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INITIAL COEFFICIENTS FOR GENERALIZED SUBCLASSES
OF BI-UNIVALENT FUNCTIONS DEFINED
WITH SUBORDINATION

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ABSTRACT. This paper is concerned with certain generalized subclasses of bi-univalent functions defined with subordination in the open unit disc $E = \{z : |z| < 1\}$. The bounds for the initial coefficients for the functions in these classes are studied. The earlier known results follow as special cases.

1. INTRODUCTION

Let \mathcal{A} denote the class of analytic functions f having Taylor-Maclaurin series of the form

$$(1) \quad f(z) = z + \sum_{k=2}^{\infty} a_k z^k,$$

defined in the unit disc $E = \{z : |z| < 1\}$ and normalized by $f(0) = f'(0) - 1 = 0$. Further, the class of functions $f \in \mathcal{A}$ and univalent in E , is denoted by \mathcal{S} . By \mathcal{U} , we denote the class of Schwarz functions of the form $u(z) = \sum_{k=1}^{\infty} c_k z^k$, which are analytic in the unit disc E and satisfy the conditions $u(0) = 0$ and $|u(z)| < 1$.

For $\delta \geq 1$ and $f \in \mathcal{A}$, Al-Oboudi [2] introduced the following differential operator:

$$\begin{aligned} D_{\delta}^0 f(z) &= f(z), \\ D_{\delta}^1 f(z) &= (1 - \delta)f(z) + \delta z f'(z), \end{aligned}$$

and in general,

$$D_{\delta}^n f(z) = D(D_{\delta}^{n-1} f(z)) = (1 - \delta)D_{\delta}^{n-1} f(z) + \delta z (D_{\delta}^{n-1} f(z))', n \in \mathcal{N}$$

or equivalent to

$$D_{\delta}^n f(z) = z + \sum_{k=2}^{\infty} [1 + (k - 1)\delta]^n a_k z^k, n \in \mathcal{N}_0 = \mathcal{N} \cup \{0\},$$

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with $D_\delta^n f(0) = 0$. For $\delta = 1$, the operator $D_\delta^n f(z)$ reduces to the Sălăgean operator introduced in [13].

Let f and g be two analytic functions in E . Then f is said to be subordinate to g (symbolically $f \prec g$) if there exists a Schwarz function $u(z) \in \mathcal{U}$ such that $f(z) = g(u(z))$. Further, if g is univalent in E , then $f \prec g$ is equivalent to $f(0) = g(0)$ and $f(E) \subset g(E)$.

It is obvious that every function $f \in \mathcal{S}$ has an inverse f^{-1} , defined by

$$f^{-1}(f(z)) = z(z \in E)$$

and

$$f(f^{-1}(w)) = w \left(|w| < r_0(f) : r_0(f) \geq \frac{1}{4} \right)$$

where

$$f^{-1}(w) = w - a_2 w^2 + (2a_2^2 - a_3)w^3 - (5a_2^3 - 5a_2 a_3 + a_4)w^4 + \dots$$

A function $f \in \mathcal{A}$ is said to be bi-univalent in E if both f and f^{-1} are univalent in E . The class of functions bi-univalent in E and given by (1) is denoted by Σ . Some examples of the functions in the class Σ are $\frac{z}{1-z}$, $-\log(1-z)$, $\frac{1}{2} \log\left(\frac{1+z}{1-z}\right)$. But, the well known Koebe function $f(z) = \frac{z}{(1-z)^2}$ is not a member of Σ .

Lewin [9] was the first, who investigated the class Σ and proved that $|a_2| < 1.51$. Subsequently, bounds for the initial coefficients of various sub-classes of bi-univalent functions were studied by various authors in [4, 5, 8, 10, 11] and more recently by Abirami et al. [1], Sivapalan et al. [18] and Singh et al. [15]–[17].

In the sequel, we lay down once and for all that $0 \leq \alpha \leq 1$, $\lambda \geq 0$, $0 < \beta \leq 1$, $0 \leq \eta < 1$, $\delta \geq 1$, $-1 \leq B < A \leq 1$, $z \in E$, $w \in E$ and $g(w) = f^{-1}(w) = w - a_2 w^2 + (2a_2^2 - a_3)w^3 - (5a_2^3 - 5a_2 a_3 + a_4)w^4 + \dots$

Definition 1.1. A function $f \in \Sigma$ is said to be in the class $\mathcal{S}_\Sigma^{\lambda, \alpha, \beta}(A, B; s, t)$ if the following conditions are satisfied:

$$(1 - \alpha) \frac{(s-t)z[f'(z)]^\lambda}{f(sz) - f(tz)} + \alpha \frac{(s-t)[(zf'(z))']^\lambda}{(f(sz) - f(tz))'} \prec \left(\frac{1 + Az}{1 + Bz} \right)^\beta$$

and

$$(1 - \alpha) \frac{(s-t)w[g'(w)]^\lambda}{g(sw) - g(tw)} + \alpha \frac{(s-t)[(wg'(w))']^\lambda}{(g(sw) - g(tw))'} \prec \left(\frac{1 + Aw}{1 + Bw} \right)^\beta,$$

where $s, t \in \mathcal{C}$ with $s \neq t$, $|t| \leq 1$.

The following observations are obvious:

- (i) $\mathcal{S}_\Sigma^{1, \alpha, \beta}(A, B; 1, -1) \equiv \mathcal{M}_\Sigma^s(\beta, \alpha; A, B)$, the class studied by Singh [14].
- (ii) $\mathcal{S}_\Sigma^{\lambda, 0, \beta}(1, -1; s, t) \equiv \mathcal{S}_\Sigma^{\lambda, \beta}(s, t)$, the class studied by Mazi and Opoola [12].

- (iii) For $0 \leq \gamma < 1$, $\mathcal{S}_{\Sigma}^{\lambda,0,1}(1 - 2\gamma, -1; s, t) \equiv \mathcal{S}_{\Sigma}^{\lambda}(\gamma, s, t)$, the class studied by Mazi and Opoola [12].
- (iv) $\mathcal{S}_{\Sigma}^{\lambda,0,\beta}(1, -1; 1, 0) \equiv \mathcal{S}_{\Sigma}^{\lambda,\beta}$, the class studied by Joshi and Pawar [7].
- (v) For $0 \leq \gamma < 1$, $\mathcal{S}_{\Sigma}^{\lambda,0,1}(1 - 2\gamma, -1; 1, 0) \equiv \mathcal{S}_{\Sigma}^{\lambda}(\gamma)$, the class studied by Joshi and Pawar [7].

Definition 1.2. A function $f \in \Sigma$ is said to be in the class $\mathcal{S}_{\Sigma}^{\lambda}(k, \beta; A, B)$ if the following conditions are satisfied:

$$\frac{z[(D^k f(z))']^{\lambda}}{D^k f(z)} \prec \left(\frac{1 + Az}{1 + Bz}\right)^{\beta}$$

and

$$\frac{w[(D^k g(w))']^{\lambda}}{D^k g(w)} \prec \left(\frac{1 + Aw}{1 + Bw}\right)^{\beta}.$$

Specifically,

- (i) $\mathcal{S}_{\Sigma}^{\lambda}(k, \beta; 1, -1) \equiv \mathcal{S}_{\Sigma}^{\lambda}(k, \beta)$, the class studied by Joshi et al. [6].
- (ii) For $0 \leq \gamma < 1$, $\mathcal{S}_{\Sigma}^{\lambda}(k, 1; 1 - 2\gamma, -1) \equiv \mathcal{S}_{\Sigma}^{\lambda}(k, \gamma)$, the class studied by Joshi et al. [6].

Definition 1.3. A function $f \in \Sigma$ is said to be in the class $\mathcal{S}_{\Sigma}^{\lambda,\alpha,\beta,\eta}(A, B; s, t)$ if the following conditions are satisfied:

$$(1 - \alpha) \frac{(s - t)z[f'(z)]^{\lambda}}{f(sz) - f(tz)} + \alpha \frac{(s - t)[(zf'(z))']^{\lambda}}{(f(sz) - f(tz))'} \prec \left(\frac{1 + [B + (A - B)(1 - \eta)]z}{1 + Bz}\right)^{\beta}$$

and

$$(1 - \alpha) \frac{(s - t)w[g'(w)]^{\lambda}}{g(sw) - g(tw)} + \alpha \frac{(s - t)[(wg'(w))']^{\lambda}}{(g(sw) - g(tw))'} \prec \left(\frac{1 + [B + (A - B)(1 - \eta)]w}{1 + Bw}\right)^{\beta},$$

where $s, t \in \mathcal{C}$ with $s \neq t, |t| \leq 1$.

In particular, $\mathcal{S}_{\Sigma}^{\lambda,\alpha,\beta,0}(A, B; s, t) \equiv \mathcal{S}_{\Sigma}^{\lambda,\alpha,\beta}(A, B; s, t)$.

Definition 1.4. A function $f \in \Sigma$ is said to be in the class $\mathcal{S}_{\Sigma}^{\lambda,\delta,\eta}(k, \beta; A, B)$ if the following conditions are satisfied:

$$\frac{z[(D_{\delta}^k f(z))']^{\lambda}}{D_{\delta}^k f(z)} \prec \left(\frac{1 + [B + (A - B)(1 - \eta)]z}{1 + Bz}\right)^{\beta}$$

and

$$\frac{w[(D_{\delta}^k g(w))']^{\lambda}}{D_{\delta}^k g(w)} \prec \left(\frac{1 + [B + (A - B)(1 - \eta)]w}{1 + Bw}\right)^{\beta}.$$

Particularly, $\mathcal{S}_{\Sigma}^{\lambda,1,0}(k, \beta; A, B) \equiv \mathcal{S}_{\Sigma}^{\lambda}(k, \beta; A, B)$.

For deriving our main results, we need to the following lemma

Lemma 1.1 ([3]). If $p(z) = \frac{1 + [B + (A - B)(1 - \eta)]u(z)}{1 + Bu(z)} = 1 + \sum_{k=1}^{\infty} p_k z^k$, $u(z) \in \mathcal{U}$, then

$$|p_n| \leq (A - B)(1 - \eta), \quad n \geq 1.$$

2. THE CLASS $\mathcal{S}_{\Sigma}^{\lambda, \alpha, \beta, \eta}(A, B; s, t)$

Theorem 2.1. If $f \in \mathcal{S}_{\Sigma}^{\lambda, \alpha, \beta, \eta}(A, B; s, t)$, then

$$(2) \quad |a_2| \leq$$

$$\frac{\beta \sqrt{2(A - B)(1 - \eta)}}{\sqrt{\beta[(2\lambda - 4\lambda(s + t - \lambda) + 2st) + 2\alpha((s^2 + 4st + t^2) - 6\lambda(s + t - \lambda))] - (\beta - 1)(1 + \alpha)^2(2\lambda - s - t)^2}}$$

and

$$(3) \quad |a_3| \leq \frac{\beta(A - B)(1 - \eta)}{(1 + 2\alpha)(3\lambda - s^2 - st - t^2)} + \frac{(A - B)^2(1 - \eta)^2\beta^2}{(1 + \alpha)^2(2\lambda - s - t)^2}.$$

Proof. From Definition 1.3, by principle of subordination, we have

$$(4) \quad (1 - \alpha) \frac{(s - t)z[f'(z)]^{\lambda}}{f(sz) - f(tz)} + \alpha \frac{(s - t)[(zf'(z))']^{\lambda}}{(f(sz) - f(tz))'} = \left(\frac{1 + [B + (A - B)(1 - \eta)]u(z)}{1 + Bu(z)} \right)^{\beta} = [p(z)]^{\beta}, \quad u \in \mathcal{U}$$

and

$$(5) \quad (1 - \alpha) \frac{(s - t)w[g'(w)]^{\lambda}}{g(sw) - g(tw)} + \alpha \frac{(s - t)[(wg'(w))']^{\lambda}}{(g(sw) - g(tw))'} = \left(\frac{1 + [B + (A - B)(1 - \eta)]v(w)}{1 + Bv(w)} \right)^{\beta} = [q(w)]^{\beta}, \quad v \in \mathcal{U},$$

where $p(z) = 1 + p_1 z + p_2 z^2 + \dots$ and $q(w) = 1 + q_1 w + q_2 w^2 + \dots$

On expanding and equating the coefficients of z and z^2 in (4) and of w and w^2 in (5), we obtain

$$(6) \quad (1 + \alpha)(2\lambda - s - t)a_2 = \beta p_1,$$

$$(7) \quad (1 + 3\alpha)[(s^2 + 2st + t^2) - 2\lambda(s + t - \lambda + 1)]a_2^2 + (1 + 2\alpha)(3\lambda - s^2 - st - t^2)a_3 = \beta p_2 + \frac{\beta(\beta - 1)p_1^2}{2}$$

and

$$(8) \quad -(1 + \alpha)(2\lambda - s - t)a_2 = \beta q_1,$$

$$(9) \quad [(6\lambda - s^2 - t^2) - 2\lambda(s + t - \lambda + 1) - \alpha(6\lambda(s + t - \lambda - 1) + (s - t)^2)]a_2^2 - (1 + 2\alpha)(3\lambda - s^2 - st - t^2)a_3 = \beta q_2 + \frac{\beta(\beta - 1)q_1^2}{2}.$$

(6) and (8) together gives

$$(10) \quad p_1 = -q_1$$

and

$$(11) \quad 2(1 + \alpha)^2(2\lambda - s - t)^2 a_2^2 = \beta^2(p_1^2 + q_1^2).$$

Adding (7) and (9) and using (11), it yields

$$(12) \quad \begin{aligned} & [(2\lambda - 4\lambda(s + t - \lambda) + 2st) + 2\alpha((s^2 + 4st + t^2) - 6\lambda(s + t - \lambda))]a_2^2 \\ & = \beta(p_2 + q_2) + \frac{(\beta - 1)(1 + \alpha)^2(2\lambda - s - t)^2 a_2^2}{\beta}. \end{aligned}$$

(12) gives

$$(13) \quad a_2^2 = \frac{\beta^2(p_2 + q_2)}{\beta[(2\lambda - 4\lambda(s + t - \lambda) + 2st) + 2\alpha((s^2 + 4st + t^2) - 6\lambda(s + t - \lambda))] - (\beta - 1)(1 + \alpha)^2(2\lambda - s - t)^2}.$$

On applying Lemma 1.1 to the coefficients p_2 and q_2 , we can easily obtain (2).

Now subtracting (9) from (7), we get

$$(14) \quad -2(1 + 2\alpha)(3\lambda - s^2 - st - t^2)a_2^2 + 2(1 + 2\alpha)(3\lambda - s^2 - t^2 - st)a_3 = \beta(p_2 - q_2).$$

Using (10) and (11) in (14), using Lemma 1.1 and on applying triangle inequality, (3) can be easily obtained. □

On putting $\eta = 0$, Theorem 2.1 gives the following result:

Corollary 2.1. *If $f \in \mathcal{S}_\Sigma^{\lambda, \alpha, \beta}(A, B; s, t)$, then*

$$|a_2| \leq \frac{\beta\sqrt{2(A - B)}}{\sqrt{\beta[(2\lambda - 4\lambda(s + t - \lambda) + 2st) + 2\alpha((s^2 + 4st + t^2) - 6\lambda(s + t - \lambda))] - (\beta - 1)(1 + \alpha)^2(2\lambda - s - t)^2}}$$

and

$$|a_3| \leq \frac{\beta(A - B)}{(1 + 2\alpha)(3\lambda - s^2 - st - t^2)} + \frac{(A - B)^2\beta^2}{(1 + \alpha)^2(2\lambda - s - t)^2}.$$

For $\eta = 0, \lambda = 1, s = 1, t = -1$, Theorem 2.1 gives the following result due to Singh [14]:

Corollary 2.2. *If $f \in \mathcal{M}_\Sigma^s(\beta, \alpha; A, B)$, then*

$$|a_2| \leq \frac{\beta\sqrt{A - B}}{\sqrt{2((1 + \alpha)^2 - \beta\alpha^2)}}$$

and

$$|a_3| \leq \frac{\beta^2(A-B)^2}{4(1+\alpha)^2} + \frac{\beta(A-B)}{2(1+2\alpha)}.$$

3. THE CLASS $\mathcal{S}_\Sigma^{\lambda, \delta, \eta}(k, \beta; A, B)$

Theorem 3.1. *If $f \in \mathcal{S}_\Sigma^{\lambda, \delta, \eta}(k, \beta; A, B)$, then*

$$(15) \quad |a_2| \leq$$

$$\frac{\beta \sqrt{2(A-B)(1-\eta)}}{\sqrt{4\beta(3\lambda-1)(1+2\delta)^k + [4\beta(2\lambda^2-4\lambda+1) - (\beta-1)(2\lambda-1)^2(1+\delta)](1+\delta)^{2k}}}$$

and

$$(16) \quad |a_3| \leq \frac{\beta(A-B)(1-\eta)}{(3\lambda-1)(1+2\delta)^k} + \frac{2\beta^2(A-B)^2(1-\eta)^2}{(2\lambda-1)^2(1+\delta)^{2k+1}}.$$

Proof. From Definition 1.4, by principle of subordination, we have

$$(17) \quad \frac{z[(D_\delta^k f(z))']^\lambda}{D_\delta^k f(z)} = \left(\frac{1 + [B + (A-B)(1-\eta)]u(z)}{1 + Bu(z)} \right)^\beta = [p(z)]^\beta, \quad u \in \mathcal{U}$$

and

$$(18) \quad \frac{w[(D_\delta^k g(w))']^\lambda}{D_\delta^k g(w)} = \left(\frac{1 + [B + (A-B)(1-\eta)]v(w)}{1 + Bv(w)} \right)^\beta = [q(w)]^\beta, \quad v \in \mathcal{U},$$

where $p(z) = 1 + p_1 z + p_2 z^2 + \dots$ and $q(w) = 1 + q_1 w + q_2 w^2 + \dots$

On expanding and equating the coefficients of z and z^2 in (17) and of w and w^2 in (18), we obtain

$$(19) \quad (2\lambda-1)(1+\delta)^k a_2 = \beta p_1,$$

$$(20) \quad (3\lambda-1)(1+2\delta)^k a_3 + (2\lambda^2-4\lambda+1)(1+\delta)^{2k} a_2^2 = \beta p_2 + \frac{\beta(\beta-1)p_1^2}{2}$$

and

$$(21) \quad -(2\lambda-1)(1+\delta)^k a_2 = \beta q_1,$$

$$(22) \quad [2(3\lambda-1)(1+2\delta)^k + (2\lambda^2-4\lambda+1)(1+\delta)^{2k}]a_2^2 - (3\lambda-1)(1+2\delta)^k a_3 = \beta q_2 + \frac{\beta(\beta-1)q_1^2}{2}.$$

(19) and (21) together give

$$(23) \quad p_1 = -q_1$$

and

$$(24) \quad (2\lambda-1)^2(1+\delta)^{2k+1} a_2^2 = \beta^2(p_1^2 + q_1^2).$$

Adding (20) and (22) and using (24), it yields

$$\begin{aligned}
 & [2\beta(3\lambda - 1)(1 + 2\delta)^k + \{2\beta(2\lambda^2 - 4\lambda + 1) - \frac{(\beta - 1)}{2}(2\lambda - 1)^2(1 + \delta)\}(1 + \delta)^{2k}]a_2^2 \\
 (25) \quad & = \beta^2(p_2 + q_2).
 \end{aligned}$$

(25) gives

$$(26) \quad a_2^2 = \frac{2\beta^2(p_2 + q_2)}{4\beta(3\lambda - 1)(1 + 2\delta)^k + \{4\beta(2\lambda^2 - 4\lambda + 1) - (\beta - 1)(2\lambda - 1)^2(1 + \delta)\}(1 + \delta)^{2k}}.$$

On applying Lemma 1.1 to the coefficients p_2 and q_2 in (26), we can easily obtain (15).

Now subtracting (22) from (20), we get

$$(27) \quad 2(3\lambda - 1)(1 + 2\delta)^k a_3 - 2(3\lambda - 1)(1 + 2\delta)^k a_2^2 = \beta(p_2 - q_2).$$

Using (e24), (e27) yields

$$(28) \quad a_3 = \frac{\beta^2(p_1^2 + q_1^2)}{(2\lambda - 1)^2(1 + \delta)^{2k+1}} + \frac{\beta(p_2 - q_2)}{2(3\lambda - 1)(1 + 2\delta)^k}.$$

Applying Lemma 1.1 to the coefficients p_2 , q_2 and p_1 in (28), (16) is obvious. \square

For $\delta = 1, \eta = 0$, the following result can be easily obtained from Theorem 3.1:

Corollary 3.1. *If $f \in \mathcal{S}_\Sigma^\lambda(k, \beta; A, B)$, then*

$$|a_2| \leq \frac{\beta\sqrt{2(A - B)}}{\sqrt{2\beta(3\lambda - 1)3^k + [2\beta(2\lambda^2 - 4\lambda + 1) - (\beta - 1)(2\lambda - 1)^2]2^{2k}}}$$

and

$$|a_3| \leq \frac{\beta(A - B)}{(3\lambda - 1)3^k} + \frac{\beta^2(A - B)^2}{(2\lambda - 1)^2 2^{2k}}.$$

For $\delta = 1, \eta = 0, A = 1, B = -1$, Theorem 3.1 gives the following result due to Joshi et al. [6]:

Corollary 3.2. *If $f \in \mathcal{S}_\Sigma^\lambda(k, \beta; A, B)$, then*

$$|a_2| \leq \frac{2\beta}{\sqrt{2\beta(3\lambda - 1)3^k + \{2\beta(2\lambda^2 - 4\lambda - 1) - (\beta - 1)(2\lambda - 1)^2\}2^{2k}}}$$

and

$$|a_3| \leq \frac{2\beta}{(3\lambda - 1)3^k} + \frac{4\beta^2}{(2\lambda - 1)^2 2^{2k}}.$$

Putting $\delta = 1, \eta = 0, A = 1 - 2\gamma, B = -1$ and $\beta = 1$ in Theorem 3.1, we obtain the following result due to Joshi et al. [6]:

Corollary 3.3. *If $f \in \mathcal{S}_\Sigma^\lambda(k, \gamma)$, then*

$$|a_2| \leq \frac{2\sqrt{1-\gamma}}{\sqrt{2(3\lambda-1)3^k + [(2\lambda-1)^2 - (4\lambda-1)]2^{2k}}}$$

and

$$|a_3| \leq \frac{4(1-\gamma)^2}{(2\lambda-1)2^{2k}} + \frac{2(1-\gamma)}{(3\lambda-1)3^k}.$$

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