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REPRESENTATION OF ORDERED CLASSES BY CLASSES OF CONNECTED UNARY ALGEBRAS

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1. Notation. We denote by Ord the class of all ordinals. The natural order of ordinals is denoted by \leq . If $\alpha \in \text{Ord}$ then we put $W(\alpha) = \{\beta \in \text{Ord}; \beta < \alpha\}$. Further, we put $N = W(\omega_0)$. If A is a set we denote by $|A|$ the cardinal of A .

Let \mathcal{A} be a category. Then we denote by \mathcal{A} also the class of objects of \mathcal{A} and, for arbitrary $P, Q \in \mathcal{A}$, by $[P, Q]_{\mathcal{A}}$ the set of all morphisms from P into Q . The sign \cong means an isomorphism of categories and \subseteq a full inclusion functor. If \mathcal{A} is a category such that for each $P, Q \in \mathcal{A}$, there holds $|[P, Q]_{\mathcal{A}}| \leq 1$, then \mathcal{A} is called a *thin* category or a *quasi-ordered class*. If \mathcal{A} is a thin category such that, for each $P, Q \in \mathcal{A}$, there holds $[P, Q]_{\mathcal{A}} \neq \emptyset$, $[P, Q]_{\mathcal{A}} \neq \emptyset$ implies $P = Q$, then \mathcal{A} is called an *ordered class*. If \mathcal{A} is a thin category (an ordered class resp.), then for each $P, Q \in \mathcal{A}$ we put $P \pi_{\mathcal{A}} Q$ ($P \leq_{\mathcal{A}} Q$ resp.) if $[P, Q]_{\mathcal{A}} \neq \emptyset$. An ordered class \mathcal{A} is called a *chain* if $P \leq_{\mathcal{A}} Q$ or $Q \leq_{\mathcal{A}} P$ for each $P, Q \in \mathcal{A}$.

If \mathcal{A} is a category, then a thin category $\mathcal{A}(b)$ such that the class of objects of $\mathcal{A}(b)$ is equal to that of objects of \mathcal{A} and $P \pi_{\mathcal{A}(b)} Q$ iff $[P, Q]_{\mathcal{A}} \neq \emptyset$ for each $P, Q \in \mathcal{A}$ is called a *basic* category for \mathcal{A} . (Therefore, a basic category $\mathcal{A}(b)$ for \mathcal{A} is a thin category with the same objects and the same existence of morphisms.)

Let A be a quasi-ordered set (with the quasi-order π_A). If $a, b \in A$ are arbitrary, then we put $a \varrho_A b$ iff $a \pi_A b$ and $b \pi_A a$. Then ϱ_A is an equivalence on A . Further, if $T, T' \in A/\varrho_A$ are arbitrary, we put $T \pi_{A/\varrho_A} T'$ iff $a \pi_A b$ for each $a \in T$ and each $b \in T'$. Then π_{A/ϱ_A} is an order on A/ϱ_A . (See, for example, [1], I., § 4.) We say that the *order* π_{A/ϱ_A} is defined by the quasi-order π_A .

If A, B are ordered sets, then the cardinal power of A and B is denoted by A^B .

The *lexicographic sum* $\sum_{G \in \mathcal{G}}^1 \mathcal{A}_G$ of a system $\{\mathcal{A}_G; G \in \mathcal{G}\}$ of mutually disjoint thin categories where \mathcal{G} is an ordered class is the class $\mathcal{A} = \bigcup_{G \in \mathcal{G}} \mathcal{A}_G$ of objects where, for each $G_1, G_2 \in \mathcal{G}$, $P \in \mathcal{A}_{G_1}$, $Q \in \mathcal{A}_{G_2}$, there holds $P \pi_{\mathcal{A}} Q$ iff (1) $G_1 \leq_{\mathcal{G}} G_2$ and (2) $G_1 = G_2$ implies $P \pi_{\mathcal{A}_{G_1}} Q$. Further, if $\mathcal{G} = \{1, \dots, n\}$ is a chain with the natural order then we put $\sum_{G \in \mathcal{G}}^1 \mathcal{A}_G = \mathcal{A}_1 \oplus \dots \oplus \mathcal{A}_n$. If $\{\mathcal{A}, \mathcal{B}\}$ is a non-indexed system

of two disjoint thin categories then we suppose $\{\mathcal{A}, \mathcal{B}\}$ to be a chain with $\mathcal{A} <_{(\mathcal{A}, \emptyset)} \mathcal{B}$ and we can define $\mathcal{A} \oplus \mathcal{B}$. (See [6].)

Let A be a set, f a partial map from A into A . Then the ordered pair $A = (A, f)$ is called a *partial unary algebra*. We put $DA = A - \text{dom } f$. If $DA = \emptyset$ then A is called a *complete unary algebra*. If $A = (A, f)$, $B = (B, g)$ are partial unary algebras and $F : A \rightarrow B$ a map ($\text{dom } F = A$), then F is called a *homomorphism of A into B* if $x \in \text{dom } f$ implies $Fx \in \text{dom } g$ and $Ffx = gFx$.

Let $A = (A, f)$ be a partial unary algebra. We put $f^0 = \text{id}_A$. Suppose that we have defined a partial map f^{n-1} from A into A for $n \in N - \{0\}$. We denote by f^n the following partial map from A into A : if $x \in \text{dom } f^{n-1}$ and $f^{n-1}x \in \text{dom } f$, then we put $f^n x = ff^{n-1}x$. A is called a *connected partial unary algebra* if, for each $x, y \in A$, there are $m, n \in N$ such that $x \in \text{dom } f^m$, $y \in \text{dom } f^n$ and $f^m x = f^n y$. The category of all connected partial unary algebras is denoted by \mathcal{U}^c and the category of all connected complete unary algebras is denoted by \mathcal{V}^c where morphisms are homomorphisms.

2. Problems. Let M be an ordered set.

(a) (P. Goralčík, see [2], § 3, remark 2.) Find necessary and sufficient conditions for the existence of $\mathcal{M} \subseteq \mathcal{V}^c$ such that $M \cong \mathcal{M}(b)$.

(b) Find necessary and sufficient conditions for the existence of $\mathcal{M} \subseteq \mathcal{U}^c$ such that $M \cong \mathcal{M}(b)$.

By our considerations, we can apply results of [7], [9], [10] and [11].

Let $\infty_1, \infty_2 \in \text{Ord}$ and let us suppose that $\alpha < \alpha_1 < \infty_2$ for each $\alpha \in \text{Ord}$. Let $A = (A, f) \in \mathcal{U}^c$. Then we define the sets $ZA = \{x \in A; \text{there is } n \in N - \{0\} \text{ such that } f^n x = x\}$, $KA = \{x \in A - ZA; \text{there is a sequence } (x_i)_{i \in N} \text{ such that } x_i \in \text{dom } f \text{ for each } i \in N - \{0\}, x_0 = x \text{ and } fx_{i+1} = x_i \text{ for each } n \in N\}$ and $A^0 = \{x \in A; f^{-1}x = \emptyset\}$; if $\alpha \in \text{Ord} - \{0\}$ is arbitrary and if the sets A^λ have been defined for all $\lambda \in W(\alpha)$ then we put $A^\alpha = \{x \in A - \bigcup_{\lambda \in W(\alpha)} A^\lambda; f^{-1}x \subseteq \bigcup_{\lambda \in W(\alpha)} A^\lambda\}$. Further, we put $RA = |ZA|$, $\mathfrak{A}A = \min \{\lambda \in \text{Ord}; A^\lambda = \emptyset\}$ and if we put $A^{\infty_1} = KA$, $A^{\infty_2} = ZA$, then we define the map $SA : A \rightarrow \text{Ord} \cup \{\infty_1, \infty_2\}$ by the condition $SAx = \lambda$ for each $x \in A^\lambda$, $\lambda \in W(\mathfrak{A}A) \cup \{\infty_1, \infty_2\}$.

(i) Let $A \in \mathcal{U}^c$. Then the following assertions hold.

(a) $|DA| \leq 1$; we denote by dA the only point with the property $\{dA\} = DA$.

(b) If $RA = 0$, $KA = \emptyset$ then $DA \neq \emptyset$ iff $\mathfrak{A}A$ is isolated.

(c) If $RA = 0$, $KA = \emptyset$, $DA \neq \emptyset$ then $SAdA = \mathfrak{A}A - 1$.

(See [7], 2.1, 2.26 (c) and [9] 1.12.)

(ii) If $\alpha \in \text{Ord}$ is isolated then there is $A \in \mathcal{U}^c$ such that $RA = 0$, $KA = \emptyset$ and $\mathfrak{A}A = \alpha$. (See [11], 4.8.)

If $(\alpha_n)_{n \in N}$ is a sequence of ordinals then we write (α_n) instead of $(\alpha_n)_{n \in N}$. We say that a sequence of ordinals (α_n) is an *end* of a sequence of ordinals (β_n) if there is $m \in N$ such that $\alpha_n = \beta_{m+n}$ for each $n \in N$.

3. Definition. Let $\alpha \in \text{Ord}$ be limit and cofinal with ω_0 and let \leq_0 be the order on the cardinal power $W(\alpha)^N$. We put $\mathcal{O}_0(\alpha) = \{(\alpha_n) \in W(\alpha)^N; \lim_{n \in N} \alpha_n = \alpha\}$. We define an order \leq_1 on $\mathcal{O}_0(\alpha)$ such that, for each $(\alpha_n), (\beta_n) \in \mathcal{O}_0(\alpha)$, we put $(\alpha_n) \leq_1 (\beta_n)$ iff (α_n) is an end of (β_n) .

4. Definition. We put $\mathcal{O}^i = \{\alpha \in \text{Ord} - \{0\}; \alpha \text{ isolated}\}$, $\mathcal{O}^l = \{\alpha \in \text{Ord}; \alpha \text{ limit and cofinal with } \omega_0\}$. Let $d, \bar{d} \notin \text{Ord}$ and we suppose that $\alpha < d < \bar{d}$ for each $\alpha \in \text{Ord}$. Let \mathcal{N} be an arbitrary set disjoint with $\text{Ord} \cup \{d, \bar{d}\}$ and equivalent with $N - \{0\}$ and $\prime: N - \{0\} \rightarrow \mathcal{N}$ a bijection. Let $\mathcal{O}(\alpha) = \{\alpha\}$ for each $\alpha \in \mathcal{O}^l$ and let $\mathcal{O}(\alpha)$ for each $\alpha \in \mathcal{O}^i$ be the ordered set with the order $\leq_{\mathcal{O}(\alpha)}$ which is defined by the quasi-order $\leq_0 \circ \leq_1$ (the composition) on $\mathcal{O}_0(\alpha)$ (see 1). We define the ordered class

- (a) $\mathcal{O} = \mathcal{O}^i \cup \mathcal{O}^l \cup \{d, \bar{d}\}$ such that, for each $\alpha, \beta \in \mathcal{O}$, $\alpha \leq_{\mathcal{O}} \beta$ iff (1) $\alpha \leq \beta$ and (2) $\alpha \in \mathcal{O}^l \cup \{\bar{d}\}$ implies $\beta \in \mathcal{O}^l \cup \{\bar{d}\}$,
- (b) \mathcal{N} such that, for each $m', n' \in \mathcal{N}$ where $m, n \in N - \{0\}$, $m' \leq_{\mathcal{N}} n'$ iff $n | m$,*)
- (c) $\mathcal{C} = (\sum_{\alpha \in \mathcal{O}} \mathcal{O}(\alpha)) \oplus \mathcal{N}$. (Clearly, \mathcal{C} is an ordered class.)

Further, we define the subcategory $\mathcal{C}^* = \bigcup_{\alpha \in \mathcal{O}^l} \mathcal{O}(\alpha) \cup \{\bar{d}\} \cup \mathcal{N}$ of \mathcal{C} .

(iii) Let $A = (A, f) \in \mathcal{U}^c$ be such that $RA = 0$, $KA = \emptyset$ and $\exists A \in \mathcal{O}^l$. Then there is $\mu \in \mathcal{O}(\exists A)$ such that $(SAf^n x) \in \mu$ for each $x \in A$. (See [10], 2.16(b).)

5. Definition. (a) We define the object function $\chi: \mathcal{U}^c \rightarrow \mathcal{C}$ in this way: if $A = (A, f) \in \mathcal{U}^c$, we put

$$\chi A = \begin{cases} RA & \text{if } RA \neq 0, \\ \bar{d} & \text{if } RA = 0, KA \neq \emptyset, DA = \emptyset, \\ d & \text{if } RA = 0, KA \neq \emptyset, DA \neq \emptyset, \\ \exists A & \text{if } RA = 0, KA = \emptyset, \exists A \in \mathcal{O}^l, \\ \mu \in \mathcal{O}(\exists A) & \text{if } RA = 0, KA = \emptyset, \exists A \in \mathcal{O}^l, x \in A, (SAf^n x) \in \mu. \end{cases}$$

(b) If $a \in \mathcal{C}$ is arbitrary then we put $a - \mathcal{U}^c = \{A \in \mathcal{U}^c; \chi A = a\}$.

Let \mathcal{A} be a category. Then it is called a category with non-empty homs if, for each $P, Q \in \mathcal{A}$, there holds $[P, Q]_{\mathcal{A}} \neq \emptyset$. The following assertion holds for basic categories of subcategories of \mathcal{U}^c .

(iv) $\mathcal{U}^c(b) = \sum_{a \in \mathcal{C}}^l [a - \mathcal{U}^c(b)]$ and $\mathcal{V}^c(b) = \sum_{a \in \mathcal{C}^*}^l [a - \mathcal{U}^c(b)]$, where $a - \mathcal{U}^c(b)$ is a category with non-empty homs for each $a \in \mathcal{C}$. (See [10], 2.24 and 2.25.)

6. Lemma. (a) $a - \mathcal{U}^c \neq \emptyset$ for each $a \in \mathcal{C}$.

(b) $\chi \mathcal{U}^c = \mathcal{C}$, $\chi \mathcal{V}^c = \mathcal{C}^*$.

Proof of (a). The assertion is evident for each $a \in \{d, \bar{d}\} \cup \mathcal{N}$. If $a \in \mathcal{O}^l$, then the assertion follows from 5 and (ii).

*) $n|m$ means that n is a divisor of m .

Therefore, let $a = \mu \in \mathcal{O}(\alpha)$ where $\alpha \in \mathcal{O}^1$. Then μ is a set of increasing sequences of ordinals (α_n) with $\lim_{n \in N} \alpha_n = \alpha$ by 3 and 4. Let $(\alpha_n) \in \mu$ be arbitrary. Then, for each $n \in N$, there is $A_n = (A_n, f_n) \in \mathcal{U}^c$ such that $RA_n = 0$, $KA_n = \emptyset$ and $\wp A_n = \alpha_n + 1$ by (ii). We can suppose that A_n are mutually disjoint. Then $DA_n \neq \emptyset$ and $SA_n dA_n = \alpha_n$ for each $n \in N$ by (i). We define $B = (B, g) \in \mathcal{U}^c$ such that $B = \bigcup_{n \in N} A_n$ and, for each $x \in B$,

$$gx = \begin{cases} f_n x & \text{if } x \in A_n - dA_n \text{ where } n \in N, \\ dA_{n+1} & \text{if } x = dA_n \text{ where } n \in N. \end{cases}$$

Now, since $g^{-1}dA_0 = f_0^{-1}dA_0$ we have $SBdA_0 = SA_0 dA_0 = \alpha_0$.

Let $n \in N - \{0\}$ be arbitrary. Then $g^{-1}dA_n = \{dA_{n-1}\} \cup f_n^{-1}dA_n$, i.e. $SBg^{-1}dA_n = SBdA_{n-1} \cup SBf_n^{-1}dA_n = \{\alpha_{n-1}\} \cup SA_n f_n^{-1}dA_n$ and since $\alpha_{n-1} < \alpha_n$ we have $SBdA_n = SA_n dA_n = \alpha_n$.

Therefore, for each $n \in N$, there holds $SBg^n dA_0 = SBdA_n = \alpha_n$. Hence $(SBg^n dA_0) \in \mu \in \mathcal{O}(\alpha)$ and since $RB = 0$, $KB = \emptyset$ and $\wp B \in \mathcal{O}^1$ we have $\chi B = \mu$ by 5. From this follows $B \in a - \mathcal{U}^c$.

(b) follows directly from (a) and (iv).

7. Lemma. Let $\mathcal{M} \subseteq \mathcal{U}^c(b)$ be arbitrary. Then the following assertions are equivalent:

(A) \mathcal{M} is an ordered class.

(B) $\chi \upharpoonright \mathcal{M}$ is injective.

(C) $\chi \upharpoonright \mathcal{M}$ is an isomorphism of the quasi-ordered classes \mathcal{M} and $\chi\mathcal{M}$.

Proof. (A) implies (B). Indeed, if we had $\chi A = \chi B$ for some $A, B \in \mathcal{M}$, $A \neq B$ then we should have $A, B \in \chi A - \mathcal{U}^c(b)$ and thus, $A \leq_{\mathcal{U}^c(b)} B$, $B \leq_{\mathcal{U}^c(b)} A$ by (iv), which is a contradiction.

(B) implies (C). Indeed, if $A, B \in \mathcal{M}$ are arbitrary then $A \leq_{\mathcal{U}^c(b)} B$ iff $\chi A \leq_{\mathcal{U}^c} \chi B$ by (iv) which implies that $\chi \upharpoonright \mathcal{M}: \mathcal{M} \rightarrow \chi\mathcal{M}$ is an isomorphism.

(C) implies (A). Since $\chi\mathcal{M} \subseteq \mathcal{C}$ is an ordered class hence $\mathcal{M} \subseteq \mathcal{U}^c(b)$ is an ordered class.

8. Lemma. (a) Let $\mathcal{D} \subseteq \mathcal{C}$ be arbitrary. Then there is $\mathcal{M} \subseteq \bigcup_{a \in \mathcal{D}} [a - \mathcal{U}^c(b)]$ such that $\mathcal{M} \cong \mathcal{D}$.

(b) Let $\mathcal{C}' \subseteq \mathcal{C}$ be arbitrary and let \mathcal{M} be an ordered class. Then there is $\mathcal{M} \subseteq \bigcup_{a \in \mathcal{C}'} [a - \mathcal{U}^c(b)]$ such that $\mathcal{M} \cong \mathcal{M}$ if and only if there is $\mathcal{D} \subseteq \mathcal{C}'$ such that $\mathcal{M} \cong \mathcal{D}$.

Proof of (a). $a - \mathcal{U}^c(b)$ is non-empty for each $a \in \mathcal{D}$ by 6. We take $A_a \in a - \mathcal{U}^c(b)$ arbitrary and put $\mathcal{M} = \{A_a; a \in \mathcal{D}\}$. Then $\mathcal{M} \subseteq \bigcup_{a \in \mathcal{D}} [a - \mathcal{U}^c(b)]$ and since $\chi \upharpoonright \mathcal{M}$ is injective there holds $\mathcal{M} \cong \chi\mathcal{M} = \mathcal{D}$ by 7.

Proof of (b). If $\mathcal{M} \cong \mathcal{M}$ for some $\mathcal{M} \subseteq \bigcup_{a \in \mathcal{C}'} [a - \mathcal{U}^c(b)]$ then $\chi \upharpoonright \mathcal{M}$ is an isomorphism by 7 and we have $\mathcal{M} \cong \mathcal{M} \cong \chi\mathcal{M} \subseteq \mathcal{C}'$. Let, on the other hand, $\mathcal{M} \cong \mathcal{D}$

for some $\mathcal{D} \subseteq \mathcal{C}'$. Then, by (a), there is $\mathcal{M} \subseteq \bigcup_{a \in \mathcal{D}} [a - \mathcal{U}^c(b)] \subseteq \bigcup_{a \in \mathcal{C}'} [a - \mathcal{U}^c(b)]$ such that $\mathcal{M} \cong \mathcal{D}$ and we have $M \cong \mathcal{M}$.

The following assertions expressing a representation of ordered classes by classes of connected partial and complete unary algebras give an answer to the problems 2.

Theorem. *Let M be an ordered class. Then there exists $\mathcal{M} \subseteq \mathcal{V}^c(b)$ ($\mathcal{M} \subseteq \mathcal{U}^c(b)$ resp.) such that $M \cong \mathcal{M}$ if and only if M can be embedded into the ordered class \mathcal{C}^* (\mathcal{C} resp.).*

These assertions follow directly from 8(b).

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