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A GENERALIZED BISHOP - GONČAR CONSTRUCTION

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Abstract: If A is a closed subalgebra of $C(X)$ and F is a closed subset of X , this note gives a sufficient condition in order that F is an intersection of peak sets for A .

Key words: $C(X)$, Banach function algebra, peak point and set, p -point and set.

AMS: 46J10

The purpose of this paper is to apply the well-known Bishop-Gončar construction (Propositions 1 and 2) of the peak point of a Banach function algebra to the case of the peak set which is not necessarily one-point one, and to prove an essential generalization of this construction (Theorem).

In the whole text A will be the Banach function algebra on X , i.e. the Banach algebra of continuous complex-valued functions defined on the compact Hausdorff space X , with usual algebraic operations and the sup-norm $|\cdot|$, containing constant functions and separating the points of X .

A peak set (for A) is any closed nonvoid subset F of X such that there is an f in A satisfying

$$f|_F = 1, |f(x)| < 1 \text{ for every } x \text{ in } X - F,$$

where $f|_F$ denotes the restriction of f to F .

A p -set (for A) is a nonvoid intersection of an arbit-

rary system of peak sets for A .

It is obvious that a p -set is a peak set if (and only if) it is a G_σ -set.

A peak point (p -point) is a one-point peak set (p -set, respectively).

In [2] Gončar has proven the following

Proposition 1. Let X be a metric space, x a point of X . Let $0 < a < b < 1$. Suppose that for any open neighbourhood U of x in X there is an f in A satisfying

$$|f| < 1, \quad |f(x)| > b, \quad |f|_{X-U} < a,$$

where $|f|_Y$ means $\sup \{|f(y)| : y \text{ in } Y\}$.

Then x is a peak point for A .

Gončar's construction is a beautiful generalization of the well-known Bishop's one [1]; Bishop came to the same conclusion for a special choice $a = 1/4$, $b = 3/4$. Curtis in [3] has proved Gončar's theorem for non-metric space X (he required only the singleton x to be G_σ), and for the closed subspace A of $X(X)$ which is not necessarily an algebra. Curtis' proof is rather simpler than the Gončar's one.

All these proofs remain valid without any change if we omit the condition " X is metric" or " x is G_σ " and substitute "a p -point" for "a peak point" (cf. Gamelin [4], Chap. II, Sec. 12).

Another, non-constructive, and may be sometimes more fruitful way of researching peak (and interpolate) sets is the way of estimating orthogonal measures to A . Here the classical paper is the Glicksberg's one [5]. Glicksberg's results were generalized, for the case of mere subspaces of $C(X)$, by

Bernard [6], Briem [7, 8], Briem and Rao [9]. Bernard in [6] follows both constructive and "measure" ways: his nice constructive result is (in translation from the "interpolation" language to the "peak" one) a precursor of the mentioned Curtis' construction in [3] (via our Proposition 2).

An immediate consequence of Proposition 1 is the following

Proposition 2: Let $0 < a < b < 1$. Let F be a closed nonvoid subset of X . Suppose that for any open neighbourhood U of F in X there is an f in A satisfying

$$|f| < 1, \quad f|_F = b, \quad |f|_{X-U} < a.$$

Then F is a p -set for A .

Proof: Let Y be the topological space arisen from X by means of identifying all points of F . More precisely, Y is the quotient space of X in accordance with the pairwise disjoint closed covering of X formed from all singletons y , y in $X - F$, and the set F . It is rather simple to realize that Y is a Hausdorff compact, too. Let B be the subalgebra of A comprised of all functions in A which are constant on F . B may be regarded as a Banach function algebra on Y , and then it satisfies the hypotheses of Proposition 1.

Our aim is to generalize Proposition 2 in the following manner:

Theorem. Let F be a closed nonvoid subset of X , and let $0 < a < 1 \leq b$. Suppose that for any open neighbourhood U of F in X and for any $\epsilon > 0$ there is an f in A satisfying $|f| < b$, $|f - 1|_F < \epsilon$, $|f|_{X-U} < a$.

Then F is a p -set for A .

Before proceeding to the proof of Theorem, we shall state two lemmas.

Lemma 1. Let U be an open neighbourhood of F in X , and let $0 < \epsilon < 1$. Under the hypotheses of Theorem, there is an f in A satisfying

$$|f| < 2b, \quad |f - 1|_F < \epsilon, \quad |f|_{X-U} < \epsilon.$$

Proof: We shall construct, by induction, functions f_n in A , $n = 1, 2, \dots$ such that

$$(1) \quad |f_n| < 2b, \quad |f_n - 1|_F < \epsilon, \quad |f_n|_{X-U} < \epsilon^n.$$

The existence of f_1 satisfying (1) follows immediately from the hypotheses. Suppose now f_1, \dots, f_n have been constructed. There is a positive number q for which

$$(2) \quad (1 + q) |f_n - 1|_F + q < \epsilon.$$

Setting

$$V = \{x \text{ in } X: |f_n(x) - 1| < \epsilon\} \cap U,$$

V is an open neighbourhood of F in X . By the hypotheses, there is a function g in A satisfying

$$|g| < b, \quad |g - 1|_F < q, \quad |g|_{X-V} < \epsilon.$$

Put $f_{n+1} = f_n \cdot g$. Then f_{n+1} is in A and satisfies the induction conditions (2). Indeed, $|f_{n+1}| < 2b$, because

$$|f_{n+1}|_V \leq |f_n|_V |g| \leq (1 + \epsilon)b < 2b, \text{ and}$$

$$|f_{n+1}|_{X-V} \leq |f_n| \cdot |g|_{X-V} \leq 2b\epsilon < 2b;$$

$$\begin{aligned} |f_{n+1} - 1|_F &= |g f_n - g + g - 1|_F \leq |g|_F |f_n - 1|_F + \\ &+ |g - 1|_F < (1 + q) |f_n - 1|_F + q < \epsilon \quad \text{by (2);} \end{aligned}$$

$$|f_{n+1}|_{X-U} \leq |f_n|_{X-U} \cdot |g|_{X-V} < a^n, a = a^{n+1}.$$

Finally, let m be a positive integer such that $a^m < \epsilon$. Putting $f = f_m$, we are done.

Lemma 2. Let f be in A , $K > 0$, and let $|f|_F < K$. Then, for an arbitrary ϵ , $0 < \epsilon < 1$, there is a function g in A satisfying

$$|g| < 2bK, \quad |f - g|_F < \epsilon,$$

provided all the hypotheses of Theorem are fulfilled.

Proof: Let

$$U = \{x \text{ in } X: |f(x)| < K\}.$$

Obviously U is an open neighbourhood of F in X . Then there exists, by Lemma 1, an h in A such that

$$|h| < 2b, \quad |h - 1|_F < \epsilon C, \quad |h|_{X-U} < \epsilon C,$$

where C is equal to $(K + |f|)^{-1}$. Putting $g = fh$ we have

$$|g - f|_F \leq |f| \cdot |h - 1|_F < \epsilon, \text{ and } |g| < 2bK.$$

Actually,

$$|g|_{X-U} \leq |f| \cdot |h|_{X-U} < |f| \cdot \epsilon C \leq \epsilon, \text{ and}$$

$$|g|_U \leq |f|_U \cdot |h| < K \cdot 2b.$$

Proof of Theorem: Let U be an arbitrary open neighbourhood of F in X . We shall construct, by induction, functions f_n in A , $n = 1, 2, \dots$ satisfying the conditions

$$(3) \quad |f_n| < 8(1 - 2^{-n})b^2,$$

$$(4) \quad |f_n - 1|_F < 2^{-n},$$

$$(5) \quad |f_n|_{X-U} < 2^{-1}(1 - 2^{-n}),$$

$$(6) \quad |f_n - f_{n+1}| < 2^{2-n}b^2.$$

By Lemma 1, we have an f_1 in A such that

$$|f_1| < 2b < 4b^2, \quad |f_1 - 1|_{\mathbb{F}} < 2^{-2} < 2^{-1}, \quad |f_1|_{X-U} < 2^{-2}.$$

Having the functions f_1, \dots, f_n constructed, take a g in A , by Lemma 1, such that

$$|g| < 2b, \quad |g - 1|_{\mathbb{F}} < (8b)^{-1}, \quad |g|_{X-U} < (8b)^{-1},$$

and, by Lemma 2, an h in A satisfying

$$|h| < 2^{1-n}b, \quad |f_n - 1 - h| < 2^{-2-n}.$$

Put $f_{n+1} = f_n - gh$. Then f_{n+1} is in A and

$$\begin{aligned} |f_{n+1}| &\leq |f_n| + |g| \cdot |h| < 8(1 - 2^{-n})b^2 + 2^{2-n} b^2 = \\ &= 8(1 - 2^{-1-n}) b^2, \end{aligned}$$

$$\begin{aligned} |f_{n+1} - 1|_{\mathbb{F}} &= |f_n - gh - h + h = 1|_{\mathbb{F}} \leq |f_n - 1 - h|_{\mathbb{F}} + \\ &+ |h| \cdot |g - 1|_{\mathbb{F}} < 2^{-2-n} + 2^{1-n}b(8b)^{-1} = 2^{1-n}, \end{aligned}$$

$$\begin{aligned} |f_{n+1}|_{X-U} &\leq |f_n|_{X-U} + |g|_{X-U} |h| < 2^{-1}(1 - 2^{-n}) + \\ &+ (8b)^{-1} \cdot 2^{1-n}b = 2^{-1}(1 - 2^{-1-n}), \end{aligned}$$

$$|f_{n+1} - f_n| \leq |g| |h| < 2^{2-n}b^2.$$

This shows that all conditions (3 - 6) are fulfilled.

By (6), the f_n have a limit in A , say f . By (3), $|f| \leq 8b^2$; by (4), $f|_{\mathbb{F}} = 1$, and, finally, $|f|_{X-U} \leq 2^{-1}$ by (5).

The assertion of Theorem now follows from Proposition 2.

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