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A note on lattice renormings

MARIÁN FABIAN*, PETR HÁJEK, VÁCLAV ZIZLER

Abstract. It is shown that every strongly lattice norm on $c_0(\Gamma)$ can be approximated by C^∞ smooth norms. We also show that there is no lattice and Gâteaux differentiable norm on $C_0[0, \omega_1]$.

Keywords: smooth norms, approximation, lattice norms, $c_0(\Gamma)$, $C_0[0, \omega_1]$

Classification: 46B03, 46B20

It has been recently shown in [1] and [2] that every equivalent norm on the classical separable Banach spaces c_0 or ℓ_p , p even, (as well as on many other spaces) can be uniformly approximated on bounded sets by a sequence of C^∞ -Fréchet smooth norms.

Although the method of construction requires some technical conditions on the space to be satisfied (in particular the existence of a Schauder basis), it seems to suggest that perhaps the following statement should be valid:

Suppose X is a separable Banach space that admits an equivalent C^k -Fréchet smooth norm. Then every equivalent norm on X can be approximated uniformly on bounded sets by a sequence of C^k -Fréchet smooth norms.

On the other hand, we do not know of any example of a nonseparable Banach space where a similar statement would be valid for $k \geq 2$.

In the present note we give a partial solution to this problem for the space $c_0(\Gamma)$ and $k = \infty$. More precisely we show that on $c_0(\Gamma)$, Γ uncountable, every equivalent strongly lattice norm can be approximated by a sequence of C^∞ -Fréchet smooth norms.

In the second part of our paper, we show that there exists no lattice Gâteaux differentiable norm on $C_0([0, \omega_1])$, the space of continuous functions on the ordinal segment $[0, \omega_1]$ that vanish at ω_1 (where ω_1 is the first uncountable ordinal and $[0, \omega_1]$ is in its normal topology as in [4]). More information on the space $C_0([0, \omega_1])$ can be found e.g. [3, p. 259]. Proposition 2 of this paper is of interest when compared with some results of Haydon [5]–[6]. In [5], a lattice norm on $C_0[0, \omega_1] \oplus c_0[0, \omega_1]$ is constructed, which is C^∞ -Fréchet differentiable and locally dependent on finitely many coordinates when restricted to a rather large open

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subset of $C_0[0, \omega_1] \oplus c_0[0, \omega_1]$. This norm is then used to obtain C^∞ -Fréchet smooth (necessarily non-lattice) renormings of $C_0[0, \omega_1]$.

The notation and terminology we use are mostly standard, as in [3].

By a strongly lattice norm on $c_0(\Gamma)$ we mean an equivalent norm $\|\cdot\|$ such that $\|\sum_{\gamma \in \Gamma} y_\gamma e_\gamma\| \geq \|\sum_{\gamma \in \Gamma} x_\gamma e_\gamma\|$ whenever $\sum_{\gamma \in \Gamma} y_\gamma e_\gamma, \sum_{\gamma \in \Gamma} x_\gamma e_\gamma \in c_0(\Gamma)$ are such that for every $\gamma \in \Gamma$ $|y_\gamma| \geq |x_\gamma|$ is satisfied.

Theorem 1. *Every equivalent strongly lattice norm on $c_0(\Gamma)$ can be approximated (uniformly on bounded sets) by C^∞ -Fréchet smooth norms.*

PROOF: Denote the given strongly lattice norm by $\|\cdot\|$. We first introduce an auxiliary function f_Δ . For arbitrary $1 > \Delta > 0$ and $\sum_{\gamma \in \Gamma} x_\gamma e_\gamma \in c_0(\Gamma)$ denote by

$$f_\Delta\left(\sum_{\gamma \in \Gamma} x_\gamma e_\gamma\right) = \sup \left\{ \left\| \sum_{\gamma \in \Gamma} y_\gamma e_\gamma \right\|, \right. \\ \left. \text{where } y_\gamma = x_\gamma \text{ if } |x_\gamma| > \Delta \text{ and } |y_\gamma| \leq \Delta \text{ if } |x_\gamma| \leq \Delta \right\}.$$

Clearly, $f_\Delta(\cdot) \geq \|\cdot\|$ on $c_0(\Gamma)$.

In fact, $f_\Delta(\cdot)$ is a Lipschitz function on $(c_0(\Gamma), \|\cdot\|_\infty)$ with the Lipschitz constant less than or equal to the Lipschitz constant of $\|\cdot\|$ (on $(c_0(\Gamma), \|\cdot\|_\infty)$).

It is standard to check the following elementary properties of $f_\Delta(\cdot)$:

(i) $f_\Delta\left(\sum_{\gamma \in \Gamma} x_\gamma e_\gamma\right) = f_\Delta\left(\sum_{\gamma \in \{\alpha \in \Gamma, |x_\alpha| > \Delta\}} x_\gamma e_\gamma\right)$. In other words, the value of $f_\Delta(x)$ depends only on those coordinates of x that are in absolute value larger than Δ .

(ii) $f_\Delta\left(\sum_{\gamma \in \Gamma} x_\gamma e_\gamma\right) \leq f_\Delta\left(\sum_{\gamma \in \Gamma} y_\gamma e_\gamma\right)$
whenever we have $\|y_\gamma\| \geq \|x_\gamma\|$ for every $\gamma \in \Gamma$.

The property (ii) is a “strongly lattice” property of $f_\Delta(\cdot)$ and follows directly from the strongly lattice property of $\|\cdot\|$.

We now proceed with our construction of approximating C^∞ -norm.

Given $\varepsilon > 0$, from the equivalence of $\|\cdot\|$ and $\|\cdot\|_\infty$ it follows that there exists $1 > \Delta > 0$ such that

$$\|\cdot\| \leq f_\Delta(\cdot) \leq \|\cdot\| + \varepsilon$$

for every $x \in c_0(\Gamma)$.

Put $F_\Delta(x) = f_\Delta^2(x)$.

Then $F_\Delta(\cdot)$ shares properties (i), (ii) and satisfies:

$$\|\cdot\|^2 \leq F_\Delta(\cdot) \leq (\|\cdot\| + \varepsilon)^2 = \|\cdot\|^2 + 2\varepsilon\|\cdot\| + \varepsilon^2.$$

Thus the convex function $C_\Delta(\cdot)$ defined by:

$$C_\Delta(x) = \inf \left\{ \sum_{i=1}^n \lambda_i F_\Delta(x_i), \quad x = \sum_{i=1}^n \lambda_i x_i, \quad \sum_{i=1}^n \lambda_i = 1, \quad \lambda_i > 0 \right\}$$

also satisfies $\|\cdot\|^2 \leq C_\Delta(\cdot) \leq (\|\cdot\| + \varepsilon)^2$, because $\|\cdot\|^2$ is convex and $C_\Delta(\cdot) \leq F_\Delta \mathbf{9}(\cdot)$. It is straightforward to show that also the strongly lattice property for $C_\Delta(\cdot)$ is preserved, i.e. $C_\Delta(x) \geq C_\Delta(y)$ for $x, y \in c_0(\Gamma)$, such that for every $\gamma \in \Gamma$ either $\|y_\gamma\| \geq \|x_\gamma\|$. We will now show that for $1 > \varepsilon > 0$ we have

$$C_\Delta(x) = \inf \left\{ \sum_{i=1}^n \lambda_i F_\Delta(x_i), \quad x = \sum_{i=1}^n \lambda_i x_i, \quad \sum_{i=1}^n \lambda_i = 1, \quad \lambda_i > 0 \text{ and } \|x_i\| \leq 100 \right\}$$

for every $x \in c_0(\Gamma)$ with $\|x\| \leq 2$.

To this end, it is enough to find for every $\{x_i\}_{i=1}^n, \lambda_i > 0, \sum_{i=1}^n \lambda_i = 1, x = \sum_{i=1}^n \lambda_i x_i$ another system $\{y_i\}_{i=1}^m, \lambda'_i > 0, \sum_{i=1}^m \lambda'_i = 1, x = \sum_{i=1}^m \lambda'_i y_i$, where $\|y_i\| \leq 100$ and such that

$$\sum_{i=1}^m \lambda'_i F_\Delta(y_i) \leq \sum_{i=1}^n \lambda_i F_\Delta(x_i).$$

Suppose without loss of generality that $\|x_i\| \leq 100$ for $1 \leq i \leq j$ and $\|x_i\| > 100$ for $j < i \leq n$. We may assume that $j \geq 1$, since otherwise $F_\Delta(x_i) \geq 100^2$ for every $1 \leq i \leq n$, and then $F_\Delta(x) \leq 3^2 < 100^2$ would give us a better estimate.

Put

$$v_1 = \frac{\sum_{i=1}^j \lambda_i x_i}{\sum_{i=1}^j \lambda_i}, \quad v_2 = \frac{\sum_{i=j+1}^n \lambda_i x_i}{\sum_{i=j+1}^n \lambda_i},$$

$$\xi_1 = \sum_{i=1}^j \lambda_i, \quad \xi_2 = 1 - \xi_1.$$

Clearly, $x = \xi_1 v_1 + \xi_2 v_2$.

We may assume that $F_\Delta(v_1) \geq \frac{1}{\xi_1} \sum_{i=1}^j \lambda_i F_\Delta(x_i)$ and

$$F_\Delta(v_2) \geq \frac{1}{\xi_2} \sum_{i=j+1}^n \lambda_i F_\Delta(x_i).$$

Indeed, if for example $F_\Delta(v_1) < \frac{1}{\xi_1} \sum_{i=1}^j \lambda_i F_\Delta(x_i)$, we obtain that $x = \xi_1 v_1 + \sum_{i=j+1}^n \lambda_i x_i$, $\xi_1 + \sum_{i=j+1}^n \lambda_i = 1$, $\xi_1 \geq 0$, $\lambda_i \geq 0$ and

$$\xi_1 F_\Delta(v_1) + \sum_{i=j+1}^n F_\Delta(x_i) < \sum_{i=1}^n \lambda_i F_\Delta(x_i)$$

gives us even a better estimate of $C_\Delta(x)$.

By assumption, $F_\Delta(x_i) \geq 100^2$ for $j + 1 \leq i \leq n$. Thus $\frac{1}{\xi_2} \sum_{i=j+1}^n \lambda_i F_\Delta(x_i) \geq 100^2$. The trivial estimate for $C_\Delta(x)$ is $F_\Delta(x) \leq 3^2 = 9$. Thus $\frac{1}{\xi_1} \sum_{i=1}^j \lambda_i F_\Delta(x_i) \leq 9$ (otherwise the trivial estimate would give us a smaller value than $\sum_{i=1}^n \lambda_i F_\Delta(x_i) = \xi_1 (\frac{1}{\xi_1} \sum_{i=1}^j \lambda_i F_\Delta(x_i)) + \xi_2 (\frac{1}{\xi_2} \sum_{i=j+1}^n \lambda_i F_\Delta(x_i))$).

Consequently, $\|v_1\|^2 \leq C_\Delta(v_1) \leq 9$ and we have $\|v_1\| \leq 3$. Similarly, $(\|v_2\| + \varepsilon)^2 \geq F_\Delta(v_2) \geq 100^2$ and we have $\|v_2\| \geq 99$.

Thus there exists $v_3 \in c_0(\Gamma)$, $\|v_3\| = 50$, $v_3 = \alpha_1 v_1 + \alpha_2 v_2$ where $\alpha_1 + \alpha_2 = 1$, $\alpha_i \geq 0$. Since $v_3 - \alpha_1 v_1 = \alpha_2 v_2$, we have $47 \leq \alpha_2 \|v_2\|$. Thus

$$\alpha_1 \frac{1}{\xi_1} \sum_{i=1}^j \lambda_i F_\Delta(x_i) + \alpha_2 \frac{1}{\xi_2} \sum_{i=j+1}^n \lambda_i F_\Delta(x_i) \geq \alpha_2 \|v_2\|^2 \geq 47 \|v_2\| \geq 47 \cdot 99.$$

Moreover the trivial estimate gives us

$$F_\Delta(v_3) \leq (\|v_3\| + \varepsilon)^2 \leq 51^2 < 47 \cdot 99.$$

Therefore

$$\begin{aligned} F_\Delta(v_3) &\leq \alpha_1 \frac{1}{\xi_1} \sum_{i=1}^j \lambda_i F_\Delta(x_i) + \alpha_2 \frac{1}{\xi_2} \sum_{i=j+1}^n \lambda_i F_\Delta(x_i), \\ \frac{\xi_2}{\alpha_2} F_\Delta(v_3) &\leq \frac{\xi_2}{\alpha_2} \frac{\alpha_1}{\xi_1} \sum_{i=1}^j \lambda_i F_\Delta(x_i) + \sum_{i=j+1}^n \lambda_i F_\Delta(x_i), \\ \sum_{i=1}^j \lambda_i F_\Delta(x_i) - \frac{\xi_2}{\alpha_2} \frac{\alpha_1}{\xi_1} \sum_{i=1}^j \lambda_i F_\Delta(x_i) + \frac{\xi_2}{\alpha_2} F_\Delta(v_3) &\leq \sum_{i=1}^n \lambda_i F_\Delta(x_i), \\ \sum_{i=1}^j (1 - \frac{\xi_2}{\alpha_2} \frac{\alpha_1}{\xi_1}) \lambda_i F_\Delta(x_i) + \frac{\xi_2}{\alpha_2} F_\Delta(v_3) &\leq \sum_{i=1}^n \lambda_i F_\Delta(x_i). \end{aligned}$$

However,

$$\left(1 - \frac{\xi_2}{\alpha_2} \frac{\alpha_1}{\xi_1}\right) \sum_{i=1}^j \lambda_i x_i + \frac{\xi_2}{\alpha_2} v_3 = \xi_1 v_1 + \xi_2 \left(\frac{v_3}{\alpha_2} - \frac{\alpha_1}{\alpha_2} v_1\right) = \xi_1 v_1 + \xi_2 v_2 = x.$$

It is easy to verify that $\sum_{i=1}^j \left(1 - \frac{\xi_2}{\alpha_2} \frac{\alpha_1}{\xi_1}\right) \lambda_i + \frac{\xi_2}{\alpha_2} = 1$. It follows that $\alpha_2 > \xi_2$, since $\|v_3\| = 50$ while $\|x\| \leq 2$. Therefore $\left(1 - \frac{\xi_2}{\alpha_2} \frac{\alpha_1}{\xi_1}\right) \lambda_i \geq 0$ for every $1 \leq i \leq j$.

Thus the system $\{x_i\}_{i=1}^j \cup \{v_3\}$, $\left\{\left(1 - \frac{\xi_2}{\alpha_2} \frac{\alpha_1}{\xi_1}\right) \lambda_i\right\}_{i=1}^j \cup \left\{\frac{\xi_2}{\alpha_2}\right\}$ gives us a smaller estimate of $C_\Delta(x)$ than the original one $\{x_i\}_{i=1}^n$, $\{\lambda_i\}$. Clearly, all $\|x_i\| \leq 100$, $1 \leq i \leq j$, $\|v_3\| \leq 100$.

Since $\|\cdot\|$ and $\|\cdot\|_\infty$ are equivalent norms on $c_0(\Gamma)$, it follows from our previous considerations that there exists a constant k such that

$$C_\Delta(x) = \inf \left\{ \sum_{i=1}^j \lambda_i F_\Delta(x_i), \quad x = \sum_{i=1}^j \lambda_i x_i, \quad \sum_{i=1}^j \lambda_i = 1, \quad \lambda_i > 0 \text{ and } \|x_i\|_\infty \leq k \right\}$$

for every $\|x\| \leq 2$.

We proceed by proving that there exists $\delta > 0$ such that

$$C_\Delta\left(\sum_{\gamma \in \Gamma} x_\gamma e_\gamma\right) = C_\Delta\left(\sum_{\gamma \in \{\alpha, |x_\alpha| > \delta\}} x_\gamma e_\gamma\right)$$

for every $x = \sum_{\gamma \in \Gamma} x_\gamma e_\gamma \in c_0$ such that $\|x\| \leq 2$.

In fact, we will show that choosing $\delta < \frac{\Delta^2}{2k+2+\Delta}$ is sufficient.

Since C_Δ is upper semi-continuous (as the infimum of a family of continuous functions - F_Δ is continuous as the square of a Lipschitz function f_Δ), and, moreover, from the strongly lattice property of C_Δ it is enough to prove that

$$C_\Delta\left(\sum_{\gamma \in \Gamma} x_\gamma e_\gamma\right) = C_\Delta\left(\sum_{\substack{\gamma \in \Gamma \\ \gamma \neq \gamma_0}} x_\gamma e_\gamma\right),$$

whenever $|x_{\gamma_0}| \leq \delta$.

We will proceed as follows. Given $x = \sum_{\gamma \in \Gamma} x_\gamma e_\gamma$, for arbitrary $\{y_i\}_{i=1}^n \subset c_0(\Gamma)$,

$\{\lambda_i\}_{i=1}^n$, $\lambda_i > 0$, $\sum_{i=1}^n \lambda_i = 1$, $\|y_i\|_\infty \leq k$ such that $\sum_{i=1}^n \lambda_i y_i = \sum_{\substack{\gamma \in \Gamma \\ \gamma \neq \gamma_0}} x_\gamma e_\gamma$, we

will construct $\{x_i\}_{i=1}^n \subset c_0(\Gamma)$ such that $(x_i)_\gamma = (y_i)_\gamma$ for $1 \leq i \leq n$, $\gamma \neq \gamma_0$, $\sum_{i=1}^n \lambda_i x_i = x$ and in addition

$$\sum_{i=1}^n \lambda_i F_\Delta(x_i) \leq \sum_{i=1}^n \lambda_i F_\Delta(y_i).$$

Consequently,

$$C_\Delta\left(\sum_{\gamma \in \Gamma} x_\gamma e_\gamma\right) \leq C_\Delta\left(\sum_{\substack{\gamma \in \Gamma \\ \gamma \neq \gamma_0}} x_\gamma e_\gamma\right).$$

This implies our claim, since $C_\Delta(\cdot)$ shares the strongly lattice property, so the opposite inequality is satisfied.

Without loss of generality assume that, $\delta \geq x_{\gamma_0} > 0$ and

$$\begin{aligned} k &\geq (y_i)_{\gamma_0} > \Delta && \text{for } 1 \leq i \leq j_1, \\ \Delta &\geq (y_i)_{\gamma_0} \geq 0 && \text{for } j_1 < i \leq j_2, \\ 0 &> (y_i)_{\gamma_0} \geq -\Delta && \text{for } j_2 < i \leq j_3, \\ -\Delta &> (y_i)_{\gamma_0} \geq -k && \text{for } j_3 < i \leq n. \end{aligned}$$

Put $s_1 = \sum_{i=1}^{j_1} \lambda_i$, $s_2 = \sum_{i=j_1+1}^{j_2} \lambda_i$, $s_3 = \sum_{i=j_2+1}^{j_3} \lambda_i$, $s_4 = \sum_{i=j_3+1}^n \lambda_i$.

If $(s_3 + s_4)\Delta \geq \delta$, then

$$\sum_{i=1}^{j_2} \lambda_i (y_i)_{\gamma_0} + \sum_{i=j_2+1}^n \lambda_i \Delta \geq \sum_{i=j_2+1}^n \lambda_i \Delta \geq (s_3 + s_4)\Delta \geq \delta.$$

Therefore for every $j_2 < i \leq n$ we can find numbers \tilde{y}_i , such that $\Delta \geq \tilde{y}_i \geq (y_i)_{\gamma_0}$ and

$$\sum_{i=1}^{j_2} \lambda_i (y_i)_{\gamma_0} + \sum_{i=j_2+1}^n \lambda_i \tilde{y}_i = x_{\gamma_0}.$$

We define $x_i = y_i$ for $1 \leq i \leq j_2$, and $x_i = \sum_{\substack{\gamma \in \Gamma \\ \gamma \neq \gamma_0}} (y_i)_\gamma e_\gamma + \tilde{y}_i e_{\gamma_0}$ for $j_2 < i \leq n$. It

follows that

$$F_\Delta(x_i) = F_\Delta\left(\sum_{\substack{\gamma \in \Gamma \\ \gamma \neq \gamma_0}} (y_i)_\gamma e_\gamma\right) \leq F_\Delta(y_i).$$

Thus $\sum_{i=1}^n \lambda_i F_\Delta(x_i) \leq \sum_{i=1}^n \lambda_i F_\Delta(y_i)$ and the claim is established.

If $(s_3 + s_4)\Delta < \delta$, we obtain $0 = \left(\sum_{i=1}^n \lambda_i (y_i)\right)_{\gamma_0} \geq s_1 \Delta - (s_3 + s_4)k$. Therefore $s_1 \leq \frac{\delta k}{\Delta^2}$. Thus $s_2 = 1 - s_1 - s_3 - s_4 \geq 1 - \frac{\delta(k+1)}{\Delta^2}$. We can find numbers \tilde{y}_i for $j_1 < i \leq j_2$, such that $(y_i)_{\gamma_0} \leq \tilde{y}_i \leq \Delta$ and

$$\sum_{i=1}^{j_1} \lambda_i (y_i)_{\gamma_0} + \sum_{i=j_2+1}^n \lambda_i (y_i)_{\gamma_0} + \sum_{i=j_1+1}^{j_2} \lambda_i \tilde{y}_i = x_{\gamma_0}.$$

Indeed, $\left| \sum_{i=j_2+1}^n \lambda_i(y_i)_{\gamma_0} \right| \leq (s_3 + s_4)k \leq \frac{\delta k}{\Delta}$. Consequently, $s_2\Delta - \frac{\delta k}{\Delta} \geq \Delta - \frac{\delta(k+1)}{\Delta} - \frac{\delta k}{\Delta} > \delta$ by our choice of δ .

Putting $(x_i)_\gamma = \tilde{y}_i$ for $j_1 < i \leq j_2$, $\gamma = \gamma_0$ and $(x_i)_\gamma = (y_i)_\gamma$ for any other choices of i and γ , we obtain again

$$\sum_{i=1}^n \lambda_i F_\Delta(x_i) = \sum_{i=1}^n \lambda_i F_\Delta(y_i).$$

Hence we proved that $C_\Delta(\cdot)$ is a convex function on $c_0(\Gamma)$, $\|\cdot\|^2 \leq C_\Delta(\cdot) \leq (\|\cdot\| + \varepsilon)^2$ and, for $\|x\| \leq 2$, $C_\Delta(x)$ depends only on those coordinates x_γ of x for which $|x_\gamma| \geq \delta$. More precisely,

$$C_\Delta\left(\sum_{\gamma \in \Gamma} x_\gamma e_\gamma\right) = C_\Delta\left(\sum_{\gamma \in \Gamma_1} x_\gamma e_\gamma\right),$$

where $\Gamma_1 = \{\gamma \in \Gamma, |x_\gamma| \geq \delta\}$.

We will now construct a C^∞ -Fréchet smooth convex function on the set $\{x \in c_0(\Gamma), \|x\| < 2\}$, which uniformly approximates $C_\Delta(\cdot)$. To this end, choose a C^∞ -smooth bump function $b(t)$ on \mathbb{R} , $0 \leq b(t) = b(-t)$, $\text{supp } b \subset [-\frac{\delta}{4}, \frac{\delta}{4}]$, $\int_{-\infty}^{\infty} b(t) dt = 1$.

It is elementary to check that from the symmetry condition on b and the convexity of f it follows that

$$f(r) \leq \int_{-\infty}^{\infty} f(t)b(r-t) dt$$

for arbitrary convex continuous function defined on \mathbb{R} .

It is standard to check that for arbitrary $\gamma_0 \in \Gamma$, the function

$$C_\Delta^{\gamma_0}\left(\sum_{\gamma \in \Gamma} x_\gamma e_\gamma\right) = \int_{-\infty}^{\infty} C_\Delta\left(\sum_{\substack{\gamma \in \Gamma \\ \gamma \neq \gamma_0}} x_\gamma e_\gamma + t e_{\gamma_0}\right) b(x_{\gamma_0} - t) dt$$

is convex and $C_\Delta^{\gamma_0}(\cdot) \geq C_\Delta(\cdot)$.

Put $\Pi = \{\pi = \{\gamma_1, \dots, \gamma_n\}, n \in \mathbb{N}, \gamma_i \in \Gamma\}$ to be the set of all finite subsets of Γ . For $\pi = \{\gamma_1, \dots, \gamma_n\} \in \Pi$ define

$$\begin{aligned} C_\Delta^\pi\left(\sum_{\gamma \in \Gamma} x_\gamma e_\gamma\right) &= \\ &= \int_{-\infty}^{\infty} \dots \int_{-\infty}^{\infty} C_\Delta\left(\sum_{\substack{\gamma \in \Gamma \\ \gamma \notin \pi}} x_\gamma e_\gamma + \sum_{i=1}^n t_i e_{\gamma_i}\right) b(x_{\gamma_1} - t_1) \dots b(x_{\gamma_n} - t_n) dt_1 \dots dt_n. \end{aligned}$$

For every $\pi \in \Pi$, C_Δ^π is a convex function satisfying $C_\Delta^{\pi_2}(\cdot) \geq C_\Delta^{\pi_1}(\cdot)$ whenever $\pi_1 \subset \pi_2$.

Define $\tilde{C}_\Delta(x) = \sup\{C_\Delta^\pi(x), \pi \in \Pi\}$.

Suppose $x = \sum_{\gamma \in \Gamma} x_\gamma e_\gamma$, $\|x\| \leq 2 - \frac{\delta}{2}$, $\Gamma_1 = \{\gamma \in \Gamma, |x_\gamma| \leq \frac{\delta}{4}\}$, $\Gamma_2 = \Gamma \setminus \Gamma_1$.

Clearly $\Gamma_2 \in \Pi$. For every $y \in c_0(\Gamma)$ such that $\|y - x\|_\infty < \frac{\delta}{4}$, we have $|y_\gamma| \leq \frac{\delta}{2}$ for $\gamma \in \Gamma_1$. For such y the following formula is satisfied:

$$\begin{aligned} \tilde{C}_\Delta(y) &= C_\Delta^{\Gamma_2}(y) = \\ &= \int_{-\infty}^{\infty} \cdots \int_{-\infty}^{\infty} C_\Delta\left(\sum_{\substack{\gamma \in \Gamma \\ \gamma \notin \Gamma_2}} y_\gamma e_\gamma + \sum_{i=1}^n t_i e_{\gamma_i}\right) b(y_{\gamma_1} - t_1) \cdots b(y_{\gamma_n} - t_n) dt_1 \cdots dt_n, \end{aligned}$$

where $\Gamma_2 = \{\gamma_1, \dots, \gamma_n\}$.

Indeed, for every $\Gamma_3 = \{\gamma_1, \dots, \gamma_m\}$, $\Gamma_2 \subset \Gamma_3$ we have

$$C_\Delta^{\Gamma_3}(y) = \int_{-\infty}^{\infty} \cdots \int_{-\infty}^{\infty} C_\Delta\left(\sum_{\substack{\gamma \in \Gamma \\ \gamma \notin \Gamma_3}} y_\gamma e_\gamma + \sum_{i=1}^m t_i e_{\gamma_i}\right) b(y_{\gamma_1} - t_1) \cdots b(y_{\gamma_m} - t_m) dt_1 \cdots dt_m,$$

and thus

$$\begin{aligned} C_\Delta^{\Gamma_3}(y) &= \int_{-\infty}^{\infty} \cdots \int_{-\infty}^{\infty} C_\Delta\left(\sum_{\substack{\gamma \in \Gamma \\ \gamma \notin \Gamma_2}} y_\gamma e_\gamma + \sum_{i=1}^n t_i e_{\gamma_i}\right) b(y_{\gamma_1} - t_1) \cdots b(y_{\gamma_n} - t_n) dt_1 \cdots dt_n \\ &= C_\Delta^{\Gamma_2}(y), \end{aligned}$$

because the function $\phi(t_1, \dots, t_m) = C_\Delta\left(\sum_{\substack{\gamma \in \Gamma \\ \gamma \notin \Gamma_3}} y_\gamma e_\gamma + \sum_{i=1}^m t_i e_{\gamma_i}\right)$ is for any given

t_1, \dots, t_n constant in variables t_{n+1}, \dots, t_m satisfying $|t_{n+1} - y_{\gamma_{n+1}}| \leq \frac{\delta}{4}, \dots, |t_m - y_{\gamma_m}| \leq \frac{\delta}{4}$. The function $\tilde{C}_\Delta(\cdot)$ restricted to $B_{\|\cdot\|_\infty}(x, \frac{\delta}{4})$ thus depends only on the coordinates $\{y_{\gamma_1}, \dots, y_{\gamma_n}\}$ of y and is easily observed to be C^∞ -Fréchet smooth. The trivial estimate gives us

$$\begin{aligned} \|x\|^2 &\leq C_\Delta(x) \leq \tilde{C}_\Delta(x) \leq \sup\{C_\Delta(x + v), \|v\|_\infty < \frac{\delta}{2}\} \\ &\leq \sup\{(\|x + v\| + \varepsilon)^2, \|v\|_\infty < \frac{\delta}{2}\}. \end{aligned}$$

By the standard argument of choosing ε and δ small enough, we obtain, via the implicit function theorem, that the C^∞ -Fréchet smooth norm defined as the Minkowski functional of the set $\{x, \tilde{C}_\Delta(x) \leq 1\}$ approximates arbitrary well (on bounded sets) the original norm $\|\cdot\|$.

We say that a norm $\|\cdot\|$ defined on a $C(K)$ space depends locally on finitely many coordinates if for every $f \in C(K)$ there exist a finite set $\{k_1, \dots, k_n\} \subset K, \varepsilon > 0$ and $\phi : \mathbb{R}^n \rightarrow \mathbb{R}$ such that

$$\|g\| = \phi(g(k_1), \dots, g(k_n)),$$

whenever $\|g - f\| < \varepsilon$. □

Proposition 2. *There exists no lattice and Gâteaux differentiable (not necessarily equivalent) norm $C_0([0, \omega_1])$. There exists no lattice (not necessarily equivalent) norm on $C_0([0, \omega_1])$ that depends locally on finitely many coordinates.*

PROOF: Assume that $\|\cdot\|$ is a given norm on $C_0([0, \omega_1])$. Let us first define, for a given non-limit ordinal $\alpha < \omega_1$, φ_α on $[\alpha, \omega_1]$ by

$$\begin{aligned} \varphi_\alpha(\beta) &= \|\chi_{[\alpha, \beta]}\| \text{ for } \beta \text{ a nonlimit ordinal,} \\ \varphi_\alpha(\beta) &= \sup\{\varphi_\alpha(\gamma), \gamma < \beta, \gamma \text{ nonlimit}\} \text{ for } \beta \text{ a limit ordinal.} \end{aligned}$$

The function φ_α is well defined since $\chi_{[\alpha, \beta]} \in C_0[0, \omega_1]$ whenever α, β are nonlimit ordinals. By the lattice condition on $\|\cdot\|$, φ_α is a nondecreasing function defined on $[0, \omega_1]$. Thus for some nonlimit $\beta_\alpha > \alpha$ we have

$$\varphi_\alpha(\beta_\alpha) = \varphi_\alpha(\gamma) \text{ for every } \gamma \in [\beta_\alpha, \omega_1].$$

Similarly, by the lattice assumption, whenever $\alpha_1 < \alpha_2$ are nonlimit ordinals, $\varphi_{\alpha_1}(\beta_{\alpha_1}) \leq \varphi_{\alpha_2}(\beta_{\alpha_2})$. Therefore, there exists $\alpha_0 \in \omega_1$ such that

$$\varphi_{\alpha_0}(\beta_{\alpha_0}) \geq \varphi_\alpha(\beta) \text{ whenever } \beta \geq \alpha \geq \alpha_0.$$

Let us define, by induction, a sequence $\{\alpha_i\}_{i=0}^\infty$ as follows: α_0 comes from the above consideration, $\alpha_{i+1} = \beta_{\alpha_i} + 1$.

Choose a closed and open countable interval $[\alpha_0, \beta] \subset [0, \omega_1]$ such that $\beta \geq \alpha_i$ for every $i \in \mathbb{N}$. Clearly, $\chi_{[\alpha_0, \beta]} \in C_0([0, \omega_1])$ and

$$0 < \|\chi_{[\alpha_0, \beta]}\| = \|\chi_{[\alpha_i, \beta_{\alpha_i}]}\| \text{ for every } i \in \mathbb{N}.$$

Also,

$$\|\chi_{[\alpha_0, \beta]} + t \chi_{[\alpha_i, \beta_{\alpha_i}]}\| \geq \|(1+t)\chi_{[\alpha_i, \beta_{\alpha_i}]}\| = (1+t)\|\chi_{[\alpha_0, \beta]}\| \text{ for every } t \geq 0.$$

Thus, the directional derivative of $\|\cdot\|$ at $\chi_{[\alpha_0,\beta]}$ in direction of $v_i = \chi_{[\alpha_i,\beta_{\alpha_i}]}$ satisfies:

$$\frac{\partial\|\chi_{[\alpha_0,\beta]}\|}{\partial v_i} \geq \frac{\partial\|\chi_{[\alpha_i,\beta_{\alpha_i}]}\|}{\partial v_i} \geq \|\chi_{[\alpha_i,\beta_{\alpha_i}]}\| = \|\chi_{[\alpha_0,\beta]}\|.$$

However, assuming the existence of the Gâteaux derivative $\|\chi_{[\alpha_0,\beta]}\|'$, we estimate

$$\left\|\|\chi_{[\alpha_0,\beta]}\|'\right\|_1 \geq \frac{\langle\|\chi_{[\alpha_0,\beta]}\|', \sum_{i=0}^n v_i\rangle}{\sum_{i=0}^n v_i} = \frac{\sum_{i=0}^n \frac{\partial\|\chi_{[\alpha_0,\beta]}\|}{\partial v_i}}{\|\chi_{[\alpha_0,\beta]}\|} \geq n$$

for all $n \in \mathbb{N}$. ($\|\sum_{i=0}^n v_i\| = \|\chi_{[\alpha_0,\beta]}\|$ by the lattice property of $\|\cdot\|$.) This is a contradiction. \square

This proves the first half of Proposition 2. The proof for the second part requires only minor modifications.

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