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ON A CHARACTERIZATION OF  $k$ -TREES

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*Abstract.* A graph  $G$  is a  $k$ -tree if either  $G$  is the complete graph on  $k + 1$  vertices, or  $G$  has a vertex  $v$  whose neighborhood is a clique of order  $k$  and the graph obtained by removing  $v$  from  $G$  is also a  $k$ -tree. Clearly, a  $k$ -tree has at least  $k + 1$  vertices, and  $G$  is a 1-tree (usual tree) if and only if it is a 1-connected graph and has no  $K_3$ -minor. In this paper, motivated by some properties of 2-trees, we obtain a characterization of  $k$ -trees as follows: if  $G$  is a graph with at least  $k + 1$  vertices, then  $G$  is a  $k$ -tree if and only if  $G$  has no  $K_{k+2}$ -minor,  $G$  does not contain any chordless cycle of length at least 4 and  $G$  is  $k$ -connected.

*Keywords:* characterization;  $k$ -tree;  $K_t$ -minor

*MSC 2010:* 05C05

## 1. INTRODUCTION

Graphs in this paper are finite and simple. Let  $G$  be a graph. For  $X \subseteq V(G)$  and  $v \in V(G)$ , the neighborhood of  $v$  in  $X$  is denoted by  $N_X(v)$ . Further, for  $X \subseteq V(G)$  and  $Y \subseteq V(G)$ , we denote  $N_X(Y) = \bigcup_{v \in Y} N_X(v)$ . For  $X \subseteq V(G)$ , the induced subgraph of  $G$  on  $X$  is denoted by  $G[X]$ . Let  $K_t$  be a complete graph on  $t$  vertices. We say that  $K_t$  is a *minor* of  $G$  if  $K_t$  can be obtained from a subgraph of  $G$  by contracting edges (and deleting the resulting multiple edges and loops).

A graph  $G$  is a  $k$ -tree if either  $G$  is the complete graph on  $k + 1$  vertices, or  $G$  has a vertex  $v$  whose neighborhood is a clique of order  $k$  and the graph obtained by removing  $v$  from  $G$  is a  $k$ -tree. Clearly, a  $k$ -tree has at least  $k + 1$  vertices and 1-trees are usual trees. It is also obvious that  $G$  is a 1-tree if and only if it is a 1-connected graph and has no  $K_3$ -minor. An *edge bonding* of two disjoint graphs  $G$  and  $G'$  is any

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graph constructed from  $G$  and  $G'$  by identifying an edge of  $G$  with an edge of  $G'$ . Cai [3] showed that an edge bonding of two disjoint 2-trees is also a 2-tree. Some properties of 2-trees can be summarized as follows (see [1], [3]): if  $G$  is a 2-tree, then  $G$  is planar,  $G$  is the edge-maximal graph having no  $K_4$ -minor,  $G$  does not contain any chordless cycle of length at least 4 and  $G$  is 2-connected.

From [1], [4], it is known that  $k$ -trees are intrinsically related to treewidth, which is an important parameter in the Robertson-Seymour theory of graph minors and in algorithmic complexity. In particular, a graph has *treewidth*  $k$  if and only if it is a subgraph of a  $k$ -tree. Thus,  $k$ -trees are the edge-maximal graphs of treewidth  $k$ . Bose et al. [2] gave a characterization of the degree sequences of 2-trees. Motivated by the properties of 2-trees, we can obtain a characterization of  $k$ -trees as follows.

**Theorem 1.1.** *Let  $G$  be a graph with at least  $k + 1$  vertices. Then  $G$  is a  $k$ -tree if and only if (a)–(c) are fulfilled*

- (a)  $G$  has no  $K_{k+2}$ -minor;
- (b)  $G$  does not contain any chordless cycle of length at least 4;
- (c)  $G$  is  $k$ -connected.

## 2. PROOF OF THEOREM 1.1

We first extend the concept of ‘an edge bonding’ due to Cai [3] to the concept of ‘a  $K_t$ -bonding’. Let  $G$  and  $G'$  be two disjoint graphs and have  $K_t$  as a subgraph. A  $K_t$ -bonding of  $G$  and  $G'$  is any graph constructed from  $G$  and  $G'$  by identifying a  $K_t$  of  $G$  with a  $K_t$  of  $G'$ . An *ear* in a  $k$ -tree is a vertex of degree  $k$  whose neighbors are adjacent to each other.

**Lemma 2.1.** *A  $K_k$ -bonding of two disjoint  $k$ -trees is also a  $k$ -tree.*

*Proof.* Let  $G_1$  be a  $k$ -tree on  $s$  vertices and  $G_2$  be a  $k$ -tree on  $t$  vertices. Then  $G_1$  and  $G_2$  have  $K_k$  as a subgraph. Let  $G$  be a  $K_k$ -bonding of  $G_1$  and  $G_2$ . We now use induction on  $s$ . If  $s = k + 1$ , then  $G_1 = K_{k+1}$ , and hence  $G$  is the graph obtained from  $G_2$  by adding an ear. Thus  $G$  is a  $k$ -tree. Assume that  $s > k + 1$ . It is known that the set of all ears of  $G_1$  is an independent set in  $G_1$  and has at least two elements. This implies that there exists an ear  $v$  in  $G_1$  with  $v \notin V(K_k)$ . Then  $G - v$  is a  $K_k$ -bonding of  $G_1 - v$  and  $G_2$ . By the induction hypothesis,  $G - v$  is a  $k$ -tree. Thus  $G$  is also a  $k$ -tree. □

We now prove Theorem 1.1.

**P r o o f** of Theorem 1.1. We use induction on  $n$  to prove the necessity. Let  $G$  be a  $k$ -tree on  $n$  vertices. Then  $n \geq k + 1$ . If  $n = k + 1$ , then  $G = K_{k+1}$ . Clearly,  $G$  satisfies (a)–(c). Assume that  $n > k + 1$ . Let  $u$  be an ear of  $G$  and denote  $G' = G - u$ . Let  $N_G(u) = \{x_1, \dots, x_k\}$ . Then  $\{x_1, \dots, x_k\}$  is a clique in  $G$ .

By the induction hypothesis,  $G'$  has no  $K_{k+2}$ -minor. If  $G$  has  $K_{k+2}$ -minor, let  $H$  be a subgraph of  $G$  so that we can obtain  $K_{k+2}$  from  $H$  by contracting edges, then  $u \in V(H)$ . By  $d_H(u) \leq d_G(u) = k < k + 1$ , we have that  $u \notin V(K_{k+2})$ . This implies that some edge  $ux_j$  in  $H$  will be contracted in the process of forming  $K_{k+2}$ . Let  $H'$  be the graph obtained from  $H$  by contracting  $ux_j$ . Since  $\{x_1, \dots, x_k\}$  is a clique in  $G$ , it is easy to see that  $H'$  is a subgraph of  $G'$ . Since we can obtain  $K_{k+2}$  from  $H'$  by contracting edges, we have that  $G'$  has  $K_{k+2}$ -minor, a contradiction. Therefore,  $G$  has no  $K_{k+2}$ -minor.

By the induction hypothesis,  $G'$  has no chordless cycle of length at least 4. If  $G$  has a chordless cycle  $C$  with  $|V(C)| \geq 4$ , then  $u \in V(C)$ . This is impossible by  $G[\{u\} \cup N_G(u)] = K_{k+1}$ . Therefore,  $G$  has no chordless cycle of length at least 4.

By the induction hypothesis,  $G'$  is  $k$ -connected. Thus  $G$  is also  $k$ -connected by  $d_{G'}(u) = k$ .

We now use induction on  $n$  to prove the sufficiency. Let  $n \geq k + 1$  and  $G$  be a graph on  $n$  vertices satisfying (a)–(c). If  $n = k + 1$ , then  $G = K_{k+1}$  by  $G$  satisfying (c). Clearly,  $G$  is a  $k$ -tree. Assume that  $n \geq k + 2$ . We first prove the following Claim.

*Claim.*  $G$  contains  $K_k$  as a subgraph.

**P r o o f** of Claim. Since  $G$  has no  $K_{k+2}$ -minor,  $G$  is not a complete graph. Then there exist two vertices  $u, v \in V(G)$  with  $uv \notin E(G)$ . Since  $G$  is  $k$ -connected, by Menger's theorem, there are at least  $k$  internally-disjoint paths between  $u$  and  $v$ . Let

$$\begin{aligned} P_1 &= ux_{11} \dots x_{1t_1}v, \\ P_2 &= ux_{21} \dots x_{2t_2}v, \\ &\vdots \\ P_k &= ux_{k1} \dots x_{kt_k}v \end{aligned}$$

be the  $k$  internally-disjoint paths between  $u$  and  $v$  so that  $|P_1| + |P_2| + \dots + |P_k|$  is minimal. Let

$$\begin{aligned} X_1 &= \{x_{11}, \dots, x_{1t_1}\}, \\ X_2 &= \{x_{21}, \dots, x_{2t_2}\}, \\ &\vdots \\ X_k &= \{x_{k1}, \dots, x_{kt_k}\}. \end{aligned}$$

Denote  $X = X_1 \cup \dots \cup X_k$ . Let  $s$  and  $t$  be two arbitrary integers with  $1 \leq s < t \leq k$ . Since  $P_s \cup P_t$  is a cycle of length at least 4, by the minimality of  $|P_1| + |P_2| + \dots + |P_k|$ , we have that  $N_{X_s}(X_t) \neq \emptyset$  and  $N_{X_t}(X_s) \neq \emptyset$ . Let  $x_{si} \in X_s$  and  $x_{tj} \in X_t$  so that  $x_{si}x_{tj} \in E(G)$  and  $i + j$  is minimal. Since  $ux_{s1} \dots x_{si}x_{tj} \dots x_{t1}u$  is a chordless cycle of  $G$  with length  $i + j + 1$ , we have that  $i + j = 2$ . This implies that  $i = j = 1$  and  $x_{s1}x_{t1} \in E(G)$ . Therefore,  $G[\{x_{11}, x_{21}, \dots, x_{k1}\}] = K_k$ . The proof of Claim is completed.  $\square$

Denote  $F = G[\{x_{11}, x_{21}, \dots, x_{k1}\}] = K_k$ . We now consider the following two cases.

*Case 1.*  $G - V(F)$  is connected.

Let  $P = uy_1 \dots y_l v$  be a path connecting  $u$  and  $v$  in  $G - V(F)$  and denote  $Y = \{y_1, \dots, y_l\}$ . If  $X \cap Y = \emptyset$ , then there exists a subgraph  $F \cup P \cup P_1 \cup \dots \cup P_k$  of  $G$  so that we can get a  $K_{k+2}$  from this subgraph by contracting edges. In other words,  $G$  has  $K_{k+2}$ -minor, a contradiction. Thus  $X \cap Y \neq \emptyset$ . Let  $y_{l_0} \in X \cap Y$  so that  $l_0$  is minimal, and denote  $P_0 = uy_1 \dots y_{l_0}$ . Then there exists a subgraph  $F \cup P_0 \cup P_1 \cup \dots \cup P_k$  of  $G$  so that we can get a  $K_{k+2}$  from this subgraph by contracting edges. In other words,  $G$  has  $K_{k+2}$ -minor, a contradiction.

*Case 2.*  $G - V(F)$  is not connected.

Let  $H_1, \dots, H_m$  be  $m$  connected components of  $G - V(F)$ . If  $G[V(H_i) \cup V(F)]$  satisfies (a)–(c) for each  $i$  with  $1 \leq i \leq m$ , then by the induction hypothesis,  $G[V(H_i) \cup V(F)]$  is a  $k$ -tree for each  $i$  with  $1 \leq i \leq m$ . Since  $G$  is a  $K_k$ -bonding of  $G[V(H_1) \cup V(F)], \dots, G[V(H_m) \cup V(F)]$ , we have that  $G$  is also a  $k$ -tree by Lemma 2.1. We now assume that there exists a  $r$  with  $1 \leq r \leq m$  such that  $G[V(H_r) \cup V(F)]$  does not satisfy (a)–(c).

If  $G[V(H_r) \cup V(F)]$  does not satisfy (a), i.e.,  $G[V(H_r) \cup V(F)]$  has  $K_{k+2}$ -minor, then  $G$  also has  $K_{k+2}$ -minor as  $G[V(H_r) \cup V(F)]$  is a subgraph of  $G$ , a contradiction.

If  $G[V(H_r) \cup V(F)]$  does not satisfy (b), i.e.,  $G[V(H_r) \cup V(F)]$  contains a chordless cycle  $C$  with  $|C| \geq 4$ , then  $C$  is also a chordless cycle in  $G$ , a contradiction.

Assume that  $G[V(H_r) \cup V(F)]$  does not satisfy (c), i.e.,  $G[V(H_r) \cup V(F)]$  is not  $k$ -connected. If  $|V(H_r)| = 1$ , then by  $G$  satisfying (c), we have that  $G[V(H_r) \cup V(F)] = K_{k+1}$ , which is a  $k$ -connected graph, a contradiction. So  $|V(H_r)| \geq 2$ . Let  $V'$  be a vertex-cut of  $G[V(H_r) \cup V(F)]$  with  $|V'| < k$  and let  $M_1, M_2$  be two connected components of  $G[V(H_r) \cup V(F)] - V'$ . If  $V(M_1) \cap V(F) \neq \emptyset$ , then  $V(M_2) \cap V(F) = \emptyset$ . This implies that  $V(M_1) \cap V(F) = \emptyset$  or  $V(M_2) \cap V(F) = \emptyset$ . Without loss of generality, we let  $V(M_1) \cap V(F) = \emptyset$ . Then  $M_1$  is also a connected component of  $G - V'$ . In other words,  $V'$  is a vertex-cut of  $G$ . Thus  $G$  is not  $k$ -connected, a contradiction. This completes the proof of Theorem 1.1.  $\square$

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