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Note on a Normality Relation in Lattices

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In this paper, we define and study a normality relation based in a natural way on a lattice generalization of inner automorphisms.

I. Preliminaries

The ingenious system of axioms proposed by ZASSENHAUS [4] for a normality relation \triangleleft defined on a lattice \mathcal{L} can be restated in a slightly modified form as the system of the following postulates:

- (Z0) $c \triangleleft d \Rightarrow c \leq d$;
- (Z1) $a \triangleleft a \cup c \Rightarrow (\forall x \in [c, a \cup c] \quad c \cup (a \cap x) = x$
 $\text{ET } \forall y \in [a \cap c, a] \quad a \cap (c \cup y) = y)$;
- (Z2) $\forall c \in L \quad c \triangleleft c$;
- (Z3) $\forall a, b, c \in L \quad c \triangleleft b \Rightarrow a \cap c \triangleleft a \cap b$;
- (Z4) $(c \triangleleft a \cup c \text{ ET } y \triangleleft a) \Rightarrow c \cup y \triangleleft a \cup c$.

A remarkable approach to this question was made in the papers [1], [3] by DEAN and KRUSE. The corresponding system of axioms is formulated in the following set of six conditions:

- (DK0) $\forall a \in L \quad a \triangleleft a$;
- (DK1) $a \triangleleft b \Rightarrow a \leq b$;
- (DK2) $(a \triangleleft b \text{ ET } c \triangleleft d) \Rightarrow a \cap c \triangleleft b \cap d$;
- (DK3) $(a \triangleleft b \text{ ET } a \triangleleft c) \Rightarrow a \triangleleft b \cup c$;
- (DK4) $(a \triangleleft b \text{ ET } c \triangleleft d) \Rightarrow a \cup c \triangleleft a \cup c \cup (b \cap d)$;
- (DK5) $[a \leq b \text{ ET } (a \triangleleft a \cup c \text{ VEL } c \triangleleft a \cup c)] \Rightarrow a \cup (b \cap c) = b \cap (a \cup c)$.

For the sake of brevity we shall call a normality relation in the sense of Zassenhaus (resp. in the sense of Dean and Kruse) a *Z-normality relation* (resp. a *DK-normality relation*). It is well known that every DK-normality relation is a Z-normality relation.

Various results in appropriate systems of conditions imposed for a normality relation were obtained by Noronha Galvão and Almeida Costa [2].

2. A-normality relation

Let D be a subset of L , $D = D(\mathcal{L}) = \{d_\lambda\}_{\lambda \in \Lambda}$, such that every element $k \in L$ is a join of the elements belonging to a subset of $D(\mathcal{L})$. Suppose next there exists a mapping ϑ from $D(\mathcal{L})$ to the set of all automorphisms of the lattice $\mathcal{L} = \langle L; \cap, \cup \rangle$, $\vartheta: d_\lambda \mapsto \delta_\lambda$. The set $\mathbf{Im} \vartheta$ will be denoted by $\Delta(\mathcal{L})$. We write also $D(x) = \mathcal{L} \cap (x]$. Let further \triangleleft be the relation defined on L by $a \triangleleft b$ iff $a \leq b$ and $\delta_\lambda: a \mapsto a$ for all $\delta_\lambda \in \Delta(b) = \{\delta_\lambda \in \Delta(\mathcal{L}) \mid d_\nu \leq b\}$. The relation \triangleleft is called an *A-normality relation* (determined by $D(\mathcal{L})$ and ϑ) iff it satisfies the following conditions:

- (n) $(\delta_\lambda: c \mapsto c \text{ whenever } d_\lambda \in D(\mathcal{L}) \text{ and } c \in L \text{ are comparable elements;}$
- (nn) $(a \triangleleft a \cup c \geq x \geq a \text{ ET } d \in D(x)) \Rightarrow \exists d_1 \in D(a \cap (c \cup d))$
 $\exists d_2 \in D(c \cap x) \quad d \leq d_1 \cup d_2;$
- (nnn) $(\{d_\kappa\}_{\kappa \in K} \subset D(\mathcal{L}) \text{ ET } D(\mathcal{L}) \ni d_\mu \leq \bigcup_{\kappa \in K} d_\kappa) \Rightarrow (\exists \kappa_1, \kappa_2, \dots, \kappa_n \in K \text{ such that with}$
 $\delta_\mu = \delta_{\kappa_1}^\varepsilon \circ \delta_{\kappa_2}^\varphi \circ \dots \circ \delta_{\kappa_n}^\psi \text{ with } \varepsilon, \varphi, \dots, \psi = \pm 1).$

The following proposition makes the used terminology legitimate.

Proposition 1. *The system $\{\mathcal{D}_\lambda\}_{\lambda \in \Lambda}$ of all cyclic subgroups of a group \mathcal{G} with distinguished generators $g_\lambda \in D_\lambda$ and the mapping $\vartheta: \mathcal{D}_\lambda \mapsto \delta_\lambda$ (where δ_λ is the inner automorphism determined by g_λ , $\delta_\lambda: \mathcal{H} \mapsto \langle H^{g_\lambda}; \cdot \rangle$) define an A-normality in the lattice $\mathcal{L}(\mathcal{G})$ of all subgroups of the group \mathcal{G} .*

Proposition 2. *Let $\mathcal{L} = \langle L; \leq \rangle$ be a modular lattice, $\mathcal{D}(\mathcal{L}) = L$ and let for all $\lambda \in \Lambda$ $\delta_\lambda: k \mapsto k$ be the identity automorphism on L . Then the relation \leq is an A-normality relation.*

Proof. Let $d \leq x$ and $a \leq x \leq a \cup c$. Denoting $d_1 = a \cap (c \cup d)$, $d_2 = c \cap x$, we have $d_1 \cup d_2 = [a \cup (c \cap x)] \cap (c \cup d) = (a \cup c) \cap (a \cup x) \cap (c \cup d) = (a \cup x) \cap (c \cup d) \geq d$ by modularity of \mathcal{L} .

Theorem 3. *Every A-normality relation is a DK-normality relation.*

Proof. The validity of (DK0) and (DK1) follows trivially. If $d_\lambda \leq b \cap d$, $a \triangleleft b$, $c \triangleleft d$, then $d_\lambda \leq b$ and since $a \triangleleft b$, we have $\delta_\lambda: a \mapsto a$ and, similarly, $\delta_\lambda: c \mapsto c$. But δ_λ is an automorphism and so $\delta_\lambda: a \cap c \mapsto a \cap c$ and we have proved the validity of (DK2). It is immediate that (nnn) implies the condition (DK3).

To prove the condition (DK4), suppose that $a \triangleleft b$ and $c \triangleleft d$. Since $a \cup c \cup \mathbf{U}(b \cap d) = \mathbf{U} d_\tau$ where d_τ ranges over all the elements of $D(a \cup c) \cup D(b \cap d)$ there exist $\kappa_1, \kappa_2, \dots, \kappa_n \in \Lambda$ such that $\delta_\lambda = \delta_{\kappa_1}^{+1} \circ \delta_{\kappa_2}^{+1} \circ \dots \circ \delta_{\kappa_n}^{+1}$ where $d_{\kappa_i} \in D(a \cup c) \cup D(b \cap d)$. If $d_{\kappa_i} \in D(a \cup c)$, $\delta_{\kappa_i}: a \cup c \mapsto a \cup c$ by (n); if $d_{\kappa_j} \in D(b \cap d)$, $d_{\kappa_j}: a \cup c \mapsto \delta_{\kappa_j}(a) \cup \delta_{\kappa_j}(c) = a \cup c$ because of $d_{\kappa_j} \leq b \cap d \leq b$ and $a \triangleleft b$ (resp. $d_{\kappa_j} \leq d$ and $c \triangleleft d$).

The proof is completed by proving that also the condition (DK5) holds: Here we shall distinguish two cases.

Case I. $a \leq b$ and $a \triangleleft a \cup c$. We shall show that $d_\lambda \leq b \cap (a \cup c)$ implies $d_\lambda \leq a \cup (b \cap c)$. Setting $x = b \cap (a \cup c)$ in **(nn)**, we get $d_\lambda \leq d_1 \cup d_2$ where $d_1 \leq a \cap (c \cup d_\lambda) \leq a, d_2 \leq c \cap b \cap (a \cup c) = b \cap c$. Thus $b \cap (a \cup c) = a \cup (b \cap c)$, since every element of \mathcal{L} is a join of some elements d_λ .

Case II. $a \leq b$ and $c \triangleleft a \cup c$. If $d_\lambda \leq b \cap (a \cup c)$, then $d_\lambda \leq b$ and $d_\lambda \leq a \cup c$, hence, by **(nn)**, there exist d_1, d_2 such that $d_\lambda \leq d_1 \cup d_2, d_1 \leq c \cap (a \cup d_\lambda) \leq c \cap b, d_2 \leq a \cap (a \cup c) = a$ and so $d_\lambda \leq a \cup (b \cap c)$.

Proposition 4. Let \mathcal{L} be a lattice satisfying the condition

$$\text{(H)} \quad \forall u, v \in L \quad u \triangleleft u \cup v \Rightarrow u \cap v \triangleleft v \\ (u \cup v \text{ covers } u \text{ implies that } v \text{ covers } u \cap v).$$

Let further $D(\mathcal{L}) = L$ and let for all $\lambda \in \Delta \quad \delta_\lambda: k \mapsto k$ be the identity automorphism on L .

Then the relation \triangleleft defined on L by $a \triangleleft b$ iff $a = b \vee \text{EL } a \triangleleft b$ is an \mathbf{A} -normality relation.

Proof. Suppose that $a \triangleleft a \cup c \geq x \geq a, d \leq x$. In the case $a = a \cup c$ we can put $d_1 = c \cup d, d_2 = c$. If **(i)** $a \triangleleft a \cup c$ and $x = a$, then $d_1 = a \cap (c \cup d), d_2 = c \cap a$ are such that $d_1 \cup d_2 \geq a \cap d = d$. If **(ii)** $a \triangleleft a \cup c$ and $x = a \cup c$, then $a \cap (c \cup d) \triangleleft (a \cup c) \cap (c \cup d) = c \cup d$ by **(H)** and so either $a \cap (c \cup d) = c \cup d$ or $a \cap (c \cup d) \triangleleft c \cup d$. In the former case we can use the argument of **(i)**. In the latter case, note that $a \cap (c \cup d) \leq c \cup (a \cap (c \cup d)) \leq c \cup d$. If $a \cap (c \cup d) = c \cup (a \cap (c \cup d))$, then $a \geq c$, a contradiction. Hence, putting $d_1 = a \cap (c \cup d), d_2 = c$, we get $d_1 \cup d_2 = c \cup (a \cap (c \cup d)) = c \cup d \geq d$. This completes the proof.

We make the observation that the preceding proposition fails to hold for lattices satisfying the condition

$$\overline{\text{(H)}} \quad u \cap v \triangleleft v \Rightarrow u \triangleleft u \cup v.$$

A counterexample can be constructed as follows. To the lattice 2×3 we join a new element ξ satisfying the relations $\langle 0; 1 \rangle \triangleleft \xi \triangleleft \langle 2; 1 \rangle \in 2 \times 3, \xi \neq \langle 1; 1 \rangle$. The relation \triangleleft defined on the lattice \mathcal{L}_7 (obtained by this construction) in the same way as in the proposition 4 is such that $\xi \triangleleft \langle 2; 1 \rangle, \langle 2; 0 \rangle \triangleleft \langle 2; 1 \rangle$. Suppose \triangleleft is an \mathbf{A} -normality relation. By Theorem 3, every \mathbf{A} -normality relation satisfies **(DK2)** and so we get $\langle 0; 0 \rangle = \xi \cap \langle 2; 0 \rangle \triangleleft \langle 2; 1 \rangle$, a contradiction.

Suppose now that \triangleleft is an \mathbf{A} -normality relation defined on a lattice \mathcal{L} . Since \triangleleft is also a \mathbf{Z} -normality relation, every two maximal chains $t = c_0 \triangleleft c_1 \triangleleft \dots \triangleleft c_m = u, t = d_0 \triangleleft d_1 \triangleleft \dots \triangleleft d_n = u$ have the same length $m = n$. We shall denote it by $[u: t]$. If $1 \in L \in s$ and if there are $s_0 = s, s_1, \dots, s_k$ such that $s_0 \triangleleft s_1 \triangleleft \dots \triangleleft s_k = 1$, the element s is said to be *subnormal* and we write in this case $s \triangleleft \triangleleft 1$. If $0 = s_0 \triangleleft s_1 \triangleleft \dots \triangleleft s_k = 1$ and if $s_{i-1} \triangleleft s_i$ for each integer $i \leq k$, the series $\{s_i\}_{i=0}^k$ is called a *composition series* of the lattice \mathcal{L} .

Theorem 5. *If \triangleleft is an A -normality relation defined on a lattice \mathcal{L} having a composition series and if the relation \triangleleft satisfies the condition*

$$a \triangleleft b \Rightarrow \forall \lambda \in A \quad \delta_\lambda(a) \triangleleft \delta_\lambda(b),$$

then

$$(m \triangleleft \triangleleft 1 \text{ ET } n \triangleleft \triangleleft 1) \Rightarrow m \cup n \triangleleft \triangleleft 1.$$

Proof. The assertion holds whenever $[1 : m]$ or $[1 : n]$ or $[1 : 0]$ equals to 0. Suppose that $q = [1 : n] \geq 1, s = [1 : m] \geq 1, r = [1 : 0] \geq 1$ and that the assertion holds for all the elements $\omega \triangleleft \triangleleft \mu \triangleleft \triangleleft \iota, \omega \triangleleft \triangleleft \nu \triangleleft \triangleleft \iota$ of the lattice \mathcal{L} which are such that either $[1 : \mu] < p$ or $[1 : \nu] < q$ or $[\iota : \omega] < r$. Let $\{h_i\}$ and $\{k_j\}$ be maximal chains,

$$m = h_0 \triangleleft h_1 \triangleleft \dots \triangleleft h_p = 1, \quad n = k_0 \triangleleft k_1 \triangleleft \dots \triangleleft k_q = 1.$$

Since $[1 : h_1] = p - 1, h_1 \cup k_0 \triangleleft \triangleleft 1$ by our inductive hypothesis. If $h_1 \cup k_0 < 1$, then $[h_1 \cup k_0 : 0] < r$. Now $\mu = m \triangleleft \triangleleft h_1 \cup k_0, \nu = n \triangleleft \triangleleft h_1 \cup k_0$ and so $m \cup n \triangleleft \triangleleft h_1 \cup k_0 \triangleleft \triangleleft 1$ from which $m \cup n \triangleleft \triangleleft 1$ follows at once. Hence we may assume that $h_1 \cup k_0 = 1$ and that $k_1 \cup h_0 = 1$.

If there exists a λ such that $d_\lambda \leq n, d_\lambda \in D(\mathcal{L})$ with $\delta_\lambda(m) \neq m$, then the assumption $m \cup \delta_\lambda(m) = m$ implies $m \geq \delta_\lambda(m)$. But $m \triangleleft \triangleleft 1$ implies (by hypothesis on \triangleleft) that in this case $\delta_\lambda(m) \triangleleft \triangleleft 1$. Since $[1 : m] = [1 : \delta_\lambda(m)] = [1 : m] + [m : \delta_\lambda(m)]$, we have $[m : \delta_\lambda(m)] = 0$, hence $m = \delta_\lambda(m)$, a contradiction. Thus $m < m \cup \delta_\lambda(m)$ and $m \triangleleft \triangleleft h_{p-1} = \iota, \delta_\lambda(m) \triangleleft \triangleleft h_{p-1} = \iota, [\iota : 0] < r$ and by hypothesis $m \cup \delta_\lambda(m) \triangleleft \triangleleft h_{p-1} \triangleleft 1$. Therefore $[1 : m] > [1 : m \cup \delta_\lambda(m)]$ and using again the inductive hypothesis we obtain $m \cup \delta_\lambda(m) \cup n \triangleleft \triangleleft 1$. Because of $d_\lambda \leq n \leq m \cup n$, we have $\delta_\lambda(m) \leq \delta_\lambda(m \cup n) = m \cup n$ which yields $m \cup n \triangleleft \triangleleft 1$.

By what we have just seen, we may assume that $\delta_\lambda(m) = m$ and $\delta_\mu(n) = n$ for all $d_\lambda \leq n, d_\mu \leq m$.

If $d_\kappa \leq h_1 \cup n$, then there exist $\kappa_1, \kappa_2, \dots, \kappa_k$ such that $\delta_\kappa = \delta_{\kappa_1}^{\pm 1} \circ \delta_{\kappa_2}^{\pm 1} \circ \dots \circ \delta_{\kappa_k}^{\pm 1}$ and $\{\kappa_1, \kappa_2, \dots, \kappa_k\} = \{\kappa'_1, \kappa'_2, \dots, \kappa'_k\} \cup \{\kappa''_1, \kappa''_2, \dots, \kappa''_k\}$ where for each κ' we have $d_{\kappa'} \leq h_1$ and for each κ'' we have $d_{\kappa''} \leq n$. Since $\delta_{\kappa'}(m) = m$ and since $m \triangleleft h_1, d_{\kappa''} \leq h_1$ implies that $\delta_{\kappa''}(m) = m$, we get $\delta(m) = m$. Hence $m \triangleleft h_1 \cup n = 1$ and, similarly, $n \triangleleft 1$. By (DK4) we conclude that $m \cup n \triangleleft 1$ and the theorem is proved.

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