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A NEW CHARACTERIZATION OF ANDERSON'S INEQUALITY
IN C_1 -CLASSES

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Abstract. Let \mathcal{H} be a separable infinite dimensional complex Hilbert space, and let $\mathcal{L}(H)$ denote the algebra of all bounded linear operators on \mathcal{H} into itself. Let $A = (A_1, A_2, \dots, A_n)$, $B = (B_1, B_2, \dots, B_n)$ be n -tuples of operators in $\mathcal{L}(H)$; we define the elementary operators $\Delta_{A,B}: \mathcal{L}(H) \mapsto \mathcal{L}(H)$ by

$$\Delta_{A,B}(X) = \sum_{i=1}^n A_i X B_i - X.$$

In this paper, we characterize the class of pairs of operators $A, B \in \mathcal{L}(H)$ satisfying Putnam-Fuglede's property, i.e, the class of pairs of operators $A, B \in \mathcal{L}(H)$ such that $\sum_{i=1}^n B_i T A_i = T$ implies $\sum_{i=1}^n A_i^* T B_i^* = T$ for all $T \in \mathcal{C}_1(H)$ (trace class operators). The main result is the equivalence between this property and the fact that the ultraweak closure of the range of the elementary operator $\Delta_{A,B}$ is closed under taking adjoints. This leads us to give a new characterization of the orthogonality (in the sense of Birkhoff) of the range of an elementary operator and its kernel in C_1 classes.

Keywords: C_1 -class, generalized p -symmetric operator, Anderson Inequality

MSC 2000: 47B47, 47B20

1. INTRODUCTION

Let \mathcal{H} be a separable infinite dimensional complex Hilbert space, and let $\mathcal{L}(H)$ denote the algebra of all bounded linear operators on \mathcal{H} into itself. Given $A, B \in \mathcal{L}(H)$, we define the generalized derivation $\delta_{A,B}: \mathcal{L}(H) \mapsto \mathcal{L}(H)$ by $\delta_{A,B}(X) = AX - XB$. Note $\delta_{A,A} = \delta_A$. Let $A = (A_1, A_2, \dots, A_n)$, $B =$

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(B_1, B_2, \dots, B_n) be n -tuples of operators in $\mathcal{L}(H)$, and define the elementary operator $\Delta_{A,B}: \mathcal{L}(H) \mapsto \mathcal{L}(H)$ by $\Delta_{A,B}(X) = \sum_{i=1}^n A_i X B_i - X$. In [2] J. Anderson, J. Bunce, J.A. Deddens and J.P. Williams show that, if A is D -symmetric, (i.e. $\overline{R(\delta_A)} = \overline{R(\delta_{A^*})}$, where $\overline{R(\delta_A)}$ is the closure of the range, $R(\delta_A)$, of δ_A in the norm topology), then $AT = TA$ implies $A^*T = TA^*$ for every $T \in \mathcal{C}_1(H)$ (trace class operators).

S. Bouali and J. Charles in [3] gave some properties of P -symmetric operators, the class of operators A such that $AT = TA$ implies $A^*T = TA^*$ for every $T \in \mathcal{C}_1(H)$. In order to generalize these results we initiated in [4] the study of a more general class of P -symmetric operators, namely the class of pairs of operators $A, B \in \mathcal{L}(H)$ such that $BT = TA$ implies $A^*T = TB^*$ for all $T \in \mathcal{C}_1(H)$. We call such operators generalized P -symmetric operators. In this paper we characterize the class of pairs of operators $A, B \in \mathcal{L}(H)$ such that $\sum_{i=1}^n B_i T A_i = T$ implies $\sum_{i=1}^n A_i^* T B_i^* = T$ for all $T \in \mathcal{C}_1(H)$. This leads us to present a new characterization of the orthogonality (in the sense of Birkhoff) of the range of an elementary operator and its kernel in C_1 classes.

2. PRELIMINARIES

The ideal $C_1(H)$ of $\mathcal{L}(H)$ admits a trace function $\text{tr}(T)$, given by $\text{tr}(T) = \sum_n (T e_n, e_n)$ for any complete orthonormal system (e_n) in H . As a Banach space $C_1(H)$ can be identified with the dual of the ideal K of compact operators by means of the linear isometry $T \mapsto f_T$, where $f_T(X) = \text{tr}(XT)$. Moreover $\mathcal{L}(H)$ is the dual of $C_1(H)$. The ultraweakly continuous linear functionals on $\mathcal{L}(H)$ are those of the form f_T for $T \in C_1(H)$ and the weakly continuous ones are those of the form f_T with T of finite rank.

Definition 1. Let E be a complex Banach space. We say that $b \in E$ is orthogonal to $a \in E$ if for all complex λ there holds

$$(1.1) \quad \|a + \lambda b\| \geq \|a\|.$$

This definition has a natural geometric interpretation. Namely, $b \perp a$ if and only if the complex line $\{a + \lambda b: \lambda \in \mathbb{C}\}$ is disjoint with the open ball $K(0, \|a\|)$, i.e., iff this complex line is a tangent one. Note that if b is orthogonal to a , then a need not be orthogonal to b . If E is a Hilbert space, then from (1.1) follows $\langle a, b \rangle = 0$, i.e, orthogonality in the usual sense.

Definition 2. Let $A = (A_1, A_2, \dots, A_n)$, $B = (B_1, B_2, \dots, B_n)$ be n -tuples of operators in $\mathcal{L}(H)$. The pair (A, B) is called generalized D -symmetric pair of operators if $\overline{R(\Delta_{A,B})} = \overline{R(\Delta_{B^*,A^*})}$. The set of such pairs is denoted by $\text{GS}(H)$. Here $\overline{R(\Delta_{A,B})}$ is the closure of the range $R(\Delta_{A,B})$ of $\Delta_{A,B}$ in the norm topology.

Definition 3. Let $A = (A_1, A_2, \dots, A_n)$, $B = (B_1, B_2, \dots, B_n)$ be n -tuples of operators in $\mathcal{L}(H)$. The pair (A, B) of operators such that $\sum_{i=1}^n B_i T A_i = T$ implies $\sum_{i=1}^n A_i^* T B_i^* = T$ for all $T \in \mathcal{C}_1(H)$ is called generalized P -symmetric pair of operators. The set of such pairs is denoted by $\text{GF}_0(H)$.

2. MAIN RESULTS

Theorem 4. Let $A = (A_1, A_2, \dots, A_n)$, $B = (B_1, B_2, \dots, B_n)$ be n -tuples of operators in $\mathcal{L}(H)$. Then

$$(A, B) \in \text{GF}_0 H \Leftrightarrow \overline{R(\Delta_{A,B})}^{w*} \text{ is closed under taking adjoints.}$$

Proof. The w^* -topology is generated by all f_T with $T \in C_1$ and so $\overline{R(\Delta_{A,B})}^{w*}$ is the intersection

$$\bigcap \left\{ \ker f_T : f_T \left(\sum_{i=1}^n A_i X B_i - X \right) = 0, \text{ for all } X \in \mathcal{L}(H) \right\}.$$

Since

$$\begin{aligned} f_T \left(\sum_{i=1}^n A_i X B_i - X \right) &= \text{tr} \left(T \left(\sum_{i=1}^n A_i X B_i - X \right) \right) \\ &= \text{tr} \left(\left(\sum_{i=1}^n B_i T A_i - T \right) X \right) \end{aligned}$$

this intersection is

$$\bigcap \{ \ker f_T : T \in \ker \Delta_{B,A} \cap \mathcal{C}_1(\mathcal{H}) \}.$$

If $(A, B) \in \text{GF}_0(\mathcal{H})$, then

$$\ker \Delta_{B,A} \cap \mathcal{C}_1(\mathcal{H}) = \ker \Delta_{A^*,B^*} \cap \mathcal{C}_1(\mathcal{H})$$

and so the weak*-closure of

$$R(\Delta_{B^*,A^*}) = (R(\Delta_{A,B}))^*.$$

Conversely, if $\overline{R(\Delta_{A,B})}^{w*}$ is self-adjoint the set of $T \in \mathcal{C}_1(\mathcal{H})$ for which f_T vanishes on $R(\Delta_{A,B})$ must be self-adjoint ($Y \in R(\Delta_{A,B})$ implies $0 = f_T(Y^*) = \text{tr}(TY^*) = \text{tr}(T^*Y)$). Hence

$$\ker \Delta_{B,A} \cap \mathcal{C}_1(H) = \ker \Delta_{A^*,B^*} \cap \mathcal{C}_1(H),$$

and $(A, B) \in \text{GF}_0(H)$. □

Theorem 5 ([5]). *Let $A = (A_1, A_2, \dots, A_n)$, $B = (B_1, B_2, \dots, B_n)$ be n -tuples of operators in $\mathcal{L}(H)$, and let $T \in \mathcal{C}_1$ have the polar decomposition $T = U|T|$. Then the following statements are equivalent:*

1. $\|T + \Delta_{A,B}(X)\|_1 \geq \|T\|_1$, for all $T \in \ker \Delta_{A,B}|_{\mathcal{C}_1}$ and for all $X \in \mathcal{C}_1$.
2. $(A, B) \in \text{GF}_0(H)$.

Now by using Theorem 4 and Theorem 5 it is easy to prove the following theorem which gives us an other characterization of the orthogonality of the range of $\Delta_{A,B}$ and its kernel.

Theorem 6. *Let $A = (A_1, A_2, \dots, A_n)$, $B = (B_1, B_2, \dots, B_n)$ be n -tuples of operators in $\mathcal{L}(H)$, and let T have the polar decomposition $T = U|T|$. Then the following statements are equivalent:*

- (i) $\overline{R(\Delta_{A,B})}^{w*}$ is closed under taking adjoints
- (ii) $(A, B) \in \text{GF}_0 H$
- (iii) $\|T + \Delta_{A,B}(X)\|_1 \geq \|T\|_1$, for all $T \in \ker \Delta_{A,B}|_{\mathcal{C}_1}$ and for all $X \in \mathcal{C}_1$.

Let $A = (A_1, A_2, \dots, A_n)$, $B = (B_1, B_2, \dots, B_n)$ be n -tuples of operators in $\mathcal{L}(H)$. We define the elementary operator

$$\Delta'_{A,B}: \mathcal{L}(H) \mapsto \mathcal{L}(H)$$

by

$$\Delta'_{A,B}(X) = \sum_{i=1}^n A_i X B_i.$$

By the same arguments as in the proof of Theorem 4 we can prove the following theorem.

Theorem 7. *Let $A = (A_1, A_2, \dots, A_n)$, $B = (B_1, B_2, \dots, B_n)$ be n -tuples of operators in $\mathcal{L}(H)$. Then $(A, B) \in \text{GF}_1(\mathcal{H}) \Leftrightarrow \overline{R(\Delta'_{A,B})}^{w*}$ is closed under taking adjoints. Here $\text{GF}_1(\mathcal{H})$ is the set of pairs of operators such that $\sum_{i=1}^n B_i T A_i = 0$ implies $\sum_{i=1}^n A_i^* T B_i^* = 0$ for all $T \in \mathcal{C}_1(\mathcal{H})$.*

Theorem 8 ([5]). Let $A, B \in \mathcal{L}(H)$ and let $T \in \mathcal{C}_1$ have the polar decomposition $T = U|T|$. Then the following statements are equivalent:

1. $\|T + \delta_{A,B}(X)\|_1 \geq \|T\|_1$, for all $T \in \ker \delta_{A,B}|_{\mathcal{C}_1}$ and for all $X \in \mathcal{C}_1$, where $\delta_{A,B}$ is the generalized derivation defined on $\mathcal{L}(H)$ by $\delta_{A,B}(X) = AX - XB$.
2. $(A, B) \in \text{GF}_1(\mathcal{H})$.

Remark 9. Theorem 6 remains hold if we consider instead of $\Delta_{A,B}$ the generalized derivation $\delta_{A,B}$. This leads us to pose the following open problem.

Are the following statements equivalent:

- (i) $\overline{R(\Delta'_{A,B})}^{w*}$ is closed under taking adjoints
- (ii) $(A, B) \in \text{GF}_1(\mathcal{H})$
- (iii) $\|T + \Delta'_{A,B}(X)\|_1 \geq \|T\|_1$, for all $T \in \ker \Delta'_{A,B}|_{C_1}$ and for all $X \in C_1$, where $\Delta'_{A,B}$ is the elementary operators defined on $\mathcal{L}(H)$ by $\Delta'_{A,B}(X) = AXB - CXD$ and $\text{GF}_1(\mathcal{H})$ is the set of pairs of operators satisfying $\ker \Delta'_{A,B} \subseteq \ker \Delta'^*_{A,B}$. Here $\Delta'^*_{A,B}$ is defined by

$$\Delta'^*_{A,B}(X) = A^*XB^* - C^*XD^*.$$

The generalization of the above results to the elementary operators $\sum_{i=1}^n A_iXB_i$ for $n > 2$ is not possible. In [7] Shulman stated that there exists a normally represented elementary operator of the form $\sum_{i=1}^n A_iXB_i$ with $n > 2$ such that $\text{asc } E > 1$, i.e. the range and kernel have no trivial intersection.

In [8, p. 276], J. P. Williams showed that if $A \in B(H)$, then

$$R(\delta_A)^\circ \simeq R(\delta_A)^\circ \cap K^\circ(H) \oplus \ker(\delta_A) \cap C_1,$$

where $R(\delta_A)$, $K(H)$, $\ker(\delta_A)$ and C_1 denote, respectively, the range of δ_A , the ideal of compact operators, the kernel of δ_A and the trace class operators. The following theorems generalize this result.

Note that the weakly continuous linear form (resp. the ultra-weakly continuous linear form) on $B(H)$, Φ_T , where $T \in F(H)$ (resp. $T \in C_1$), is defined by

$$\Phi_T(X) = \text{tr}(XT) = \text{tr}(TX)$$

for all $X \in \mathcal{L}(H)$ (see [6, p. 23]).

Let \mathcal{S} be a subspace of $B(H)$. Let

$$\mathcal{S}^\circ = \{f \in B(H)'\} : f(x) = 0, \text{ if } x \in \mathcal{S}.$$

Let \mathcal{B} be a Banach space and let \mathcal{S} be a subspace of \mathcal{B} . Denote

$$\mathcal{S}^\circ = \{f \in \mathcal{B}' : f(x) = 0, \text{ if } x \in \mathcal{S}.$$

Lemma 10. Let $\mathcal{S}_1, \mathcal{S}_2$ be two sub-vectorspaces of \mathcal{B} . Then $\mathcal{S}_1^\circ \subset \mathcal{S}_2^\circ$ if and only if $\mathcal{S}_2 \subset \overline{\mathcal{S}_1}$.

The following theorem is proved in [6]. For the convenience of the reader we will prove it.

Theorem 11. Let E, F be Banach spaces and $S \in B(E, F)$ a bounded operator. Then

$$(3.1) \quad R(S^{**})^\circ = (R(S^{**})^\circ \cap F^\circ) \oplus \ker(S^*).$$

Proof. One has $F^{***} = F^\circ \oplus F^*$ (here we have identified F^* with its isometric image in F^{***} and F° is really $(i(F))^\circ$ where $i(F)$ is the image of F in F^{**} under the canonical isometric embedding i), since $f \in F^{***}$ has the unique decomposition $f = f_0 + f_1$, where $f_1 = f|_F \in F^*$ and $f_0 = f - f_1 \in F^\circ$. Suppose that $f \in R(S^{**})^\circ$. Decompose f as above: $f = f_0 + f_1 \in F^\circ \oplus F^*$. Recall that $\ker(S^*) = R(S)^\circ$ (considered in F^*). For $u \in E$ one has

$$0 = f(Su) = f_0(Su) + f_1(Su) = f_1(Su),$$

since $Su \in F$ and $f_0 \in F^\circ$. Thus $f_1 \in \ker(S^*)$.

Recall that $F^* = (F^{**}, w^*)^*$ (the w^* -continuous functionals on F^{**}). Since E is w^* -dense in E^{**} (Goldstine's theorem) and $f_1 \in F^*$ is w^* -continuous on F^{**} , it follows from $f_1|_{SE} = 0$ that $f_1|_{S^{**}E^{**}} = 0$, that is, $f_1 \in R(S^{**})^\circ$. Thus $f_0 = f - f_1 \in (R(S^{**})^\circ)$, so that $f = f_0 + f_1$ is the desired decomposition.

Conversely, if $f = f_0 + f_1 \in (R(S^{**})^\circ \cap F^\circ) \oplus \ker(S^*)$, then one uses the w^* -continuity of f_1 as above to deduce that $f_1 \in R(S^{**})^\circ$. It follows that $f \in R(S^{**})^\circ$. \square

The following theorem generalizes the result of J.P. Williams [8].

Theorem 12. Let $A = (A_1, A_2, \dots, A_n)$ and $B = (B_1, B_2, \dots, B_n)$ be n -tuples in $B(H)$, then

$$(3.2) \quad R(E_{A,B})^\circ = R(E_{A,B})^\circ \cap K^\circ(H) \oplus \ker(E_{B,A}) \cap C_1.$$

Proof. It suffices to take in (3.1) $E = F = K(H)$ and

$$S = E_{A,B}: K(H) \rightarrow K(H),$$

where $S^* = E_{B,A}: C_1 \rightarrow C_1$ using trace duality. \square

Note that $\overline{R(\Delta_{A,B})}^{w*}$ is self-adjoint if and only if

$$R(\Delta_{A,B})^\circ \cap \mathcal{L}(H)^{tw*}$$

is also self-adjoint. By using Theorem 11 we obtain in particular

$$R(\Delta_{A,B})^\circ \cap \mathcal{L}(H)^{tw*} \simeq \{A\}' \cap \mathcal{C}_1(\mathcal{H}).$$

Thus $(A, B) \in \text{GF}_1(\mathcal{H})$ if and only if $\overline{R(\Delta_{A,B})}^{w*}$ is self-adjoint.

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