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## The sup = max problem for the extent and the Lindelöf degree of generalized metric spaces, II

YASUSHI HIRATA

*Abstract.* In [The sup = max problem for the extent of generalized metric spaces, Comment. Math. Univ. Carolin. (The special issue devoted to Čech) **54** (2013), no. 2, 245–257], the author and Yajima discussed the sup = max problem for the extent and the Lindelöf degree of generalized metric spaces: (strict)  $p$ -spaces, (strong)  $\Sigma$ -spaces and semi-stratifiable spaces. In this paper, the sup = max problem for the Lindelöf degree of spaces having  $G_\delta$ -diagonals and for the extent of spaces having point-countable bases is considered.

*Keywords:* extent; Lindelöf degree;  $G_\delta$ -diagonal; point-countable base

*Classification:* Primary 54A25, 54D20; Secondary 03E10

### 1. Introduction

This is a continuation of the paper [6]. The *spread*  $s(X)$  and the *extent*  $e(X)$  of a space  $X$  are defined as below:

$$s(X) = \sup\{|D| : D \text{ is a discrete subset in } X\} + \omega,$$

$$e(X) = \sup\{|D| : D \text{ is a closed discrete subset in } X\} + \omega.$$

The *sup = max problem* for the spread and the extent of a space  $X$  are the following problems, respectively.

- For  $\kappa = s(X)$ , does  $X$  have a discrete subset of size  $\kappa$ ?
- For  $\kappa = e(X)$ , does  $X$  have a closed discrete subset of size  $\kappa$ ?

If the answer of each problem above is positive, we say that the sup = max condition holds. Obviously, the sup = max condition holds in case  $\kappa$  is a successor cardinal.

The sup = max problem of the spread was discussed in 60's-70's.

**Theorem 1.1** (Hajnal-Juhašz). *Let  $\kappa$  be a singular cardinal.*

- (1) *If  $X$  is a Hausdorff space with  $|X| \geq \kappa$  and  $\kappa$  is a strong limit cardinal, then  $X$  has a discrete subset of size  $\kappa$  [4].*
- (2) *If  $X$  is a regular space with  $s(X) = \kappa$  and  $\text{cf}(\kappa) = \omega$ , then  $X$  has a discrete subset of size  $\kappa$  [5].*

**Theorem 1.2** (Roitman [10]). *Assume that  $\aleph_{\omega_1} \leq 2^\omega$  and a first-countable Luzin space exists. Then there is a zero-dimensional Tychonoff space  $X$  with  $s(X) = |X| = \aleph_{\omega_1}$  and with no discrete subset of size  $\aleph_{\omega_1}$ .*

The *Lindelöf degree*  $L(X)$  of a space  $X$  is defined as below.

$$L(X) = \min\{\kappa : \text{every open cover of } X \text{ has a subcover of cardinality } \leq \kappa\} + \omega.$$

Then  $L(X) = \sup\{L(\mathcal{U}) : \mathcal{U} \text{ is an open cover of } X\}$  holds, where  $L(\mathcal{U})$  is defined by

$$L(\mathcal{U}) = \min\{|\mathcal{V}| : \mathcal{V} \subset \mathcal{U} \text{ with } \bigcup \mathcal{V} = \bigcup \mathcal{U}\} + \omega$$

for each collection  $\mathcal{U}$  of subsets in  $X$ . The *sup = max problem* for the Lindelöf degree of a space  $X$  is the following problem.

- For  $\kappa = L(X)$ , does  $X$  have an open cover  $\mathcal{U}$  with  $L(\mathcal{U}) = \kappa$ ?

Recently in [6], the author and Yajima discussed the *sup = max problem* for the extent and the Lindelöf degree of some generalized metric spaces: (strict)  $p$ -spaces, (strong)  $\Sigma$ -spaces and semi-stratifiable spaces.

**Theorem 1.3** ([6]). *Let  $\kappa$  be a cardinal with  $\text{cf}(\kappa) > \omega$ .*

- (1) *If  $X$  is a  $p$ -space with  $L(X) = \kappa$ , then  $X$  has an open cover  $\mathcal{U}$  with  $L(\mathcal{U}) = \kappa$ .*
- (2) *If  $X$  is a  $\Sigma$ -space with  $e(X) = \kappa$ , then  $X$  has a closed discrete subset of size  $\kappa$ .*
- (3) *If  $X$  is a semi-stratifiable space with  $e(X) = \kappa$  and one of the following conditions holds, then  $X$  has a closed discrete subset of size  $\kappa$ .*
  - (3-1)  *$X$  is metalindelöf.*
  - (3-2)  *$X$  is collectionwise Hausdorff.*
  - (3-3)  *$X$  is normal and  $\{2^\tau : \tau \text{ is a cardinal } < \kappa\}$  has no maximum.*

The assumption  $\text{cf}(\kappa) > \omega$  in the theorem above is essential since there is a simple example of metrizable space refuting the *sup = max condition* in case  $\text{cf}(\kappa) = \omega$ .

**Example 1.4** ([6, Example 2.1]). Let  $\kappa$  be a limit cardinal, and  $X_\kappa$  the subspace of  $\kappa + 1$  defined by

$$X_\kappa = \{\alpha + 1 : \alpha \in \kappa\} \cup \{\kappa\}.$$

Then  $X_\kappa$  is a space having only one non-isolated point  $\kappa$ , and  $e(X_\kappa) = L(X_\kappa) = |X_\kappa| = \kappa$  holds, but there is no closed discrete subset of size  $\kappa$  in  $X_\kappa$ . Moreover, if  $\text{cf}(\kappa) = \omega$ , then the space  $X_\kappa$  is metrizable.

It is trivial that  $e(X) \leq L(X) \leq |X|$  holds for every space  $X$ . Of course,  $e(X) < L(X)$  easily happens in general, and the *sup = max problem* for the extent and for the Lindelöf degree are different in many cases even if  $e(X) = L(X)$ . On the other hand, there is no such difference for submetalindelöf spaces having the extent of uncountable cofinality. And it is well-known that strict  $p$ -spaces, strong

$\Sigma$ -spaces, and semi-stratifiable spaces have some covering properties stronger than the submetalindelöf property.

**Lemma 1.5.** *Let  $X$  be a submetalindelöf space. Then*

- (1)  $e(X) = L(X)$  holds [1].
- (2) In case  $e(X) = L(X) = \kappa$  and  $\text{cf}(\kappa) > \omega$ ,  $X$  has a closed discrete subset of size  $\kappa$  iff  $X$  has an open cover  $\mathcal{U}$  with  $L(\mathcal{U}) = \kappa$  [6, Theorem 4.5].

In this paper, we discuss the sup = max problem for the Lindelöf degree of spaces having  $G_\delta$ -diagonals and for the extent of spaces having point-countable bases.

**Preliminaries.** All spaces are assumed to be  $T_1$ -topological spaces. The word ‘countable’ means countably infinite or finite. The cofinality of a cardinal  $\kappa$  is denoted by  $\text{cf}(\kappa)$ . Regular cardinals are assumed to be infinite. Successor cardinals and limit cardinals are assumed to be uncountable.

We recall here definitions of some terms appearing in this paper. A space  $X$  is *metalindelöf* if every open cover of  $X$  has a point-countable open refinement. A space  $X$  is *submetalindelöf* if for every open cover  $\mathcal{U}$  of  $X$ , there is a sequence  $\{\mathcal{V}_n\}_{n \in \omega}$  of open refinements, satisfying that for each  $x \in X$  one can choose  $n_x \in \omega$  such that  $\mathcal{V}_{n_x}$  is point-countable at  $x$ . Obviously, metalindelöf spaces are submetalindelöf. A Hausdorff space  $X$  is *semi-stratifiable* [2] if there is a function  $g : \omega \times X \rightarrow \text{Top}(X)$ , where  $\text{Top}(X)$  denotes the topology of  $X$ , satisfying:

- (i)  $\bigcap_{n \in \omega} g(n, x) = \{x\}$  for each  $x \in X$ ,
- (ii)  $y \in \bigcap_{n \in \omega} g(n, x_n)$  implies that  $\{x_n\}$  converges to  $y$ .

Let  $\lambda$  be an infinite cardinal. A tree  $T$  is called a  $\lambda$ -Suslin tree if  $|T| = \lambda$  and  $T$  has neither a chain nor an antichain of size  $\lambda$ . A topological space is said to have the  $\lambda$ -c.c. if there is not a pairwise disjoint family of size  $\lambda$  by non-empty open sets. A  $\lambda$ -Suslin line is a LOTS (= linearly ordered topological space) having the  $\lambda$ -c.c. and with no dense subset of size less than  $\lambda$ . An  $\omega_1$ -Suslin tree (line) is simply called a *Suslin tree (line)*. It is well-known that a Suslin tree exists iff a Suslin line exists, (see [9]). In a similar way, it is seen that for each regular uncountable cardinal  $\lambda$ , a  $\lambda$ -Suslin tree exists iff a  $\lambda$ -Suslin line exists.

A subset  $F$  of  $X$  is said to be *nowhere dense* if  $\text{Int}_X(\text{Cl}_X(F)) = \emptyset$ , i.e.  $F$  is nowhere dense in  $X$  iff  $F \subset \text{Cl}_X(U) \setminus U$  for some open set  $U$  of  $X$ . A *Luzin space* is a regular space having uncountably many points but no isolated point, and every nowhere dense subset of which is countable. It is well-known that every Luzin space is a hereditarily Lindelöf and zero-dimensional Tychonoff space, and that every Suslin line has a first-countable Luzin subspace (see [8]).

## 2. Spaces having $G_\delta$ -diagonals

A space  $X$  has a  $G_\delta$ -diagonal if there is a sequence  $\{\mathcal{G}_n\}_{n \in \omega}$  of open covers of  $X$  such that  $\bigcap_{n \in \omega} \text{St}(x, \mathcal{G}_n) = \{x\}$  for each  $x \in X$ . It is well-known that a space  $X$  has a  $G_\delta$ -diagonal if and only if the diagonal  $\Delta = \{(x, x) : x \in X\}$  of  $X$  is a  $G_\delta$ -set in the square  $X^2$  (cf. [3, 2.1 Definition]).

In this section, we prove the theorems below.

**Theorem 2.1.** *Let  $\kappa$  be a limit cardinal with  $\text{cf}(\kappa) > \omega$ .*

- (1) *Assume that  $\tau^\omega < \kappa$  for each  $\tau < \kappa$ . If a space  $X$  has a  $G_\delta$ -diagonal with  $L(X) = \kappa$ , then there is an open cover  $\mathcal{U}$  of  $X$  with  $L(\mathcal{U}) = \kappa$ .*
- (2) *Assume that  $\kappa \leq \tau^\omega$  for some  $\tau < \text{cf}(\kappa)$ . Then there is a Hausdorff space  $X$  having a  $G_\delta$ -diagonal with  $L(X) = \kappa$  such that  $L(\mathcal{U}) < \kappa$  for every open cover  $\mathcal{U}$  of  $X$ .*
- (3) *Assume that  $\kappa \leq \tau^\omega$  for some  $\tau < \text{cf}(\kappa)$ , and a  $\text{cf}(\kappa)$ -Suslin line exists. Then there is a zero-dimensional Tychonoff space  $X$  having a  $G_\delta$ -diagonal with  $L(X) = \kappa$  such that  $L(\mathcal{U}) < \kappa$  for every open cover  $\mathcal{U}$  of  $X$ .*

**Theorem 2.2.** *Assume that  $\aleph_{\omega_1} \leq 2^\omega$  and a first-countable Luzin space exists. Then there is a zero-dimensional Tychonoff space  $X$  having a  $G_\delta$ -diagonal with  $L(X) = \aleph_{\omega_1}$  such that  $L(\mathcal{U}) < \aleph_{\omega_1}$  for every open cover  $\mathcal{U}$  of  $X$ .*

Theorem 2.1(1) for strong limit cardinals  $\kappa$  was pointed out by Yajima [11] before [6] was published and we started to write this article.

It is trivial and well-known that each semi-stratifiable space has a  $G_\delta$ -diagonal. It is also well-known that semi-stratifiable spaces are subparacompact (cf. [3, 5.11 Theorem]), in particular, submetalindelöf. By Theorem 2.1(1) and Lemma 1.5, we obtain the corollary below.

**Corollary 2.3.** *Let  $X$  be a semi-stratifiable space with  $e(X) = \kappa$ , where  $\text{cf}(\kappa) > \omega$ . Assume that  $\tau^\omega < \kappa$  for each  $\tau < \kappa$ . Then  $X$  has a closed discrete subset of size  $\kappa$ .*

Theorem 2.2 implies Theorem 2.1(3) for  $\kappa = \aleph_{\omega_1}$  since each Suslin line has a first countable Luzin subspace. It is well-known that if ZFC is consistent, then ZFC+GCH is consistent, and it is also consistent with ZFC that  $\aleph_{\omega_1} \leq 2^\omega$  and a Suslin line exists, (see [9]). So we obtain the corollary below.

**Corollary 2.4.** *The sup = max condition for the Lindelöf degree  $L(X) = \kappa$ , where  $\text{cf}(\kappa) > \omega$ , of spaces having  $G_\delta$ -diagonals is consistent with and independent from ZFC.*

First we prove Theorem 2.1(1). In fact, the assumption  $L(X) = \kappa$  can be replaced by  $|X| \geq \kappa$  as below.

**Proposition 2.5.** *Let  $\kappa$  be a cardinal with  $\text{cf}(\kappa) > \omega$  such that  $\tau^\omega < \kappa$  for each  $\tau < \kappa$ . And let  $X$  be a space with  $|X| \geq \kappa$  which has a  $G_\delta$ -diagonal. Then there is an open cover  $\mathcal{U}$  of  $X$  with  $L(\mathcal{U}) \geq \kappa$ .*

PROOF: Assume that  $L(\mathcal{U}) < \kappa$  for any open cover  $\mathcal{U}$  of  $X$ . Let  $\{\mathcal{G}_n\}_{n \in \omega}$  be a sequence of open covers of  $X$  which witnesses  $X$  having a  $G_\delta$ -diagonal. Take an  $n \in \omega$ . Since  $\mathcal{G}_n$  is an open cover of  $X$ , letting  $\tau_n = L(\mathcal{G}_n)$ , we have  $\tau_n < \kappa$ . So there is a subcover  $\mathcal{H}_n$  of  $\mathcal{G}_n$  with  $|\mathcal{H}_n| = \tau_n$ . Let  $\tau = \sup_{n \in \omega} \tau_n$ . Then  $\tau < \kappa$  by  $\text{cf}(\kappa) > \omega$ . For each  $x \in X$  and  $n \in \omega$ , since  $\mathcal{H}_n$  covers  $X$ , we can take an  $H_{x,n} \in \mathcal{H}_n$  with  $x \in H_{x,n}$ . Consider the correspondence  $x \mapsto \{H_{x,n}\}_{n \in \omega}$ .

Since  $\bigcap_{n \in \omega} H_{x,n} \subset \bigcap_{n \in \omega} \text{St}(x, \mathcal{G}_n) = \{x\}$  for each  $x \in X$ , the correspondence is one-to-one. Since each  $\{H_{x,n}\}_{n \in \omega}$  is a sequence of members of  $\bigcup_{n \in \omega} \mathcal{H}_n$  and  $|\bigcup_{n \in \omega} \mathcal{H}_n| = \tau$ , the cardinality of all such sequences is not greater than  $\tau^\omega$ . Hence we have  $|X| \leq \tau^\omega < \kappa \leq |X|$ . This is a contradiction.  $\square$

To prove Theorem 2.1(2), (3) and Theorem 2.2, the lemma below is useful.

**Lemma 2.6.** *Let  $\kappa$  be a cardinal with  $\text{cf}(\kappa) > \omega$  such that  $\kappa \leq \tau^\omega$  for some cardinal  $\tau < \text{cf}(\kappa)$ . Then for each space  $X^*$  with  $|X^*| \leq \kappa$ , there is a Hausdorff space  $X$  having a  $G_\delta$ -diagonal and satisfying the following conditions:*

- (1)  $X = X^*$  as a set,
- (2) each open set in  $X^*$  is open in  $X$ ,
- (3) if  $L(\mathcal{U}^*) < \kappa$  for each family  $\mathcal{U}^*$  of open sets in  $X^*$ , then  $L(\mathcal{U}) < \kappa$  for each family  $\mathcal{U}$  of open sets in  $X$ ,
- (4) if  $X^*$  is a zero-dimensional Tychonoff space, then so is  $X$ .

PROOF: Put  $X = X^*$  as a set. By  $|X| = |X^*| \leq \kappa \leq \tau^\omega$ , there is a one-to-one function  $f$  from  $X$  into  ${}^\omega\tau$ . For each  $n \in \omega$  and  $s \in {}^n\tau$ , let  $G(s) = \{x \in X : f(x) \upharpoonright n = s\}$ . Take a base  $\mathcal{B}^*$  of  $X^*$ . Let us define a topology on  $X$  having a base

$$\mathcal{B} = \{B^* \cap G(s) : B^* \in \mathcal{B}^*, n \in \omega, s \in {}^n\tau\}.$$

Obviously, (1) and (2) hold. Let  $\mathcal{G}_n = \{G(s) : s \in {}^n\tau\}$  for each  $n \in \omega$ . Then each  $\mathcal{G}_n$  is a pairwise disjoint open cover of  $X$ , so it is also a clopen cover. Since  $f$  is one-to-one, it is easily seen that  $\bigcap_{n \in \omega} \text{St}(x, \mathcal{G}_n) = \{x\}$  for each  $x \in X$ . Hence  $X$  is a Hausdorff space having a  $G_\delta$ -diagonal. If  $X^*$  is a zero-dimensional Tychonoff space, then we may choose  $\mathcal{B}^*$  as a family of clopen sets of  $X^*$ , and it makes  $\mathcal{B}$  a family of clopen sets of  $X$ , so  $X$  is also a zero-dimensional Tychonoff space, hence (4) holds.

Assume that  $L(\mathcal{U}^*) < \kappa$  for any family  $\mathcal{U}^*$  of open sets in  $X^*$ . Let  $\mathcal{U}$  be any family of open sets in  $X$ . For each  $n \in \omega$  and  $s \in {}^n\tau$ , let  $\mathcal{U}^*(s)$  be the family of all open sets  $U^*$  in  $X^*$  such that  $U^* \cap G(s)$  is contained by some member of  $\mathcal{U}$ . By  $L(\mathcal{U}^*(s)) < \kappa$ , there is a subfamily  $\mathcal{V}^*(s)$  of  $\mathcal{U}^*(s)$  with  $|\mathcal{V}^*(s)| < \kappa$  such that  $\bigcup \mathcal{V}^*(s) = \bigcup \mathcal{U}^*(s)$ . For each  $V^* \in \mathcal{V}^*(s)$ , take a  $U(V^*, s) \in \mathcal{U}$  with  $V^* \cap G(s) \subset U(V^*, s)$ . We let

$$\mathcal{V} = \{U(V^*, s) : n \in \omega, s \in {}^n\tau, V^* \in \mathcal{V}^*(s)\}.$$

By  $\omega, \tau < \text{cf}(\kappa)$ , note that  $|\mathcal{V}| < \kappa$ .

Let  $x \in \bigcup \mathcal{U}$ . Take a  $U \in \mathcal{U}$  with  $x \in U$ . There are a  $B^* \in \mathcal{B}^*$ , an  $n \in \omega$ , and an  $s \in {}^n\tau$  with  $x \in B^* \cap G(s) \subset U$ . Then we have  $B^* \in \mathcal{U}^*(s)$ . Since  $x \in B^* \in \mathcal{U}^*(s)$  and  $\bigcup \mathcal{V}^*(s) = \bigcup \mathcal{U}^*(s)$ , there is a  $V^* \in \mathcal{V}^*(s)$  with  $x \in V^*$ . Then we have  $x \in V^* \cap G(s) \subset U(V^*, s) \in \mathcal{V}$ . Hence  $\bigcup \mathcal{V} = \bigcup \mathcal{U}$  is true. Since  $|\mathcal{V}| < \kappa$ , we conclude  $L(\mathcal{U}) < \kappa$ . (3) is satisfied.  $\square$

The following easy fact is used to see Theorem 2.1(2).

**Lemma 2.7** (folklore). *Let  $\kappa$  be a limit cardinal. Then there is a space  $X^*$  with  $e(X^*) = |X^*| = \kappa$  (which is  $T_1$  but not Hausdorff) such that  $L(\mathcal{U}^*) < \kappa$  for each family  $\mathcal{U}^*$  of open sets of  $X^*$ .*

PROOF: Define a topology on  $X^* = \kappa$  by letting that  $U^* \subset X^*$  is open iff  $U^* = \emptyset$  or  $(\gamma, \kappa) \subset U^*$  for some  $\gamma < \kappa$ . Then  $X^*$  is a required one.  $\square$

Now we are ready to prove Theorem 2.1(2). It suffices to show the proposition below.

**Proposition 2.8.** *Let  $\kappa$  be a limit cardinal with  $\text{cf}(\kappa) > \omega$ . Assume that  $\kappa \leq \tau^\omega$  for some  $\tau < \text{cf}(\kappa)$ . Then there is a Hausdorff space  $X$  having a  $G_\delta$ -diagonal with  $e(X) = L(X) = |X| = \kappa$  such that  $L(\mathcal{U}) < \kappa$  for every family  $\mathcal{U}$  of open sets of  $X$ .*

PROOF: Let  $X^*$  be the space obtained by Lemma 2.7. And let  $X$  be the space which is obtained by applying Lemma 2.6 for  $X^*$ . For each cardinal  $\lambda < \kappa$ , there is a closed discrete subset  $D$  in  $X^*$  with  $|D| = \lambda$  since  $e(X^*) = \kappa$ . And such  $D$  is also closed discrete in  $X$  since each open set in  $X^*$  is also open in  $X$ . Therefore  $\kappa \leq e(X) \leq L(X) \leq |X| \leq \kappa$  holds.  $\square$

The space  $X$  in the proof of the proposition above is not regular. To find a regular example  $X$ , we need another space  $X^*$ . Fortunately, Roitman's example of Theorem 1.2 is a required one for  $\kappa = \aleph_{\omega_1}$ .

**Corollary 2.9.** *Assume that  $\aleph_{\omega_1} \leq 2^\omega$  and a first-countable Luzin space exists. Then there is a zero-dimensional Tychonoff space  $X^*$  with  $e(X^*) = |X^*| = \aleph_{\omega_1}$  such that  $L(\mathcal{U}^*) < \aleph_{\omega_1}$  for each family  $\mathcal{U}^*$  of open sets of  $X^*$ .*

PROOF: Let  $X$  be the Roitman's example of Theorem 1.2 constructed in [10]. Reading the proof, we see that  $X$  satisfies the following conditions.

- (1) For a Luzin space  $Y$  with  $|Y| = \omega_1$ ,  $X = \bigcup_{y \in Y} X_y$  is a pairwise disjoint union by closed discrete subsets.
- (2)  $\{|X_y| : y \in Y\}$  is an unbounded subset of  $\aleph_{\omega_1}$ .
- (3) For each  $x \in X$  and for each neighborhood  $U$  of  $x$  in  $X$ , there is an open set  $V$  in  $Y$  with  $y(x) \in \text{Cl}_Y(V)$ , where  $y(x) \in Y$  with  $x \in X_{y(x)}$ , such that  $\bigcup_{y \in V} X_y \subset U$ .

We show that  $X^* = X$  witnesses the Corollary. By (1) and (2), we have  $e(X) = |X| = \aleph_{\omega_1}$ . Let  $\mathcal{U}$  be a family of open sets in  $X$ . It suffices to show that  $L(\mathcal{U}) < \aleph_{\omega_1}$ . Let  $\mathcal{V}$  be the family of all open sets  $V$  in  $Y$  such that  $\bigcup_{y \in V} X_y \subset U(V)$  for some  $U(V) \in \mathcal{U}$ . Take and fix such  $U(V)$  for each  $V \in \mathcal{V}$ . Put  $\hat{V} = \bigcup \mathcal{V}$  and  $F = \text{Cl}_Y(\hat{V}) \setminus \hat{V}$ . Since  $F$  is a nowhere dense subset of a Luzin space  $Y$ , we have  $|F| \leq \omega < \omega_1 = \text{cf}(\aleph_{\omega_1})$ , hence  $|\bigcup_{y \in F} X_y| < \aleph_{\omega_1}$  holds. It is known that every Luzin space is hereditarily Lindelöf [8]. Therefore, there is a countable subfamily  $\mathcal{V}_0$  of  $\mathcal{V}$  with  $\bigcup \mathcal{V}_0 = \hat{V}$ .

For each  $x \in \bigcup \mathcal{U}$ , take and fix a  $U_x \in \mathcal{U}$  with  $x \in U_x$ . By (3), we can take an open set  $V_x$  in  $Y$  with  $y(x) \in \text{Cl}_Y(V_x)$ , where  $y(x) \in Y$  with  $x \in X_{y(x)}$ , such

that  $\bigcup_{y \in V_x} X_y \subset U_x$ . Then  $U_x$  witnesses that  $V_x \in \mathcal{V}$ . By  $V_x \subset \hat{V}$ , we have  $y(x) \in \text{Cl}_Y(V_x) \subset \text{Cl}_Y(\hat{V})$ . In case  $y(x) \notin \hat{V}$ , by  $y(x) \in F$ , we have  $x \in X_{y(x)} \subset \bigcup_{y \in F} X_y$ . In case  $y(x) \in \hat{V}$ , there is a  $V_0 \in \mathcal{V}_0$  with  $y(x) \in V_0$ , so we have  $x \in X_{y(x)} \subset \bigcup_{y \in V_0} X_y \subset U(V_0)$ . Hence, a subfamily  $\mathcal{U}_0$  of  $\mathcal{U}$  with  $\bigcup \mathcal{U}_0 = \bigcup \mathcal{U}$  is obtained by putting  $\mathcal{U}_0 = \{U(V_0) : V_0 \in \mathcal{V}_0\} \cup \{U_x : x \in (\bigcup_{y \in F} X_y) \cap \bigcup \mathcal{U}\}$ . And we have  $L(\mathcal{U}) \leq |\mathcal{U}_0| \leq |\mathcal{V}_0| + |\bigcup_{y \in F} X_y| < \aleph_{\omega_1}$ .  $\square$

Now we are ready to prove Theorem 2.2. It suffices to show the proposition below.

**Proposition 2.10.** *Assume that  $\aleph_{\omega_1} \leq 2^\omega$  and a first countable Luzin space exists. Then there is a zero-dimensional Tychonoff space  $X$  having a  $G_\delta$ -diagonal with  $e(X) = L(X) = |X| = \aleph_{\omega_1}$  such that  $L(\mathcal{U}) < \aleph_{\omega_1}$  for each family  $\mathcal{U}$  of open sets of  $X$ .*

PROOF: Let  $X^*$  be the space obtained by Corollary 2.9. And let  $X$  be the space which is obtained by applying Lemma 2.6 for  $X^*$ ,  $\kappa = \aleph_{\omega_1}$  and  $\tau = 2$ . For each cardinal  $\lambda < \aleph_{\omega_1}$ , there is a closed discrete subset  $D$  in  $X^*$  with  $|D| = \lambda$  since  $e(X^*) = \aleph_{\omega_1}$ . And such  $D$  is also closed discrete in  $X$  since each open set in  $X^*$  is also open in  $X$ . Therefore  $\aleph_{\omega_1} \leq e(X) \leq L(X) \leq |X| \leq \aleph_{\omega_1}$  holds.  $\square$

Modifying the proofs of Theorem 1.2 and Corollary 2.9, we obtain the theorem below. We give a sketch of the proof in Section 4 for readers convenience.

**Theorem 2.11** (Modifying Roitman's Theorem [10]). *Let  $\kappa$  be a limit cardinal. Assume that  $\kappa \leq \sup\{2^\theta : \theta \text{ is a cardinal} < \text{cf}(\kappa)\}$  and a  $\text{cf}(\kappa)$ -Suslin line exists. Then there is a zero-dimensional Tychonoff space  $X^*$  with  $e(X^*) = |X^*| = \kappa$  such that  $L(\mathcal{U}^*) < \kappa$  for every family  $\mathcal{U}^*$  of open sets of  $X^*$ .*

Now we are ready to prove Theorem 2.1(3). It suffices to show the proposition below.

**Proposition 2.12.** *Let  $\kappa$  be a limit cardinal with  $\text{cf}(\kappa) > \omega$ . Assume that  $\kappa \leq \tau^\omega$  for some  $\tau < \text{cf}(\kappa)$ , and a  $\text{cf}(\kappa)$ -Suslin line exists. Then there is a zero-dimensional Tychonoff space  $X$  having a  $G_\delta$ -diagonal with  $e(X) = L(X) = |X| = \kappa$  such that  $L(\mathcal{U}) < \kappa$  for every family  $\mathcal{U}$  of open sets of  $X$ .*

PROOF: We may assume that  $\tau \geq \omega$ . By  $\kappa \leq \tau^\omega \leq 2^\tau \leq \sup\{2^\theta : \theta \text{ is a cardinal} < \text{cf}(\kappa)\}$ , we can apply Theorem 2.11 and obtain a space  $X^*$ . And let  $X$  be the space which is obtained by applying Lemma 2.6 for  $X^*$ . For each cardinal  $\lambda < \kappa$ , there is a closed discrete subset  $D$  in  $X^*$  with  $|D| = \lambda$  since  $e(X^*) = \kappa$ . And such  $D$  is also closed discrete in  $X$  since each open set in  $X^*$  is also open in  $X$ . Therefore  $\kappa \leq e(X) \leq L(X) \leq |X| \leq \kappa$  holds.  $\square$

In our proof of Theorem 2.1(2) and (3), we use the assumption that  $\tau < \text{cf}(\kappa)$ . It is natural to consider the case that  $\text{cf}(\kappa) \leq \tau < \kappa$ , but the author does not reach any result about it.

**Problem 1.** Let  $\kappa$  be a limit cardinal with  $\text{cf}(\kappa) > \omega$  such that  $\tau^\omega < \kappa$  for every  $\tau < \text{cf}(\kappa)$ , and there is some cardinal  $\tau_0$  with  $\text{cf}(\kappa) \leq \tau_0 < \kappa \leq \tau_0^\omega$ . Is there a space  $X$  with  $L(X) = \kappa$  such that  $L(\mathcal{U}) < \kappa$  for every open cover  $\mathcal{U}$  of  $X$ ?

### 3. Spaces having point-countable bases

In this section, we discuss the  $\text{sup} = \text{max}$  problem for the extent of spaces having point-countable bases. There is no difference from the  $\text{sup} = \text{max}$  problem for the Lindelöf degree since such spaces are (sub)metalindelöf. It is well-known that each metrizable space has a  $\sigma$ -locally finite base, and it is trivial that such base is point-countable. So having a point-countable base is one of the generalized metric properties. If  $\kappa$  is a limit cardinal with  $\text{cf}(\kappa) = \omega$ , then as seen in Example 1.4, the  $\text{sup} = \text{max}$  condition for the extent does not always hold even for metrizable spaces  $X$  with  $e(X) = \kappa$ . So we are interested in the case of  $\text{cf}(\kappa) > \omega$ .

**Problem 2** ([6, Problem 1]). Assume that a space  $X$  has a point-countable base with  $e(X) = \kappa$ , where  $\text{cf}(\kappa) > \omega$ . Is there a closed discrete subset of size  $\kappa$  in  $X$ ?

Answering the problem partially, we prove in this section the theorem below. (In fact, the condition  $e(X) = \kappa$  can be replaced by  $|X| \geq \kappa$ .)

**Theorem 3.1.** *Let  $X$  be a space having a point-countable base with  $e(X) = \kappa$ . Assume that*

- (i)  $\tau^\omega < \kappa$  for each cardinal  $\tau < \kappa$ ,
- (ii)  $\tau^\omega < \text{cf}(\kappa)$  for each cardinal  $\tau < \text{cf}(\kappa)$ .

*Then  $X$  has a closed discrete subset of size  $\kappa$ .*

In the theorem above, the condition  $\text{cf}(\kappa) > \omega$  automatically holds. Actually, it follows from  $2 < \omega \leq \text{cf}(\kappa)$  that  $\omega < 2^\omega < \text{cf}(\kappa)$  holds by applying the assumption (ii) for  $\tau = 2$ . To prove the theorem, we use the well-known lemma below.

**Lemma 3.2** (The  $\Delta$ -system lemma. See [9, Chapter II, Theorem 1.6]). *Let  $\kappa$  be an infinite cardinal, and  $\mathcal{A}$  a family of sets such that  $|\mathcal{A}| = \theta > \kappa$  and  $|A| < \kappa$  for each  $A \in \mathcal{A}$ . If  $\theta$  is regular and  $|\alpha^{<\kappa}| < \theta$  for each  $\alpha < \theta$ , then  $\mathcal{A}$  has a subfamily  $\mathcal{B}$  with  $|\mathcal{B}| = \theta$  which forms a  $\Delta$ -system. I.e., there is a set  $R$  such that  $A \cap B = R$  holds for every distinct members  $A, B$  of  $\mathcal{B}$ .*

The set  $R$  in the lemma above is called the *root* of a  $\Delta$ -system  $\mathcal{B}$ . The corollary below is easily obtained by applying the  $\Delta$ -system lemma for  $\kappa = \omega_1$ .

**Corollary 3.3.** *Let  $\theta$  be a regular cardinal such that  $\tau^\omega < \theta$  for every cardinal  $\tau < \theta$ . Let  $\mathcal{U}$  be a family of subsets of a space  $X$ , and  $\{\mathcal{W}_j : j \in J\}$  a collection of countable subfamilies of  $\mathcal{U}$  with  $|J| = \theta$ . Then there is a subset  $J'$  of  $J$  with  $|J'| = \theta$  such that  $\{\mathcal{W}_j : j \in J'\}$  forms a  $\Delta$ -system, i.e., the root  $\mathcal{R}$  exists and  $\mathcal{W}_j \cap \mathcal{W}_k = \mathcal{R}$  holds for every distinct members  $j, k$  of  $J$ . In particular, the following hold.*

- (1)  $\omega_1 \leq 2^\omega < \theta$ .
- (2)  $\mathcal{R} = \bigcap_{j \in J'} \mathcal{W}_j$ , and so  $\mathcal{R}$  is a countable subfamily of  $\mathcal{U}$ .

(3)  $\{\mathcal{W}_j \setminus \mathcal{R} : j \in J'\}$  is pairwise disjoint.

If  $\mathcal{B}$  is a base of a space  $X$ , then  $\mathcal{B}$  is an open cover of  $X$ , and since a space  $X$  is assumed to be a  $T_1$ -space,  $\bigcap \mathcal{B}_x = \{x\}$  holds for each  $x \in X$ , where  $\mathcal{B}_x = \{B \in \mathcal{B} : x \in B\}$ . So the proposition below suffices to derive Theorem 3.1.

**Proposition 3.4.** *Let  $\kappa$  be an infinite cardinal such that*

- (i)  $\tau^\omega < \kappa$  for each cardinal  $\tau < \kappa$ ,
- (ii)  $\tau^\omega < \text{cf}(\kappa)$  for each cardinal  $\tau < \text{cf}(\kappa)$ .

And let  $X$  be a space with  $|X| \geq \kappa$  which has a point-countable open cover  $\mathcal{U}$  such that  $\sup\{|\bigcap \mathcal{U}_x| : x \in X\} < \kappa$ , where  $\mathcal{U}_x = \{U \in \mathcal{U} : x \in U\}$ . Then  $X$  has a closed discrete subset of size  $\kappa$ .

PROOF: Let  $\Theta$  be the set of all regular cardinals  $\theta$  with  $\sup\{|\bigcap \mathcal{U}_x| : x \in X\} < \theta \leq \kappa$  such that  $\tau^\omega < \theta$  for every cardinal  $\tau < \theta$ . And let  $\theta \in \Theta$ . Take a subset  $A_\theta$  of  $X$  with  $|A_\theta| = \theta$ . Then  $\mathcal{U}_x$  is a countable subfamily of  $\mathcal{U}$  for each  $x \in A_\theta$  since  $\mathcal{U}$  is point-countable. By the  $\Delta$ -system lemma, we obtain a subset  $B_\theta$  of  $A_\theta$  with  $|B_\theta| = \theta$  such that  $\{\mathcal{U}_x : x \in B_\theta\}$  forms a  $\Delta$ -system, and let  $\mathcal{V}_\theta$  be the root of it. Then  $\mathcal{V}_\theta = \bigcap_{x \in B_\theta} \mathcal{U}_x$  is a countable subfamily of  $\mathcal{U}$ . We show that  $\mathcal{U} \setminus \mathcal{V}_\theta$  is an open cover of  $X$ . To see this, let  $x \in X$ . Since  $|\bigcap \mathcal{U}_x| < \theta = |B_\theta|$ , we can take a  $y \in B_\theta \setminus \bigcap \mathcal{U}_x$  and a  $U \in \mathcal{U}_x \subset \mathcal{U}$  with  $y \notin U$ . By  $U \notin \mathcal{U}_y \supset \mathcal{V}_\theta$ , we have  $U \in \mathcal{U} \setminus \mathcal{V}_\theta$  and  $x \in U$ . Hence,  $\mathcal{U} \setminus \mathcal{V}_\theta$  covers  $X$ .

In case  $\kappa$  is regular, by the assumptions, we have  $\kappa \in \Theta$ , so a subset  $B_\kappa$  of  $X$  with  $|B_\kappa| = \kappa$  and a subfamily  $\mathcal{V}_\kappa$  of  $\mathcal{U}$  had been taken. It suffices to show that  $B_\kappa$  is closed discrete in  $X$ . Let  $x \in X$ . Since  $\mathcal{U} \setminus \mathcal{V}_\kappa$  is an open cover of  $X$ , there is an open neighborhood  $U$  of  $x$  which belongs to  $\mathcal{U} \setminus \mathcal{V}_\kappa$ . Such  $U$  witnesses that  $B_\kappa$  is closed discrete, that is  $|U \cap B_\kappa| \leq 1$  holds. Otherwise, there are distinct  $y, z \in U \cap B_\kappa$ . Then we have  $U \in \mathcal{U}_y \cap \mathcal{U}_z = \mathcal{V}_\kappa$ , and it is contradiction.

In case  $\kappa$  is singular,  $\Theta$  is an unbounded subset of  $\kappa$ . Actually,  $\kappa \notin \Theta$  since  $\kappa$  is singular, and  $(\mu^\omega)^+ \in \Theta$  holds for every cardinal  $\mu > 1$  with  $\sup\{|\bigcap \mathcal{U}_x| : x \in X\} \leq \mu < \kappa$ . Take a subset  $\Theta_0$  of  $\Theta \setminus \text{cf}(\kappa)$  which is unbounded in  $\kappa$  and of order type  $\text{cf}(\kappa)$ . For each  $\mu \in \Theta_0$ , put

$$D_\mu = \{y \in B_\mu : (\mathcal{U}_y \setminus \mathcal{V}_\mu) \cap \bigcup \{\mathcal{U}_z : \nu \in \Theta_0 \cap \mu, z \in B_\nu\} = \emptyset\}.$$

We show that  $|D_\mu| = \mu$ . Let  $\mathcal{U}[\mu] = \bigcup \{\mathcal{U}_z : \nu \in \Theta_0 \cap \mu, z \in B_\nu\}$ . Then  $|\mathcal{U}[\mu]| < \mu$  holds since  $\mu$  is regular,  $|\Theta_0 \cap \mu| < \text{cf}(\kappa) \leq \mu$ ,  $|B_\nu| = \nu < \mu$  for each  $\nu \in \Theta_0 \cap \mu$ , and  $|\mathcal{U}_z| \leq \omega < 2^\omega < \mu$  for each  $z \in B_\nu$ . We have  $|B_\mu \setminus D_\mu| \leq |\mathcal{U}[\mu]| < \mu$  since  $\{\mathcal{U}_y \setminus \mathcal{V}_\mu : y \in B_\mu\}$  is pairwise disjoint and  $\mathcal{U}_y \setminus \mathcal{V}_\mu$  meets  $\mathcal{U}[\mu]$  for each  $y \in B_\mu \setminus D_\mu$ . Hence  $|D_\mu| = \mu$  holds by  $|B_\mu| = \mu$ .

For each  $\theta \in \Theta_0$ , a countable subfamily  $\mathcal{V}_\theta$  of  $\mathcal{U}$  had been taken. And  $|\Theta_0| = \text{cf}(\kappa)$  holds. By the assumption (ii), we can apply the  $\Delta$ -system lemma, and obtain a subset  $\Theta_1$  of  $\Theta_0$  with  $|\Theta_1| = \text{cf}(\kappa)$  such that  $\{\mathcal{V}_\theta : \theta \in \Theta_1\}$  forms a  $\Delta$ -system. Let  $\mathcal{V}$  be the root. Then  $\mathcal{V} = \bigcap_{\theta \in \Theta_1} \mathcal{V}_\theta$  is a countable subfamily of  $\mathcal{U}$ . Let  $D = \bigcup_{\theta \in \Theta_1} D_\theta$ . Then  $|D| = \kappa$  since  $|D_\theta| = \theta$  for each  $\theta \in \Theta_1$ , and  $\Theta_1$  is an unbounded subset in  $\kappa$ . So it suffices to show that  $D$  is closed discrete in  $X$ .

Let  $x \in X$ . Take an open neighborhood  $U_1$  of  $x$  and a  $\theta \in \Theta_1$  such that: if  $\mathcal{U}_x \cap \bigcup_{\lambda \in \Theta_1} \mathcal{V}_\lambda \setminus \mathcal{V}$  is non-empty, then  $U_1 \in \mathcal{V}_\theta \setminus \mathcal{V}$ . Since  $\mathcal{U} \setminus \mathcal{V}_\theta$  is an open cover of  $X$ , we can take an open neighborhood  $U$  of  $x$  such that  $U \subset U_0 \cap U_1$  for some  $U_0 \in \mathcal{U} \setminus \mathcal{V}_\theta$ . It suffices to show that  $|U \cap D| \leq 1$  holds. Otherwise, there are distinct  $y, z \in U \cap D$ . Take  $\mu, \nu \in \Theta_1$  with  $y \in D_\mu$  and  $z \in D_\nu$ . We may assume that  $\nu \leq \mu$ . By  $x, y, z \in U \subset U_0$  and  $U_0 \in \mathcal{U} \setminus \mathcal{V}_\theta$ , we have  $U_0 \in \mathcal{U}_x \cap \mathcal{U}_y \cap \mathcal{U}_z$  and  $U_0 \notin \mathcal{V}$ . If  $\nu = \mu$ , then by  $y, z \in D_\mu \subset B_\mu$ , we have  $\mathcal{U}_y \cap \mathcal{U}_z = \mathcal{V}_\mu$ . Otherwise,  $\nu < \mu$ , then by  $\nu \in \Theta_1 \cap \mu \subset \Theta_0 \cap \mu$ ,  $z \in D_\nu \subset B_\nu$ , and  $y \in D_\mu$ , we have  $(\mathcal{U}_y \setminus \mathcal{V}_\mu) \cap \mathcal{U}_z = \emptyset$ , and so  $\mathcal{U}_y \cap \mathcal{U}_z \subset \mathcal{V}_\mu$ . Hence,  $U_0 \in \mathcal{U}_y \cap \mathcal{U}_z \subset \mathcal{V}_\mu$  holds in any case. It follows that  $\mathcal{U}_x \cap \mathcal{V}_\mu \setminus \mathcal{V} \subset \mathcal{U}_x \cap \bigcup_{\lambda \in \Theta_1} \mathcal{V}_\lambda \setminus \mathcal{V}$  is non-empty since  $U_0$  is a member of it. And so  $x \in U \subset U_1$  for some  $U_1 \in \mathcal{V}_\theta \setminus \mathcal{V}$ . By  $U_1 \in \mathcal{V}_\theta \subset \mathcal{U}$  and  $y, z \in U \subset U_1$ , we have  $U_1 \in \mathcal{U}_y \cap \mathcal{U}_z \subset \mathcal{V}_\mu$ . By  $U_0 \notin \mathcal{V}_\theta$  and  $U_0 \in \mathcal{V}_\mu$ , we have  $\theta \neq \mu$ . Therefore,  $U_1 \in \mathcal{V}_\theta \cap \mathcal{V}_\mu = \mathcal{V}$ . This is contradiction.  $\square$

The author still does not know any example of a space having a point-countable base which refutes the  $\text{sup} = \text{max}$  condition for the extent of uncountable cofinality.

**Problem 3.** Can we remove the assumptions (i) and (ii) from Theorem 3.1?

In particular, we have

**Problem 4.** Is it consistent with ZFC that there is a space  $X$  having a point-countable base with  $e(X) = \aleph_{\omega_1}$  and with no closed discrete subset of size  $\aleph_{\omega_1}$ ?

The theorem in this section does not give an answer for the problem above since the assumption of it requires that  $\text{cf}(\aleph_{\omega_1}) = \omega_1 \leq 2^\omega < \text{cf}(\kappa)$ .

If a space  $X$  has a point-countable base, then  $X$  is hereditarily meta-lindelöf and first-countable. But only assuming that a space  $X$  is hereditarily metalindelöf, it is not sufficient for deriving Theorem 3.1 by Example 1.4. And only assuming that a space  $X$  is first-countable, it is also not sufficient for deriving Theorem 3.1 as the example below shows.

**Example 3.5.** Let  $\kappa$  be a limit cardinal with  $\text{cf}(\kappa) > \omega$ , and set

$$X = \{\alpha + 1 : \alpha \in \kappa\} \cup \{\theta \in \kappa : \theta \text{ is a cardinal, } \text{cf}(\theta) = \omega\}.$$

Then  $X$  is first-countable,  $e(X) = |X| = \kappa$ , but there is no closed discrete subset in  $X$  of size  $\kappa$ .

PROOF: Obviously,  $e(X) \leq |X| \leq \kappa$  holds. For each infinite cardinal  $\lambda < \kappa$ , there is no cardinal  $\theta$  with  $\lambda < \theta \leq \lambda + \lambda$ , so  $\{\alpha + 1 : \lambda \leq \alpha < \lambda + \lambda\}$  is a closed discrete subset in  $X$  of size  $\lambda$ , hence  $\lambda \leq e(X)$  holds. We have  $e(X) = |X| = \kappa$  since  $\kappa$  is a limit cardinal.

Let  $Z \subset X$  be unbounded in  $\kappa$ . Since  $\kappa$  is a limit cardinal again, we can inductively take strictly increasing sequences  $\{\beta_n : n \in \omega\}$  by members of  $Z$  and  $\{\lambda_n : n \in \omega\}$  by cardinals less than  $\kappa$  such that  $\beta_n \leq \lambda_n < \beta_{n+1}$  for each  $n \in \omega$ . Put  $\theta = \sup\{\beta_n : n \in \omega\} = \sup\{\lambda_n : n \in \omega\}$ . Then  $\theta \in \kappa$  by  $\text{cf}(\kappa) > \omega$ , and  $\theta$  is

a limit cardinal with  $\text{cf}(\theta) = \omega$ , so we have  $\theta \in X$ . On the other hand,  $\theta$  is a limit point of  $\{\beta_n : n \in \omega\} \subset Z$ , so  $Z$  is not a closed discrete subset in  $X$ . Therefore, any closed discrete subset  $D$  in  $X$  is bounded in  $\kappa$ , so  $|D| < \kappa$ . Hence,  $X$  does not have a closed discrete subset of size  $\kappa$ .  $\square$

The space  $X$  in the example above is not (sub)metalindelöf. On the other hand, the space  $X_\kappa$  in Example 1.4 is not first-countable in case  $\text{cf}(\kappa) > \omega$ . For spaces having the both property, what happens?

**Problem 5.** Let  $\kappa$  be an infinite cardinal satisfying the conditions (i) and (ii) in the assumption of Theorem 3.1. And let  $X$  be a hereditarily metalindelöf and first-countable space with  $e(X) = \kappa$ . Does  $X$  have a closed discrete subset of size  $\kappa$ ?

#### 4. A sketch of a proof of Theorem 2.11

A proof of Theorem 2.11 is obtained by modifying proofs of Theorem 1.2 and Corollary 2.9. We give here a sketch of a proof for reader's convenience.

First, check that the lemma below holds. Proofs are routine.

**Lemma 4.1** (folklore). *Let  $\lambda$  be a regular uncountable cardinal, and  $K$  a LOTS having the  $\lambda$ -c.c. Then, the following hold.*

- (1) *There is neither a strictly increasing sequence nor a strictly decreasing sequence, of length  $\lambda$ , by members of  $K$ .*
- (2) *The character of  $K$  at each point is less than  $\lambda$ .*
- (3) *There is neither a strictly ascending sequence nor a strictly descending sequence, of length  $\lambda$ , by convex subsets of  $K$ .*
- (4) *If  $\mathcal{U}$  is a family of open sets in  $K$  such that  
for each subfamily  $\mathcal{U}'$  of  $\mathcal{U}$  with  $|\mathcal{U}'| < \lambda$ , there is a  $U \in \mathcal{U}$  with  
 $\bigcup \mathcal{U}' \subset U$ ,  
then there is a pairwise disjoint family  $\mathcal{J}$  of non-empty open convex subsets of  $K$  partially refining  $\mathcal{U}$  and satisfying that:  
for each non-empty open convex subset  $J'$  of  $K$ , if  $J' \subset U$  for  
some  $U \in \mathcal{U}$ , then  $J' \subset J$  for some  $J \in \mathcal{J}$ .*
- (5)  *$L(\mathcal{U}) < \lambda$  for each family  $\mathcal{U}$  of open sets in  $K$ .*
- (6) *For each open set  $U$  in a subspace  $Z$  of  $K$ , there is a subset  $S$  of  $U$  with  $|S| < \lambda$  such that  $\text{Cl}_Z(U) \setminus U \subset \text{Cl}_Z(S)$ .*

It is well-known that if a Suslin line exists, then a Suslin tree also exists, (see [9]). Modifying the proof of this fact, we obtain the lemma below.

**Lemma 4.2** (folklore). *Let  $\lambda$  be a regular uncountable cardinal and  $K$  a  $\lambda$ -Suslin line. Then for each subset  $E$  of  $K$  with  $|E| < \lambda$ , there is a  $\lambda$ -Suslin tree  $T = (T, <_T)$  such that*

- *each member of  $T$  is an open convex set in  $K$  and disjoint from  $E$ ,*
- *for each  $J_0, J_1 \in T$ ,  $J_0 <_T J_1$  holds iff  $J_0 \supsetneq J_1$ ,*
- *each members  $J_0$  and  $J_1$  of  $T$  are incompatible in  $T$  iff  $J_0 \cap J_1 = \emptyset$ .*

In particular, for any ordinal  $\alpha < \lambda$ , there are an open convex subset  $J$  of  $K$  which is disjoint from  $E$  and a sequence, of length  $\alpha$ , by members of  $J$  which is either strictly increasing or strictly decreasing.

Let  $\alpha$  be an ordinal having the linear order topology by the usual order. If  $J$  is a convex subset of a compact LOTS  $K$ , and there is a sequence, of length  $\alpha$ , by members of  $J$  which is either strictly increasing or strictly decreasing, then we can take such a sequence as a topological embedding. So we obtain the lemma below.

**Lemma 4.3** (folklore). *Let  $\lambda$  be a regular uncountable cardinal, and  $K$  a compact  $\lambda$ -Suslin line. Then there is a subspace  $Z = \bigcup_{\alpha \in \lambda} Z_\alpha$  of  $K$  such that  $Z_\alpha$  is homeomorphic to  $\alpha$  and  $Z_\alpha \cap \text{Cl}_Z(\bigcup_{\beta < \alpha} Z_\beta) = \emptyset$  for each  $\alpha < \lambda$ .*

It is well-known that any LOTS  $K^*$  is embedded into some compact LOTS  $K$  as a dense subset. And it is easily seen that if  $K^*$  is a  $\lambda$ -Suslin line, where  $\lambda$  is a regular uncountable cardinal, then so is  $K$ .

**Lemma 4.4** (folklore). *Let  $\lambda$  be a regular uncountable cardinal. If a  $\lambda$ -Suslin line exists, then there is a compact  $\lambda$ -Suslin line.*

It is routine to check that the lemma below holds.

**Lemma 4.5** (folklore). *Let  $\theta$  be an infinite cardinal,  $Z$  a GO-space (= subspace of a LOTS), and  $\varphi : (\theta + 1) \rightarrow Z$  a topological embedding with  $z = \varphi(\theta)$ . Then there is a pairwise disjoint sequence  $\{Q(\zeta) : \zeta < \theta\}$  of non-empty open subsets of  $Z$  such that for each neighborhood  $W$  of  $z$  in  $Z$ ,  $\{\zeta < \theta : Q(\zeta) \not\subset W\}$  is bounded in  $\theta$ .*

The space  $Z$  in Lemma 4.3 witnesses the lemma below.

**Lemma 4.6** (folklore). *Let  $\lambda$  be a regular uncountable cardinal and assume that a  $\lambda$ -Suslin line exists. Then there is a regular space  $Z$  such that*

- (i')  $L(\mathcal{U}) < \lambda$  for each family  $\mathcal{U}$  of open sets in  $Z$ ,
- (ii')  $|F| < \lambda$  for each nowhere dense subset  $F$  in  $Z$ ,
- (iii') the character of  $Z$  at each point is less than  $\lambda$ ,
- (iv') for each  $E \subset Z$  with  $|E| < \lambda$  and for each infinite cardinal  $\theta < \lambda$ , there are a pairwise disjoint sequence  $\{Q(\zeta) : \zeta < \theta\}$  of non-empty open sets of  $Z$ , and a point  $z \in Z \setminus \text{Cl}_Z(E)$  such that for each neighborhood  $W$  of  $z$ ,  $\{\zeta < \theta : Q(\zeta) \not\subset W\}$  is bounded in  $\theta$ .

Let  $\theta$  be an infinite cardinal. A collection  $\{\Theta_\alpha : \alpha \in \Omega\}$  of subsets of  $\theta$  is called an *independent family* if for each disjoint finite subsets  $I$  and  $O$  of  $\Omega$ , there are unbounded many  $\zeta \in \theta$  such that  $\zeta \in \Theta_\alpha$  for each  $\alpha \in I$ , and  $\zeta \notin \Theta_{\alpha'}$  for each  $\alpha' \in O$ .

**Lemma 4.7** (Hausdorff, see [7]). *Let  $\theta$  be an infinite cardinal. Then there is an independent family  $\{\Theta_\alpha : \alpha < 2^\theta\}$  of subsets of  $\theta$ .*

**Lemma 4.8.** *Let  $\kappa$  be an uncountable cardinal. And assume that  $\kappa \leq \sup\{2^\theta : \theta \text{ is a cardinal} < \text{cf}(\kappa)\}$  and a  $\text{cf}(\kappa)$ -Suslin line exists. Then there are a space  $Y$  with  $|Y| = \text{cf}(\kappa)$  and an unbounded subset  $\{\kappa_y : y \in Y\}$  of  $\kappa$  such that*

- (i)  $L(\mathcal{V}) < \kappa$  for each family  $\mathcal{V}$  of open sets in  $Y$ ,
- (ii)  $|F| < \text{cf}(\kappa)$  for each nowhere dense subset  $F$  in  $Y$ ,
- (iii) for each  $y \in Y$ , there is a collection  $\{\mathcal{W}_\alpha : \alpha \in \kappa_y\}$  of filters on  $Y$  such that for each  $\alpha \in \kappa_y$ :
  - (iii-1) each neighborhood of  $y$  in  $Y$  belongs to  $\mathcal{W}_\alpha$ ,
  - (iii-2) for each  $W \in \mathcal{W}_\alpha$ , there is a  $V \in \mathcal{W}_\alpha$  with  $V \subset W$  which is open in  $Y$  such that  $\text{Cl}_Y(V) = V \cup \{y\}$ ,
  - (iii-3) there is a  $W_\alpha \in \mathcal{W}_\alpha$  such that  $Y \setminus W_\alpha \in \mathcal{W}_\beta$  for every  $\beta \in \kappa_y$  except  $\alpha$ ,
  - (iii-4)  $Y \setminus \{y\} \in \mathcal{W}_\alpha$  if  $\kappa_y > 1$ .

PROOF: Let  $\lambda = \text{cf}(\kappa)$  and  $Z$  be the space in Lemma 4.6. Take an unbounded subset  $\{\lambda_\xi : \xi < \lambda\}$  of  $\kappa$ . By induction on  $\xi < \lambda$ , we take an ascending sequence  $\{E_\xi : \xi < \lambda\}$  of subsets of  $Z$  with  $|E_\xi| < \lambda$ . Put  $E_0 = \emptyset$  as the first step. Put  $E_\xi = \bigcup_{\zeta' < \xi} E_{\zeta'}$  in case  $\xi < \lambda$  is a limit ordinal. To take  $E_{\xi+1}$  for  $\xi < \lambda$ , assume that a subset  $E_\xi$  of  $Z$  with  $|E_\xi| < \lambda$  is determined. Take a cardinal  $\theta_\xi$  with  $\omega \leq \theta_\xi < \lambda$  and  $2^{\theta_\xi} \geq \lambda_\xi$ , a pairwise disjoint sequence  $\{Q_\xi(\zeta) : \zeta < \theta_\xi\}$  of non-empty open subsets of  $Z$ , and a point  $z_\xi \in Z \setminus \text{Cl}_Z(E_\xi)$  such that for each neighborhood  $W$  of  $z_\xi$  in  $Z$ ,  $\{\zeta < \theta_\xi : Q_\xi(\zeta) \not\subset W\}$  is bounded in  $\theta_\xi$ . Let  $Q_\xi = \bigcup_{\zeta < \theta_\xi} Q_\xi(\zeta)$ . Then  $z_\xi \notin Q_\xi$ . Actually,  $z_\xi \notin Q_\xi(\zeta')$  for any  $\zeta' < \theta_\xi$  since  $\{\zeta < \theta_\xi : Q_\xi(\zeta) \not\subset Q_\xi(\zeta')\} = \theta_\xi \setminus \{\zeta'\}$  is unbounded in  $\theta_\xi$ . Moreover, we may assume that  $\text{Cl}_Z(Q_\xi) \cap E_\xi = \emptyset$  since  $Z$  is regular and  $Z \setminus \text{Cl}_Z(E_\xi)$  is a neighborhood of  $z_\xi$ . For each  $\zeta < \theta_\xi$ , take and fix a  $q_\xi(\zeta) \in Q_\xi(\zeta)$ . Since the character of  $Z$  at  $q_\xi$  is less than  $\lambda$ , we can take an open neighborhood base  $\mathcal{B}_\xi(\zeta)$  at  $q_\xi(\zeta)$  with  $|\mathcal{B}_\xi(\zeta)| < \lambda$ . We may assume that  $\text{Cl}_Z(V) \subset Q_\xi(\zeta)$  for every  $V \in \mathcal{B}_\xi(\zeta)$ . Put  $Y_\xi = \{z_\xi\} \cup \{q_\xi(\zeta) : \zeta < \theta_\xi\}$  and  $D_\xi = \bigcup\{\text{Cl}_Z(V) \setminus V : \zeta < \theta_\xi, V \in \mathcal{B}_\xi(\zeta)\} \cup ((\text{Cl}_Z(Q_\xi) \setminus Q_\xi) \setminus \{z_\xi\})$ . Then  $E_\xi, Y_\xi$  and  $D_\xi$  are pairwise disjoint subsets of  $Z$ , and  $|E_\xi|, |Y_\xi|, |D_\xi| < \lambda$  holds. Let  $E_{\xi+1} = E_\xi \cup Y_\xi \cup D_\xi$ , and continue the induction.

After finishing induction, we obtain pairwise disjoint families  $\{Y_\xi : \xi < \lambda\}$  and  $\{D_\xi : \xi < \lambda\}$  of subsets of  $Z$ . Put  $Y = \bigcup_{\xi < \lambda} Y_\xi$  and  $D = \bigcup_{\xi < \lambda} D_\xi$ . Then we have  $|Y| = \lambda = \text{cf}(\kappa)$  and  $Y \cap D = \emptyset$ . We show that  $Y$ , as a topological subspace of  $Z$ , satisfies the required conditions.

(i) and (ii) hold for  $Y$  by (i') and (ii') for  $Z$  since  $Y$  is a subspace of  $Z$  and  $\lambda = \text{cf}(\kappa) \leq \kappa$ .

(iii) Let  $y \in Y$  and take the  $\xi < \lambda$  with  $y \in Y_\xi$ . For each  $J \subset \theta_\xi$ , put  $Q_\xi[J] = \bigcup_{\zeta \in J} Q_\xi(\zeta)$ , then  $Q_\xi[J]$  is an open set of  $Z$ .

In the case of  $y = z_\xi$ , put  $\kappa_y = \lambda_\xi$ . Take an independent family  $\{\Theta_\alpha : \alpha < 2^{\theta_\xi}\}$  of subsets of  $\theta_\xi$ . Since  $\kappa_y = \lambda_\xi \leq 2^{\theta_\xi}$ , a subset  $\Theta_\alpha$  of  $\theta_\xi$  is defined for each  $\alpha \in \kappa_y$ . Let  $\alpha \in \kappa_y$ . For each  $O \subset \kappa_y \setminus \{\alpha\}$  with  $|O| < \omega$  and for each  $\gamma < \theta_\xi$ , put  $\hat{\Theta}_\alpha(O, \gamma) = \{\zeta \in \Theta_\alpha \setminus (\bigcup_{\alpha' \in O} \Theta_{\alpha'}) : \zeta \geq \gamma\}$  and  $V_\alpha(O, \gamma) = Y \cap Q_\xi[\hat{\Theta}_\alpha(O, \gamma)]$ .

And let  $\mathcal{V}_\alpha = \{V_\alpha(O, \gamma) : O \subset \kappa_y \setminus \{\alpha\}, |O| < \omega, \gamma < \theta_\xi\}$ . Obviously,  $\mathcal{V}_\alpha$  is a filter base on  $Y$ . Let  $\mathcal{W}_\alpha$  be the filter on  $Y$  generated by  $\mathcal{V}_\alpha$ . It is routine to check that  $\{\mathcal{W}_\alpha : \alpha \in \kappa_y\}$  satisfies the conditions (iii-1)–(iii-4).

In the case of  $y \neq z_\xi$ , put  $\kappa_y = 1$ . Take the  $\zeta < \theta_\xi$  with  $y = q_\xi(\zeta)$ . Put  $\mathcal{V}_0 = \{V \cap Y : V \in \mathcal{B}_\xi(\zeta)\}$ . Obviously,  $\mathcal{V}_0$  is a filter base on  $Y$ . Let  $\mathcal{W}_0$  be the filter on  $Y$  generated by  $\mathcal{V}_0$ . It is routine to check that  $\{\mathcal{W}_\alpha : \alpha \in \kappa_y\}$  satisfies the conditions (iii-1)–(iii-4).

It is trivial that  $\{\kappa_y : y \in Y\}$  is an unbounded subset of  $\kappa$ . □

Now we are ready to prove Theorem 2.11.

PROOF: Let  $\kappa$  be a limit cardinal. Assume that  $\kappa \leq \sup\{2^\theta : \theta \text{ is a cardinal } < \text{cf}(\kappa)\}$  and a  $\text{cf}(\kappa)$ -Suslin line exists. We would like to find a zero-dimensional Tychonoff space  $X^*$  with  $e(X^*) = |X^*| = \kappa$  such that  $L(\mathcal{U}^*) < \kappa$  for every family  $\mathcal{U}^*$  of open sets in  $X^*$ .

Take a space  $Y$  with  $|Y| = \text{cf}(\kappa)$  and an unbounded subset  $\{\kappa_y : y \in Y\}$  of  $\kappa$  satisfying the conditions (i), (ii), (iii) in Lemma 4.8. Let  $y \in Y$ . Put  $X_y = \{y\} \times \kappa_y$ . Take a collection  $\{\mathcal{W}_\alpha : \alpha \in \kappa_y\}$  of filters on  $Y$  satisfying (iii-1)–(iii-4) for  $y$ , and let  $\mathcal{W}_x^* = \mathcal{W}_\alpha$  for each  $x = \langle y, \alpha \rangle \in X_y$  with  $\alpha \in \kappa_y$ . Set  $X = \bigcup_{y \in Y} X_y$ . For each  $U \subset X$ , put  $W[U] = \{y \in Y : X_y \subset U\}$ . Define a topology on  $X$  such that  $U \subset X$  is a neighborhood of  $x \in X$  iff  $x \in U$  and  $W[U] \in \mathcal{W}_x^*$  hold. It is routine to check that we can define such topology on  $X$ . Then  $X$  is a zero-dimensional Tychonoff space and satisfies that:

- (1)  $X = \bigcup_{y \in Y} X_y$  is a pairwise disjoint union by closed discrete subsets.
- (2)  $\{|X_y| : y \in Y\}$  is an unbounded subset of  $\kappa$ .
- (3) For each  $x \in X$  and for each neighborhood  $U$  of  $x$  in  $X$ , there is an open set  $V$  in  $Y$  with  $y(x) \in \text{Cl}_Y(V)$ , where  $y(x) \in Y$  with  $x \in X_{y(x)}$ , such that  $\bigcup_{y \in V} X_y \subset U$ .

The rest part is similar to the proof of Corollary 2.9. We show that  $X^* = X$  witness the theorem. By (1) and (2), we have  $e(X) = |X| = \kappa$ . Let  $\mathcal{U}$  be a family of open sets in  $X$ . It suffices to show that  $L(\mathcal{U}) < \kappa$ . Let  $\mathcal{V}$  be the family of all open sets  $V$  in  $Y$  such that  $\bigcup_{y \in V} X_y \subset U(V)$  for some  $U(V) \in \mathcal{U}$ . Take and fix such  $U(V)$  for each  $V \in \mathcal{V}$ . Put  $\hat{V} = \bigcup \mathcal{V}$  and  $F = \text{Cl}_Y(\hat{V}) \setminus \hat{V}$ . Since  $F$  is a nowhere dense subset in  $Y$ , we have  $|F| < \text{cf}(\kappa)$  by the condition (ii), hence  $|\bigcup_{y \in F} X_y| < \kappa$  holds. By the condition (i), there is a subfamily  $\mathcal{V}_0$  of  $\mathcal{V}$  with  $|\mathcal{V}_0| < \kappa$  and  $\bigcup \mathcal{V}_0 = \hat{V}$ .

For each  $x \in \bigcup \mathcal{U}$ , take and fix a  $U_x \in \mathcal{U}$  with  $x \in U_x$ . By (3), we can take an open set  $V_x$  in  $Y$  with  $y(x) \in \text{Cl}_Y(V_x)$ , where  $y(x) \in Y$  with  $x \in X_{y(x)}$ , such that  $\bigcup_{y \in V_x} X_y \subset U_x$ . Then  $U_x$  witnesses that  $V_x \in \mathcal{V}$ . By  $V_x \subset \hat{V}$ , we have  $y(x) \in \text{Cl}_Y(V_x) \subset \text{Cl}_Y(\hat{V})$ . In case  $y(x) \notin \hat{V}$ , by  $y(x) \in F$ , we have  $x \in X_{y(x)} \subset \bigcup_{y \in F} X_y$ . In case  $y(x) \in \hat{V}$ , there is a  $V_0 \in \mathcal{V}_0$  with  $y(x) \in V_0$ , so we have  $x \in X_{y(x)} \subset \bigcup_{y \in V_0} X_y \subset U(V_0)$ . Hence, a subfamily  $\mathcal{U}_0$  of  $\mathcal{U}$  with  $\bigcup \mathcal{U}_0 = \bigcup \mathcal{U}$  is

obtained by putting  $\mathcal{U}_0 = \{U(V_0) : V_0 \in \mathcal{V}_0\} \cup \{U_x : x \in (\bigcup_{y \in F} X_y) \cap \bigcup \mathcal{U}\}$ . And we have  $L(\mathcal{U}) \leq |\mathcal{U}_0| \leq |\mathcal{V}_0| + |\bigcup_{y \in F} X_y| < \kappa$ .  $\square$

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