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## INFINITESIMAL AFFINE DEFORMATIONS OF SUBMANIFOLDS OF A RIEMANNIAN MANIFOLD\*

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0. Let  $M^m$  be an  $m$ -dimensional submanifold of an  $n$ -dimensional Riemannian manifold  $M^n$ .

In the present paper we study the infinitesimal affine deformations of submanifolds of a Riemannian manifold.

In Theorem 1 and Theorem 3 we answer the following question: when an infinitesimal affine deformation of a submanifold  $M^m$  is infinitesimal isometric or infinitesimal volume preserving.

In Theorem 4 and Theorem 5, conditions have been found in which a hypersurface  $M^m$  does not allow non-trivial infinitesimal affine deformations.

All manifolds, tensors and maps are assumed to be  $C^\infty$ .

All manifolds are assumed connected.

1. Let  $M^n$  be an  $n$ -dimensional Riemannian manifold covered by a system of coordinate neighbourhoods  $\{U, x^h\}$ . Let means  $g_{ij}$ ,  $\Gamma_{ij}^k$ ,  $\nabla_i$ ,  $R_{ijk}^h$  and  $R_{ij}$ , the metric tensor, the Christoffel symbols formed with  $g_{ij}$ , the operator of covariant differentiation with respect to  $\Gamma_{kj}^i$ , the curvature tensor and the Ricci tensor of  $M^n$  respectively. The indices  $i, j, k, \dots$  assume the values  $1, 2, \dots, n$ .

Let  $M^m$  be an  $m$ -dimensional Riemannian manifold, covered by a system of coordinate neighbourhoods  $\{V, u^\alpha\}$  and let by  $g_{\alpha\beta}$ ,  $\Gamma_{\delta\beta}^\alpha$ ,  $\nabla_\alpha$ ,  $R_{\alpha\beta\gamma}^\delta$  and  $R_{\alpha\beta}$  the corresponding quantities of  $M^m$  be denoted. The indices  $\alpha, \beta, \gamma, \delta, \dots$  run over the range  $1, 2, \dots, m$ .

We suppose that the manifold  $M^m$  is isometrically immersed in  $M^n$  by the immersion  $r: M^m \rightarrow M^n$  and we identify  $r(M^m)$  with  $M^m$ .

We represent the immersion  $r$  by

$$(1.1) \quad x^h = x^h(u^\alpha)$$

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and denote

$$(1.2) \quad B_\alpha^i = \frac{\partial x^i}{\partial u^\alpha},$$

$B_\alpha^i$  are  $m$  linearly independent vectors of  $M^n$  tangent to  $M^m$ .

Since the immersion is isometric, we have

$$(1.3) \quad g_{\alpha\beta} = g_{ij} B_\alpha^i B_\beta^j.$$

We denote by  $N_\lambda^h$  ( $\lambda = m + 1, m + 2, \dots, n$ )  $n - m$  mutually orthogonal unit normals to  $M^m$ , and by  $D: I \times M^m \rightarrow M^n$ ,  $I = (-\varepsilon, \varepsilon)$   $\varepsilon > 0$  an arbitrary deformation of  $M^m$ . Then the field  $z^h$  of the deformation  $D$  can be represented as:

$$(1.4) \quad z^h = \zeta^\alpha B_\alpha^h + \zeta^\lambda N_\lambda^h,$$

where  $\zeta^\alpha$  ( $\alpha = 1, 2, \dots, m$ ) and  $\zeta^\lambda$  ( $\lambda = m + 1, \dots, n$ ) are tangential and normal components of the field of deformation  $z^h$ , respectively.

We call a deformation  $D$  of the submanifold  $M^m$  trivial, when the field of the deformation  $z^h$  is identically equal to zero.

If the deformation vector  $z^h$  is tangent to the submanifold, we say that the deformation is tangential (i.e.  $\zeta^\lambda = 0$ ).

If the deformation vector  $z^h$  is normal to the submanifold, we say that the deformation is normal (i.e.  $\zeta^\alpha = 0$ ).

A deformation  $D$  of  $M^m$  is then and only then [2]

a) infinitesimal isometric, when the components  $\zeta^\alpha$  and  $\zeta^\lambda$  of the field of deformation  $z^h$  satisfy the following system of equations:

$$(1.5) \quad \nabla_\alpha \zeta_\beta + \nabla_\beta \zeta_\alpha - 2h_{\alpha\beta\lambda} \zeta^\lambda = 0,$$

where  $h_{\alpha\beta}^\lambda$  are the second fundamental tensors of  $M^m$  with respect to the normals  $N_\lambda^h$ ;  $h_{\alpha\lambda}^\beta = g^{\beta\delta} h_{\alpha\delta\lambda}$ ;  $h_\lambda = h_{\alpha\lambda}^\alpha = g^{\alpha\beta} h_{\alpha\beta\lambda}$ .

b) infinitesimal affine, when  $\zeta^\alpha$  and  $\zeta^\lambda$  satisfy the system of equations:

$$(1.6) \quad \nabla_\gamma \nabla_\beta \zeta_\alpha + R_{\varepsilon\gamma\beta\alpha} \zeta^\varepsilon = \nabla_\gamma (h_{\beta\alpha\lambda} \zeta^\lambda) + \nabla_\beta (h_{\alpha\gamma\lambda} \zeta^\lambda) - \nabla_\alpha (h_{\beta\gamma\lambda} \zeta^\lambda).$$

c) infinitesimal volume preserving, if  $\zeta^\alpha$  and  $\zeta^\lambda$  satisfy:

$$(1.7) \quad \nabla_\alpha \zeta^\alpha = h_\lambda \zeta^\lambda.$$

**2. Theorem 1.** *If an infinitesimal affine deformation of a submanifold  $M^m$  of a Riemannian manifold  $M^n$  is infinitesimal isometric at least at one point of  $M^m$ , then this affine deformation is isometric on the whole  $M^m$ .*

**Proof:** From equation (1.6) and

$$(2.1) \quad \nabla_\gamma \nabla_\alpha \zeta_\beta + R_{\varepsilon\gamma\alpha\beta} \zeta^\varepsilon = \nabla_\gamma (h_{\alpha\beta\lambda} \zeta^\lambda) + \nabla_\alpha (h_{\gamma\beta\lambda} \zeta^\lambda) - \nabla_\beta (h_{\alpha\gamma\lambda} \zeta^\lambda)$$

in view of  $-R_{\varepsilon\gamma\beta\alpha} = R_{\varepsilon\gamma\alpha\beta}$  we obtain

$$(2.2) \quad \nabla_\gamma (\nabla_\beta \zeta_\alpha + \nabla_\alpha \zeta_\beta - 2h_{\alpha\beta\lambda} \zeta^\lambda) = 0.$$

If we denote

$$(2.3) \quad T_{\alpha\beta} = \nabla_{\beta}\zeta_{\alpha} + \nabla_{\alpha}\zeta_{\beta} - 2h_{\alpha\beta\lambda}\zeta^{\lambda},$$

then

$$(2.4) \quad \nabla_{\gamma}T_{\alpha\beta} = 0, \quad T_{\alpha\beta} = T_{\beta\alpha} \quad \text{and} \quad T^{\alpha\beta} = T_{\varepsilon\delta}g^{\varepsilon\alpha}g^{\delta\beta}.$$

We multiply (1.6) by  $T^{\alpha\beta}$

$$(2.5) \quad T^{\alpha\beta} \nabla_{\gamma}(\nabla_{\beta}\zeta_{\alpha} - h_{\alpha\beta\lambda}\zeta^{\lambda}) = 0.$$

From (2.4) and (2.5) we have

$$(2.6) \quad T^{\alpha\beta}(\nabla_{\beta}\zeta_{\alpha} - h_{\alpha\beta\lambda}\zeta^{\lambda}) = C_1,$$

where  $C_1$  is a global constant, since  $M^m$  is connected.

Since  $T^{\alpha\beta} = T^{\beta\alpha}$ , we can write (2.6) in the form

$$(2.7) \quad T^{\alpha\beta}T_{\alpha\beta} = 2C_1.$$

The rest of the proof follows easily from the assumptions.

From this theorem we obtain some corollaries.

**Corollary 1.** *If  $\zeta^h = \zeta^{\alpha}B_{\alpha}^h + \zeta^{\lambda}N_{\lambda}^h$  is a deformation vector field of an infinitesimal affine deformation, then the tensor  $T_{\alpha\beta}$  has a constant length.*

**Corollary 2.** *If  $z^h = \zeta^{\alpha}B_{\alpha}^h + \zeta^{\lambda}N_{\lambda}^h$  is a deformation vector field of an infinitesimal affine deformation, then*

$$(2.8) \quad \frac{1}{2}(\nabla_{\alpha}\zeta_{\beta} + \nabla_{\beta}\zeta_{\alpha})(\nabla^{\alpha}\zeta^{\beta} + \nabla^{\beta}\zeta^{\alpha}) \geq 4h_{\alpha\beta\lambda}\zeta^{\lambda}\nabla^{\alpha}\zeta^{\beta} - 2h_{\alpha\beta\lambda}h_{\mu}^{\alpha\beta}\zeta^{\lambda}\zeta^{\mu}.$$

The equality is valid only if the deformation is infinitesimally isometric.

**Theorem 2.** *If  $z^h = \zeta^{\alpha}B_{\alpha}^h + \zeta^{\lambda}N_{\lambda}^h$  is a deformation vector of an infinitesimal affine, deformation of a non-totally geodesic compact orientable submanifold  $M^m$  of an orientable Riemannian manifold  $M^n$ , then*

$$(2.9) \quad \int_{M^n} h_{\alpha\beta\lambda}h_m^{\alpha\beta}\zeta^{\lambda}\zeta^m dV \geq \int_{M^n} h_{\alpha\beta\lambda}\zeta^{\lambda}\nabla^{\alpha}\zeta^{\beta} dV.$$

The equality is fulfilled only if the deformation is infinitesimally isometric.

Proof: By Green's theorem and equality (2.4) it follows

$$(2.10) \quad 0 = \int_{M^m} \nabla^{\beta}(T_{\alpha\beta}\zeta^{\alpha}) dV = \int_{M^m} T_{\alpha\beta} \nabla^{\alpha}\zeta^{\beta} dV.$$

From this equality in view of (2.6) and (2.7) we have

$$(2.11) \quad \int_{M^m} \frac{1}{2} T_{\alpha\beta}T^{\alpha\beta} dV = \int_{M^m} \{2h_{\alpha\beta\lambda}h_m^{\alpha\beta}\zeta^{\lambda}\zeta^m - 2h_{\alpha\beta\lambda}\zeta^{\lambda}\nabla^{\alpha}\zeta^{\beta}\} dV.$$

From (2.11) it follows that

$$\int_{M^m} 2h_{\alpha\beta\lambda} h_m^{\alpha\beta} \zeta^\lambda \zeta^m dV \geq \int_{M^n} 2h_{\alpha\beta\lambda} \zeta^\lambda \nabla^\alpha \zeta^\beta dV.$$

The theorem is proved.

If we take into consideration

$$(2.12) \quad \frac{1}{2} T_{\alpha\beta} T^{\alpha\beta} = \frac{1}{2} (\nabla_\alpha \zeta_\beta + \nabla_\beta \zeta_\alpha) (\nabla^\alpha \zeta^\beta + \nabla^\beta \zeta^\alpha) - 4h_{\alpha\beta\lambda} \zeta^\lambda \nabla^\alpha \zeta^\beta + 2h_{\alpha\beta\lambda} h_\mu^{\alpha\beta} \zeta^\lambda \zeta^\mu,$$

then from (2.11) we have

**Corollary 1.** *If  $z^h$  is deformation vector of an infinitesimal affine deformation of a compact orientable submanifold  $M^m$  of an orientable Riemannian manifold  $M^n$ , then*

$$(2.13) \quad \int_{M^n} h_{\alpha\beta\lambda} \zeta^\lambda \nabla^\alpha \zeta^\beta dV \geq 0.$$

**Theorem 3.** *An infinitesimal affine deformation of a minimal compact orientable submanifold  $M^m$  of an orientable Riemannian manifold  $M^n$  is necessarily infinitesimal volume preserving.*

**Proof:** If a submanifold is minimal, then

$$(2.14) \quad h_{\alpha\lambda}^{\alpha} = h_{\lambda} = 0.$$

From equation (1.6) we can get the following equalities:

$$(2.15) \quad \nabla^\beta \nabla_\beta \zeta_\alpha + R_{\beta\alpha} \zeta^\beta = 2 \nabla^\beta (h_{\alpha\beta\lambda} \zeta^\lambda) - \nabla_\alpha (h_\lambda \zeta^\lambda),$$

$$(2.16) \quad \nabla_\alpha \zeta^\alpha = h_\lambda \zeta^\lambda + C,$$

where  $C$  is a global constant, since  $M^m$  is connected.

From (2.14) and (2.16) it follows

$$(2.17) \quad \nabla_\alpha \zeta^\alpha = C.$$

Since the submanifold  $M^m$  is compact and orientable, then

$$(2.18) \quad \int_{M^m} \nabla_\alpha \zeta^\alpha dV = 0.$$

From (2.17) and (2.18) we obtain that  $C \equiv 0$ .

**Theorem 4.** *Let  $M^m$  be a non-minimal compact orientable hypersurface of an orientable Riemannian manifold  $M^n$ . If the submanifold  $M^m$  satisfies the conditions*

a) *the second fundamental tensor  $h_{\alpha\beta}$  is parallel, i.e.*

$$\nabla_\gamma h_{\alpha\beta} = 0,$$

b) *the quadratic form with the components  $R_{\alpha\beta}$  of the Ricci tensor as coefficients is negatively definite, then  $M^m$  does not allow non-trivial infinitesimal affine deforma-*

tion for which the divergence of the tangential component of the deformation vector is equal to zero and the deformation vector is tangent to  $M^m$  at least at one point of  $M^m$ .

Proof: Let us suppose that  $M^m$  allows non-trivial infinitesimal affine deformations. Then  $\zeta^\alpha$  and  $\psi$  do not vanish at the same time and satisfy the equation (1.6).

The equation (1.6) in view of condition  $\nabla_\gamma h_{\alpha\beta} = 0$  becomes

$$(2.19) \quad \nabla_\gamma \nabla_\beta \zeta_\alpha + R_{\gamma\beta\alpha}{}^\epsilon \zeta^\epsilon = h_{\beta\alpha} \nabla_\gamma \psi + h_{\gamma\alpha} \nabla_\beta \psi - h_{\beta\gamma} \nabla_\alpha \psi.$$

From (2.19) we can get the following equations:

$$(2.20) \quad \nabla^\beta \nabla_\beta \zeta_\alpha + R_{\alpha\epsilon}{}^\beta \zeta^\epsilon = 2h_\alpha^\beta \nabla_\beta \psi - h \nabla_\alpha \psi,$$

$$(2.21) \quad \nabla_\alpha \zeta^\alpha = h\psi + C,$$

where  $C$  is a global constant.

Since the divergence of the vector  $\zeta^\alpha$  is equal to zero we have

$$(2.22) \quad \nabla_\alpha \zeta^\alpha = 0.$$

From (2.21) by virtue of (2.22) and  $\nabla_\gamma h_{\alpha\beta} = 0$  we obtain

$$(2.23) \quad h \nabla_\alpha \psi = 0.$$

The hypersurface  $M^m$  is not minimal, i.e.  $h \neq 0$ . Then from (2.23) it follows that

$$(2.24) \quad \psi = \bar{C},$$

where  $\bar{C}$  is a constant.

The equality (2.19) in view of (2.24) becomes

$$(2.25) \quad \nabla_\gamma \nabla_\beta \zeta_\alpha + R_{\gamma\beta\alpha}{}^\epsilon \zeta^\epsilon = 0,$$

which shows that  $\zeta^\alpha$  is an affine Killing vector. Since  $M^m$  is compact and orientable,  $\zeta^\alpha$  is also a Killing vector:

$$(2.26) \quad \nabla_\alpha \zeta_\beta + \nabla_\beta \zeta_\alpha = 0.$$

For a compact orientable submanifold  $M^m$  the following integral formula is valid

$$(2.27) \quad \int_{M^m} \{R_{\alpha\beta}{}^{\gamma\delta} \zeta^\alpha \zeta^\beta + \nabla^\alpha \zeta^\beta \nabla_\beta \zeta_\alpha - (\nabla_\alpha \zeta^\alpha)^2\} dV = 0,$$

for any vector  $\zeta^\alpha$  in  $M^m$  [3].

From (2.26), (2.22) and (2.27) we have

$$(2.28) \quad \int_{M^m} R_{\alpha\beta}{}^{\gamma\delta} \zeta^\alpha \zeta^\beta dV = \int_{M^m} \nabla^\alpha \zeta^\beta \nabla_\alpha \zeta_\beta dV.$$

This equality, considering condition b) of the theorem, is fulfilled only if  $\zeta^\alpha$  is identically equal to zero. The theorem is proved.

**Corollary 1.** *If a hypersurface  $M^m$  satisfies the conditions of the theorem, then  $M^m$  does not allow non-trivial infinitesimal affine deformations for which the tangential component of the deformation vector is a harmonic vector and the deformation vector is tangent to  $M^m$  at least at one point.*

**Corollary 2.** *A compact orientable hypersurface  $M^m$  of an orientable Riemannian manifold  $M^n$  does not allow non-trivial tangential infinitesimal affine deformation if the Ricci form  $R_{\alpha\beta}$  is negatively definite.*

**Theorem 5.** *Let  $M^m$  be a non-minimal compact orientable hypersurface of an orientable Riemannian manifold  $M^n$  with negative (or equal to zero) constant scalar curvature. If  $M^m$  has a parallel second fundamental tensor ( $\nabla_\gamma h_{\alpha\beta} = 0$ ), and the quadratic form with coefficients  $h_\gamma h_{\alpha\beta}^\lambda - h_{\beta\lambda}^\alpha h_{\alpha\gamma}^\lambda$ , is negatively definite, then  $M^m$  does not allow non-trivial infinitesimal affine deformation for which the divergence of the tangential component of the deformation vector is equal to zero and the deformation vector is tangent to  $M^m$  at least at one point.*

Proof: The Gauss equation of a submanifold of  $M^n$  is:

$$(2.29) \quad R_{\alpha\beta\gamma\delta} = R_{ijkh} B_\alpha^i B_\beta^j B_\gamma^k B_\delta^h + h_{\alpha\delta\lambda} h_{\beta\gamma}^\lambda - h_{\beta\delta\lambda} h_{\alpha\gamma}^\lambda.$$

The curvature tensor of a manifold  $M^n$  with constant scalar curvature  $K$  is:

$$(2.30) \quad R_{ijkl} = \frac{K}{n(n-1)} (g_{ik} g_{jl} - g_{il} g_{jk}).$$

From (2.29), (2.30) and  $g_{\alpha\beta} = g_{ij} B_\alpha^i B_\beta^j$  we obtain

$$(2.31) \quad R_{\beta\gamma} = \frac{m(m-1)}{n(n-1)} K g_{\beta\gamma} + h_\lambda h_{\beta\gamma}^\lambda - h_{\beta\lambda}^\alpha h_{\alpha\gamma}^\lambda.$$

Further the proof is analogous to that of Theorem 4.

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