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LEFT APP-PROPERTY OF FORMAL POWER SERIES RINGS

LIU ZHONGKUI AND YANG XIAOYAN

ABSTRACT. A ring R is called a left APP-ring if the left annihilator $l_R(Ra)$ is right s -unital as an ideal of R for any element $a \in R$. We consider left APP-property of the skew formal power series ring $R[[x; \alpha]]$ where α is a ring automorphism of R . It is shown that if R is a ring satisfying descending chain condition on right annihilators then $R[[x; \alpha]]$ is left APP if and only if for any sequence (b_0, b_1, \dots) of elements of R the ideal $l_R \left(\sum_{j=0}^{\infty} \sum_{k=0}^{\infty} R\alpha^k(b_j) \right)$ is right s -unital. As an application we give a sufficient condition under which the ring $R[[x]]$ over a left APP-ring R is left APP.

Throughout this paper, R denotes a ring with unity. Recall that R is *left principally quasi-Baer* if the left annihilator of every principal left ideal of R is generated by an idempotent. Similarly, right principally quasi-Baer rings can be defined. A ring is called *principally quasi-Baer* if it is both right and left principally quasi-Baer. Observe that biregular rings and quasi-Baer rings (i.e. the rings over which the left annihilator of every left ideal of R is generated by an idempotent of R) are principally quasi-Baer. For more details and examples of left principally quasi-Baer rings, see [3], [1], [2], [4], and [7]. A ring R is called a *right* (resp. *left*) *PP-ring* if the right (resp. left) annihilator of every element of R is generated by an idempotent. R is called a *PP-ring* if it is both right and left PP. As a generalization of left principally quasi-Baer rings and right PP-rings, the concept of left APP-rings was introduced in [9]. A ring R is called a *left APP-ring* if the left annihilator $l_R(Ra)$ is right s -unital as an ideal of R for any element $a \in R$. For more details and examples of left APP-rings, see [9] and [6].

There are a lot of results concerning left principal quasi-Baerness and right PP-property of polynomial extensions of a ring. It was proved in ([2], Theorem 2.1) that a ring R is left principally quasi-Baer if and only if $R[x]$ is left principally quasi-Baer. If all right semicentral idempotents of R are central, then it was shown in [7] that the ring $R[[x]]$ is left principally quasi-Baer if and only if R is left principally quasi-Baer and every countable family of idempotents in R has a generalized join in $I(R)$, the set of all idempotents of R . It was shown in [5] that R is a reduced PP-ring if and only if $R[[x]]$ is a reduced PP-ring. In [8] the PP-property of the rings of generalized power series over a ring R has been

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considered. For left APP-rings, It was noted in [9] that there exists a commutative von Neumann regular ring R (hence left APP), but the ring $R[[x]]$ is not APP. It was also shown in [9] that if R is a left APP-ring satisfying descending chain condition on left and right annihilators then $R[[x]]$ is left APP. In this note we consider left APP-property of skew formal power series rings. We will show that if R is a ring satisfying descending chain condition on right annihilators then $R[[x; \alpha]]$ is left APP if and only if for any sequence (b_0, b_1, \dots) of elements of R the ideal $l_R(\sum_{j=0}^{\infty} \sum_{k=0}^{\infty} R\alpha^k(b_j))$ is right s -unital. As an application we give a sufficient condition under which the ring $R[[x]]$ over a left APP-ring R is left APP.

For a nonempty subset Y of R , $l_R(Y)$ and $r_R(Y)$ denote the left and right annihilator of Y in R , respectively.

An ideal I of R is said to be *right s -unital* if, for each $a \in I$ there exists an element $x \in I$ such that $ax = a$. It follows from ([11, Theorem 1]) that I is right s -unital if and only if for any finitely many elements $a_1, a_2, \dots, a_n \in I$ there exists an element $x \in I$ such that $a_i = a_i x, i = 1, 2, \dots, n$. A submodule N of a left R -module M is called a *pure submodule* if $L \otimes_R N \rightarrow L \otimes_R M$ is a monomorphism for every right R -module L . By ([10], Proposition 11.3.13), an ideal I is right s -unital if and only if R/I is flat as a left R -module if and only if I is pure as a left ideal of R .

Lemma 1. *Let $R[[x; \alpha]]$ be a left APP-ring and b_0, b_1, \dots in R . If $a_0, a_1, \dots, a_n \in R$ are such that for any $r \in R$ and any $s = 0, 1, \dots,$*

$$\begin{aligned} a_0 r \alpha^s(b_0) &= 0 \\ a_0 r \alpha^s(b_1) + a_1 \alpha(r) \alpha^{1+s}(b_0) &= 0 \\ &\vdots \\ a_0 r \alpha^s(b_{n-1}) + a_1 \alpha(r) \alpha^{1+s}(b_{n-2}) + \dots + a_{n-1} \alpha^{n-1}(r) \alpha^{n-1+s}(b_0) &= 0 \\ a_0 r \alpha^s(b_n) + a_1 \alpha(r) \alpha^{1+s}(b_{n-1}) + \dots + a_n \alpha^n(r) \alpha^{n+s}(b_0) &= 0, \end{aligned}$$

then for any $s,$

$$a_0 R \alpha^s(b_j) = 0, \quad j = 0, 1, \dots, n.$$

Proof. We prove this result by induction on n .

Suppose that $n = 1$. For any $\phi(x) = c_0 + c_1 x + c_2 x^2 + \dots \in R[[x; \alpha]], a_0 \phi(x) b_0 = a_0 c_0 b_0 + a_0 c_1 \alpha(b_0) x + a_0 c_2 \alpha^2(b_0) x^2 + \dots = 0$ since $a_0 R \alpha^s(b_0) = 0$ for any s . Thus $a_0 R[[x; \alpha]] b_0 = 0$. Since $R[[x; \alpha]]$ is a left APP-ring, there exists $h(x) = h_0 + h_1 x + h_2 x^2 + \dots \in l_{R[[x; \alpha]]}(R[[x; \alpha]] b_0)$ such that $a_0 = a_0 h(x)$. Clearly $a_0 = a_0 h_0$ and for any $r \in R$ and any $s, h(x)(r x^s) b_0 = 0$. Thus $h_0 r \alpha^s(b_0) = 0$ for any s . Take $r = h_0 r'$ in $a_0 r \alpha^s(b_1) + a_1 \alpha(r) \alpha^{1+s}(b_0) = 0$. Then $a_0 r' \alpha^s(b_1) = a_0 h_0 r' \alpha^s(b_1) = a_0 h_0 r' \alpha^s(b_1) + a_1 \alpha(h_0 r' \alpha^s(b_0)) = a_0 h_0 r' \alpha^s(b_1) + a_1 \alpha(h_0 r') \alpha^{1+s}(b_0) = 0$. Thus $a_0 R \alpha^s(b_1) = 0$.

Now suppose that $n \geq 2$. From the first n equations and the induction hypothesis, it follows that $a_0 R \alpha^s(b_j) = 0, j = 0, 1, \dots, n - 1$. Thus for any $r \in R$ and any $s, a_0(r x^s)(b_0 + b_1 x + \dots + b_{n-1} x^{n-1}) = a_0 r \alpha^s(b_0) x^s + a_0 r \alpha^s(b_1) x^{s+1} + \dots + a_0 r \alpha^s(b_{n-1}) x^{s+n-1} = 0$. Hence $a_0 R[[x; \alpha]](b_0 + b_1 x + \dots + b_{n-1} x^{n-1}) = 0$.

Since $R[[x; \alpha]]$ is a left APP-ring, there exists $h(x) = h_0 + h_1x + h_2x^2 + \cdots \in l_{R[[x; \alpha]]}(R[[x; \alpha]](b_0 + b_1x + \cdots + b_{n-1}x^{n-1}))$ such that $a_0 = a_0h(x)$. Thus $a_0 = a_0h_0$ and $h(x)(rx^s)(b_0 + b_1x + \cdots + b_{n-1}x^{n-1}) = 0$ for any $r \in R$ and any s . Now we have

$$\begin{aligned} h_0r\alpha^s(b_0) &= 0 \\ h_0r\alpha^s(b_1) + h_1\alpha(r)\alpha^{1+s}(b_0) &= 0 \\ &\vdots \\ h_0r\alpha^s(b_{n-1}) + h_1\alpha(r)\alpha^{1+s}(b_{n-2}) + \cdots + h_{n-1}\alpha^{n-1}(r)\alpha^{n-1+s}(b_0) &= 0. \end{aligned}$$

By the induction hypothesis, it follows that $h_0R\alpha^s(b_j) = 0$, $j = 0, 1, \dots, n-1$. Thus, for any $r' \in R$, taking $r = h_0r'$ in the last equation yields

$$\begin{aligned} 0 &= a_0h_0r'\alpha^s(b_n) + a_1\alpha(h_0r')\alpha^{1+s}(b_{n-1}) + \cdots + a_n\alpha^n(h_0r')\alpha^{n+s}(b_0) \\ &= a_0r'\alpha^s(b_n) + a_1\alpha(h_0r'\alpha^s(b_{n-1})) + \cdots + a_n\alpha^n(h_0r'\alpha^s(b_0)) \\ &= a_0r'\alpha^s(b_n). \end{aligned}$$

Hence $a_0R\alpha^s(b_n) = 0$. Now the result follows. \square

Theorem 2. *Let R be a ring satisfying descending chain condition on right annihilators and α a ring automorphism of R . Then the following conditions are equivalent:*

- (1) $R[[x; \alpha]]$ is a left APP-ring.
- (2) For any sequence (b_0, b_1, \dots) of elements of R , $l_R(\sum_{j=0}^{\infty} \sum_{k=0}^{\infty} R\alpha^k(b_j))$ is right s -unital.

Proof. (1) \Rightarrow (2). Suppose that (b_0, b_1, \dots) is a sequence of elements of R . Set $g(x) = b_0 + b_1x + b_2x^2 + \cdots \in R[[x; \alpha]]$. Let $a \in l_R(\sum_{j=0}^{\infty} \sum_{k=0}^{\infty} R\alpha^k(b_j))$. Then $aR[[x; \alpha]]g(x) = 0$. Since $R[[x; \alpha]]$ is a left APP-ring, there exists $h(x) = h_0 + h_1x + h_2x^2 + \cdots \in l_{R[[x; \alpha]]}(R[[x; \alpha]]g(x))$ such that $a = ah(x)$. Thus we have $a = ah_0$ and $h(x)(rx^s)g(x) = 0$. Hence

$$\sum_{i+j=n} h_i\alpha^i(r)\alpha^{i+s}(b_j) = 0, \quad \forall n.$$

By Lemma 1, $h_0R\alpha^s(b_j) = 0$ for any j . Thus $h_0 \in l_R(\sum_{j=0}^{\infty} \sum_{k=0}^{\infty} R\alpha^k(b_j))$.

(2) \Rightarrow (1). Suppose that $f(x) = a_0 + a_1x + a_2x^2 + \dots$, $g(x) = b_0 + b_1x + b_2x^2 + \cdots \in R[[x; \alpha]]$ are such that $f(x)R[[x; \alpha]]g(x) = 0$. Then for any $r \in R$, $f(x)(rx^s)g(x) = 0$. It follows that

$$(1) \quad \sum_{i+j=k} a_i\alpha^i(r)\alpha^{i+s}(b_j) = 0, \quad k = 0, 1, 2, \dots,$$

where r is an arbitrary element of R . Thus, since $a_0r\alpha^s(b_0) = 0$ for any s , one has $a_0 \in l_R(\sum_{s=0}^{\infty} R\alpha^s(b_0))$. By the hypothesis for the sequence $(b_0, 0, 0, \dots)$ of elements of R , there exists $p_0 \in l_R(\sum_{k=0}^{\infty} R\alpha^k(b_0))$ such that $a_0 = a_0p_0$.

Suppose that $c_0, c_1, \dots \in R$ are such that $a_i = \alpha^i(c_i)$. Let $r' \in R$ and take $r = p_0r'$ in $a_1\alpha(r)\alpha^{1+s}(b_0) + a_0r\alpha^s(b_1) = 0$. Then $a_1\alpha(p_0r')\alpha^{1+s}(b_0) + a_0p_0r'\alpha^s(b_1) = 0$.

Since $p_0 \in l_R(\sum_{k=0}^{\infty} R\alpha^k(b_0))$, we have $a_1\alpha(p_0r')\alpha^{1+s}(b_0) = a_1\alpha(p_0r'\alpha^s(b_0)) = 0$. Thus $a_0r'\alpha^s(b_1) = a_0p_0r'\alpha^s(b_1) = 0$ for any $s = 0, 1, \dots$, which implies that $a_0 \in l_R(\sum_{k=0}^{\infty} R\alpha^k(b_1))$. Also $a_1\alpha(r)\alpha^{1+s}(b_0) = 0$ for any $r \in R$. Thus $\alpha(c_1r\alpha^s(b_0)) = 0$. Since α is an automorphism, it follows that $c_1r\alpha^s(b_0) = 0$ for any $s = 0, 1, \dots$. This means that $c_1 \in l_R(\sum_{k=0}^{\infty} R\alpha^k(b_0))$.

Inductively, assume that $q \geq 1$ is such that

$$c_i \in l_R\left(\sum_{k=0}^{\infty} R\alpha^k(b_j)\right), \quad i + j = 0, 1, 2, \dots, q - 1.$$

Note that $c_0 = a_0$.

Since $c_0, c_1, \dots, c_{q-1} \in l_R(\sum_{k=0}^{\infty} R\alpha^k(b_0))$ and $l_R(\sum_{k=0}^{\infty} R\alpha^k(b_0))$ is right s -unital, there exists $r_0 \in l_R(\sum_{k=0}^{\infty} R\alpha^k(b_0))$ such that $c_i = c_i r_0, i = 0, 1, \dots, q - 1$. Let $r' \in R$ and take $r = r_0 r'$. Then by the equation of (1) for the case when $k = q$, we have

$$a_0 r_0 r' \alpha^s(b_q) + \dots + a_{q-1} \alpha^{q-1}(r_0 r') \alpha^{q-1+s}(b_1) + a_q \alpha^q(r_0 r') \alpha^{q+s}(b_0) = 0.$$

For any i with $0 \leq i \leq q - 1$, we have $a_i \alpha^i(r_0 r') \alpha^{i+s}(b_{q-i}) = \alpha^i(c_i r_0 r' \alpha^s(b_{q-i})) = \alpha^i(c_i r' \alpha^s(b_{q-i})) = a_i \alpha^i(r') \alpha^{i+s}(b_{q-i})$. Also $a_q \alpha^q(r_0 r') \alpha^{q+s}(b_0) = a_q \alpha^q(r_0 r' \alpha^s(b_0)) = 0$ since $r_0 \in l_R(\sum_{k=0}^{\infty} R\alpha^k(b_0))$. Thus

$$(2) \quad a_0 r' \alpha^s(b_q) + a_1 \alpha(r') \alpha^{1+s}(b_{q-1}) + \dots + a_{q-1} \alpha^{q-1}(r') \alpha^{q-1+s}(b_1) = 0.$$

By (1) it follows that $a_q \alpha^q(r) \alpha^{q+s}(b_0) = 0$. Thus $\alpha^q(c_q r \alpha^s(b_0)) = 0$, which implies that $c_q r \alpha^s(b_0) = 0$ for any s and any $r \in R$. Hence $c_q \in l_R(\sum_{k=0}^{\infty} R\alpha^k(b_0))$.

Since $c_0, c_1, \dots, c_{q-2} \in l_R(\sum_{k=0}^{\infty} R\alpha^k(b_0) + \sum_{k=0}^{\infty} R\alpha^k(b_1))$, there exists $r_1 \in l_R(\sum_{k=0}^{\infty} R\alpha^k(b_0) + \sum_{k=0}^{\infty} R\alpha^k(b_1))$ such that $c_i = c_i r_1$ for any i with $0 \leq i \leq q - 2$. Thus $a_i \alpha^i(r_1 r'') \alpha^{i+s}(b_{q-i}) = \alpha^i(c_i r_1 r'' \alpha^s(b_{q-i})) = \alpha^i(c_i r'' \alpha^s(b_{q-i})) = a_i \alpha^i(r'') \alpha^{i+s}(b_{q-i})$ for any i with $0 \leq i \leq q - 2$. Now setting $r' = r_1 r''$ in (2) yields

$$a_0 r'' \alpha^s(b_q) + a_1 \alpha(r'') \alpha^{1+s}(b_{q-1}) + \dots + a_{q-2} \alpha^{q-2}(r'') \alpha^{q-2+s}(b_2) = 0$$

for any $r'' \in R$ since $a_{q-1} \alpha^{q-1}(r_1 r'') \alpha^{q-1+s}(b_1) = a_{q-1} \alpha^{q-1}(r_1 r'' \alpha^s(b_1)) = 0$. Thus, by (2), $a_{q-1} \alpha^{q-1}(r') \alpha^{q-1+s}(b_1) = 0$. This means that $c_{q-1} r' \alpha^s(b_1) = 0$ since α is an automorphism. Hence $c_{q-1} \in l_R(\sum_{k=0}^{\infty} R\alpha^k(b_1))$. Continuing this procedure yields $c_{q-2} \in l_R(\sum_{k=0}^{\infty} R\alpha^k(b_2)) \dots, c_1 \in l_R(\sum_{k=0}^{\infty} R\alpha^k(b_{q-1})), c_0 \in l_R(\sum_{k=0}^{\infty} R\alpha^k(b_q))$.

Hence we have shown that for any i and $j, c_i \in l_R(\sum_{k=0}^{\infty} R\alpha^k(b_j))$. Thus $c_i \in l_R(\sum_{j=0}^{\infty} \sum_{k=0}^{\infty} R\alpha^k(b_j))$. Consider the descending chain as following:

$$r_R(c_0) \supseteq r_R(c_0, c_1) \supseteq r_R(c_0, c_1, c_2) \supseteq \dots,$$

there exists n such that $r_R(c_0, c_1, \dots, c_n) = r_R(c_0, c_1, \dots, c_n, c_{n+1}) = \dots$. By the hypothesis, $l_R(\sum_{j=0}^{\infty} \sum_{k=0}^{\infty} R\alpha^k(b_j))$ is right s -unital by considering sequence (b_0, b_1, \dots) . Thus there exists $e \in l_R(\sum_{j=0}^{\infty} \sum_{k=0}^{\infty} R\alpha^k(b_j))$ such that $c_i = c_i e, i = 0, 1, \dots, n$. Clearly $1 - e \in r_R(c_0, c_1, \dots, c_n)$. Thus $c_k = c_k e$ for all $k = 0, 1, \dots$. Now $f(x) = a_0 + \alpha(c_1)x + \alpha^2(c_2)x^2 + \dots = a_0 e + \alpha(c_1 e)x + \alpha^2(c_2 e)x^2 + \dots =$

$a_0e + a_1\alpha(e)x + a_2\alpha^2(e)x^2 + \dots = f(x)e$ and $e \in l_{R[[x;\alpha]]}(R[[x;\alpha]]g(x))$. This means that $R[[x;\alpha]]$ is a left APP-ring. \square

It was shown in [9] that if R is a left APP-ring satisfying descending chain condition on left and right annihilators then $R[[x]]$ is left APP. By Theorem 2 we have the following result.

Corollary 3. *Let R be a ring satisfying descending chain condition on right annihilators. Then the following conditions are equivalent:*

- (1) $R[[x]]$ is a left APP-ring.
- (2) For any sequence (b_0, b_1, \dots) of elements of R , $l_R(\sum_{j=0}^{\infty} Rb_j)$ is right s -unital.

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