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COMPLETENESS AND MODULAR CROSS-SYMMETRY
IN NORMED LINEAR SPACES

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1. PRELIMINARIES

Let us first recall the basic notions and facts (see [3, 4]). In what follows let the symbol $L_C(X)$ mean the lattice of all closed subspaces of a normed linear space X . The partial ordering in $L_C(X)$ is given by set inclusion. We shall be mainly interested in the modular properties of $L_C(X)$.

1.1. Definition. Two elements a, b of a lattice are said to form a *modular pair* (in symbol $(a, b) \text{ Mod}$) when $(x \vee a) \wedge b = x \vee (a \wedge b)$ holds for all $x \leq b$, and they are said to form a *dual-modular pair* (in symbol $(a, b) \text{ Mod}^*$) when $(x \wedge a) \vee b = x \wedge (a \vee b)$ holds for all $x \geq b$.

In the papers [1, 2] G. W. Mackey and S. S. Holland, Jr. have obtained the following description of modular (dual modular, respectively) pairs in $L_C(X)$.

1.2. Theorem. *Let us suppose that $A, B \in L_C(X)$. Then*

- (i) $(A, B) \text{ Mod}^*$ if and only if $A + B \in L_C(X)$.
- (ii) Let $A \cap B = \{0\}$. If we define projections P_1, P_2 such that $P_1(a + b) = a$ and $P_2(a + b) = b$ ($a \in A, b \in B$), then we have $(A, B) \text{ Mod}$ if and only if P_1, P_2 are bounded as maps on the normed linear space $A + B$.

Outline of the proof (for details see [1]). The proof of the statement (i) is not difficult and is based only upon easy algebraic computations (see [1]).

The proof of the statement (ii) is more complicated, in contrast to the simple characterization of dual-modularity. The idea of this proof is to transfer the problem to the conjugate space, which is a Banach space so that the closed graph theorem holds, and in which the original modular pair is transferred to a dual modular pair so that we can use (i). This transformation is a dual-isomorphic mapping of the lattice $L_C(X)$ to the lattice $\mathcal{L}(X^*)$ of all weakly* closed linear subspaces of X^* and has the form $A \rightarrow A^0$, where A^0 is the annihilator of A . By (i) $(A, B) \text{ Mod}^*$ in $L_C(X)$ if and

only if $(A^0, B^0) \text{Mod}^*$ in $\mathcal{L}(X^*)$, which is equivalent to $A^0 + B^0 = X^*$. Without loss of generality we can suppose that $X = \overline{A + B}$. The adjoints P_1 and P_2 are easily computed. The domains of P_1^*, P_2^* are $A^0 + B^0$, and $P_1^*(a' + b') = b'$, $P_2^*(a' + b') = a'$ for every $a' \in A^0$ and $b' \in B^0$.

If $(A, B) \text{Mod}$ in $L_C(X)$, then $A^0 + B^0 = X^*$. Thus P_1^* and P_2^* are closed, everywhere defined linear operators on the Banach space X^* and, by the closed graph theorem, they are bounded. Therefore P_1^{**} and P_2^{**} are also bounded, and with them P_1 and P_2 .

Conversely, if P_1 and P_2 are bounded then P_1^* and P_2^* are bounded operators defined everywhere on X^* and $X^* = \text{dom}(P_1^*) = \text{dom}(P_2^*) = A^0 + B^0$. By (i) we have $(A, B) \text{Mod}$, which concludes the proof. \square

We shall be mainly concerned with spaces possessing an *unconditional basis*.

1.3. Definition. A subset $(e_n)_{n=1}^\infty$ of a normed linear space X is called an unconditional basis if the following conditions are satisfied:

(i) $\overline{\text{sp}}(e_n)_{n=1}^\infty = X$,

(ii) there is a positive number c with the property: $\left\| \sum_{i \in F} \alpha_i e_i \right\| \leq c \left\| \sum_{i \in F'} \alpha_i e_i \right\|$ for all $F \subset F'$, where F, F' are finite subsets of N , and for any sequence $(\alpha_i)_{i=1}^\infty$ of real numbers.

It is easy to see that if X has an unconditional basis $(e_n)_{n=1}^\infty$ then $(e_n)_{n=1}^\infty$ is an unconditional basis of the completion \tilde{X} of X and, moreover, if $x \in \tilde{X}$, then we can write $x = \sum_{n=1}^\infty \alpha_n e_n$ with uniquely given coefficients α_n ($n \in N$). For every nonempty subset $F \subset N$, let us denote by P_F the projection on \tilde{X} determined by the formula

$$P_F \left(\sum_{n=1}^\infty \alpha_n e_n \right) = \sum_{n \in F} \alpha_n e_n .$$

The correctness of this definition verifies easily.

1.4. Definition (see [3]). An unconditional basis $(e_n)_{n=1}^\infty$ of a normed linear space is called a *subsymmetric basis* if for every subsequence $(e_{n_i})_{i=1}^\infty$ there is an isomorphism T between X and $\overline{\text{sp}}(e_{n_i})_{i=1}^\infty$ such that $T(e_i) = e_{n_i}$ for all $i \in N$.

2. MODULARITY AND DUAL MODULARITY IN $L_C(X)$ FOR X WITH AN UNCONDITIONAL BASIS

2.1. Definition. A lattice L is called *cross-symmetric* if $(a, b) \text{Mod}$ implies $(b, a) \text{Mod}^*$ for every $a, b \in L$ (see [4]).

Let X be a normed linear space. A lattice $L_C(X)$ is called *stable cross-symmetric* if the lattice $L_C(\text{sp}(X \cup \{a\}))$ is cross-symmetric for every a from the completion \tilde{X} of X .

2.2. Proposition. *Let X be a normed linear space and let $(e_n)_{n=1}^{\infty}$ be its unconditional basis. If $F_1, F_2 \subset N$ and $F_1 \cap F_2 = \emptyset$, then the spaces $A_1 = \overline{\text{sp}}(e_n)_{n \in F_1}^{\infty}$, $A_2 = \overline{\text{sp}}(e_n)_{n \in F_2}^{\infty}$ form a modular pair in $L_C(X)$.*

The proof follows from the continuity of P_{F_2} , P_{F_2} and Theorem 1.2. P_{F_1} and P_{F_2} are bounded as projections on \tilde{X} and they also have to be bounded when understood as projections on $A_1 + A_2$.

Using this observation we can prove the following theorem.

2.3. Theorem. *Let us suppose that X is a normed linear space and let $(e_n)_{n=1}^{\infty}$ be its unconditional basis. If there is an $x \in X$ such that $P_F(x) \notin X$ for a set $F \subset N$, then $L_C(X)$ is not cross-symmetric.*

Proof. Let us consider all closure operations as if they were in \tilde{X} . Since $(e_n)_{n=1}^{\infty}$ is an unconditional basis of \tilde{X} , we have $x = P_F(x) + P_{N \setminus F}(x)$ for every $x \in X$. Let us suppose that $P_F(x) \notin X$ and let $L_C(X)$ be cross-symmetric. We have $P_F(x) = \lim_{n \rightarrow \infty} x_n$ and $P_{N \setminus F}(x) = \lim_{n \rightarrow \infty} y_n$, where $(x_n)_{n=1}^{\infty} \subset \overline{\text{sp}}(e_n)_{n \in F} \cap X$ and $(y_n)_{n=1}^{\infty} \subset \overline{\text{sp}}(e_n)_{n \in N \setminus F} \cap X$. Put $A_1 = \overline{\text{sp}}(e_n)_{n \in F} \cap X$ and $A_2 = \overline{\text{sp}}(e_n)_{n \in N \setminus F} \cap X$. By Proposition 2.1, we see that $(A_1, A_2) \text{Mod}$ in $L_C(X)$. If $L_C(X)$ were cross-symmetric, then $(A_1, A_2) \overline{\text{Mod}}$. Obviously, $A_1 + A_2 \in L_C(X)$. We therefore have $x = P_F(x) + P_{N \setminus F}(x) \in \overline{A_1 + A_2} \cap X = A_1 + A_2$. Further, $P_F(x) + P_{N \setminus F}(x) = a + b$, where $a \in A_1$, $b \in A_2$. This implies that $a - P_F(x) = P_{N \setminus F}(x) - b \in \overline{A_1} \cap \overline{A_2} = \{0\}$ which is a contradiction. \square

The last theorem yields the following two corollaries. By Theorem 2.3, we can prove with technical innovations the result of S. S. Holland ([1, Theorem 1]).

2.4. Corollary (Holland). *Let X be an inner product space and let $L_C(X)$ be cross-symmetric. Then X is a Hilbert space.*

Proof. Let us suppose that $\tilde{X} \setminus X \neq \emptyset$. Take an element $a \in \tilde{X} \setminus X$. Since X is dense in \tilde{X} , there is $x \in X$ such that $\langle a, x \rangle \neq 0$. We put $b = a - (\langle a, x \rangle^{-1} \|a\|^2)x$. It is obvious that $a - b \in X$ and $\langle a, b \rangle = 0$. Using the standard Hilbert space technique we can now find two sequences $(a_n)_{n=1}^{\infty} \subset X$ and $(b_n)_{n=1}^{\infty} \subset X$ with $\lim_{n \rightarrow \infty} a_n = a$, $\lim_{n \rightarrow \infty} b_n = b$ and $a_j \perp b_i$ for all $i, j \in N$. The Gramm-Schmidt process allows us to construct an orthonormal sequence $(e_n)_{n=1}^{\infty} \subset X$ such that $\text{sp}(e_{2n})_{n=1}^{\infty} = \text{sp}(a_n)_{n=1}^{\infty}$ and $\text{sp}(e_{2n-1})_{n=1}^{\infty} = \text{sp}(b_n)_{n=1}^{\infty}$. The set $(e_n)_{n=1}^{\infty}$ is an unconditional basis for the space $\overline{\text{sp}}(e_n)_{n=1}^{\infty} \cap X$. Put $y = a - b$ and set $F = \{2n \mid n \in N\}$. It follows that $P_F(y) \notin X$, which is a contradiction. \square

2.5. Corollary. *Let X be a normed space and let $(e_n)_{n=1}^{\infty}$ be its unconditional basis. Then for every $a \in X \setminus \text{sp}(e_n)_{n=1}^{\infty}$ the lattice $L_C(\text{sp}((e_n)_{n=1}^{\infty} \cup \{a\}))$ is not cross-symmetric.*

Proof. Obviously, the sequence $(e_n)_{n=1}^{\infty}$ is an unconditional basis of the space $A = \text{sp}((e_n)_{n=1}^{\infty} \cup \{a\})$. We can write $a = \sum_{n=1}^{\infty} a_n e_n$ and choose such infinite disjoint sets $F_1, F_2 \subset N$ that $a_n \neq 0$ for all $n \in F_1 \cup F_2$. It is easy to see that $P_{F_1}(a) \notin X$, and we can apply Theorem 2.3. \square

As a by-product, observe that the foregoing corollary makes it possible to construct various incomplete spaces whose lattices of all closed subspaces are not cross-symmetric and which are not, generally, inner product spaces.

Example. Let X be either the space c_0 or l_p ($1 \leq p < \infty$). Let $(e_n)_{n=1}^{\infty}$ be the standard unconditional basis $e_1 = (1, 0, \dots, 0, \dots)$; $e_2 = (0, 1, 0, \dots, 0, \dots)$; \dots . Then $L_C(\text{sp}((e_n)_{n=1}^{\infty} \cup \{a\}))$ is not cross-symmetric for any $a \in X$ with infinitely many nonzero coordinates.

A similar technique allows us to derive the following result.

2.6. Lemma. *Let X be a normed linear space and let $L_C(X)$ be stable cross-symmetric. If subspaces $A_1, A_2 \in L_C(X)$ with completions $\widetilde{A}_1 \cap \widetilde{A}_2 = \{0\}$ form a modular pair in $L_C(X)$, then at least one of A_i , ($i = 1, 2$) is complete.*

Proof. Let us assume the opposite case and try to reach a contradiction. Let both A_1 and A_2 be incomplete. Then there are elements $a_1, a_2 \in X$ with $a_1 \in \widetilde{A}_1 - A_1$, $a_2 \in \widetilde{A}_2 - A_2$. Let $a = a_1 + a_2$. Consider the space $\text{sp}(X \cup \{a\})$. Observe first that the spaces A_1, A_2 are closed in $\text{sp}(X \cup \{a\})$. Indeed, suppose e.g. that $x_n \rightarrow x$ for $(x_n)_{n=1}^{\infty} \subset A_1$ and $x \notin \text{sp}(X \cup \{a\})$. We can assume that $x = \lambda a + y$, where $\lambda \in R$, $y \in X$. The spaces $\widetilde{A}_1, \widetilde{A}_2$ form a modular pair in $(e_n)_{n=1}^{\infty}$. The projections $\widetilde{P}_1, \widetilde{P}_2$ defined on $\widetilde{A}_1 + \widetilde{A}_2$ and corresponding to $\widetilde{A}_1, \widetilde{A}_2$ are bounded and therefore $\widetilde{P}_2(x) = \lambda \widetilde{P}_2(a) = \lambda a_1 + P_2(y) = 0$. By the assumption $L_C(X)$ is cross-symmetric and we see (by computations as in the proof of Theorem 2.3) that $\widetilde{P}_2(y) \in X$. Thus $\lambda a_1 \in X$ and obviously $\lambda = 0$.

This means that A_1, A_2 form a modular pair in $L_C(\text{sp}(X \cup \{a\}))$, which is a cross-symmetric space and therefore $a \in \overline{A_1 + A_2} \cap \text{sp}(X \cup \{a\}) = A_1 + A_2$. This contradiction concludes the proof. \square

If we apply this lemma in spaces with unconditional basis, we immediately obtain the following result.

2.7. Corollary. *Let X be a normed linear space and let $(e_n)_{n=1}^{\infty}$ be its unconditional basis. Let us take sets $F_1, F_2 \subset N$, $F_1 \cap F_2 = \emptyset$, and put $A_1 = \overline{\text{sp}}(e_n)_{n \in F_1}$, $A_2 = \overline{\text{sp}}(e_n)_{n \in F_2}$. If $L_C(X)$ is stable cross-symmetric, then at least one of A_i ($i = 1, 2$) is complete.*

This corollary affirms that if we require the condition of stable cross-symmetry, then we can express X in the form of a direct sum of two spaces with one of the summands complete.

2.8. Theorem. *Let X be a normed linear space. If $L_C(X)$ is stable cross symmetric and if the product $X \times X$ is isomorphic to X , then X is complete.*

The assertion of this theorem follows easily from Lemma 2.6. From Corollary 2.5, we have the following criterion of completeness.

2.9. Theorem. *Let X be a normed linear space with a subsymmetric basis. If $L_C(X)$ is stable cross-symmetric, then X is complete.*

The above results show that some extensions of Mackey's conjecture hold. The next step in pursuing Mackey's problem seems to be a thorough analysis of the relation between the stable cross-symmetry and the equivalence of modularity and dual modularity.

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