

Gary Chartrand; Ping Zhang
The forcing dimension of a graph

Mathematica Bohemica, Vol. 126 (2001), No. 4, 711–720

Persistent URL: <http://dml.cz/dmlcz/134116>

Terms of use:

© Institute of Mathematics AS CR, 2001

Institute of Mathematics of the Czech Academy of Sciences provides access to digitized documents strictly for personal use. Each copy of any part of this document must contain these *Terms of use*.



This document has been digitized, optimized for electronic delivery and stamped with digital signature within the project *DML-CZ: The Czech Digital Mathematics Library* <http://dml.cz>

THE FORCING DIMENSION OF A GRAPH

GARY CHARTRAND, PING ZHANG¹, Kalamazoo

(Received October 20, 1999)

Abstract. For an ordered set $W = \{w_1, w_2, \dots, w_k\}$ of vertices and a vertex v in a connected graph G , the (metric) representation of v with respect to W is the k -vector $r(v|W) = (d(v, w_1), d(v, w_2), \dots, d(v, w_k))$, where $d(x, y)$ represents the distance between the vertices x and y . The set W is a resolving set for G if distinct vertices of G have distinct representations. A resolving set of minimum cardinality is a basis for G and the number of vertices in a basis is its (metric) dimension $\dim(G)$. For a basis W of G , a subset S of W is called a forcing subset of W if W is the unique basis containing S . The forcing number $f_G(W, \dim)$ of W in G is the minimum cardinality of a forcing subset for W , while the forcing dimension $f(G, \dim)$ of G is the smallest forcing number among all bases of G . The forcing dimensions of some well-known graphs are determined. It is shown that for all integers a, b with $0 \leq a \leq b$ and $b \geq 1$, there exists a nontrivial connected graph G with $f(G) = a$ and $\dim(G) = b$ if and only if $\{a, b\} \neq \{0, 1\}$.

Keywords: resolving set, basis, dimension, forcing dimension

MSC 2000: 05C12

1. INTRODUCTION

The *distance* $d(u, v)$ between two vertices u and v in a connected graph G is the length of a shortest u – v path in G . For an ordered set $W = \{w_1, w_2, \dots, w_k\} \subseteq V(G)$ and a vertex v of G , we refer to the k -vector

$$r(v|W) = (d(v, w_1), d(v, w_2), \dots, d(v, w_k))$$

as the (*metric*) *representation of v with respect to W* . The set W is called a *resolving set* for G if distinct vertices have distinct representations. A resolving set containing a minimum number of vertices is called a *minimum resolving set* or a *basis* for G .

¹ Research supported in part by the Western Michigan University Research Development Award Program

The (*metric*) *dimension* $\dim(G)$ is the number of vertices in a basis for G . For example, the graph G of Figure 1 has the basis $W = \{u, z\}$ and so $\dim(G) = 2$. The representations for the vertices of G with respect to W are $r(u|W) = (0, 1)$, $r(v|W) = (2, 1)$, $r(x|W) = (1, 2)$, $r(y|W) = (1, 1)$, $r(z|W) = (1, 0)$.

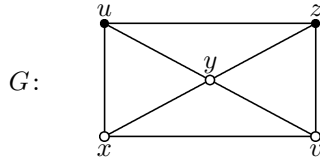


Figure 1. A graph G with $\dim(G) = 2$

The example just presented also illustrates an important point. When determining whether a given set W of vertices of a graph G is a resolving set for G , we need only investigate the vertices of $V(G) - W$ since $w \in W$ is the only vertex of G whose distance from w is 0. The following lemma will be used on several occasions. The proof of this lemma is routine and is therefore omitted.

Lemma 1.1. *Let G be a nontrivial connected graph. For $u, v \in V(G)$, if $d(u, w) = d(v, w)$ for all $w \in V(G) - \{u, v\}$, then u and v belong to every resolving set of G .*

The inspiration for these concepts stems from chemistry. A basic problem in chemistry is to provide mathematical representations for a set of chemical compounds in a way that gives distinct representations to distinct compounds. The structure of a chemical compound can be represented by a labeled graph whose vertex and edge labels specify the atom and bond types, respectively. Thus, a graph-theoretic interpretation of this problem is to provide representations for the vertices of a graph in such a way that distinct vertices have distinct representations. This is the subject of the papers [1, 2]. The dimension of directed graphs has been studied in [5, 6].

The concepts of resolving set and minimum resolving set have previously appeared in the literature. In [14] and later in [15], Slater introduced these ideas and used locating set for what we have called resolving set. He referred to the cardinality of a minimum resolving set in a graph G as its location number. Independently, Harary and Melter [11] investigated these concepts as well, but used metric dimension rather than location number, the terminology that we have adopted.

For a basis W of G , a subset S of W with the property that W is the unique basis containing S is called a *forcing subset* of W . The *forcing number* $f_G(W, \dim)$ of W in G is the minimum cardinality of a forcing subset for W , while the *forcing dimension* $f(G, \dim)$ of G is the smallest forcing number among all bases of G . Since the parameter dimension is understood in this context, we write $f_G(W)$ for $f_G(W, \dim)$

and $f(G)$ for $f(G, \dim)$. Hence if G is a graph with $f(G) = a$ and $\dim(G) = b$, then $0 \leq a \leq b$ and there exists a basis W of cardinality b containing a forcing subset of cardinality a . Forcing concepts have been studied for a various of subjects in graph theory, including such diverse parameters as the chromatic number [9], the graph reconstruction number [12], and geodetic concepts in graphs [3, 7, 8]. Also, many invariants arising from the study of forcing in graph theory offer abundant new subjects for new and applicable research. A survey of graphical forcing parameters is discussed in [10].

To illustrate these concepts, we consider the graph G of Figure 2. The graph G has dimension 2 and so $f(G) \leq 2$. Let $W = \{x, z\}$ and $W' = \{v, z\}$. Since $r(s|W) = (2, 1)$, $r(t|W) = (1, 2)$, $r(u|W) = (1, 3)$, $r(v|W) = (2, 2)$, and $r(y|W) = (1, 1)$, it follows that W is a basis of G . Also, since $r(s|W') = (1, 1)$, $r(t|W') = (1, 2)$, $r(u|W') = (1, 3)$, $r(x|W') = (2, 2)$, and $r(y|W') = (3, 1)$, the set W' is a basis of G . Hence $1 \leq f(G) \leq 2$ by Lemma 1.2. Next we show that $f_G(W) = 1$ and $f_G(W') = 2$. Let $S_1 = \{x, s\}$, $S_2 = \{x, t\}$, $S_3 = \{x, u\}$, $S_4 = \{x, v\}$, and $S_5 = \{x, y\}$. Observe that $r(u|S_1) = r(y|S_1) = (1, 2)$, $r(s|S_2) = r(v|S_1) = (2, 1)$, $r(t|S_3) = r(y|S_3) = (1, 2)$, $r(t|S_4) = r(u|S_4) = (1, 1)$, and $r(u|S_5) = r(t|S_5) = (1, 2)$. Hence W is the unique basis containing x and so $f_G(W) = 1$. Certainly, W' is not the unique basis containing z since $z \in W$. Moreover, $W'' = \{v, s\}$ is a basis in G containing v and so W' is not the unique basis containing v . Hence W' is not the unique basis containing any of its proper subset and so $f_G(W') = 2$. Now the forcing dimension $f(G)$ of G is the smallest forcing number among all bases of G and so $f(G) = 1$.

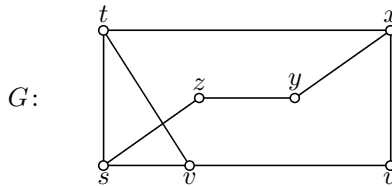


Figure 2. A graph G with $\dim(G) = 2$ and $f(G) = 1$

It is immediate that $f(G) = 0$ if and only if G has a unique basis. If G has no unique basis but contains a vertex belonging to only one basis, then $f(G) = 1$. Moreover, if for every basis W of G and every proper subset S of W , the set W is not the unique basis containing S , then $f(G) = \dim(G)$. We summarize these observations below.

Lemma 1.2. *For a graph G , the forcing dimension $f(G) = 0$ if and only if G has a unique basis, $f(G) = 1$ if and only if G has at least two distinct bases but some*

vertex of G belongs to exactly one basis, and $f(G) = \dim(G)$ if and only if no basis of G is the unique basis containing any of its proper subsets.

2. FORCING DIMENSIONS OF CERTAIN GRAPHS

The following three theorems (see [2], [11], [14], [15]) give the dimensions of some well-known classes of graphs. In this section, we determine the forcing dimensions of these graphs.

Theorem A. *Let G be a connected graph of order $n \geq 2$.*

- (a) *Then $\dim(G) = 1$ if and only if $G = P_n$.*
- (b) *Then $\dim(G) = n - 1$ if and only if $G = K_n$.*
- (c) *For $n \geq 3$, $\dim(C_n) = 2$.*
- (d) *For $n \geq 4$, $\dim(G) = n - 2$ if and only if $G = K_{r,s}$ ($r, s \geq 1$), $G = K_r + \overline{K_s}$ ($r \geq 1, s \geq 2$), or $G = K_r + (K_1 \cup K_s)$ ($r, s \geq 1$).*

A vertex of degree at least 3 in a tree T is called a *major vertex*. An end-vertex u of T is said to be a *terminal vertex of a major vertex v* of T if $d(u, v) < d(u, w)$ for every other major vertex w of T . The *terminal degree* $\text{ter}(v)$ of a major vertex v is the number of terminal vertices of v . A major vertex v of T is an *exterior major vertex* of T if it has positive terminal degree. Let $\sigma(T)$ denote the sum of the terminal degrees of the major vertices of T and let $\text{ex}(T)$ denote the number of exterior major vertices of T .

Theorem B. *If T is a tree that is not a path, then $\dim(G) = \sigma(T) - \text{ex}(T)$.*

Theorem C. *Let T be a tree of order $n \geq 3$ that is not a path having p exterior major vertices v_1, v_2, \dots, v_p . For $1 \leq i \leq p$, let $u_{i,1}, u_{i,2}, \dots, u_{i,k_i}$ be the terminal vertices of v_i , and let P_{ij} be the $v_i - u_{ij}$ path ($1 \leq j \leq k_i$). Suppose that W is a set of vertices of T . Then W is a basis of T if and only if W contains exactly one vertex from each of the paths $P_{ij} - v_i$ ($1 \leq j \leq k_i$ and $1 \leq i \leq p$) with exactly one exception for each i with $1 \leq i \leq p$ and $k_i \geq 2$, and W contains no other vertices of T .*

Proposition 2.1. *Let G be a nontrivial connected graph. If G is a complete graph, cycle, or tree, then $f(G) = \dim(G)$.*

Proof. First assume that G is the complete graph K_n of order $n \geq 2$. Since every set W of $n - 1$ vertices in K_n is a basis of K_n , it follows that W is not the

unique basis containing any of its proper subset. By Lemma 1.2, $f(K_n) = \dim(K_n)$. Next assume that G is a cycle C_n of order $n \geq 4$. If n is odd, then every pair of vertices forms a basis of C_n . If n is even, then every pair u, v of vertices with $d(u, v) \neq n/2$ forms a basis of C_n . So in either cases, there is no basis of C_n that is the unique basis containing any of its proper subset. Again, it then follows from Lemma 1.2 that $f(C_n) = \dim(C_n)$.

Now let T be a tree. First assume that T is the path P_n of order $n \geq 2$. Since each end-vertex of P_n forms a basis for P_n , it follows that $f(P_n) \geq 1 = \dim(P_n)$ by Lemma 1.2. Hence $f(P_n) = \dim(P_n) = 1$. Next assume that T is a tree of order $n \geq 4$ that is not a path and T has p exterior major vertices v_1, v_2, \dots, v_p . For $1 \leq i \leq p$, let $u_{i,1}, u_{i,2}, \dots, u_{i,k_i}$ be the terminal vertices of v_i , and let P_{ij} be the $v_i - u_{ij}$ path ($1 \leq j \leq k_i$). Let W be a basis of G . It then follows from Theorem C that W contains exactly one vertex from each of the paths $P_{ij} - v_i$ ($1 \leq j \leq k_i$ and $1 \leq i \leq p$) with exactly one exception for each i with $1 \leq i \leq p$ and $k_i \geq 2$, and W contains no other vertices of G . Let S be a proper subset of W and let $x \in W - S$. Then there exist i, j with $1 \leq i \leq p$ and $1 \leq j \leq k_i$ such that x is a vertex from the path $P_{ij} - v_i$, say x is a vertex from $P_{11} - v_1$. Since $x \in W$, it follows that $\text{ter}(v_1) = k_1 \geq 2$. Assume, without loss of generality, that for each j with $1 \leq j \leq k_1 - 1$, there is a vertex x_j from $P_{1j} - v_1$ that belongs to W and there is no vertex of $P_{1,k_1} - v_1$ that belongs to W . So $x_1 = x$. Let x_{k_1} be a vertex of the path $P_{1,k_1} - v_1$. Then $W' = (W - \{x_1\}) \cup \{x_{k_1}\}$ is a basis of T by Theorem C. Since W' contains S and $W' \neq W$, it follows that W is not the unique basis containing S . Therefore, $f(T) = \dim(T)$ by Lemma 1.2. \square

Proposition 2.2. *Let G be a connected graph of order $n \geq 2$ with $\dim(G) = n - 2$. If $G = K_{r,s}$ ($r, s \geq 1$) or $G = K_r + \overline{K_s}$ ($r \geq 1, s \geq 2$), then $f(G) = \dim(G)$. If $G = K_r + (K_1 \cup K_s)$ ($r, s \geq 1$), then $f(G) = \dim(G) - 1$.*

P r o o f. By Theorem A, if $\dim(G) = n - 2$, then $G = K_{r,s}$ ($r, s \geq 1$), $G = K_r + \overline{K_s}$ ($r \geq 1, s \geq 2$), or $G = K_r + (K_1 \cup K_s)$ ($r, s \geq 1$). First let $G = K_{r,s}$ whose the partite sets are $V_1 = \{u_1, u_2, \dots, u_r\}$ and $V_2 = \{v_1, v_2, \dots, v_s\}$. Then by Lemma 1.1 every basis W of G has the form $W = W_1 \cup W_2$, where $W_i \subseteq V_i$ ($i = 1, 2$) with $|W_1| = r - 1$ and $|W_2| = s - 1$. Assume, without loss of generality, that $W = V(G) - \{u_r, v_s\}$. Let S be a proper subset of W . Then $S = S_1 \cup S_2$, where $S_i \subseteq W_i$ ($i = 1, 2$) and $|S_1| \leq r - 2$ or $|S_2| \leq s - 2$, say $|S_1| \leq r - 2$. Thus there is $u_i \in W$, where $1 \leq i \leq r - 1$, such that $u_i \notin S_1$. Then $W' = (W - \{u_i\}) \cup \{u_r\}$ is a basis of G containing S . Since $W' \neq W$, it follows that W is not the unique basis containing S . Therefore, $f(G) = \dim G$. If $G = K_r + \overline{K_s}$, let $V_1 = V(K_r) = \{u_1, u_2, \dots, u_r\}$ and $V_2 = V(\overline{K_s}) = \{v_1, v_2, \dots, v_s\}$. Since every basis W of G has the form $W = W_1 \cup W_2$, where $W_i \subseteq V_i$ ($i = 1, 2$) with $|W_1| = r - 1$ and $|W_2| = s - 1$, a similar argument shows that $f(G) = \dim G$.

Now let $G = K_r + (K_1 \cup K_s)$. Assume that $V_1 = V(K_r) = \{u_1, u_2, \dots, u_r\}$, $V_2 = V(K_s) = \{v_1, v_2, \dots, v_s\}$, and $V(K_1) = \{x\}$. Then by Lemma 1.1 it can be verified that every basis of G has the form $W = W_1 \cup W_2 \cup \{x\}$, where $W_i \subseteq V_i$ ($i = 1, 2$) and $|W_1| = r - 1$ and $|W_2| = s - 1$. Since the vertex x belongs to every basis, $f(G) \leq |W| - 1 = \dim(G) - 1$. On the other hand, let W be a basis of G , say $W = V(G) - \{u_r, v_s\}$, and let S be a subset of W with $|S| \leq |W| - 2$. Then there is a vertex $y \in W - S$ such that $y \neq x$. We may assume that $y \in V_1$. Then $W' = (W - \{y\}) \cup \{u_r\}$ is a basis of G containing S . So W is not the unique basis containing S . Thus $f(G) \geq |W| - 1 = \dim(G) - 1$. Therefore, $f(G) = \dim(G) - 1$. \square

3. GRAPHS WITH PRESCRIBED DIMENSIONS AND FORCING DIMENSIONS

We have already noted that if G is a graph with $f(G) = a$ and $\dim(G) = b$, then $0 \leq a \leq b$ and $b \geq 1$. We now determine which pairs a, b of integers with $0 \leq a \leq b$ and $b \geq 1$ are realizable as the forcing dimension and dimension of some nontrivial connected graph. In order to do this, we state the following result obtained in [1].

Theorem D. *For $k \geq 2$, there exists a connected graph of dimension k with a unique basis.*

Theorem 3.1. *For all integers a, b with $0 \leq a \leq b$ and $b \geq 1$, there exists a nontrivial connected graph G with $f(G) = a$ and $\dim(G) = b$ if and only if $\{a, b\} \neq \{0, 1\}$.*

Proof. By Theorem A, the path P_n of order $n \geq 2$ is the only nontrivial connected graph of order n with dimension 1. However, $f(P_n) = 1$ for all $n \geq 2$ by Proposition 2.1. Hence there is no nontrivial connected graph G with $f(G) = 0$ and $\dim(G) = 1$.

We now verify the converse. Let $a = 0$ and $b \geq 2$. By Theorem D there is a connected graph G of dimension b with a unique basis. Thus $f(G) = 0$ by Lemma 1.2 and $\dim(G) = b$. Hence the result is true for $a = 0$ and $b \geq 2$. So we may assume that $a > 0$. First assume that $b = a$. When $b = a = 1$, each path P_n ($n \geq 2$) has the desired property. When $b = a = 2$, the star $K_{1,3}$ has the desired property. When $b = a \geq 3$, then the complete graph K_{a+1} has the desired property. So we now assume that $a < b$. We consider two cases.

Case 1. $b = a + 1$. Let G be the graph obtained from the 4-cycle u_1, u_2, u_3, u_4 , u_1 by adding a new edge u_2u_4 and then joining b new vertices v_1, v_2, \dots, v_b to u_2 and u_3 . The graph G is shown in Figure 3. First note every basis of G contains at least

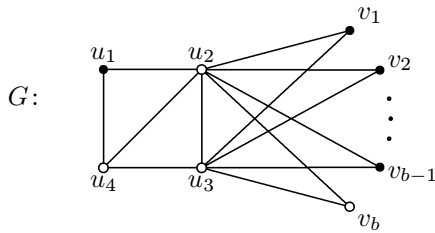


Figure 3. A graph G with $\dim(G) = b$ and $f(G) = b - 1$

$b - 1$ vertices from $\{v_1, v_2, \dots, v_b\}$ by Lemma 1.1. However, it can be verified that if W is a basis of G , then W contains exactly $b - 1$ vertices from $\{v_1, v_2, \dots, v_b\}$ and the vertex u_1 . Hence $\dim(G) = b$. Next we show that $f(G) = b - 1$. Let W be a basis of G , say $W = \{u_1, v_1, v_2, \dots, v_{b-1}\}$. Since u_1 belongs to every basis of G , it follows that W is the unique basis containing the subset $\{v_1, v_2, \dots, v_{b-1}\}$, which implies that $f_G(W) \leq b - 1$. On the other hand, if S is a subset of W with $|S| \leq b - 2$, then, without loss of generality, we assume that $v_{b-1} \notin S$. Then $W' = (W - \{v_{b-1}\}) - \{v_b\}$ is a basis of G containing S . Thus W is not the unique basis containing S and so $f_G(W) \geq b - 1$. Hence $f_G(W) = b - 1$ for every basis W of G and so $f(G) = b - 1 = a$.

Case 2. $b \geq a + 2$. Let $r = b - a$. Then $2 \leq r \leq b - 1$. First we construct a graph H of order $r + 2^r$ with $V(H) = U \cup V$, where $U = \{u_0, u_1, \dots, u_{2^r-1}\}$ and the ordered set $V = \{v_{r-1}, v_{r-2}, \dots, v_0\}$ are disjoint. The induced subgraph $\langle U \rangle$ of H is complete, while V is independent. It remains to define the adjacencies between V and U . Let each integer j ($0 \leq j \leq 2^r - 1$) be expressed in its base 2 (binary) representation. Thus, each such j can be expressed as a sequence of r coordinates, that is, an r -vector, where the rightmost coordinate represents the value (either 0 or 1) in the 2^0 position, the coordinate to its immediate left is the value in the 2^1 position, etc. For integers i and j , with $0 \leq i \leq r - 1$ and $0 \leq j \leq 2^r - 1$, we join v_i and u_j if and only if the value in the 2^i position in the binary representation of j is 1. The structure of H is based on one given in the proof of Theorem D (see [1]), where it was shown that H has dimension r and V is the unique basis of H . Now the graph G is obtained from H by adding the a new vertices x_1, x_2, \dots, x_a such that each x_i ($1 \leq i \leq a$) has the same neighborhood as u_0 in V and the induced subgraph $\langle U \cup \{x_1, x_2, \dots, x_a\} \rangle$ is complete.

We first show that $\dim G = b$. Let $T = \{u_0, x_1, x_2, \dots, x_a\}$. Note that if $t_1, t_2 \in T$ and $v \in V(G)$, then $d(t_1, v) = d(t_2, v)$. Hence every resolving set of G must contain at least a vertices from T by Lemma 1.1. Let $W = V \cup \{x_1, x_2, \dots, x_a\}$. We show that W is a resolving set of G . It suffices to show that the metric representations of vertices in U are distinct. Observe that the first r coordinates of the metric representation for each u_j ($0 \leq j \leq 2^r - 1$) can be expressed as $r(u_j|V)$. Since V

is the basis of H , the metric representations $r(u_j|V)$ ($0 \leq j \leq 2^r - 1$) of u_j with respect to V are distinct. In fact, $r(u_j|V) = (2 - a_{r-1}, 2 - a_{r-2}, \dots, 2 - a_0)$, where a_m ($0 \leq m \leq r - 1$) is the value in the 2^m position of the binary representation of j . Since the binary representations $a_{r-1}a_{r-2} \dots a_1a_0$ are distinct for the vertices of U , their metric representations $(2 - a_{r-1}, 2 - a_{r-2}, \dots, 2 - a_0)$ (with respect to V) are distinct. This implies that the metric representations $r(u_j|W)$ are distinct as well. Hence W is a resolving set of G and so $\dim G \leq |W| = (b - a) + a = b$. Next we show that $\dim G \geq b$. Assume, to the contrary, that $\dim(G) \leq b - 1$. Let S be a basis of G with $|S| = \dim(G)$. Let $S = S' \cup X$, where $X \subseteq T$ and $S' \subseteq V(G) - T$. Then $|X| \geq a$ by Lemma 1.1. Let $S^* = S' \cup \{u_0\}$. Hence $|S^*| = |S| - |X| + 1 \leq (b - 1) - a + 1 = b - a$. Since V is the unique basis of H and $u_0 \notin V$, it follows that S^* is not a basis of H . Thus there exist $z, z' \in V(H) - \{u_0\}$ such that $r(z|S^*) = r(z'|S^*)$ and so $d(z, u_0) = d(z', u_0)$. Thus $d(z, x_i) = d(z', x_i)$ for all i . This implies that $r(z|S) = r(z'|S)$ and so S is not a basis, which is a contradiction. Therefore, $\dim(G) \leq b$ and so $\dim(G) = b$.

In order to determine $f(G)$, we first show that V belongs to every basis of G . Assume, to the contrary, there exists a basis W of G such that $V \not\subseteq W$. If $T \subseteq W$, then $W' = (W - T) \cup \{u_0\} \neq V$ and so W' is not a basis of H . Thus there exist $z, z' \in V(H) - \{u_0\}$ such that $r(z|W') = r(z'|W')$. This implies that $r(z|W) = r(z'|W)$ and so W is not a basis, a contradiction. Hence W contains exactly a vertices from T . Assume, without loss of generality, that $W = S \cup X$, where $X = T - \{u_0\}$ and $S \subseteq V(H) - T$. A similar argument to the one employed in the proof of Theorem D [1] shows that there exist two vertices z and z' in $U = V(H) - V$ such that $r(z|S) = r(z'|S)$. Since the distance between every two vertices in $U \cup T$ is 1, it follows that $r(z|W) = r(z'|W)$. This contradicts the fact that W is a basis. Therefore, V belongs to every basis W of G .

We are now prepared to show that $f(G) = a$. Let W be a basis of G . Since V must belong to W , it follows that W is the unique basis containing $W - V$. Thus $f_G(W) \leq |W - V| = b - (b - a) = a$. This is true for every basis W of G and so $f(G) \leq a$. On the other hand, let W be a basis and S be a subset of W with $|S| \leq a - 1$. Without loss of generality, assume that $W = V \cup X$ with $X = \{x_1, x_2, \dots, x_a\}$. Since $|S| \leq a - 1$, there exists $x \in W \cap X$ such that $x \notin S$. Then $W' = (W - \{x\}) \cup \{u_0\}$ is a basis of G that contains S . Hence W is not the unique basis containing S and so $f_G(W) \geq |S| + 1 = a$. Again, this is true for every basis W in G and so $f(G) \leq a$. Therefore, $f(G) = a$ and $\dim(G) = b$, as desired. \square

4. OPEN PROBLEM

While the forcing dimension $f(G)$ of a graph G is the minimum forcing number among all bases of G , we define the *upper forcing dimension* $f^+(G)$ as the maximum forcing number among all bases of G . Hence

$$0 \leq f(G) \leq f^+(G) \leq \dim(G).$$

If a graph G has a unique basis, then $f(G) = f^+(G) = 0$. Also, there are numerous examples of graphs G , such as complete graphs and trees, with $f(G) = f^+(G) = \dim(G)$. On the other hand, as we have seen, the graph G of Figure 1 contains two bases with distinct forcing numbers and so $f(G) = 1$ and $f^+(G) = 2$. Hence $f(G) < f^+(G)$. We close with the following open problem.

Problem 4.1. For which pairs a, b of integers with $0 \leq a \leq b$, does there exist a nontrivial connected graph G with $f(G) = a$ and $f^+(G) = b$?

References

- [1] *P. Buczkowski, G. Chartrand, C. Poisson, P. Zhang*: On k -dimensional graphs and their bases. Submitted.
- [2] *G. Chartrand, L. Eroh, M. Johnson*: Resolvability in graphs and the metric dimension of a graph. To appear in *Appl. Discrete Math.*
- [3] *G. Chartrand, F. Harary, P. Zhang*: On the geodetic number of a graph. To appear in *Networks*.
- [4] *G. Chartrand, C. Poisson, P. Zhang*: Resolvability and the upper dimension of graphs. To appear in *Int. J. Comput. Math. Appl.*
- [5] *G. Chartrand, M. Raines, P. Zhang*: The directed distance dimension of oriented graphs. *Math. Bohem.* 125 (2000), 155–168.
- [6] *G. Chartrand, M. Raines, P. Zhang*: On the dimension of oriented graphs. To appear in *Utilitas Math.*
- [7] *G. Chartrand, P. Zhang*: The geodetic number of an oriented graph. *European J. Combin.* 21 (2000), 181–189.
- [8] *G. Chartrand, P. Zhang*: The forcing geodetic number of a graph. *Discuss. Math. Graph Theory* 19 (1999), 45–58.
- [9] *C. Ellis, F. Harary*: The chromatic forcing number of a graph. To appear.
- [10] *F. Harary*: A survey of forcing parameters in graph theory. Preprint.
- [11] *F. Harary, R. A. Melter*: On the metric dimension of a graph. *Ars Combin.* 2 (1976), 191–195.
- [12] *F. Harary, M. Plantholt*: The graph reconstruction number. *J. Graph Theory* 9 (1985), 451–454.
- [13] *C. Poisson, P. Zhang*: The dimension of unicyclic graphs. Submitted. To appear in *J. Combin. Math. Combin. Comput.*
- [14] *P. J. Slater*: Leaves of trees. *Congress. Numer.* 14 (1975), 549–559.

- [15] *P. J. Slater*: Dominating and reference sets in graphs. *J. Math. Phys. Sci.* *22* (1988), 445–455.

Author's address: Gary Chartrand, Ping Zhang, Department of Math. and Statistics, Western Michigan University, Kalamazoo, MI 49008, USA, e-mail: zhang@math-stat.wmich.edu.