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## On $p$ -sequential $p$ -compact spaces

SALVADOR GARCIA-FERREIRA, ANGEL TAMARIZ-MASCARUA

*Abstract.* It is shown that a space  $X$  is  $L(\mu p)$ -Weakly Fréchet-Urysohn for  $p \in \omega^*$  iff it is  $L(\nu p)$ -Weakly Fréchet-Urysohn for arbitrary  $\mu, \nu < \omega_1$ , where  $\mu p$  is the  $\mu$ -th left power of  $p$  and  $L(q) = \{\mu q : \mu < \omega_1\}$  for  $q \in \omega^*$ . We also prove that for  $p$ -compact spaces,  $p$ -sequentiality and the property of being a  $L(\nu p)$ -Weakly Fréchet-Urysohn space with  $\nu < \omega_1$ , are equivalent; consequently if  $X$  is  $p$ -compact and  $\nu < \omega_1$ , then  $X$  is  $p$ -sequential iff  $X$  is  $\nu p$ -sequential (Boldjiev and Malyhin gave, for each  $P$ -point  $p \in \omega^*$ , an example of a compact space  $X_p$  which is  ${}^2p$ -Fréchet-Urysohn and it is not  $p$ -Fréchet-Urysohn. The question whether such an example exists in ZFC remains unsolved).

*Keywords:*  $p$ -compact,  $p$ -sequential,  $FU(p)$ -space, Rudin-Keisler order, tensor product of ultrafilters, left power of ultrafilters,  $SMU(M)$ -space,  $WFU(M)$ -space

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### 0. Introduction.

In [BM], Boldjiev and Malyhin gave an example of a compact Franklin space  $X_p$  which is a  $FU(p^2)$ -space but not a  $FU(p)$ -space, for each  $P$ -point  $p \in \omega^*$ . We prove in this article that this is not the case when we consider  $p$ -sequentiality; that is, every compact  ${}^2p$ -sequential space is  $p$ -sequential for every  $p \in \omega^*$  (3.9). In order to obtain this result we introduce, in the first section, the left exponentiation  $\nu p$  of  $p \in \omega^*$  for each  $\nu < \omega_1$ , and we study its basic properties and its relation with the power  $p^\nu$  defined by Booth in [Bo]. In Section 2, we analyze the concepts of  $M$ -Weakly Fréchet-Urysohn space ( $WFU(M)$ -space) and  $M$ -Strongly Fréchet-Urysohn space ( $SFU(M)$ -space) for  $M \subset \omega^*$ . In the last section, we prove that if  $X$  is a  $p$ -compact space, then  $X$  is  $p$ -sequential iff  $X$  is a  $WFU(L(\nu p))$ -space, where  $L(q) = \{\mu q : \mu < \omega_1\}$  with  $q \in \omega^*$  (3.7 and 3.8). As a consequence, in the class of  $p$ -compact spaces we have that  $p$ -sequentiality and  $\nu p$ -sequentiality coincide.

### 1. Preliminaries.

We restrict our attention throughout this paper to Tychonoff spaces. For  $A \subset X$ , the closure and interior of  $A$  in  $X$  are denoted by  $Cl_X(A)$  (or simply  $Cl(A)$ ) and  $In_X(A)$ , respectively. For  $x \in X$ ,  $\mathcal{N}(x)$  will be the set of all neighborhoods of  $x$ . The Stone-Čech compactification  $\beta(\omega)$  of the natural numbers is identified with the set of all ultrafilters on  $\omega$ , where a basic clopen subset of  $\beta(\omega)$  is  $\hat{A} = Cl_{\beta(\omega)}(A) = \{p \in \beta(\omega) : A \in p\}$  for  $A \subset \omega$ . The remainder of  $\beta(\omega)$  is  $\omega^* = \beta(\omega) \setminus \omega$  and, for  $A \subset \omega$ , we let  $A^* = \hat{A} \cap \omega^*$ . If  $f : \omega \rightarrow \omega$  is a function, then  $\bar{f} : \beta(\omega) \rightarrow \beta(\omega)$  denotes the Stone-Čech extension of  $f$ . The Rudin-Keisler (pre-)order on  $\omega^*$  is defined by  $p \leq_{RK} q$  if there is a surjection  $f : \omega \rightarrow \omega$  such that  $\bar{f}(q) = p$ , for  $p, q \in \omega^*$ . If

$p, q \in \omega^*$  satisfy  $p \leq_{\text{RK}} q$  and  $q \leq_{\text{RK}} p$ , then we say that  $p$  and  $q$  are RK-equivalent and write  $p \simeq_{\text{RK}} q$ . It is not difficult to verify that  $p \simeq_{\text{RK}} q$  iff there is a permutation  $\sigma$  of  $\omega$  such that  $\bar{\sigma}(p) = q$ . The type of  $p \in \omega^*$  is  $T(p) = \{q \in \omega^* : p \simeq_{\text{RK}} q\}$ .

Now we recall the definition of  $p$ -limit, for  $p \in \omega^*$ , introduced and studied by Bernstein in [Be].

**Definition 1.1.** Let  $(x_n)_{n < \omega}$  be a sequence in a space  $X$  and  $p \in \omega^*$ . An element  $x$  of  $X$  is a  $p$ -limit point of  $(x_n)_{n < \omega}$  (in symbols,  $x = p\text{-}\lim_{n \rightarrow \infty} x_n$ ) if for each  $V \in \mathcal{N}(x)$ ,  $\{n < \omega : x_n \in V\} \in p$ .

If  $p \leq_{\text{RK}} q$ , then every  $p$ -limit point is also a  $q$ -limit point as stated in the next lemma, the proof of which is easy.

**Lemma 1.2.** Let  $(x_n)_{n < \omega}$  be a sequence in a space  $X$  such that  $p\text{-}\lim_{n \rightarrow \infty} x_n = x \in X$ . If  $f : \omega \rightarrow \omega$  is a function such that  $\bar{f}(q) = p$ , then  $x = q\text{-}\lim_{n \rightarrow \infty} x_{f(n)}$ .

In [Be] the author also considered the following notion.

**Definition 1.3.** Let  $p \in \omega^*$ . A space  $X$  is  $p$ -compact if every sequence  $(x_n)_{n < \omega}$  of points of  $X$  has a  $p$ -limit point in  $X$ .

The sum of a countable set of ultrafilters on  $\omega$  with respect to an ultrafilter on  $\omega$  has been studied by Frolík [F]; for the general case, arbitrary filters on arbitrary sets, by Vopěnka [V] and Katětov [K].

**Definition 1.4.** Let  $p \in \omega^*$  and  $\{p_n : n < \omega\} \subseteq \omega^*$ . The sum of  $\{p_n : n < \omega\}$  with respect to  $p$ , denoted  $\Sigma_p p_n$ , is the set

$$\{A \subseteq \omega \times \omega : \{n < \omega : \{m < \omega : (n, m) \in A\} \in p_n\} \in p\}.$$

It is evident that  $\Sigma_p p_n$  is an ultrafilter on  $\omega \times \omega$  and can be viewed as an ultrafilter on  $\omega$  via a bijection between  $\omega \times \omega$  and  $\omega$ . If  $p, q \in \omega^*$  and  $p_n = q$  for each  $n < \omega$  then  $\Sigma_p p_n$  is the usual tensor product  $p \otimes q$  of  $p$  and  $q$ . It is not hard to see that  $\otimes$  is not a commutative operation on  $\omega^*$ . However, Booth [Bo] showed that  $\otimes$  induces a semigroup structure on the set of types of  $\omega^*$ .

We also have that the sum and tensor product satisfy:

**Lemma 1.5.** Let  $(p_n)_{n < \omega}$ ,  $(q_n)_{n < \omega}$  be two sequences in  $\omega^*$  and  $p, s, q, r \in \omega^*$ . Then

- (1) (Blass [Bl]) if  $\{n < \omega : p_n \leq_{\text{RK}} q_n\} \in p$ , then  $\Sigma_p p_n \leq_{\text{RK}} \Sigma_p q_n$ ; and  $\Sigma_p p_n <_{\text{RK}} \Sigma_p q_n$  if  $\{n < \omega : p_n < q_n\} \in p$ .
- (2) (Kunen, see [Bo, 2.21]) if  $(r_n)_{n < \omega}$  is a discrete sequence in  $\omega^*$  and  $r_n \simeq_{\text{RK}} \Sigma_{q_n} p_k$  for all  $n < \omega$ , then  $\Sigma_p r_n \simeq_{\text{RK}} \Sigma_{\Sigma_p q_n} p_n$ ;
- (3) (folklore)  $r <_{\text{RK}} p \otimes r$  and  $r <_{\text{RK}} r \otimes p$ ;
- (4) if  $p \leq_{\text{RK}} s$  and  $q \leq_{\text{RK}} r$ , then  $p \otimes q \leq_{\text{RK}} s \otimes r$ .
- (5) (Blass [Bl]) If  $f : \omega \rightarrow \omega$  is a function satisfying  $\bar{f}(q) = p$ , and  $p_n \leq_{\text{RK}} q_n$  for all  $n < \omega$ , then  $\Sigma_p p_n \leq_{\text{RK}} \Sigma_q q_{f(n)}$ .

Throughout this paper, for each  $2 \leq \nu < \omega_1$  we fix an increasing sequence  $(\nu(n))_{n < \omega}$  of ordinals in  $\omega_1$  so that

- (1) if  $2 \leq \nu < \omega$ ,  $\nu(n) = \nu - 1$ ;
- (2)  $\omega(n) = n$  for  $n < \omega$ ;
- (3) if  $\nu$  is a limit ordinal, then  $\nu(n) \nearrow \nu$ ;
- (4) if  $\nu = \mu + m$  where  $\mu$  is a limit ordinal and  $m < \omega$ , then  $\nu(n) = \mu(n) + m$  for each  $n < \omega$ .

In [Bo], the power (or the right power)  $T(p)^\nu$  is defined for each  $0 < \nu < \omega_1$  and for  $p \in \omega^*$ . For our convenience, if  $0 < \nu < \omega_1$  and  $p \in \omega^*$ , then  $p^\nu$  stands for an arbitrary point in  $T(p)^\nu$ . The basic properties of Booth's powers of ultrafilters are summarized in the following lemma.

**Lemma 1.6.** *Let  $p, q \in \omega^*$ . Then*

- (1) (Booth [Bo]) if  $1 < \nu < \omega_1$ , then  $p^\nu \simeq_{\text{RK}} \Sigma_p p^{\nu(n)}$ ;
- (2) (Booth [Bo]) if  $0 < \mu < \nu < \omega_1$ , then  $p^\mu <_{\text{RK}} p^\nu$ ;
- (3) if  $p \leq_{\text{RK}} q$ , then  $p^\nu \leq_{\text{RK}} q^\nu$  for all  $0 < \nu < \omega_1$ ;
- (4) ([G-F<sub>2</sub>, 2.29]) if  $0 < \nu < \omega_1$  is a limit ordinal and  $\omega \leq \mu < \nu$ , then  $p \otimes p^\mu \leq_{\text{RK}} p^\nu$ ;
- (5)  $\forall 0 < \mu, \nu < \omega_1 \exists \theta < \omega_1 (p^\mu \otimes p^\nu \leq_{\text{RK}} p^\theta)$ ;
- (6)  $\forall 0 < \mu, \nu < \omega_1 \exists \theta < \omega_1 ((p^\mu)^\nu \leq_{\text{RK}} p^\theta)$ .

PROOF: The proofs of (3), (5) and (6) are similar to those given for 1.7 (3'), 1.7 (5') and 1.7 (6') below, respectively, and we omit them. □

We can also define a left exponentiation which will play an important role in the next section:

${}^2T(p) = T(p \otimes p)$  and  ${}^{n+1}T(p) = T(p) \otimes {}^nT(p)$  for  $n < \omega$ . If  ${}^\mu T(p)$  has been defined for all  $0 < \mu < \nu < \omega_1$  and  $\nu$  is a limit ordinal, then  ${}^\nu T(p) = T(\bar{e}(p))$ , where  $e : \omega \rightarrow \omega^*$  is an embedding with  $e(n) \in {}^{\nu(n)}T(p)$  for  $n < \omega$ . If  $\nu = \mu + 1$ , then  ${}^\nu T(p) = T(p) \otimes {}^\mu T(p)$  (the basic difference between the left power and Booth's power is that in [Bo]  $T(p)^{\mu+1}$  is defined by  $T(p)^\mu \otimes T(p)$ ). As above, if  $0 < \nu < \omega_1$  and  $p \in \omega^*$ , then  ${}^\nu p$  stands for an arbitrary point in  ${}^\nu T(p)$ . Observe that, because of associativity of  $\otimes$  on the set of types,  ${}^nT(p) = T(p)^n$  for every  $n < \omega$ , and therefore  ${}^\omega T(p) = T(p)^\omega$ . It is proved in [Bo, Corollary 2.23] that  $T(p)^{\omega+1} <_{\text{RK}} {}^{\omega+1}T(p)$ .

Some properties of the left power of ultrafilters and its relations with the right power are given in the next lemma.

**Lemma 1.7.** *Let  $p, q \in \omega^*$ . Then*

- (2') if  $0 < \mu < \nu < \omega_1$ , then  ${}^\mu p <_{\text{RK}} {}^\nu p$ ;
- (3') if  $p \leq_{\text{RK}} q$ , then  ${}^\nu p \leq_{\text{RK}} {}^\nu q$  for all  $0 < \nu < \omega_1$ ;
- (4'), (5')  $\forall 0 < \mu, \nu < \omega_1 \exists \theta < \omega_1 ({}^\mu p \otimes {}^\nu p \leq_{\text{RK}} {}^\theta p)$ ;
- (6')  $\forall 0 < \mu, \nu < \omega_1 \exists \theta < \omega_1 ({}^\mu ({}^\nu p) \leq_{\text{RK}} {}^\theta p)$ ;
- (7)  $\forall 0 < \mu < \omega \exists \theta, \tau < \omega_1 (p^\mu \leq_{\text{RK}} {}^\theta p \text{ and } {}^\mu p \leq_{\text{RK}} p^\tau)$ .

PROOF: (2') Since  ${}^\mu p \simeq_{\text{RK}} p^\mu$  for every  $0 < \mu \leq \omega$ , then by 1.6(2) we have:  ${}^\mu p <_{\text{RK}} {}^\nu p$  for all  $0 < \mu < \nu \leq \omega$ . Suppose that for every  $\mu < \lambda < \nu < \omega_1$  the inequality  ${}^\mu p <_{\text{RK}} {}^\lambda p$  holds. If  $\nu = \lambda + 1$ , then, by 1.5 (3),  ${}^\mu p \leq_{\text{RK}} {}^\lambda p <_{\text{RK}} p \otimes {}^\lambda p$

$\simeq_{\text{RK}} \nu p$ . Now, assume that  $\nu$  is a limit ordinal. Then there is  $N < \omega$  such that  $\mu < \nu(n)$  for every  $n > N$ . By induction hypothesis we have that  ${}^\mu p <_{\text{RK}} \nu(n)p$  for every  $n > N$ . So,  $\{n < \omega : {}^\mu p <_{\text{RK}} \nu(n)p\} \in p$ . Therefore, by 1.5(1), we obtain that  ${}^\mu p <_{\text{RK}} \mu+1 p \simeq_{\text{RK}} \Sigma_p \mu p <_{\text{RK}} \Sigma_p \nu(n)p \simeq_{\text{RK}} \nu p$ .

(3') First we shall show that there is  $g : \omega \rightarrow \omega$  onto such that  $g(m) \leq m$  for all  $m < \omega$  and  $\bar{g}(q) = p$ . We consider the following two cases:

I. There is no finite-to-one function  $f : \omega \rightarrow \omega$  for which  $\bar{f}(q) = p$ . Let  $g : \omega \rightarrow \omega$  be onto such that  $\bar{g}(q) = p$ . Assume that  $A = \{m < \omega : m < g(m)\} \in q$ . Then, there is  $N \in B = g[A]$  such that  $|g^{-1}(N) \cap A| = \omega$ . If  $m > N$  and  $m \in g^{-1}(N) \cap A$ , then  $g(m) = N < m$ , which is a contradiction. Therefore,  $\{m < \omega : g(m) \leq m\} \in q$ . We may assume that  $g(m) \leq m$  for all  $m < \omega$ .

II. There is a finite-to-one function  $f : \omega \rightarrow \omega$  such that  $\bar{f}(q) = p$ . Then, for each  $n < \omega$  we have that  $f^{-1}(n) = \{k_0^n, \dots, k_{r_n}^n\}$ . Define  $h : \omega \rightarrow \omega$  by  $h(n) = \min\{k_0^n, \dots, k_{r_n}^n\}$ . Notice that  $h$  is one-to-one. Put  $g = h \circ f$ . If  $m < \omega$  and  $f(m) = n$ , then  $g(m) = h(f(m)) = h(n) \leq m$  since  $m \in \{k_0^n, \dots, k_{r_n}^n\}$ . Since  $h$  is one-to-one, by [CN, 9.2 (b)],  $\bar{g}(q) = \bar{h}(\bar{f}(q)) = \bar{h}(p) \simeq_{\text{RK}} p$ . This proves our claim.

We now proceed by induction. By 1.5(4) we have that  ${}^n p \leq_{\text{RK}} {}^n q$  for all  $1 \leq n < \omega$ . Assume that  ${}^\mu p \leq_{\text{RK}} {}^\mu q$  for all  $\mu < \nu < \omega_1$ . If  $\nu = \mu + 1$ , by 1.5(4), we have that  ${}^\nu p \simeq_{\text{RK}} p \otimes {}^\mu p \leq_{\text{RK}} p \otimes {}^\mu q \simeq_{\text{RK}} {}^\nu q$ . Suppose that  $\nu$  is a limit ordinal. Let  $g : \omega \rightarrow \omega$  be such that  $g(n) \leq n$  for all  $n < \omega$  and  $\bar{g}(q) = p$ . By assumption, and using (2'), we have that  ${}^{\nu(n)} p \leq_{\text{RK}} {}^{\nu(n)} q$  and  ${}^{\nu(g(n))} q \leq_{\text{RK}} {}^{\nu(n)} q$ . From 1.5(5) and 1.5(1) it follows that  ${}^\nu p \simeq_{\text{RK}} \Sigma_p {}^{\nu(n)} p \leq_{\text{RK}} \Sigma_q {}^{\nu(g(n))} q \leq_{\text{RK}} \Sigma_q {}^{\nu(n)} q \simeq_{\text{RK}} {}^\nu q$ .

(4'), (5') We proceed by induction on  $\mu$ . By definition we have that  $p \otimes {}^\nu p \leq_{\text{RK}} {}^{\nu+1} p$  for every  $\nu < \omega_1$ . Assume that for each  $\nu < \omega_1$  and each  $\lambda < \mu < \omega_1$ , there is  $\theta < \omega_1$  for which  ${}^\lambda p \otimes {}^\nu p \leq_{\text{RK}} {}^\theta p$ . First, suppose that  $\mu = \lambda + 1$ , then by induction hypothesis there exists  $\theta < \omega_1$  such that  ${}^\mu p \otimes {}^\nu p \simeq_{\text{RK}} p \otimes ({}^\lambda p \otimes {}^\nu p) \leq_{\text{RK}} p \otimes {}^\theta p \simeq_{\text{RK}} {}^{\theta+1} p$ . Now, assume that  ${}^\mu p \simeq_{\text{RK}} \Sigma_p {}^{\mu(n)} p$ . By assumption, for each  $n < \omega$ , there is  $\lambda_n < \omega_1$  such that  ${}^{\mu(n)} p \otimes {}^\nu p \leq_{\text{RK}} {}^{\lambda_n} p$ . Set  $\lambda = \sup\{\lambda_n : n < \omega\}$ . Then,  ${}^{\mu(n)} p \otimes {}^\nu p \leq_{\text{RK}} {}^\lambda p$  for all  $n < \omega$ . Hence, by 1.5(2) and 1.5(1),  ${}^\mu p \otimes {}^\nu p \simeq_{\text{RK}} (\Sigma_p {}^{\mu(n)} p) \otimes {}^\nu p \simeq_{\text{RK}} \Sigma_p ({}^{\mu(n)} p \otimes {}^\nu p) \leq_{\text{RK}} p \otimes {}^\lambda p \simeq_{\text{RK}} {}^{\lambda+1} p$ .

(6') The proof is by induction on  $\mu$ . Suppose that for each  $\nu < \omega_1$  and each  $\lambda < \mu < \omega_1$  there is  $\theta$  for which  ${}^\lambda ({}^\nu p) \leq_{\text{RK}} {}^\theta p$ . If  $\mu = \lambda + 1$ , then by induction hypothesis there exists  $\delta < \omega_1$  such that  ${}^{\lambda+1} ({}^\nu p) \simeq_{\text{RK}} {}^\nu p \otimes {}^\lambda ({}^\nu p) \leq_{\text{RK}} {}^\nu p \otimes {}^\delta p$ . Because of (5') we can find  $\theta < \omega_1$  for which  ${}^\mu ({}^\nu p) \leq_{\text{RK}} {}^\nu p \otimes {}^\delta p \leq_{\text{RK}} {}^\theta p$ . If  $\mu$  is a limit ordinal we have that  ${}^\mu ({}^\nu p) \simeq_{\text{RK}} \Sigma_q {}^{\mu(n)} q$ , where  $q = {}^\nu p$ . By assumption, for each  $n < \omega$  there is  $\lambda_n$  such that  ${}^{\mu(n)} q \leq_{\text{RK}} {}^{\lambda_n} p$ . If we put  $\lambda = \sup\{\lambda_n : n < \omega\}$ , then  ${}^{\mu(n)} q \leq_{\text{RK}} {}^\lambda p$  and so  $\Sigma_q {}^{\mu(n)} q \leq_{\text{RK}} q \otimes {}^\lambda p$ . Applying (5') there is  $\theta < \omega_1$  such that  ${}^\mu ({}^\nu p) \simeq_{\text{RK}} \Sigma_q {}^{\mu(n)} q \leq_{\text{RK}} {}^\nu p \otimes {}^\lambda p \leq_{\text{RK}} {}^\theta p$ .

(7) We are going to prove the first inequality because the second one is shown in an analogous fashion. Assume that for each  $0 < \nu < \mu < \omega_1$  there is  $\theta < \omega_1$  such that  ${}^\nu p \leq_{\text{RK}} p^\theta$ . If  $\mu = \lambda + 1$ , then there is  $\delta < \omega_1$  such that  ${}^\mu p = {}^{\lambda+1} p \simeq_{\text{RK}} p \otimes {}^\lambda p \leq_{\text{RK}} p \otimes p^\delta$ . By 1.6(4), we can find  $\theta < \omega_1$  satisfying  ${}^\mu p \leq_{\text{RK}}$

$p \otimes p^\delta \leq_{\text{RK}} p^\theta$ . Let us suppose now that  ${}^\mu p \simeq_{\text{RK}} \Sigma_p \mu^{(n)} p$ . By induction hypothesis, for each  $n < \omega$ , there is  $\delta_n < \omega_1$  such that  $\mu^{(n)} p \leq_{\text{RK}} p^{\delta_n}$ . If  $\delta = \sup\{\delta_n : n < \omega\}$ , then  $\mu^{(n)} p \leq_{\text{RK}} p^\delta$  for all  $n < \omega$ . Thus, using 1.5(1) and 1.6(4), we obtain  ${}^\mu p \simeq_{\text{RK}} \Sigma_p \mu^{(n)} p \leq_{\text{RK}} \Sigma_p p^\delta \simeq_{\text{RK}} p \otimes p^\delta \leq_{\text{RK}} p^\theta$  for some  $\theta < \omega_1$ .  $\square$

Observe that we do not have a statement in 1.7 analogous to that in 1.6(1). In fact, because of 1.5(1) we obtain the following inequality:  $\Sigma_p^{(\omega+1)(n)} p \simeq_{\text{RK}} \Sigma_p^{\omega(n)+1} p \simeq_{\text{RK}} \Sigma_p^{n+1} p <_{\text{RK}} \Sigma_p^\omega p \simeq_{\text{RK}} p \otimes^\omega p \simeq_{\text{RK}} \omega^{+1} p$ .

**Notation 1.8.** For  $p \in \omega^*$  we put  $L(p) = \{\nu p : \nu < \omega_1\}$  and  $R(p) = \{p^\nu : \nu < \omega_1\}$ .

**2. SFU( $M$ )-spaces and WFU( $M$ )-spaces.**

The Fréchet-Urysohn spaces and sequential spaces can be generalized using  $p$ -limits as follows:

**Definition 2.1.** Let  $p \in \omega^*$  and  $X$  be a space. Then

- (1) (Comfort-Savchenko)  $X$  is a FU( $p$ )-space if for each  $A \subseteq X$  and  $x \in \text{Cl}(A)$  there is a sequence  $(x_n)_{n < \omega}$  in  $A$  such that  $x = p\text{-lim } x_n$ ;
- (2) (Kombarov [Ko])  $X$  is  $p$ -sequential if for every non-closed subset  $A$  of  $X$  there is  $x \in \text{Cl}(A) \setminus A$  and a sequence  $(x_n)_{n < \omega}$  in  $A$  such that  $x = p\text{-lim } x_n$ .

The  $p$ -limits and subsets of  $\omega^*$  can be used to produce the following classes of spaces, which are closely related to the FU( $p$ )-property.

**Definition 2.2** (Kočinac [Koč]). Let  $\emptyset \neq M \subseteq \omega^*$  and let  $X$  be a space. Then

- (1)  $X$  is a WFU( $M$ )-space if for  $A \subseteq X$  and  $x \in A^-$  there are  $p \in M$  and a sequence  $(x_n)_{n < \omega}$  in  $A$  such that  $x = p\text{-lim } x_n$ ;
- (2)  $X$  is a SFU( $M$ )-space if for  $A \subseteq X$  and  $x \in A^-$  there is a sequence  $(x_n)_{n < \omega}$  in  $A$  such that  $x = p\text{-lim } x_n$  for all  $p \in M$ .

Notice that the concept of SFU( $\omega^*$ )-space (resp. WFU( $\omega^*$ )-space) coincides with the concept of Fréchet-Urysohn space (resp. countable tightness). If  $p \in \omega^*$ , then SFU( $\{p\}$ )-space = WFU( $\{p\}$ )-space = FU( $p$ )-space. The fundamental properties of the notions given in 2.2 are stated in the next theorem.

**Theorem 2.3.** Let  $\emptyset \neq M \subseteq \omega^*$ . Then

- (1) if  $p \in M$ , SFU( $M$ )-space  $\Rightarrow$  FU( $p$ )-space  $\Rightarrow$  WFU( $M$ )-space;
- (2) SFU( $M$ )-space  $\Leftrightarrow$  SFU( $\text{Cl}_{\beta(\omega)}(M)$ )-space;
- (3) FU( $p$ )-space  $\Leftrightarrow$  WFU( $T(p)$ )-space, for  $p \in \omega^*$ ;
- (4) WFU( $M$ )-space  $\Rightarrow$  WFU( $\text{Cl}_{\beta(\omega)}(M)$ )-space.

For a nonempty closed subset  $M$  of  $\omega^*$ , we define  $\xi(M) = \omega \cup \{M\}$ , where  $\omega$  has the discrete topology and the neighborhood system of  $M$  is  $\{\{M\} \cup A : A \subseteq \omega \text{ and } M \subseteq A^*\}$ . Then  $\xi(M)$  is a WFU( $M$ )-space for each  $\emptyset \neq M \subseteq \omega^*$ . Observe that, for  $A \subset \omega$ ,  $M \in \text{Cl}_{\xi(M)}(A)$  iff there is  $p \in M$  such that  $A \in p$ , and if  $M$  is closed,

$$M = \bigcap \{B^* : B \subseteq \omega \text{ and } M \subseteq B^*\}.$$

This kind of spaces will supply some important examples. We are also going to analyze when  $\xi(M)$  is a SFU( $M$ )-space and when it is a Fréchet-Urysohn space.

**Lemma 2.4.** *Let  $M \subset \omega^*$  be closed. Then  $\xi(M)$  is a SFU( $M$ )-space iff for each  $A \subset \omega$  satisfying  $A^* \cap M \neq \emptyset$ , there exists  $f : \omega \rightarrow A$  such that  $\overline{f[M]} \subset M \cap A^*$ .*

PROOF: Necessity. Let  $A \subset \omega$  such that  $A^* \cap M \neq \emptyset$ . Thus,  $M \in \text{Cl}_{\xi(M)}(A)$ . Hence, there is a sequence  $(a_n)_{n < \omega}$  in  $A$  such that  $M = p\text{-lim } a_n$  for every  $p \in M$ . Let  $f : \omega \rightarrow A$  defined by  $f(n) = a_n$ . It is not difficult to see that  $\overline{f[M]} \subset M \cap A^*$ .

Sufficiency.  $M \in \text{Cl}_{\xi(M)}(A)$  implies that  $M \cap A^* \neq \emptyset$ . By hypothesis, there exists  $f : \omega \rightarrow A$  for which  $\overline{f[M]} \subset M \cap A^*$ . The sequence  $(f(n))_{n < \omega}$   $q$ -converges to  $M$  for every  $q \in M$ . □

Next, we give some equivalent conditions which guarantee that  $\xi(M)$  is Fréchet-Urysohn. The statement (1)  $\Leftrightarrow$  (2) below is due to Malyhin [M, Theorem 1].

**Theorem 2.5.** *Let  $M$  be a closed subset of  $\omega^*$ . Then the following statements are equivalent*

- (1)  $M$  is a regular closed subset of  $\omega^*$ ;
- (2)  $\xi(M)$  is a Fréchet-Urysohn space;
- (3)  $\xi(M)$  is a SFU( $M$ )-space and  $\text{In}_{\omega^*}(M) \neq \emptyset$ .

PROOF: (1)  $\Rightarrow$  (2). Assume that  $M = \text{Cl}_{\omega^*}(\text{In}_{\omega^*}(M))$  and  $M \in \text{Cl}_{\xi(M)}(A)$ . Then there is  $p \in M$  such that  $A \in p$ . We claim that  $A^* \cap \text{In}_{\omega^*}(M) \neq \emptyset$ . If not, then  $A^* \cap M = \emptyset$  which would be a contradiction. Let  $D \subseteq \omega$  such that  $D^* \subseteq A^* \cap \text{In}_{\omega^*}(M)$ . We may suppose that  $D \subseteq A$ . Enumerate faithfully  $D$  by  $\{d_n : n < \omega\}$ . We shall verify that  $d_n \rightarrow M$ . Let  $B \subseteq \omega$  be such that  $M \subseteq B^*$ . If  $|D \setminus B| = \omega$ , then there is  $q \in (D \setminus B)^* \subseteq D^* \subseteq M \subseteq B^*$ , but this is impossible. Thus,  $|D \setminus B| < \omega$  and so there is  $m < \omega$  such that  $d_n \in B$  for all  $m \leq n < \omega$ . This shows that  $d_n \rightarrow M$ .

(2)  $\Rightarrow$  (3). We only need to show that  $\text{In}_{\omega^*}(M) \neq \emptyset$ . By assumption there is a sequence  $(n_k)_{k < \omega}$  of positive integers such that  $n_k \rightarrow M$ . Set  $A = \{n_k : k < \omega\}$ . We claim that  $A^* \subset M$ . Indeed, let  $p \in A^*$  and suppose that  $p \notin M$ . Then we can find  $B \subset A$  such that  $B \in p$  and  $B^* \cap M = \emptyset$ . Since  $M \subset (\omega \setminus B)^*$ , there is  $m < \omega$  such that  $n_k \in A \setminus B$  whenever  $m \leq k < \omega$ , but this is impossible because  $B$  is an infinite subset of  $A$ .

(3)  $\Rightarrow$  (1). We shall verify that  $\text{In}_{\omega^*}(M)$  is dense in  $M$ . Fix  $p \in M$  and  $A \in p$ . Then  $M \in \text{Cl}_{\xi(M)}(A)$  and so there is a sequence  $(x_n)_{n < \omega}$  in  $A$  such that  $M = q\text{-lim } x_n$  for all  $q \in M$ . By hypothesis, there is  $B \subset \omega$  satisfying  $B^* \subset \text{In}_{\omega^*}(M)$ . If  $q \in B^*$ , then  $\{n < \omega : x_n \in B^*\} \in q$ . Hence,  $|A \cap B| = \omega$  and so  $\emptyset \neq A^* \cap B^* \subset A^* \cap \text{In}_{\omega^*}(M)$ . □

**Examples 2.6.** (1) If  $p \in \omega^*$ , then  $\xi(p)$  is a FU( $p$ )-space and not a SFU( $T(p)$ )-space.

(2) Let  $p, q \in \omega^*$  be RK-incomparable (see [CN, 10.4]). Then  $\xi(p)$  is a WFU( $\text{Cl}_{\beta(\omega)} T(q)$ )-space and not a WFU( $T(q)$ )-space since  $\xi(p)$  cannot be

a  $\text{FU}(q)$ -space (by [G-F<sub>1</sub>, 2.2]). Also,  $\xi(p)$  is not  $q$ -sequential and is a  $\text{WFU}(\{p, q\})$ -space; this shows that  $\text{WFU}(M)$ -space does not imply  $r$ -sequential for  $r \in M$ .

(3) If  $p, q \in \omega^*$ , and  $p$  is not  $\simeq_{\text{RK}}$ -equivalent to  $q$ , then  $\xi(\{p, q\})$  is not a  $\text{SFU}(\{p, q\})$ -space.

(4) Let  $p \in \omega^*$  and  $\{p_n : n < \omega\}$  be a discrete subset of  $T(p)$ . If  $M = \text{Cl}_{\omega^*}(\{p_n : n < \omega\})$ , then  $\xi(M)$  is a  $\text{SFU}(M)$ -space and is not Fréchet-Urysohn. In fact, since  $\text{In}_{\omega^*}(M) = \emptyset$ ,  $\xi(M)$  cannot be Fréchet-Urysohn (2.5). Since  $\{p_n : n < \omega\}$  is discrete, we can find a partition  $\{A_n : n < \omega\}$  of  $\omega$  such that  $A_n \in p_n$  for each  $n < \omega$ . Let  $A \subset \omega$  be such that  $A^* \cap M \neq \emptyset$ . Choose  $r \in A^* \cap M$ . Without loss of generality, we may assume that  $r \neq p_n$  for all  $n < \omega$ . Then there is  $m < \omega$  such that  $p_m \in A^*$ . Since  $p_n \simeq_{\text{RK}} p_m$  and  $p_n \in A^* \cap A_n^*$ , for each  $m \neq n < \omega$ , there is a bijection  $\sigma_n : A_n \rightarrow A$  such that  $\bar{\sigma}_n(p_n) = p_m$ . Define  $\sigma = \bigcup_{m \neq n < \omega} \sigma_n : \omega \rightarrow A$ . Then we have that  $\bar{\sigma}[M] = \{p_m\} \in A^* \cap M$  and the conclusion follows from 2.4.

In the next theorem, we will show that the  $\text{WFU}(L(\nu p))$ -property agrees with the  $\text{WFU}(R(p^\mu))$ -property for each  $0 < \nu, \mu < \omega_1$ . First, we prove a lemma.

**Lemma 2.7.** *Let  $N, M \subseteq \omega^*$  such that  $N \neq \emptyset \neq M$  and  $\forall p \in M \exists q \in N (p \leq_{\text{RK}} q)$ . Then every  $\text{WFU}(M)$ -space is a  $\text{WFU}(N)$ -space.*

PROOF: Let  $X$  be a  $\text{WFU}(M)$ -space and  $A \subseteq X$ . Fix  $x \in \text{Cl}(A)$ . Then, there is a sequence  $(x_n)_{n < \omega}$  in  $A$  and  $p \in M$  such that  $x = p\text{-lim } x_n$ . By assumption, there is  $q \in N$  such that  $p \leq_{\text{RK}} q$ . Let  $f : \omega \rightarrow \omega$  be a surjection such that  $\bar{f}(q) = p$ . By 1.2, we have that  $x = q\text{-lim } x_{f(n)}$ . Thus,  $x$  is a  $\text{WFU}(N)$ -space.  $\square$

**Theorem 2.8.** *If  $p \in \omega^*$  and  $0 < \nu, \mu < \omega_1$ , then a space  $X$  is  $\text{WFU}(L(\nu p))$ -space iff it is a  $\text{WFU}(R(p^\mu))$ -space.*

PROOF: By 1.7 (6'), 1.7 (7), 1.5 (3) and 1.8 (6) for each  $\nu, \mu, \theta < \omega_1$  there are  $\gamma, \tau < \omega_1$  such that  $\theta(\nu p) \leq_{\text{RK}} (p^\mu)^\gamma \leq_{\text{RK}} \tau(\nu p)$ . Then the conclusion is a consequence of 2.7.  $\square$

### 3. $p$ -sequential $p$ -compact spaces.

We saw in 2.6 (2) that a  $\text{WFU}(M)$ -space is not necessarily  $r$ -sequential whenever  $r \in M$ . There are also  $r$ -sequential spaces with  $r \in M \subset \omega^*$ , which are not  $\text{WFU}(M)$ -spaces; for instance, every  $p$ -sequential which is not a  $\text{FU}(p)$ -space, for  $p \in \omega^*$  (see [G-F<sub>1</sub>]). The situation is quite different in the class of  $p$ -compact spaces when  $M = L(p)$ , as we shall prove in this section (3.8). First some preliminary lemmas and definitions.

**Definition 3.1.** Let  $p$  be a free ultrafilter on  $\omega \times \omega$  and  $(x_{n,m})_{n,m < \omega}$  a bisequence in a space  $X$ . Then we say  $x = p\text{-lim } x_{n,m}$  if for every  $V \in \mathcal{N}(x)$  we have that  $\{(n, m) \in \omega \times \omega : x_{n,m} \in V\} \in p$ .

**Lemma 3.2.** *Let  $p, q_n \in \omega^*$ , for  $n < \omega$ , and let  $(x_{n,m})_{n,m < \omega}$  be a bisequence in a space  $X$ . If  $q_n\text{-lim}_{m \rightarrow \infty} x_{n,m}$  exists for all  $n < \omega$ , then  $x = (\Sigma_p q_n)\text{-lim } x_{n,m}$  iff*

$$x = p\text{-lim}_{n \rightarrow \infty} (q_n\text{-lim}_{m \rightarrow \infty} x_{n,m}).$$



PROOF: Necessity. Assume that  $x \neq p\text{-}\lim_{n \rightarrow \infty} (q_n\text{-}\lim_{m \rightarrow \infty} x_{n,m})$ . Then there is  $V \in \mathcal{N}(x)$  such that  $\{n < \omega : q_n\text{-}\lim_{m \rightarrow \infty} x_{n,m} \notin \text{Cl}(V)\} \in p$ . By assumption,  $A = \{(n, m) \in \omega \times \omega : x_{n,m} \in V\} \in \Sigma_p q_n$ ; that is,  $\{n < \omega : \{m < \omega : x_{n,m} \in V\} \in q_n\} \in p$ . Thus, there is  $N < \omega$  such that  $q_N\text{-}\lim_{m \rightarrow \infty} x_{N,m} \notin \text{Cl}(V)$  and  $\{m < \omega : x_{N,m} \in V\} \in q_N$ , but this is a contradiction.

Sufficiency. If  $V \in \mathcal{N}(x)$ , then  $\{n < \omega : q_n\text{-}\lim_{m \rightarrow \infty} x_{n,m} \in V\} \in p$  and hence  $\{n < \omega : \{m < \omega : x_{n,m} \in V\} \in q_n\} \in p$ . Thus,  $\{(n, m) \in \omega \times \omega : x_{n,m} \in V\} \in \Sigma_p q_n$ . Therefore,  $x = (\Sigma_p q_n)\text{-}\lim x_{n,m}$ . □

We remark that the conclusion of 3.2 does not hold if we drop the condition  $q_n\text{-}\lim_{m \rightarrow \infty} x_{n,m}$  exists for each  $n < \omega$ . For instance, in the space  $\xi(p \otimes p) = \omega \times \omega \cup \{p \otimes p\}$  we have that  $p \otimes p = p \otimes p\text{-}\lim(n, m)$ , but  $p\text{-}\lim_{n \rightarrow \infty}(n, m)$  does not exist for each  $n < \omega$ .

**Definition 3.3.** Let  $X$  be a space,  $A \subset X$  and  $p \in \omega^*$ . We put  $A_{p,0} = A$ , and, if  $A_{p,\lambda}$  is already defined for every  $\lambda < \mu \leq \omega_1$ , then  $A_{p,\mu} = \{x \in X : x = p\text{-}\lim x_n \text{ for some sequence } (x_n)_{n < \omega} \text{ in } \bigcup_{\lambda < \mu} A_{p,\lambda}\}$ . When it is clear what  $p$  we are talking about, we write  $A_\lambda$  instead of  $A_{p,\lambda}$ . We also define  $L(q, A) = \{x \in X : x = q\text{-}\lim x_n \text{ for some } (x_n)_{n < \omega} \subset A\}$ . Because of 1.2, if  $p \leq_{\text{RK}} q$ , then  $L(p, A) \subset L(q, A)$ .

We omit the proof of the next easy lemma.

**Lemma 3.4.** Let  $p \in M \subseteq \omega^*$ , and let  $X$  be a space. Then

- (1)  $X$  is  $p$ -sequential iff for every  $A \subset X$ ,  $\text{Cl}_X(A) = \bigcup_{\lambda < \omega_1} A_{p,\lambda}$ ;
- (2)  $X$  is a  $\text{WFU}(M)$ -space iff for every  $A \subset X$ ,  $\text{Cl}_X(A) = \bigcup_{p \in M} L(p, A)$ ;
- (3)  $X$  is a  $\text{FU}(p)$ -space iff for every  $A \subset X$ ,  $\text{Cl}_X(A) = L_{p,A}$ .

**Definition 3.5.** Let  $p \in \omega^*$ . A  $p$ -sequential space  $X$  has a degree of  $p$ -sequentiality equal to  $\mu \leq \omega_1$  if  $\mu$  is the least ordinal such that for every  $A \subset X$ ,  $\text{Cl}_X(A) = A_\mu$  (see the notation in 3.3).

**Theorem 3.6.** For  $p \in \omega^*$ , every  $p$ -sequential space is a  $\text{WFU}(L(p))$ -space. Moreover, if  $X$  has a degree of  $p$ -sequentiality equal to  $\mu < \omega_1$  (resp.  $0 < \mu < \omega$ ) then  $X$  is a  $\text{FU}(\mu^{+1}p)$ -space (resp.  $\text{FU}(\mu p)$ -space).

PROOF: Let  $p \in \omega^*$ ,  $X$  a  $p$ -sequential space and  $A \subseteq X$ . In order to prove all the statements in the theorem, it is enough to show that  $A_\lambda \subset L(\lambda^{+1}p, A)$  for every  $0 < \lambda < \omega_1$ , and  $A_\lambda \subset L(\lambda p, A)$  if  $0 < \lambda < \omega$  (see 3.4). We proceed by induction. Evidently,  $A_1 \subset L(p, A)$ . Suppose that for every  $\lambda < \mu < \omega_1$ ,  $A_\lambda \subset L(\lambda^{+1}p, A)$  (resp. for every  $0 < \lambda < \mu < \omega$ ,  $A_\lambda \subset L(\lambda p, A)$ ). Let  $x \in A_\mu$ , so  $x = p\text{-}\lim_{n \rightarrow \infty} x_n$ , where  $x_n \in \bigcup_{\lambda < \mu} A_\lambda$  for all  $n < \omega$ . For each  $n < \omega$  there is  $\lambda_n < \mu$  such that  $x_n \in A_{\lambda_n}$ . Let  $\nu = \sup\{\lambda_n : n < \omega\}$ . By hypothesis  $x_n \in L(\nu p, A)$  for every  $n < \omega$ . Then, for each  $n < \omega$  there exists a sequence  $(x_{n,m})_{m < \omega} \subset A$  such that  $x_n = \nu p\text{-}\lim_{m \rightarrow \infty} x_{n,m}$ . Then, because of 3.2,  $x = p\text{-}\lim_{n \rightarrow \infty} (\nu p\text{-}\lim_{m \rightarrow \infty} x_{n,m}) = \nu^{+1}p\text{-}\lim x_{n,m}$ ; that is,  $x \in L(\nu^{+1}p, A) \subset L(\mu p, A)$  if  $0 < \mu < \omega$ , and  $x \in L(\nu^{+1}p, A) \subset L(\mu^{+1}p, A)$  if  $\omega \leq \mu < \omega_1$ . □

The following lemma is a direct consequence of [G-F<sub>2</sub>, 2.7 (3)], 1.2 and 1.7 (7).

**Lemma 3.7.** For  $p \in \omega^*$  and  $0 < \nu < \omega_1$ ,  $p$ -compactness,  $\nu p$ -compactness and  $p^\nu$ -compactness are equivalent.

We are ready now to prove that the converse of Theorem 3.6 holds in the class of  $p$ -compact spaces.

**Theorem 3.8.** Let  $p \in \omega^*$ . If  $X$  is a  $p$ -compact,  $\text{WFU}(L(p))$ -space, then  $X$  is  $p$ -sequential. In addition, if  $X$  is a  $\text{FU}(\mu p)$ -space for some  $0 < \mu < \omega_1$ , then  $X$  has a degree of  $p$ -sequentiality  $\leq \mu$ .

PROOF: Let  $A \subset X$ . We will prove by induction that for every  $0 < \lambda < \omega_1$ ,  $L(\lambda p, A) \subset A_\lambda$  (see the definition in 3.4). It is clear that  $A_1 = L(p, A)$ . Assume that, for every  $0 < \lambda < \mu < \omega_1$ , we have  $L(\lambda p, A) \subset A_\lambda$ . Let  $x \in L(\mu p, A)$ , then  $x = {}^\mu p\text{-}\lim_{n \rightarrow \infty} x_n$  for some sequence  $(x_n)_{n < \omega}$  in  $A$ . First, suppose that  $\mu = \lambda + 1$ , so  $x = p \otimes {}^\lambda p\text{-}\lim x_{n,m}$  where  $x_{n,m} \in A$  for all  $n, m < \omega$  (this is possible because of 1.2). Since  $X$  is  $p$ -compact, by 3.7,  $X$  is  ${}^\lambda p$ -compact and so  ${}^\lambda p\text{-}\lim_{m \rightarrow \infty} x_{n,m}$  exists for each  $n < \omega$ . In virtue of 3.2,  $x = p\text{-}\lim_{n \rightarrow \infty} ({}^\lambda p\text{-}\lim_{m \rightarrow \infty} x_{n,m})$ . By induction hypothesis, we have that, for each  $n < \omega$ ,  $y_n = {}^\lambda p\text{-}\lim_{m \rightarrow \infty} x_{n,m} \in A_\lambda$ . Therefore,  $x = p\text{-}\lim_{n \rightarrow \infty} y_n \in A_{\lambda+1} = A_\mu$ .

Now assume that  $\mu$  is a limit ordinal. So  ${}^\mu p \simeq_{\text{RK}} \Sigma_p {}^{\mu(n)} p$  and hence, by 1.2,  $x = \Sigma_p {}^{\mu(n)} p\text{-}\lim x_{n,m}$  where  $x_{n,m} \in A$  for all  $n, m < \omega$ . According to 3.7,  $X$  is  ${}^{\mu(n)} p$ -compact for all  $n < \omega$ . Then,  ${}^{\mu(n)} p\text{-}\lim_{m \rightarrow \infty} x_{n,m}$  exists for each  $n < \omega$ . By 3.2,  $x = p\text{-}\lim_{n \rightarrow \infty} ({}^{\mu(n)} p\text{-}\lim_{m \rightarrow \infty} x_{n,m})$ . By assumption, for each  $n < \omega$ ,  $y_n = {}^{\mu(n)} p\text{-}\lim_{m \rightarrow \infty} x_{n,m} \in A_{\mu(n)}$ . Therefore,  $x \in A_\mu$ , and so  $L(\mu p, A) \subset A_\mu$ .  $\square$

As a direct consequence of 2.8, 3.6 and 3.8 we have:

**Corollary 3.9.** Let  $p \in \omega^*$ ,  $0 < \nu < \omega_1$  and  $X$  be a  $p$ -compact space. Then the following are equivalent

- (a)  $X$  is  $p$ -sequential;
- (b)  $X$  is  $\nu p$ -sequential;
- (c)  $X$  is  $p^\nu$ -sequential.

Observe that if  $p \in \omega^*$ , then  $\xi(p^2)$  is  $p^2$ -sequential, but it is not  $p$ -sequential, by [G-F<sub>1</sub>, 2.2].

If we assume CH, then the situation for  $p$ -compact  $\text{FU}(p)$ -spaces is quite different to that described in 3.9. In fact, Boldjiev and Malyhin [BM] have shown that, under CH, for every  $P$ -point  $p$  of  $\omega^*$  there is a compact Franklin space  $X_p$  (this space is constructed from a suitable almost disjoint family on  $\omega$ ) which is a compact  $\text{FU}(p^2)$ -space and is not a  $\text{FU}(p)$ -space. The answer to the following question remains unknown.

**Question 3.10.** Does ZFC imply that there is a  $p$ -compact,  $\text{FU}(p^2)$ -space which is not a  $\text{FU}(p)$ -space, for each  $p \in \omega^*$ ?

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