ln k|}{k^{17/2}} \quad \forall (x, t) \in P_{2k,3k,3k/4}.$$

We use the scaling property of the Navier-Stokes flow to shift the consideration from $P_{2k,3k,3k/4}$ to $P_{2,3,3/4}$. Indeed, recall that if $(\mathbf{u}(x, t), \Pi(x, t))$ is a solution to the system, then $(\tilde{\mathbf{u}}(x, t) = k\mathbf{u}(kx, k^2t), \tilde{\Pi}(x, t) = k^2\Pi(kx, k^2t))$ where $k > 0$ is a parameter of solutions. Consequently, if $(\mathbf{u}(x, t), \Pi(x, t))$ is a solution to the axisymmetric Navier-Stokes equations in $P_{k,4k,k}$, then $(\tilde{\mathbf{u}}(\tilde{x}, \tilde{t}), \tilde{\Pi}(\tilde{x}, \tilde{t}))$ with the variables $\tilde{x} = x/k$, $\tilde{t} = t/k^2$ is the solution of the equation in $(\tilde{x}, \tilde{t}) \in P_{1,4,1}$.

During the proof below, we drop the ‘‘tilde’’ notation for all relevant quantities when computations take place on the scaled cylinders, say $P_{1,4,1}$; and at last, we scale down the quantities (on $P_{k,4k,k}$ for example) to the original solution. In $P_{1,4,1}$, we do our analysis on the governing equations of $\Gamma \stackrel{\text{def}}{=} \omega^r$, $\Omega \stackrel{\text{def}}{=} \omega^\theta/r$ and $\Phi \stackrel{\text{def}}{=} \omega^z$, i.e.,

$$(2.4) \quad \partial_t \Gamma + (\mathbf{b} \cdot \nabla) \Gamma - \left(\Delta - \frac{1}{r^2} \right) \Gamma - (\omega^r \partial_r + \omega^z \partial_z) u^r = 0,$$

$$(2.5) \quad \partial_t \Omega + (\mathbf{b} \cdot \nabla) \Omega - \Delta \Omega - \frac{2}{r} \partial_r \Omega - \frac{u^\theta \partial_z u^\theta}{r^2} = 0,$$

$$(2.6) \quad \partial_t \Phi + (\mathbf{b} \cdot \nabla) \Phi - \Delta \Phi - (\omega^r \partial_r + \omega^z \partial_z) u^z = 0.$$

We divide the following proof into three steps.

Step 1. L^{4q} estimate of $\bar{\Omega}_+$, $\bar{\Gamma}_+$, $\bar{\Phi}_+$ by a refined cut-off function. Noting that

$$(2.7) \quad \Lambda \stackrel{\text{def}}{=} \|u^\theta\|_{L^\infty(P_{1,4,1})} \leq \|ru^\theta\|_{L^\infty(\mathbb{R}^3)} < \infty,$$

we may put

$$(2.8) \quad \bar{\Omega}_+ \stackrel{\text{def}}{=} \begin{cases} \Omega(x, t) + \Lambda + 1, & \Omega(x, t) \geq 0, \\ \Lambda + 1, & \Omega(x, t) < 0, \end{cases}$$

which is exactly $\Lambda + 1$ plus the positive part of Ω . We list some properties of $\bar{\Omega}_+$, which make sense of the calculations below,

- (1) $\bar{\Omega}_+ \geq \Lambda + 1$;
- (2) all derivatives of $\bar{\Omega}_+$ vanish on the set where $\Omega(x, t) < 0$;
- (3) $\bar{\Omega}_+$ is Lipschitz and Ω is smooth by assumption;
- (4) at interfaces where $\Omega(x, t) = 0$, the boundary terms upon integration by parts cancel out.

Similar considerations apply to $\bar{\Gamma}_+$ and $\bar{\Phi}_+$. For $q > 1$, direct computations yield that

$$(2.9) \quad \partial_t \bar{\Omega}_+^q + (\mathbf{b} \cdot \nabla) \bar{\Omega}_+^q - \Delta \bar{\Omega}_+^q - \frac{2}{r} \partial_r \bar{\Omega}_+^q - \frac{2qu^\theta \partial_z u^\theta}{r^2} \bar{\Omega}_+^{q-1} + q(q-1) \bar{\Omega}_+^{q-2} |\nabla \bar{\Omega}_+|^2 = 0.$$

Let $\frac{5}{8} \leq \sigma_2 < \sigma_1 \leq 1$. Put

$$(2.10) \quad \begin{aligned} C(\sigma_i) &= \{(r, \theta, z); 5 - 4\sigma_i < r < 4\sigma_i, 0 \leq \theta \leq 2\pi, |z| < 4\sigma_i\}, \\ P(\sigma_i) &= C(\sigma_i) \times (-\sigma_i^2, 0) \subset P_{\sigma_i, 4\sigma_i, \sigma_i} \end{aligned}$$

for $i = 1, 2$. Choose $\psi = \phi(y)\eta(s)$ to be a refined cut-off function satisfying

$$\begin{aligned} \text{supp } \phi &\subset C(\sigma_1), \quad \phi|_{C(\sigma_2)} = 1, \quad 0 \leq \phi \leq 1, \quad \frac{|\nabla \phi|}{\phi^{1/2}} \leq \frac{c_1}{\sigma_1 - \sigma_2}, \\ \text{supp } \eta &\subset (-\sigma_1^2, 0), \quad \eta|_{[-\sigma_2^2, 0]} = 1, \quad 0 \leq \eta \leq 1, \quad |\eta'| \leq \frac{c_2}{(\sigma_1 - \sigma_2)^2}. \end{aligned}$$

Let $f = \bar{\Omega}_+^q$, test (2.9) by $f\psi^2$, and notice that

$$\begin{aligned} \int_{P(\sigma_1)} \partial_s f \cdot f\psi^2 \, dy \, ds &= \frac{1}{2} \int_{P(\sigma_1)} \partial_s (f^2)\psi^2 \, dy \, ds \\ &= \frac{1}{2} \int_{P(\sigma_1)} [\partial_s (f^2\psi^2) - f^2 \partial_s (\psi^2)] \, dy \, ds \\ &= \frac{1}{2} \int_{-\sigma_1^2}^0 \int_{C(\sigma_1)} \partial_s (f^2\psi^2) \, dy \, ds - \frac{1}{2} \int_{P(\sigma_1)} f^2 \phi^2 \cdot 2\eta \partial_s \eta \, dy \, ds \\ &= \frac{1}{2} \int_{C(\sigma_1)} f^2(y, 0)\psi^2(y, 0) \, dy - \int_{P(\sigma_1)} f^2 \phi^2 \cdot \eta \partial_s \eta \, dy \, ds \\ &= \frac{1}{2} \int_{C(\sigma_1)} f^2(y, 0)\phi^2(y, 0) \, dy - \int_{P(\sigma_1)} f^2 \phi^2 \cdot \eta \partial_s \eta \, dy \, ds \end{aligned}$$

as well as

$$\begin{aligned} \int_{P(\sigma_1)} -\Delta f \cdot f\psi^2 \, dy \, ds &= \int_{P(\sigma_1)} \nabla f \cdot \nabla (f\psi^2) \, dy \, ds \\ &= \int_{P(\sigma_1)} \nabla f \cdot [\nabla (f\psi) \cdot \psi + f\psi \cdot \nabla \psi] \, dy \, ds \\ &= \int_{P(\sigma_1)} \{\nabla (f\psi)[\nabla (f\psi) - f\nabla \psi] + f\nabla f \cdot \psi \nabla \psi\} \, dy \, ds \\ &= \int_{P(\sigma_1)} [|\nabla (f\psi)|^2 - (f\nabla \psi + \psi \nabla f) \cdot f\nabla \psi + f\nabla f \cdot \psi \nabla \psi] \, dy \, ds \\ &= \int_{P(\sigma_1)} [|\nabla (f\psi)|^2 - f^2 |\nabla \psi|^2] \, dy \, ds. \end{aligned}$$

We obtain

$$\begin{aligned}
(2.11) \quad & \frac{1}{2} \int_{C(\sigma_1)} f^2(y, 0) \phi^2(y) \, dy \\
& + \int_{P(\sigma_1)} |\nabla(f\psi)|^2 \, dy \, ds + q(q-1) \int_{P(\sigma_1)} \psi^2 \bar{\Omega}_+^{2(q-1)} |\nabla \bar{\Omega}_+|^2 \, dy \, ds \\
& = - \int_{P(\sigma_1)} (\mathbf{b} \cdot \nabla) f \cdot f \psi^2 \, dy \, ds + \int_{P(\sigma_1)} (\phi^2 \eta \partial_s \eta + |\nabla \psi|^2) f^2 \, dy \, ds \\
& + \int_{P(\sigma_1)} \frac{2}{r} \partial_r f \cdot f \psi^2 \, dy \, ds + \int_{P(\sigma_1)} \frac{2qu^\theta \partial_z u^\theta}{r^2} \bar{\Omega}_+^{2q-1} \psi^2 \, dy \, ds \\
& \equiv F_1 + F_2 + F_3 + F_4.
\end{aligned}$$

Similarly, we have

$$\begin{aligned}
(2.12) \quad & \partial_t \bar{\Gamma}_+^q + (\mathbf{b} \cdot \nabla) \bar{\Gamma}_+^q - \Delta \bar{\Gamma}_+^q + \frac{q}{r^2} \bar{\Gamma}_+^q - q(\omega^r \partial_r + \omega^z \partial_z) u^r \cdot \bar{\Gamma}_+^{q-1} \\
& + q(q-1) \bar{\Gamma}_+^{q-2} |\nabla \bar{\Gamma}_+|^2 = 0.
\end{aligned}$$

Set $g = \bar{\Gamma}_+^q$ and test (2.12) by $g\tilde{\psi}^2$, where $\tilde{\psi}$ is ψ modified through replacing σ_1 by $\tilde{\sigma}_1 = (\sigma_2 + \sigma_1)/2$. We find that

$$\begin{aligned}
(2.13) \quad & \frac{1}{2} \int_{C(\tilde{\sigma}_1)} g^2(y, 0) \tilde{\phi}^2(y) \, dy + \int_{P(\tilde{\sigma}_1)} |\nabla(g\tilde{\psi})|^2 \, dy \, ds \\
& + q(q-1) \int_{P(\tilde{\sigma}_1)} \tilde{\psi}^2 \bar{\Gamma}_+^{2(q-1)} |\nabla \bar{\Gamma}_+|^2 \, dy \, ds \\
& + q \int_{P(\tilde{\sigma}_1)} \left| \frac{g\tilde{\psi}}{r} \right|^2 \, dy \, ds \\
& = - \int_{P(\tilde{\sigma}_1)} (\mathbf{b} \cdot \nabla) g \cdot g\tilde{\psi}^2 \, dy \, ds \\
& + \int_{P(\tilde{\sigma}_1)} (\tilde{\phi}^2 \tilde{\eta} \partial_s \tilde{\eta} + |\nabla \tilde{\psi}|^2) g^2 \, dy \, ds \\
& + \int_{P(\tilde{\sigma}_1)} q(\omega^r \partial_r + \omega^z \partial_z) u^r \cdot \bar{\Gamma}_+^{2q-1} \tilde{\psi}^2 \, dy \, ds \\
& \equiv G_1 + G_2 + G_3.
\end{aligned}$$

Finally,

$$(2.14) \quad \partial_t \bar{\Phi}_+^q + (\mathbf{b} \cdot \nabla) \bar{\Phi}_+^q - \Delta \bar{\Phi}_+^q - q(\omega^r \partial_r + \omega^z \partial_z) u^z \cdot \bar{\Phi}_+^{q-1} + q(q-1) \bar{\Phi}_+^{q-2} |\nabla \bar{\Phi}_+|^2 = 0.$$

Letting $h = \overline{\Phi}_+^q$ and testing (2.14) by $h\tilde{\psi}^2$, we deduce that

$$\begin{aligned}
 (2.15) \quad & \frac{1}{2} \int_{C(\tilde{\sigma}_1)} h^2(y, 0) \tilde{\phi}^2(y) \, dy \\
 & + \int_{P(\tilde{\sigma}_1)} |\nabla(h\tilde{\psi})|^2 \, dy \, ds + q(q-1) \int_{P(\tilde{\sigma}_1)} \tilde{\psi}^2 \overline{\Phi}_+^{2(q-1)} |\nabla \overline{\Phi}_+|^2 \, dy \, ds \\
 & = - \int_{P(\tilde{\sigma}_1)} (\mathbf{b} \cdot \nabla) h \cdot h \tilde{\psi}^2 \, dy \, ds + \int_{P(\tilde{\sigma}_1)} (\tilde{\phi}^2 \tilde{\eta} \partial_s \tilde{\eta} + |\nabla \tilde{\psi}|^2) h^2 \, dy \, ds \\
 & + \int_{P(\tilde{\sigma}_1)} q(\omega^r \partial_r + \omega^z \partial_z) u^z \cdot \overline{\Phi}_+^{2q-1} \tilde{\psi}^2 \, dy \, ds \\
 & \equiv H_1 + H_2 + H_3.
 \end{aligned}$$

We will estimate F_i , G_j and H_k term by term.

Estimation of F_1 , G_1 , and H_1 . Since \mathbf{b} is divergence-free, we have

$$\begin{aligned}
 F_1 &= -\frac{1}{2} \int_{P(\sigma_1)} (\mathbf{b} \cdot \nabla)(f^2) \cdot \psi^2 \, dy \, ds = \frac{1}{2} \int_{P(\sigma_1)} (\mathbf{b} \cdot \nabla)(\psi^2) \cdot f^2 \, dy \, ds \\
 &= \int_{P(\sigma_1)} (\mathbf{b} \cdot \nabla)\psi \cdot \psi f^2 \, dy \, ds.
 \end{aligned}$$

To get a fine estimate, we need to use the dimension reduction argument explored in [10]. Put

$$\begin{aligned}
 \overline{C}(\sigma_i) &= \{(r, z); (r, \theta, z) \in C(\sigma_i)\}, \\
 \overline{P}(\sigma_i) &= \{(r, z, s); (r, \theta, z, s) \in P(\sigma_i)\}, \quad i = 1, 2,
 \end{aligned}$$

and if $y = (r, \theta, z)$, $dy = r \, dr \, d\theta \, dz$, we set

$$\overline{y} = (r, z), \quad d\overline{y} = dr \, dz.$$

Since \mathbf{u} is divergence-free, that is, $\partial_r(ru^r) + \partial_z(ru^z) = 0$, there exists an axisymmetric stream function L such that

$$u^r = -\partial_z L, \quad u^z = \frac{1}{r} \partial_r(rL).$$

For the later application of the Poincaré inequality, we introduce a function $a(t)$, depending only on the time. Upon integrating by parts, it follows that

$$\begin{aligned}
F_1 &= \int_{P(\sigma_1)} (\mathbf{b} \cdot \nabla) \psi \cdot \psi f^2 \, dy \, ds \\
&= \int_{P(\sigma_1)} (u^r \partial_r \psi + u^z \partial_z \psi) \cdot \psi f^2 \, dy \, ds \\
&= 2\pi \int_{\overline{P}(\sigma_1)} -\partial_z(rL - a) \partial_r \psi \cdot \psi f^2 \, dr \, dz \, ds \\
&\quad + 2\pi \int_{\overline{P}(\sigma_1)} \partial_r(rL - a) \partial_z \psi \cdot \psi f^2 \, dr \, dz \, ds \\
&= 2\pi \int_{\overline{P}(\sigma_1)} (rL - a) [\partial_z \partial_r \psi \cdot \psi f^2 + \partial_r \psi \cdot \partial_z(\psi f^2)] \, dr \, dz \, ds \\
&\quad - 2\pi \int_{\overline{P}(\sigma_1)} (rL - a) [\partial_r \partial_z \psi \cdot \psi f^2 + \partial_z \psi \cdot \partial_r(\psi f^2)] \, dr \, dz \, ds \\
&= 2\pi \int_{\overline{P}(\sigma_1)} (rL - a) \partial_r \psi \cdot \partial_z(\psi f^2) \, dr \, dz \, ds \\
&\quad - 2\pi \int_{\overline{P}(\sigma_1)} (rL - a) \partial_z \psi \cdot \partial_r(\psi f^2) \, dr \, dz \, ds.
\end{aligned}$$

Since

$$\nabla(\psi f^2) = \nabla(\psi f) \cdot f + \psi f \cdot \nabla f = \nabla(\psi f) \cdot f + f[\nabla(\psi f) - f \nabla \psi] = 2\nabla(\psi f) \cdot f - f^2 \nabla \psi,$$

we can estimate F_1 as

$$\begin{aligned}
(2.16) \quad F_1 &\leq C \|rL - a\|_{L^\infty(\overline{C}(\sigma_1))} \|\nabla \psi\|_{L^\infty(\overline{C}(\sigma_1))} \\
&\quad \times [\|\nabla(\psi f)\|_{L^2(\overline{P}(\sigma_1))} \|f\|_{L^2(\overline{P}(\sigma_1))} + \|\nabla \psi\|_{L^\infty(\overline{C}(\sigma_1))} \|f\|_{L^2(\overline{C}(\sigma_1))}^2] \\
&\leq \frac{1}{4} \int_{P(\sigma_1)} |\nabla(f\psi)|^2 \, dy \, ds \\
&\quad + \frac{C}{(\sigma_1 - \sigma_2)^2} \left[\sup_{t \in (-\sigma_1^2, 0)} \|rL - a(t)\|_{L^\infty(\overline{C}(\sigma_1))}^2 + 1 \right] \int_{\overline{P}(\sigma_1)} f^2 \, d\overline{\gamma} \, ds.
\end{aligned}$$

Here and in what follows, we employ the fact that r is comparable to 1 in $P(\sigma_1)$ and hence the two-dimensional volume element $dr \, dz$ is comparable to the three-dimensional one $r \, dr \, d\theta \, dz$ for axisymmetric functions.

We are going to estimate $\|rL - a(t)\|_{L^\infty(\overline{C}(\sigma_1))}$. In order to apply the two-dimensional logarithmic Sobolev inequality (see [6], Appendix)

$$(2.17) \quad \|f\|_{L^\infty(\mathbb{R}^2)} \leq C(\|\nabla f\|_{L^2(\mathbb{R}^2)} + \|f\|_{L^2(\mathbb{R}^2)} + 1) \ln^{1/2}(\|\Delta f\|_{L^2(\mathbb{R}^2)} + \|f\|_{L^2(\mathbb{R}^2)} + e),$$

we introduce the cut-off function $\theta = \theta(r, z) \in C_c^\infty(\mathbb{R}^2)$ such that

$$\theta|_{\overline{C}(\sigma_1)} = 1, \quad \text{supp } \theta \subset \overline{C}\left(\frac{9}{8}\sigma_1\right), \quad 0 \leq \theta \leq 1, \quad |\overline{\nabla}\theta| + |\Delta_2\theta| \leq 1.$$

Here and hereafter, $\overline{\nabla}$ is the two-dimensional gradient and $\Delta_2 = \partial_r^2 + \partial_z^2$ is the two-dimensional Laplacian with respect to the variables r and z . Applying (2.17) to $rL - a(t)$ then gives

$$\begin{aligned} & \|rL - a(t)\|_{L^\infty(\overline{C}(\sigma_1))} \\ & \leq \|rL - a(t)\|_{L^\infty(\mathbb{R}^2)} \\ & \leq C[\|\overline{\nabla}((rL - a(t))\theta)\|_{L^2(\mathbb{R}^2)} + \|(rL - a(t))\theta\|_{L^2(\mathbb{R}^2)} + 1] \\ & \quad \times \ln^{1/2}[\|\Delta_2((rL - a(t))\theta)\|_{L^2(\mathbb{R}^2)} + \|(rL - a(t))\theta\|_{L^2(\mathbb{R}^2)} + e] \\ & \leq C[\|\theta\overline{\nabla}(rL - a(t))\|_{L^2(\mathbb{R}^2)} + \|rL - a(t)\|_{L^2(\overline{C}(9\sigma_1/8))} + 1] \\ & \quad \times \ln^{1/2}[\|\Delta_2((rL - a(t))\theta)\|_{L^2(\mathbb{R}^2)} + \|rL - a(t)\|_{L^2(\overline{C}(9\sigma_1/8))} + e]. \end{aligned}$$

Choose $a(t)$ to be the average of rL on $\overline{C}(\frac{9}{8}\sigma_1)$ under the two-dimensional volume element $dr dz$, we may employ the two-dimensional Poincaré inequality to deduce

$$(2.18) \quad \begin{aligned} & \|rL - a(t)\|_{L^\infty(\overline{C}(\sigma_1))} \\ & \leq C[\|\overline{\nabla}(rL)\|_{L^2(\overline{C}(9\sigma_1/8))} + 1] \\ & \quad \times \ln^{1/2}[\|\Delta_2((rL - a(t))\theta)\|_{L^2(\overline{C}(9\sigma_1/8))} + \|\overline{\nabla}(rL)\|_{L^2(\overline{C}(9\sigma_1/8))} + e]. \end{aligned}$$

To proceed further, we calculate

$$\begin{aligned} |\overline{\nabla}(rL)|^2 &= |\partial_r(rL)|^2 + |\partial_z(rL)|^2 = r^2 \cdot \left| \frac{1}{r} \partial_r(rL) \right|^2 + r^2 |\partial_z L|^2 \\ &= r^2 |u^z|^2 + r^2 |u^r|^2 = r^2 |\mathbf{b}|^2, \\ \Delta_2((rL - a(t))\theta) &= \Delta_2(rL)\theta + 2\overline{\nabla}(rL) \cdot \nabla\theta + (rL - a(t))\Delta_2\theta \\ &= \theta(\partial_r^2 + \partial_z^2)(rL) + 2\overline{\nabla}(rL) \cdot \nabla\theta + (rL - a(t))\Delta_2\theta \\ &= \theta r \left(\partial_r^2 + \partial_z^2 + \frac{2}{r} \partial_r \right) L + 2\overline{\nabla}(rL) \cdot \nabla\theta + (rL - a(t))\Delta_2\theta \\ &= \theta r \left(\partial_r^2 + \partial_z^2 + \frac{1}{r} \partial_r - \frac{1}{r^2} \right) L + \theta \left(\partial_r + \frac{1}{r} \right) L \\ & \quad + 2\overline{\nabla}(rL) \cdot \nabla\theta + (rL - a(t))\Delta_2\theta \\ &= -\theta r \omega^\theta + \theta u^z + 2\overline{\nabla}(rL) \cdot \nabla\theta + (rL - a(t))\Delta_2\theta, \\ |\Delta_2((rL - a(t))\theta)| &\leq |r\omega^\theta - u^z| + 2r|\mathbf{b}| + C|rL - a(t)|. \end{aligned}$$

Applying the two-dimensional Poincaré inequality again, we get

$$\begin{aligned}
& \|\Delta_2((rL - a(t))\theta)\|_{L^2(\overline{C}(9\sigma_1/8))} \\
& \leq C\|\omega^\theta\|_{L^2(\overline{C}(9\sigma_1/8))} + C\|\mathbf{b}\|_{L^2(\overline{C}(9\sigma_1/8))} + C\|rL - a(t)\|_{L^2(\overline{C}(9\sigma_1/8))} \\
& \leq C\|\omega^\theta\|_{L^2(\overline{C}(9\sigma_1/8))} + C\|\mathbf{b}\|_{L^2(\overline{C}(9\sigma_1/8))} + C\|\nabla(rL)\|_{L^2(\overline{C}(9\sigma_1/8))} \\
& \leq C\|\omega^\theta\|_{L^2(\overline{C}(9\sigma_1/8))} + 2C\|\mathbf{b}\|_{L^2(\overline{C}(9\sigma_1/8))}.
\end{aligned}$$

With the above estimates, (2.18) becomes

$$\begin{aligned}
(2.19) \quad & \|rL - a(t)\|_{L^\infty(\overline{C}(\sigma_1))} \\
& \leq C[\|\mathbf{u}(\cdot, t)\|_{L^2(\overline{C}(9\sigma_1/8))} + 1] \\
& \quad \times \ln^{1/2}[C\|\omega^\theta(\cdot, t)\|_{L^2(\overline{C}(9\sigma_1/8))} + C\|\mathbf{u}(\cdot, t)\|_{L^2(\overline{C}(9\sigma_1/8))} + e].
\end{aligned}$$

Consequently, (2.16) reduces to

$$(2.20) \quad F_1 \leq \frac{1}{4} \int_{P(\sigma_1)} |\nabla(f\psi)|^2 dy ds + C \frac{\overline{K}^2(\mathbf{u}, \omega)}{(\sigma_1 - \sigma_2)^2} \int_{\overline{P}(\sigma_1)} f^2 d\overline{y} ds,$$

where

$$\begin{aligned}
(2.21) \quad & \overline{K} = \overline{K}(\mathbf{u}, \omega) \equiv \sup_{t \in (-\sigma_1^2, 0)} \{[\|\mathbf{u}(\cdot, t)\|_{L^2(\overline{C}(9\sigma_1/8))} + 1] \\
& \quad \times \ln^{1/2}[C\|\omega^\theta(\cdot, t)\|_{L^2(\overline{C}(9\sigma_1/8))} + C\|\mathbf{u}(\cdot, t)\|_{L^2(\overline{C}(9\sigma_1/8))} + e]\}.
\end{aligned}$$

Similarly,

$$(2.22) \quad G_1 \leq \frac{1}{4} \int_{P(\tilde{\sigma}_1)} |\nabla(g\psi)|^2 dy ds + C \frac{\overline{K}^2(\mathbf{u}, \omega)}{(\sigma_1 - \sigma_2)^2} \int_{\overline{P}(\tilde{\sigma}_1)} g^2 d\overline{y} ds,$$

$$(2.23) \quad H_1 \leq \frac{1}{4} \int_{P(\tilde{\sigma}_1)} |\nabla(h\psi)|^2 dy ds + C \frac{\overline{K}^2(\mathbf{u}, \omega)}{(\sigma_1 - \sigma_2)^2} \int_{\overline{P}(\tilde{\sigma}_1)} h^2 d\overline{y} ds.$$

Estimation of F_2 , G_2 , and H_2 . Employing the routine treatment, we obtain

$$\begin{aligned}
(2.24) \quad & F_2 \leq \int_{P(\sigma_1)} (|\partial_s \eta| + |\nabla \phi|^2) f^2 dy ds = \int_{P(\sigma_1)} \left(|\partial_s \eta| + \phi \left| \frac{\nabla \phi}{\phi^{1/2}} \right|^2 \right) f^2 dy ds \\
& \leq \frac{C}{(\sigma_1 - \sigma_2)^2} \int_{P(\sigma_1)} f^2 dy ds \leq \frac{C}{(\sigma_1 - \sigma_2)^2} \int_{\overline{P}(\sigma_1)} f^2 d\overline{y} ds.
\end{aligned}$$

Similarly,

$$(2.25) \quad G_2 \leq \frac{C}{(\sigma_1 - \sigma_2)^2} \int_{\overline{P}(\tilde{\sigma}_1)} g^2 d\overline{y} ds,$$

$$(2.26) \quad H_2 \leq \frac{C}{(\sigma_1 - \sigma_2)^2} \int_{\overline{P}(\tilde{\sigma}_1)} h^2 d\overline{y} ds.$$

Estimation of F_3 . Integrating by parts provides

$$\begin{aligned}
(2.27) \quad F_3 &= \int_{-\sigma_1^2}^0 ds \int_{-4\sigma_1}^{4\sigma_1} dz \int_{5-4\sigma_1}^{4\sigma_1} \frac{2}{r} \partial_r f \cdot f \psi^2 \cdot 2\pi r \, dr \\
&= 2\pi \int_{-\sigma_1^2}^0 ds \int_{-4\sigma_1}^{4\sigma_1} dz \int_{5-4\sigma_1}^{4\sigma_1} \partial_r (f^2) \psi^2 \, dr \\
&= -2\pi \int_{-\sigma_1^2}^0 ds \int_{-4\sigma_1}^{4\sigma_1} dz \int_{5-4\sigma_1}^{4\sigma_1} f^2 \cdot 2\psi \partial_r \psi \, dr \\
&= - \int_{P(\sigma_1)} \frac{2}{r} \partial_r \psi \cdot \psi f^2 \, dy \, ds \\
&\leq \int_{P(\sigma_1)} \frac{2}{r} \eta^2 \frac{\partial_r \phi}{\phi^{1/2}} \cdot \phi^{3/2} f^2 \, dy \, ds \\
&\leq \frac{C}{\sigma_1 - \sigma_2} \int_{P(\sigma_1)} f^2 \, dy \, ds \\
&\leq \frac{C}{\sigma_1 - \sigma_2} \int_{\overline{P}(\sigma_1)} f^2 \, d\overline{y} \, ds.
\end{aligned}$$

Estimation of F_4 . Integrating by parts gives

$$\begin{aligned}
(2.28) \quad F_4 &= q \int_{P(\sigma_1)} \partial_z \left| \frac{u^\theta}{r} \right|^2 \frac{\overline{\Omega}_+^{2q} \psi^2}{\overline{\Omega}_+} \, dy \, ds \\
&= -q \int_{P(\sigma_1)} \left| \frac{u^\theta}{r} \right|^2 \left[\partial_z (|f\psi|^2) \frac{1}{\overline{\Omega}_+} + \overline{\Omega}_+^{2q} \psi^2 \cdot \left(-\frac{\partial_z \overline{\Omega}_+}{\overline{\Omega}_+^2} \right) \right] \, dy \, ds \\
&= -q \int_{P(\sigma_1)} \left| \frac{u^\theta}{r} \right|^2 \left[\partial_z (|f\psi|^2) \frac{1}{\overline{\Omega}_+} - \frac{1}{2q} \psi^2 \partial_z \overline{\Omega}_+^{2q} \frac{1}{\overline{\Omega}_+} \right] \, dy \, ds \\
&= -q \int_{P(\sigma_1)} \left| \frac{u^\theta}{r} \right|^2 \frac{1}{\overline{\Omega}_+} \left\{ \partial_z (|f\psi|^2) - \frac{1}{2q} [\partial_z (|f\psi|^2) - f^2 \partial_z (\psi^2)] \right\} \, dy \, ds \\
&= -q \int_{P(\sigma_1)} \left| \frac{u^\theta}{r} \right|^2 \frac{1}{\overline{\Omega}_+} \left[\frac{2q-1}{2q} \partial_z (|f\psi|^2) + \frac{1}{q} f^2 \psi \partial_z \psi \right] \, dy \, ds \\
&\leq Cq \int_{P(\sigma_1)} \Lambda^2 \cdot \frac{1}{\Lambda} \left[|f\psi| \cdot |\partial_z (f\psi)| + \frac{1}{q} f^2 \psi |\partial_z \psi| \right] \, dy \, ds \\
&= Cq \int_{P(\sigma_1)} \Lambda^2 \cdot \frac{1}{\Lambda} \left[|f\psi| \cdot |\partial_z (f\psi)| + \frac{1}{q} f^2 \eta^2 \phi^{3/2} \left| \frac{\partial_z \psi}{\phi^{1/2}} \right| \right] \, dy \, ds \\
&\leq C \left[\Lambda^2 q^2 + \frac{\Lambda}{\sigma_1 - \sigma_2} \right] \int_{P(\sigma_1)} f^2 \, dy \, ds + \frac{1}{4} \int_{P(\sigma_1)} |\nabla (f\psi)|^2 \, dy \, ds \\
&\leq C \left[\Lambda^2 q^2 + \frac{\Lambda}{\sigma_1 - \sigma_2} \right] \int_{P(\sigma_1)} f^2 \, dy \, ds + \frac{1}{4} \int_{P(\sigma_1)} |\nabla (f\psi)|^2 \, dy \, ds,
\end{aligned}$$

where, in the last three lines, we have used that

$$\bar{\Omega}_+ \geq \Lambda > 0, \quad \left| \frac{u^\theta}{r} \right| = \frac{|ru^\theta|}{r^2} \leq \frac{\Lambda}{\sigma_1^2} \leq \Lambda \left(\frac{8}{5} \right)^2.$$

Estimation of G_3 and H_3 . Applying integration by parts to G_3 could provide some cancellation,

$$\begin{aligned} G_3 &= q \int_{P(\bar{\sigma}_1)} (\omega^r \partial_r + \omega^z \partial_z) u^r \cdot \bar{\Gamma}_+^{2q-1} \tilde{\psi}^2 \, dy \, ds \\ &= q \int_{P(\bar{\sigma}_1)} \left[-\partial_z u^\theta \partial_r u^r + \frac{1}{r} \partial_r (ru^\theta) \partial_z u^r \right] \cdot \bar{\Gamma}_+^{2q-1} \tilde{\psi}^2 \, dy \, ds \\ &= q \int_{P(\bar{\sigma}_1)} -\partial_z u^\theta \partial_r u^r \cdot \bar{\Gamma}_+^{2q-1} \tilde{\psi}^2 \, dy \, ds \\ &\quad + 2\pi q \int_{-\bar{\sigma}_1^2}^0 \, ds \int_{-4\bar{\sigma}_1}^{4\bar{\sigma}_1} \, dz \int_{5-4\bar{\sigma}_1}^{\bar{\sigma}_1} \partial_r (ru^\theta) \partial_z u^r \cdot \bar{\Gamma}_+^{2q-1} \tilde{\psi}^2 \, dy \, ds \\ &= q \int_{P(\bar{\sigma}_1)} u^\theta [\partial_z \partial_r u^r \cdot \bar{\Gamma}_+^{2q-1} \tilde{\psi}^2 + \partial_r u^r \cdot \partial_z (\bar{\Gamma}_+^{2q-1} \tilde{\psi}^2)] \, dy \, ds \\ &\quad - 2\pi q \int_{-\bar{\sigma}_1^2}^0 \, ds \int_{-4\bar{\sigma}_1}^{4\bar{\sigma}_1} \, dz \\ &\quad \times \int_{5-4\bar{\sigma}_1}^{4\bar{\sigma}_1} ru^\theta [\partial_r \partial_z u^r \cdot \bar{\Gamma}_+^{2q-1} \tilde{\psi}^2 + \partial_z u^r \cdot \partial_r (\bar{\Gamma}_+^{2q-1} \tilde{\psi}^2)] \, dy \, ds \\ &= q \int_{P(\bar{\sigma}_1)} u^\theta \cdot \partial_r u^r \cdot \partial_z (\bar{\Gamma}_+^{2q-1} \tilde{\psi}^2) \, dy \, ds \\ &\quad - q \int_{P(\bar{\sigma}_1)} u^\theta \cdot \partial_z u^r \cdot \partial_r (\bar{\Gamma}_+^{2q-1} \tilde{\psi}^2) \, dy \, ds. \end{aligned}$$

Since

$$\begin{aligned} \nabla (\bar{\Gamma}_+^{2q-1} \tilde{\psi}^2) &= (2q-1) \bar{\Gamma}_+^{2q-2} \nabla \bar{\Gamma}_+ \tilde{\psi}^2 + 2 \bar{\Gamma}_+^{2q-1} \tilde{\psi} \nabla \tilde{\psi} \\ &= \frac{2q-1}{q} \bar{\Gamma}_+^{q-1} \nabla (\bar{\Gamma}_+^q) \tilde{\psi}^2 + 2 \bar{\Gamma}_+^{2q-1} \tilde{\psi} \nabla \tilde{\psi} \\ &= \frac{2q-1}{q} \bar{\Gamma}_+^{q-1} \psi [\nabla (\bar{\Gamma}_+^q \tilde{\psi}) - \bar{\Gamma}_+^q \nabla \tilde{\psi}] + 2 \bar{\Gamma}_+^{2q-1} \tilde{\psi} \nabla \tilde{\psi} \\ &= \frac{2q-1}{q} \bar{\Gamma}_+^{q-1} \psi \nabla (\bar{\Gamma}_+^q \tilde{\psi}) + \frac{1}{q} \bar{\Gamma}_+^{2q-1} \tilde{\psi} \nabla \tilde{\psi}, \end{aligned}$$

we have

$$\begin{aligned} G_3 &\leq q \int_{P(\bar{\sigma}_1)} \frac{1}{r} |ru^\theta| \cdot |\nabla u^r| \cdot \left[\bar{\Gamma}_+^{q-1} \tilde{\psi} |\nabla (g\tilde{\psi})| + \frac{1}{q} \bar{\eta}^2 \bar{\Gamma}_+^{2q-1} \tilde{\phi}^{3/2} \left| \frac{\nabla \tilde{\phi}}{\tilde{\phi}^{1/2}} \right| \right] \, dy \, ds \\ &\leq Cq\Lambda \|\nabla u^r\|_{L^{2q}(P(\bar{\sigma}_1))} \\ &\quad \times \left[\|\bar{\Gamma}_+\|_{L^{2q}(P(\bar{\sigma}_1))}^{q-1} \|\nabla (g\tilde{\psi})\|_{L^2(P(\bar{\sigma}_1))} + \frac{1}{q(\sigma_1 - \sigma_2)} \|\bar{\Gamma}_+\|_{L^{2q}(P(\bar{\sigma}_1))}^{2q-1} \right]. \end{aligned}$$

Applying Lemma 3.3,

$$(2.29) \quad G_3 \leq Cq\Lambda \left[\|\omega^\theta\|_{L^{2q}(P(\sigma_1))} + \frac{1}{\sigma_1 - \sigma_2} \|\mathbf{b}\|_{L^{2q}(P(\sigma_1))} \right] \\ \times \left[\|\bar{\Gamma}_+ \|_{L^{2q}(P(\bar{\sigma}_1))}^{q-1} \|\nabla(g\tilde{\psi})\|_{L^2(P(\bar{\sigma}_1))} + \frac{1}{q(\sigma_1 - \sigma_2)} \|\bar{\Gamma}_+ \|_{L^{2q}(P(\bar{\sigma}_1))}^{2q-1} \right].$$

Put

$$\bar{\Omega}_- = \begin{cases} -\Omega + \Lambda + 1, & \Omega \leq 0, \\ \Lambda + 1, & \Omega > 0, \end{cases}$$

and, similarly, Γ_- and Φ_- . Also, set $f_1 = \bar{\Omega}_-^q$, $g_1 = \bar{\Gamma}_-^q$, $h_1 = \bar{\Phi}_-^q$. Then

$$|\omega^\theta|^q \leq f + f_1 \quad \text{on } P(\sigma_1).$$

Moreover, $0 < \sigma_1 < 1$ implies

$$\|\mathbf{b}\|_{L^{2q}(P(\sigma_1))} \leq \|\mathbf{b}\|_{L^\infty(P(\sigma_1))} \left[\int_{P(\sigma_1)} 1 \, dy \, ds \right]^{1/(2q)} \leq C \|\mathbf{b}\|_{L^\infty(P(\sigma_1))}.$$

With the above two observations, (2.29) becomes

$$G_3 \leq \frac{Cq\Lambda}{\sigma_1 - \sigma_2} [\|\omega^\theta\|_{L^{2q}(P(\sigma_1))} + \|\mathbf{b}\|_{L^\infty(P(\sigma_1))}] \\ \times \left[\|g\|_{L^2(P(\bar{\sigma}_1))}^{(q-1)/q} \cdot \|\nabla(g\tilde{\psi})\|_{L^2(P(\bar{\sigma}_1))} + \frac{1}{q(\sigma_1 - \sigma_2)} \|g\|_{L^2(P(\bar{\sigma}_1))}^{(2q-1)/q} \right] \\ \leq \frac{Cq\Lambda}{\sigma_1 - \sigma_2} [\|f + f_1\|_{L^2(P(\sigma_1))}^{1/q} + \|\mathbf{b}\|_{L^\infty(P(\sigma_1))}] \\ \times \left[\|g\|_{L^2(P(\bar{\sigma}_1))}^{(q-1)/q} \cdot \|\nabla(g\tilde{\psi})\|_{L^2(P(\bar{\sigma}_1))} + \frac{1}{q(\sigma_1 - \sigma_2)} \|g\|_{L^2(P(\bar{\sigma}_1))}^{(2q-1)/q} \right] \\ \leq C \left[\left(\frac{q\Lambda}{\sigma_1 - \sigma_2} \right)^{1/q} \|f\|_{L^2(P(\sigma_1))}^{1/q} + \left(\frac{q\Lambda}{\sigma_1 - \sigma_2} \right)^{1/q} \|f_1\|_{L^2(P(\sigma_1))}^{1/q} \right] \\ \times \left(\frac{q\Lambda}{\sigma_1 - \sigma_2} \right)^{(q-1)/q} \|g\|_{L^2(P(\bar{\sigma}_1))}^{(q-1)/q} \cdot \|\nabla(g\tilde{\psi})\|_{L^2(P(\bar{\sigma}_1))} \\ + C \left[\frac{\Lambda}{(\sigma_1 - \sigma_2)^2} \right]^{1/(2q)} \|f\|_{L^2(P(\sigma_1))}^{1/q} \cdot \left[\frac{\Lambda}{(\sigma_1 - \sigma_2)^2} \right]^{(2q-1)/(2q)} \|g\|_{L^2(P(\bar{\sigma}_1))}^{(2q-1)/q} \\ + \frac{Cq\Lambda}{\sigma_1 - \sigma_2} \|\mathbf{b}\|_{L^\infty(P(\sigma_1))} \|g\|_{L^2(P(\bar{\sigma}_1))}^{(q-1)/q} \|\nabla(g\tilde{\psi})\|_{L^2(P(\bar{\sigma}_1))} \\ + \frac{C\Lambda}{(\sigma_1 - \sigma_2)^2} \|\mathbf{b}\|_{L^\infty(P(\sigma_1))} \|g\|_{L^2(P(\bar{\sigma}_1))}^{(2q-1)/q}.$$

Noticing that $q > 1$, $g \geq \Lambda + 1 \geq 1$, $\bar{K} \geq 1$, and applying the Young inequality, we get

$$\begin{aligned}
 (2.30) \quad G_3 &\leq \frac{1}{4} \int_{P(\bar{\sigma}_1)} |\nabla(g\tilde{\psi})|^2 dy ds + C \frac{q^2\Lambda^2 + \Lambda}{(\sigma_1 - \sigma_2)^2} \int_{\bar{P}(\sigma_1)} (f^2 + f_1^2) d\bar{y} ds \\
 &\quad + C \left[\frac{q^2\Lambda^2 + \Lambda}{(\sigma_1 - \sigma_2)^2} + \frac{q^2\Lambda^2}{(\sigma_1 - \sigma_2)^2} \|\mathbf{b}\|_{L^\infty(P(\sigma_1))}^2 + \frac{\Lambda}{(\sigma_1 - \sigma_2)^2} \|\mathbf{b}\|_{L^\infty(P(\sigma_1))} \right] \\
 &\quad \times \int_{\bar{P}(\bar{\sigma}_1)} g^2 d\bar{y} ds \\
 &\leq \frac{1}{4} \int_{P(\bar{\sigma}_1)} |\nabla(g\tilde{\psi})|^2 dy ds + \frac{Cq^2(\Lambda + 1)^2}{(\sigma_1 - \sigma_2)^2} \int_{\bar{P}(\sigma_1)} (f^2 + f_1^2) d\bar{y} ds \\
 &\quad + \frac{Cq^2(\Lambda + 1)^2}{(\sigma_1 - \sigma_2)^2} [1 + \|\mathbf{b}\|_{L^\infty(P(\sigma_1))}^2] \int_{\bar{P}(\bar{\sigma}_1)} g^2 d\bar{y} ds \\
 &\leq \frac{1}{4} \int_{P(\bar{\sigma}_1)} |\nabla(g\tilde{\psi})|^2 dy ds \\
 &\quad + \frac{Cq^2(\Lambda + 1)^2}{(\sigma_1 - \sigma_2)^2} [1 + \|\mathbf{b}\|_{L^\infty(P(\sigma_1))}^2] \int_{\bar{P}(\bar{\sigma}_1)} (f^2 + f_1^2 + g^2) d\bar{y} ds.
 \end{aligned}$$

Similarly,

$$\begin{aligned}
 (2.31) \quad H_3 &\leq \frac{1}{4} \int_{P(\bar{\sigma}_1)} |\nabla(h\tilde{\psi})|^2 dy ds \\
 &\quad + \frac{Cq^2(\Lambda + 1)^2}{(\sigma_1 - \sigma_2)^2} [1 + \|\mathbf{b}\|_{L^\infty(P(\sigma_1))}^2] \int_{\bar{P}(\bar{\sigma}_1)} (f^2 + f_1^2 + h^2) d\bar{y} ds.
 \end{aligned}$$

Collecting (2.20), (2.22), (2.23), (2.24), (2.25), (2.26), (2.27), (2.28), (2.30), and (2.31) into (2.11) + (2.13) + (2.15), and observing that $\bar{K} \geq 1$, $0 < \sigma_1 - \sigma_2 < 1$, we find

$$\begin{aligned}
 (2.32) \quad &\int_{C(\sigma_1)} f^2(y, 0)\phi^2(y) dy + \int_{P(\sigma_1)} |\nabla(f\psi)|^2 dy ds \\
 &\quad + \int_{C(\bar{\sigma}_1)} [g^2(y, 0) + h^2(y, 0)]\tilde{\phi}^2(y) dy \\
 &\quad + \int_{P(\bar{\sigma}_1)} |\nabla(g\tilde{\psi})|^2 + |\nabla(h\tilde{\psi})|^2 dy ds \\
 &\leq \frac{Cq^2(\Lambda + 1)^2}{(\sigma_1 - \sigma_2)^2} [\bar{K}^2(\mathbf{u}, \boldsymbol{\omega}) + \|\mathbf{b}\|_{L^\infty(P(\sigma_1))}^2] \\
 &\quad \times \int_{\bar{P}(\sigma_1)} (f^2 + f_1^2 + g^2 + h^2) d\bar{y} ds,
 \end{aligned}$$

where $\bar{K} = \bar{K}(\mathbf{u}, \boldsymbol{\omega})$ is defined by (2.21).

Since $\eta|_{[-\sigma_2^2, 0]} = 1$, we may now replace the upper time limit 0 of the time cut-off function η by any $s \in [-\sigma_2^2, 0]$. Consequently,

$$\begin{aligned}
(2.33) \quad & \sup_{-\sigma_2^2 < s < 0} \left[\int_{C(\sigma_1)} f^2(y, s) \phi^2(y) \, dy + \int_{C(\bar{\sigma}_1)} [g^2(y, 0) + h^2(y, 0)] \tilde{\phi}^2(y) \, dy \right] \\
& + \int_{P(\sigma_1)} |\nabla(f\psi)|^2 \, dy \, ds + \int_{P(\bar{\sigma}_1)} (|\nabla(g\tilde{\psi})|^2 + |\nabla(h\tilde{\psi})|^2) \, dy \, ds \\
& \leq \frac{Cq^2(\Lambda + 1)^2}{(\sigma_1 - \sigma_2)^2} [\bar{K}^2(\mathbf{u}, \boldsymbol{\omega})(C_{0.5,5}) + \|\mathbf{b}\|_{L^\infty(P_{1,4,1})}^2] \\
& \quad \times \int_{\bar{P}(\sigma_1)} (f^2 + f_1^2 + g^2 + h^2) \, d\bar{y} \, ds,
\end{aligned}$$

where we have used the facts that $q > 1$, $0 < \sigma_1 - \sigma_2 < 1$, and

$$0 < \sigma_1 \leq 1 \Rightarrow C\left(\frac{9\sigma_1}{8}\right) \subset C_{0.5,5}.$$

Step 2. L^2 - L^∞ estimate on solutions to (2.4)–(2.6) via Moser’s iteration.

We are in a state to apply the dimension reduction argument from [10]. Since r is comparable with σ_1 or σ_2 in $C(\sigma_1)$ or $C(\bar{\sigma}_1)$, respectively, (2.33) actually can be reformulated as

$$\begin{aligned}
(2.34) \quad & \sup_{-\sigma_2^2 < s < 0} \left[\int_{\bar{C}(\sigma_1)} f^2(\bar{y}, s) \phi^2(\bar{y}) \, d\bar{y} + \int_{\bar{C}(\bar{\sigma}_1)} [g^2(\bar{y}, 0) + h^2(\bar{y}, 0)] \tilde{\phi}^2(\bar{y}) \, d\bar{y} \right] \\
& + \int_{P(\sigma_1)} |\nabla(f\psi)|^2 \, d\bar{y} \, ds + \int_{P(\bar{\sigma}_1)} (|\nabla(g\tilde{\psi})|^2 + |\nabla(h\tilde{\psi})|^2) \, d\bar{y} \, ds \\
& \leq \frac{Cq^2(\Lambda + 1)^2}{(\sigma_1 - \sigma_2)^2} [\bar{K}^2(\mathbf{u}, \boldsymbol{\omega})(C_{0.5,5}) + \|\mathbf{b}\|_{L^\infty(P_{1,4,1})}^2] \\
& \quad \times \int_{\bar{P}(\sigma_1)} (f^2 + f_1^2 + g^2 + h^2) \, d\bar{y} \, ds.
\end{aligned}$$

By the two-dimensional Gagliardo-Nirenberg inequality,

$$\|f\psi\|_{L^4(\bar{C}(\sigma_1))} \leq C \|f\psi\|_{L^2(\bar{C}(\sigma_1))}^{1/2} \|\bar{\nabla}(f\psi)\|_{L^2(\bar{C}(\sigma_1))}^{1/2}.$$

The inequality (2.34) implies that

$$\begin{aligned}
(2.35) \quad & \int_{\bar{P}(\sigma_2)} f^4 \, d\bar{y} \, ds = \int_{-\sigma_2^2}^0 \|f\psi\|_{L^4(\bar{C}(\sigma_1))}^4 \, ds \\
& \leq C \sup_{-\sigma_2^2 < s < 0} \|f\psi\|_{L^2(\bar{C}(\sigma_1))}^2 \int_{-\sigma_2^2}^0 \|\bar{\nabla}(f\psi)\|_{L^2(\bar{C}(\sigma_1))}^2 \, ds \\
& \leq C \sup_{-\sigma_1^2 < s < 0} \|f\psi\|_{L^2(\bar{C}(\sigma_1))}^2 \int_{P(\sigma_1)} |\bar{\nabla}(f\psi)|^2 \, dy \, ds
\end{aligned}$$

$$\begin{aligned} &\leq \left\{ \frac{Cq^2(\Lambda+1)^2}{(\sigma_1-\sigma_2)^2} [\overline{K}^2(\mathbf{u}, \boldsymbol{\omega})(C_{0.5,5}) + \|\mathbf{b}\|_{L^\infty(P_{1,4,1})}^2] \right. \\ &\quad \left. \times \int_{\overline{P}(\sigma_1)} (f^2 + f_1^2 + g^2 + h^2) d\overline{y} ds \right\}^2. \end{aligned}$$

Similarly,

$$\begin{aligned} (2.36) \quad \int_{\overline{P}(\sigma_2)} g^4 d\overline{y} ds &= \int_{-\sigma_2^2}^0 \|g\psi\|_{L^4(\overline{C}(\overline{\sigma}_1))}^4 ds \\ &\leq C \sup_{-\sigma_2^2 < s < 0} \|g\psi\|_{L^2(\overline{C}(\overline{\sigma}_1))}^2 \int_{-\sigma_2^2}^0 \|\overline{\nabla}(g\psi)\|_{L^2(\overline{C}(\overline{\sigma}_1))}^2 ds \\ &\leq C \sup_{-\sigma_2^2 < s < 0} \|g\psi\|_{L^2(\overline{C}(\overline{\sigma}_1))}^2 \int_{P(\sigma_1)} |\overline{\nabla}(g\psi)|^2 dy ds \\ &\leq \left\{ \frac{Cq^2(\Lambda+1)^2}{(\sigma_1-\sigma_2)^2} [\overline{K}^2(\mathbf{u}, \boldsymbol{\omega})(C_{0.5,5}) + \|\mathbf{b}\|_{L^\infty(P_{1,4,1})}^2] \right. \\ &\quad \left. \times \int_{\overline{P}(\sigma_1)} (f^2 + f_1^2 + g^2 + h^2) d\overline{y} ds \right\}^2 \end{aligned}$$

as well as

$$\begin{aligned} (2.37) \quad \int_{\overline{P}(\sigma_2)} h^4 d\overline{y} ds &\leq \left\{ \frac{Cq^2(\Lambda+1)^2}{(\sigma_1-\sigma_2)^2} [\overline{K}^2(\mathbf{u}, \boldsymbol{\omega})(C_{0.5,5}) + \|\mathbf{b}\|_{L^\infty(P_{1,4,1})}^2] \right. \\ &\quad \left. \times \int_{\overline{P}(\sigma_1)} (f^2 + f_1^2 + g^2 + h^2) d\overline{y} ds \right\}^2. \end{aligned}$$

Gathering (2.35), (2.36) and (2.37) together, and setting $J_+ = \overline{\Omega}_+ + \overline{\Gamma}_+ + \overline{\Phi}_+$, $J_- = \overline{\Omega}_- + \overline{\Gamma}_- + \overline{\Phi}_-$, and $J = J_+ + J_-$, we obtain

$$\begin{aligned} \left[\int_{\overline{P}(\sigma_2)} J_+^{2^2q} d\overline{y} ds \right]^\Upsilon &\leq \frac{C^{1/(2q)} q^{1/q} (\Lambda+1)^{1/q}}{(\sigma_1-\sigma_2)^{1/q}} \\ &\quad \times [\overline{K}^2(\mathbf{u}, \boldsymbol{\omega})(C_{0.5,5}) + \|\mathbf{b}\|_{L^\infty(P_{1,4,1})}^2]^{1/(2q)} \\ &\quad \times \left[\int_{\overline{P}(\sigma_1)} J^{2q} d\overline{y} ds \right]^{1/(2q)}, \quad \text{where } \Upsilon = \frac{1}{2^2q}. \end{aligned}$$

Repeating the above argument to J_- gives

$$\begin{aligned} \left[\int_{\overline{P}(\sigma_2)} J_-^{2^2q} d\overline{y} ds \right]^\Upsilon &\leq \frac{C^{1/(2q)} q^{1/q} (\Lambda+1)^{1/q}}{(\sigma_1-\sigma_2)^{1/q}} \\ &\quad \times [\overline{K}^2(\mathbf{u}, \boldsymbol{\omega})(C_{0.5,5}) + \|\mathbf{b}\|_{L^\infty(P_{1,4,1})}^2]^{1/(2q)} \\ &\quad \times \left[\int_{\overline{P}(\sigma_1)} J^{2q} d\overline{y} ds \right]^{1/(2q)}. \end{aligned}$$

Summing up the above two estimates yields

$$(2.38) \quad \left[\int_{\overline{P}(\sigma_2)} J^{2^2 q} d\overline{y} ds \right]^{\Upsilon} \leq 2 \frac{C^{1/(2q)} q^{1/q} (\Lambda + 1)^{1/q}}{(\sigma_1 - \sigma_2)^{1/q}} \\ \times [\overline{K}^2(\mathbf{u}, \boldsymbol{\omega})(C_{0.5,5}) + \|\mathbf{b}\|_{L^\infty(P_{1,4,1})}^2]^{1/(2q)} \\ \times \left[\int_{\overline{P}(\sigma_1)} J^{2q} d\overline{y} ds \right]^{1/(2q)}.$$

For $i = 0, 1, 2, \dots$, we take $q = 2^i$ in (2.38), and replace σ_1 and σ_2 by

$$\sigma_i = 1 - \sum_{j=1}^i 2^{-j-2} \quad \text{and} \quad \sigma_2 = 1 - \sum_{j=1}^{i+1} 2^{-j-2},$$

respectively. Here, we set $\sum_{j=1}^i 2^{-j-2} = 0$ for $i = 0$. Then

$$\left[\int_{\overline{P}(\sigma_{i+1})} J^{2^{i+2}} d\overline{y} ds \right]^{2^{-i-2}} \leq 2 \frac{C^{2^{-i-1}} (2i)^{2^{-i}} (\Lambda + 1)^{2^{-i}}}{(2^{-(i+1)-2})^{2^{-i}}} \\ \times [\overline{K}^2(\mathbf{u}, \boldsymbol{\omega})(C_{0.5,5}) + \|\mathbf{b}\|_{L^\infty(P_{1,4,1})}^2]^{2^{-i-1}} \\ \times \left[\int_{\overline{P}(\sigma_i)} J^{2^{i+1}} d\overline{y} ds \right]^{2^{-i-1}} \\ \leq C(\Lambda + 1)^{2^{-i}} [\overline{K}^2(\mathbf{u}, \boldsymbol{\omega})(C_{0.5,5}) + \|\mathbf{b}\|_{L^\infty(P_{1,4,1})}^2]^{2^{-i-1}} \\ \times \left[\int_{\overline{P}(\sigma_i)} J^{2^{i+1}} d\overline{y} ds \right]^{2^{-i-1}},$$

where we have used the boundedness of the convergent sequences

$$\lim_{i \rightarrow \infty} C^{2^{-i-1}} = 1, \quad \lim_{i \rightarrow \infty} (2i)^{2^{-i}} = 1, \quad \lim_{i \rightarrow \infty} 2^{(i+3)2^{-i}} = 1.$$

After iteration, we deduce

$$\left[\int_{\overline{P}(\sigma_{i+1})} J^{2^{i+2}} d\overline{y} ds \right]^{2^{-i-2}} \leq [\overline{K}^2(\mathbf{u}, \boldsymbol{\omega})(C_{0.5,5}) + \|\mathbf{b}\|_{L^\infty(P_{1,4,1})}^2]^{\sum_{i=0}^{\infty} 2^{-i-1}} \\ \times (\Lambda + 1)^{\sum_{i=0}^{\infty} 2^{-i}} \left[\int_{\overline{P}_{1,4,1}} J^2 d\overline{y} ds \right]^{1/2} \\ = [\overline{K}^2(\mathbf{u}, \boldsymbol{\omega})(C_{0.5,5}) + \|\mathbf{b}\|_{L^\infty(P_{1,4,1})}^2] \\ \times C(\Lambda + 1)^2 \left[\int_{\overline{P}_{1,4,1}} J^2 d\overline{y} ds \right]^{1/2}.$$

Letting $i \rightarrow \infty$ and noticing that

$$\sigma \stackrel{\text{def}}{=} 1 - \lim_{i \rightarrow \infty} \sum_{j=1}^i 2^{-j-2} = \frac{3}{4}, \quad 5 - 4\sigma = 2, \quad 4\sigma = 3,$$

we get

$$(2.39) \quad \sup_{\bar{P}_{2,3,3/4}} J \leq C(\Lambda + 1)^2 [\bar{K}^2(\mathbf{u}, \boldsymbol{\omega})(C_{0.5,5}) + \|\mathbf{b}\|_{L^\infty(P_{1,4,1})}^2] \left[\int_{\bar{P}_{1,4,1}} J^2 d\bar{y} ds \right]^{1/2} \\ \leq C(\Lambda + 1)^2 [\bar{K}^2(\mathbf{u}, \boldsymbol{\omega})(C_{0.5,5}) + \|\mathbf{b}\|_{L^\infty(P_{1,4,1})}^2] \\ \times \left\{ \int_{\bar{P}_{1,4,1}} [\Omega^2 + \Gamma^2 + \Phi^2 + (\Lambda + 1)^2] d\bar{y} ds \right\}^{1/2}.$$

Observing that $\Omega = \omega^\theta/r$ and ω^θ are equivalent on $P_{1,4,1}$, we have

$$(2.40) \quad \sup_{\bar{P}_{2,3,3/4}} |\boldsymbol{\omega}| \leq C(\Lambda + 1)^2 [\bar{K}^2(\mathbf{u}, \boldsymbol{\omega})(C_{0.5,5}) + \|\mathbf{b}\|_{L^\infty(P_{1,4,1})}^2] \\ \times \left\{ \int_{\bar{P}_{1,4,1}} [|\boldsymbol{\omega}|^2 + (\Lambda + 1)^2] d\bar{y} ds \right\}^{1/2}.$$

Step 3. A priori bound of $|\boldsymbol{\omega}|$ by re-scaling.

Recall that we have omitted the “tilde” in the above two steps. So what actually has been proven thus far is

$$(2.41) \quad \sup_{(\tilde{x}, \tilde{t}) \in \bar{P}_{2,3,3/4}} |\tilde{\boldsymbol{\omega}}(\tilde{x}, \tilde{t})| \leq C(\tilde{\Lambda} + 1)^2 [\bar{K}^2(\tilde{\mathbf{u}}, \tilde{\boldsymbol{\omega}})(C_{0.5,5}) + \|\tilde{\mathbf{b}}\|_{L^\infty(P_{1,4,1})}^2] \\ \times \left\{ \int_{\tilde{P}_{1,4,1}} [|\tilde{\boldsymbol{\omega}}|^2 + (\tilde{\Lambda} + 1)^2] d\tilde{y} d\tilde{s} \right\}^{1/2},$$

where $\tilde{x} = x/k$, $\tilde{t} = t/k^2$. Notice that

$$\bar{K}(\tilde{\mathbf{u}}, \tilde{\boldsymbol{\omega}})(C_{0.5,5}) \\ = \sup_{\tilde{t} \in (-1,0)} \{ \|\tilde{\mathbf{u}}(\cdot, \tilde{t})\|_{L^2(C_{0.5,5})} + 1 \} \\ \times \ln^{1/2} [C \|\tilde{\boldsymbol{\omega}}^\theta(\cdot, \tilde{t})\|_{L^2(C_{0.5,5})} + \|\tilde{\mathbf{u}}(\cdot, \tilde{t})\|_{L^2(C_{0.5,5})} + e] \\ = \sup_{t \in [-k^2, 0]} \left\{ \left[\frac{1}{k^{1/2}} \|\mathbf{u}(\cdot, t)\|_{L^2(C_{0.5k,5k})} + 1 \right] \right. \\ \left. \times \ln^{1/2} \left[Ck^{1/2} \|\omega^\theta(\cdot, t)\|_{L^2(C_{0.5k,5k})} + \frac{1}{k^{1/2}} \|\mathbf{u}(\cdot, t)\|_{L^2(C_{0.5k,5k})} + e \right] \right\}.$$

Whence, by (1.12) and the energy inequality, we find

$$\overline{K}(\tilde{\mathbf{u}}, \tilde{\boldsymbol{\omega}})(C_{0.5,5}) \leq \frac{C}{k^{1/2}} \ln^{1/2} \left(Ck^{1/2} \cdot \frac{1}{k^5} + \frac{C}{k^{1/2}} \right) \leq \frac{C|\ln k|^{1/2}}{k^{1/2}}, \quad 0 < k < \frac{1}{2}.$$

Also, $\tilde{\Lambda}$ is a scaling-invariant quantity,

$$\tilde{\Lambda} = \sup_{P_{1,4,1}} |\tilde{u}^\theta(\tilde{x}, \tilde{t})| \leq \|\tilde{r}\tilde{u}^\theta(\cdot, -T)\|_{L^\infty(\mathbb{R}^3)} = \|ru^\theta(x, -T)\|_{L^\infty(\mathbb{R}^3)} = \|ru_0^\theta\|_{L^\infty(\mathbb{R}^3)}.$$

Moreover, by (1.14),

$$\|\tilde{\mathbf{b}}\|_{L^\infty(P_{1,4,1})} = \frac{1}{k} \|\mathbf{b}\|_{L^\infty(P_{k,4k,k})} \leq \frac{C|\ln k|^{1/2}}{k^3}.$$

We may now scale down (2.41) on the original solution as in [10] and deduce for $0 < k < 1$ that

$$\begin{aligned} k^2 \|\boldsymbol{\omega}\|_{L^\infty(P_{2k,3k,3k/4})} &\leq C \left[\frac{|\ln k|}{k} + \frac{|\ln k|}{k^6} \right] \left\{ \int_{\overline{P}_{k,4k,k}} \left[\frac{1}{k} |\boldsymbol{\omega}|^2 + (\Lambda + 1)^2 \right] dy ds \right\}^{1/2} \\ &\leq \frac{C|\ln k|}{k^{13/2}}. \end{aligned}$$

This is exactly our goal (2.3). This finishes the proof of Theorem 1.1.

3. APPENDIX

In this appendix, we state and prove variants of Lemmas 4.1, 4.2 of [14], which are needed in (2.29). First, we have:

Lemma 3.1. *Let \mathbf{u} be a smooth vector field on \mathbb{R}^3 with a sufficient decay at infinity. Then for any $q \in (1, \infty)$ there exists a constant $c(q) > 0$ such that*

$$(3.1) \quad \|\nabla \mathbf{u}\|_{L^q} \leq c(q) [\|\operatorname{curl} \mathbf{u}\|_{L^q} + \|\operatorname{div} \mathbf{u}\|_{L^q}].$$

Proof. This is a classical result. Since the proof is short, we provide it. Put $\mathbf{u} = (u^1, u^2, u^3)$ and $\operatorname{curl} \mathbf{u} = \boldsymbol{\omega} = (\omega^1, \omega^2, \omega^3)$. Then direct computations show

$$\begin{aligned} -\Delta u^1 &= -(\partial_1^2 + \partial_2^2 + \partial_3^2)u^1 \\ &= -\partial_1(\partial_1 u^1 + \partial_2 u^2 + \partial_3 u^3) + \partial_2(\partial_1 u^2 - \partial_2 u^1) - \partial_3(\partial_3 u^1 - \partial_1 u^3) \\ &= -\partial_1 \operatorname{div} \mathbf{u} + \partial_2 \omega^3 - \partial_3 \omega^2. \end{aligned}$$

Thus,

$$\begin{aligned} \nabla u^1 = & -\partial_1(-\Delta)^{-1/2}\nabla(-\Delta)^{-1/2}\operatorname{div}\mathbf{u} + \partial_2(-\Delta)^{-1/2}\nabla(-\Delta)^{-1/2}\omega^3 \\ & - \partial_3(-\Delta)^{-1/2}\nabla(-\Delta)^{-1/2}\omega^2. \end{aligned}$$

The L^p boundedness of the Riesz transform then yields

$$\|\nabla v^1\|_{L^q} \leq c(q)[\|\operatorname{curl}\mathbf{u}\|_{L^q} + \|\operatorname{div}\mathbf{u}\|_{L^q}].$$

Similar considerations apply to ∇u^i , $i = 1, 2$, and this finishes the proof of Lemma 3.1. \square

Lemma 3.1 has its local version, which reads

Lemma 3.2. *Let $\mathbf{u} \in C^\infty(P(\sigma_1))$ be a vector field. Then for $q \in (0, \infty)$ there exists a constant $c(q) > 0$ such that*

$$(3.2) \quad \begin{aligned} & \|\nabla\mathbf{u}\|_{L^q(P(\sigma_2))} \\ & \leq c(q)\left[\|\operatorname{curl}\mathbf{u}\|_{L^q(P(\sigma_1))} + \|\operatorname{div}\mathbf{u}\|_{L^q(P(\sigma_1))} + \frac{1}{\sigma_1 - \sigma_2}\|\mathbf{u}\|_{L^q(P(\sigma_1))}\right]. \end{aligned}$$

Proof. Choose a cut-off function θ such that

$$\theta|_{P(\sigma_1)} = 1, \quad \operatorname{supp}\theta \subset P(\sigma_1), \quad 0 \leq \theta \leq 1, \quad |\nabla\theta| \leq \frac{C}{\theta_1 - \theta_2}.$$

Then $\mathbf{u}\theta$ is compactly supported. By Lemma 3.1,

$$\begin{aligned} \|\nabla\mathbf{u}\|_{L^q(P(\sigma_2))} & \leq \|\nabla(\mathbf{u}\theta)\|_{L^q(P(\sigma_1))} \\ & \leq C[\|\operatorname{curl}(\mathbf{u}\theta)\|_{L^q(P(\sigma_1))} + \|\operatorname{div}(\mathbf{u}\theta)\|_{L^q(P(\sigma_1))}]. \end{aligned}$$

Since

$$\operatorname{curl}(\mathbf{u}\theta) = \nabla\theta \times \mathbf{u} + \theta \operatorname{curl}\mathbf{u}, \quad \operatorname{div}(\mathbf{u}\theta) = (\mathbf{u} \cdot \nabla)\theta + \theta \operatorname{div}\mathbf{u},$$

we are led to (3.2), as desired. \square

For axisymmetric smooth vector field, we have the following version of Lemma 3.2.

Lemma 3.3. *Let \mathbf{u} be a divergence-free, axisymmetric, smooth vector field in $P(\sigma_1)$. Then for all $q \in (0, \infty)$ there exists a constant $c(q) > 0$ such that*

$$(3.3) \quad \begin{aligned} & \|\nabla u^r\|_{L^q(P(\sigma_2))} + \left\| \frac{u^r}{r} \right\|_{rL^q(P(\sigma_2))} + \|\nabla u^z\|_{L^q(P(\sigma_2))} \\ & \leq c(q)\left[\|\omega^\theta\|_{L^q(P(\sigma_1))} + \frac{1}{\sigma_1 - \sigma_2}\|\mathbf{b}\|_{L^q(P(\sigma_1))}\right], \end{aligned}$$

where we recall $\mathbf{b} = u^r \mathbf{e}_r + u^z \mathbf{e}_z$.

P r o o f. It is well-known (see [21], page 186, for a direct calculation) that

$$\operatorname{div} \mathbf{b} = 0, \quad \operatorname{curl} \mathbf{b} = \omega^\theta \mathbf{e}_\theta.$$

Moreover, by [21], Lemma 2.1,

$$\|\nabla u^r\|_{L^q(P(\sigma_2))} + \left\| \frac{u^r}{r} \right\|_{L^q(P(\sigma_2))} + \|\nabla u^z\|_{L^q(P(\sigma_2))} \leq C \|\nabla \mathbf{b}\|_{L^q(\sigma_2)}.$$

Then we may apply Lemma 3.2 to conclude the proof. \square

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