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Czechoslovak Mathematical Journal, Vol. 19 (1969), No. 3, 492–499

Persistent URL: <http://dml.cz/dmlcz/100916>

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SUBMANIFOLDS OF KLEIN SPACES

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(Received September 11, 1968)

It is my feeling that the theory of partial differential equations developed in the last years especially at Harvard is of great importance for the differential geometry. Because of that Chap. 1 of this paper is a report on some results due to H. GOLDSMITH and others; see [1]. In the second part I apply this theory, and I show that to solve the equivalence problem for submanifolds in Klein spaces only a finite process is needed. I restrict myself to the linear case, the non-linear case might be treated in a similar way.

1. LINEAR HOMOGENEOUS SYSTEMS OF PARTIAL DIFFERENTIAL EQUATIONS

1.1. Involution. Let V and W be vector spaces of finite dimension; $\dim V = n$. Denote by $S^m V^*$ the symmetric tensor product of m copies of V^* , the symmetric product of $v_1^*, v_2^* \in V^*$ denote by $v_1^* \circ v_2^*$. For $v \in V$ introduce the homomorphisms

$$(1.1) \quad \delta_v : W \otimes S^{m+1} V^* \rightarrow W \otimes S^m V^*$$

satisfying

$$(1.2) \quad \delta_v(w \otimes f) = w \otimes f_v \quad \text{for } w \in W, f \in S^{m+1} V^* ;$$

f_v is the derivative of f with respect to v . If v_1, \dots, v_n is a basis of V and v^1, \dots, v^n the dual basis of V^* , define the homomorphism

$$(1.3) \quad \delta \equiv \delta_{m+1,j} : W \otimes S^{m+1} V^* \otimes \wedge^j V^* \rightarrow W \otimes S^m V^* \otimes \wedge^{j+1} V^*$$

by means of the relation

$$(1.4) \quad \delta(\xi \otimes v^{i_1} \wedge \dots \wedge v^{i_j}) = \sum_{i=1}^n \delta_{v_i} \xi \otimes v^i \wedge v^{i_1} \wedge \dots \wedge v^{i_j}$$

for $\xi \in W \otimes S^{m+1} V^*$. This definition does not depend on the choice of the basis.

Theorem 1.1. *We have*

$$(1.5) \quad \delta^2 = 0, \quad \text{i.e.,} \quad \delta_{m,j+1} \delta_{m+1,j} = 0$$

for each m and j . The sequence

$$(1.6) \quad 0 \rightarrow W \otimes S^m V^* \xrightarrow{\delta} W \otimes S^{m-1} V^* \otimes V^* \xrightarrow{\delta} W \otimes S^{m-2} V^* \otimes \Lambda^2 V^* \xrightarrow{\delta} \dots \\ \dots \xrightarrow{\delta} W \otimes S^{m-n} V^* \otimes \Lambda^n V^* \rightarrow 0$$

is exact; we set $S^l V^* = 0$ for $l < 0$.

For each $m \geq k$ be given a subspace $g^m \subset W \otimes S^m V^*$. The sequence $\{g^m\}$ is consistent if

$$(1.7) \quad \delta_v(g^m) \subset g^{m-1}$$

for each $m > k$ and $v \in V$. If the sequence $\{g^m\}$ is consistent we have

$$(1.8) \quad \delta(g^m \otimes \Lambda^j V^*) \subset g^{m-1} \otimes \Lambda^{j+1} V^*,$$

and we may consider the sequences

$$(1.9) \quad 0 \rightarrow g^m \xrightarrow{\delta} g^{m-1} \otimes V^* \xrightarrow{\delta} g^{m-2} \otimes \Lambda^2 V^* \xrightarrow{\delta} \dots \\ \dots \xrightarrow{\delta} g^k \otimes \Lambda^{m-k} V^* \xrightarrow{\delta} W \otimes S^{k-1} V^* \otimes \Lambda^{m-k+1} V^*$$

for $m \geq k$. Denote by $H^{m-j,j} \equiv H^{m-j,j}(g^k)$ the cohomology of the sequence (1.9) on the $(j+1)$ -th place:

$$(1.10) \quad H^{m-j,j} = \text{Ker } \delta_{m-j,j} / \text{Im } \delta_{m-j+1,j-1}.$$

This is the so-called *Spencer cohomology*. A consistent sequence $\{g^m\}$ is called *involutionary* if all the sequences (1.9) are exact. $\{g^m\}$ is called *r-acyclic* if

$$(1.11) \quad H^{m,j} = 0 \quad \text{for } m \geq k, \quad 0 \leq j \leq r.$$

Let $V_1 \subset V$ be a subspace. Define

$$(1.12) \quad (g^m)_{V_1} = \{\xi \in g^m \mid \delta_v \xi = 0 \quad \text{for all } v \in V_1\}.$$

The sequence $\{g^m\}$ being consistent, we have

$$(1.13) \quad \delta_v((g^m)_{V_1}) \subset (g^{m-1})_{V_1} \quad \text{for all } v \in V_1.$$

Let v_1, \dots, v_n be a basis of V ; denote by $\{v_r, v_{r+1}, \dots, v_n\}$ the space spanned by v_r, \dots, v_n . The basis v_1, \dots, v_n is *regular* with respect to $\{g^m\}$ if the mappings

$$(1.14) \quad \begin{aligned} \delta_{v_n} &: g^{m+1} \rightarrow g^m, \\ \delta_{v_{n-1}} &: (g^{m+1})_{(v_n)} \rightarrow (g^m)_{(v_n)}, \\ \delta_{v_{n-2}} &: (g^{m+1})_{(v_{n-1}, v_n)} \rightarrow (g^m)_{(v_{n-1}, v_n)}, \\ &\dots \dots \dots \\ \delta_{v_1} &: (g^{m+1})_{(v_2, \dots, v_n)} \rightarrow (g^m)_{(v_2, \dots, v_n)} \end{aligned}$$

are onto for all m 's.

Theorem 1.2. *The consistent sequence $\{g^m\}$ is involutive if and only if there is a regular basis with respect to it.*

Be given a space $g^k \subset W \otimes S^k V^*$. Its first *prolongation* is defined as

$$(1.15) \quad pg^k = (g^k \otimes V^*) \cap (W \otimes S^{k+1} V^*);$$

put $p^l g^k = p(p^{l-1} g^k)$ for $l > 1$. The sequence $\{g^m\}$ with $g^m = p^{m-k} g^k$ for $m \geq k$ is consistent. The space g^k is called *involutive (r-acyclic)* if the corresponding sequence $\{g^m\} = \{p^{m-k} g^k\}$ is involutive (r-acyclic).

Theorem 1.3. *Be given a space $g^k \subset W \otimes S^k V^*$. Let there exist a basis v_1, \dots, v_n of the space V such that the maps (1.14) are onto for $m = k$ and $g^{k+1} = pg^k$. Then g^k is involutive and v_1, \dots, v_n is regular with respect to $\{g^m\} = \{p^{m-k} g^k\}$.*

Theorem 1.4. *Let, for each $m \geq 1$, be given a space $g^m \subset W \otimes S^m V^*$. If $pg^m \supset g^{m+1}$ for each $m \geq 1$, there is a number m_0 such that*

$$(1.16) \quad pg^m = g^{m+1} \quad \text{for } m \geq m_0$$

and the space g^{m_0} is involutive.

1.2. Differential equations. Let X be a differentiable manifold of class C^∞ , $\dim X = n$. Denote by $T = T(X)$ its tangent bundle, T^* be its cotangent bundle. E being a vector bundle over X , E_x is the fibre over $x \in X$ and \mathcal{E} is the sheaf of germs of C^∞ sections of E .

Be given a C^∞ vector bundle E over X with the projection $\pi : E \rightarrow X$; let $x_0 \in X$ be a fixed point and $s_1, s_2 \in \mathcal{E}$ sections defined in a neighborhood of x_0 . Let $U \subset X$ be a coordinate neighborhood of x_0 such that s_1, s_2 are defined in it and we may write $\pi^{-1}(U) = U \times V^m$, V^m being an m -dimensional vector space. Choosing a basis v_1, \dots, v_m in V^m , the section s_τ ; $\tau = 1, 2$; is given (in U) by $y^\alpha = f_\tau^\alpha(x^1, \dots, x^n)$; $\alpha = 1, \dots, m$; x^1, \dots, x^n being the local coordinates in U . We say that s_1 and s_2 belong to the same k -jet at x_0 , and we write $j_{x_0}^k(s_1) = j_{x_0}^k(s_2)$, if all the partial derivatives at x_0 of the functions f_1^α up to the order k are equal to the corresponding derivatives of f_2^α .

Denote by $J^k(E)$ the set of all k -jets of sections of E . This set has a natural structure of a vector bundle over X . The bundle $J^0(E)$ is obviously equal to E . Let $\pi : J^k(E) \rightarrow J^l(E)$, $k > l$, be the natural projection. Denote by $\mathcal{J}^k(E)$ the sheaf of the germs of the sections of $J^k(E)$. The mapping $j^k : \mathcal{E} \rightarrow \mathcal{J}^k(E)$ be defined as follows: $s \in \mathcal{E}$ being defined on $U \subset X$, set $[j^k(s)](x) = j_x^k(s)$ for $x \in U$.

There is just one bundle morphism $\varepsilon : S^k T^* \otimes E \rightarrow J^k(E)$ characterized by the following property. Let $x \in X$; $t_1, \dots, t_k \in T_x^*$; $e \in E_x$. Choose functions f_1, \dots, f_k on a neighborhood of x such that $(df_\sigma)_x = t_\sigma$ and $f_\sigma(x) = 0$ for $\sigma = 1, \dots, k$; further, choose $s \in \mathcal{E}$ such that $s(x) = e$. Now, we have

$$\varepsilon(t_1 \circ \dots \circ t_k \otimes e) = j_x^k(f_1 \dots f_k s).$$

Theorem 1.5. *The sequence*

$$(1.17) \quad 0 \rightarrow S^k T^* \otimes E \xrightarrow{\varepsilon} J^k(E) \xrightarrow{\pi} J^{k-1}(E) \rightarrow 0$$

is exact.

Let E, F, G be vector bundles over X ; $f: E \rightarrow F$ be a bundle morphism and $\bar{f}: \mathcal{E} \rightarrow \mathcal{F}$ the corresponding sheaf morphism.

Theorem 1.6. *To a given bundle morphism $f: E \rightarrow F$ there is a unique bundle morphism $j^l(f): J^l(E) \rightarrow J^l(F)$ such that the diagram*

$$(1.18) \quad \begin{array}{ccc} \mathcal{E} & \xrightarrow{\bar{f}} & \mathcal{F} \\ j^l \downarrow & & \downarrow j^l \\ \mathcal{J}^l(E) & \xrightarrow{j^l(f)} & \mathcal{J}^l(F) \end{array}$$

is commutative.

Theorem 1.7. *There is a unique bundle monomorphism*

$$p^l(\text{id}^k): J^{k+l}(E) \rightarrow J^l(J^k(E))$$

such that the diagram

$$(1.19) \quad \begin{array}{ccc} \mathcal{E} & \xrightarrow{j^k} & \mathcal{J}^k(E) \\ j^{k+l} \downarrow & & \downarrow j^l \\ \mathcal{J}^{k+l}(E) & \xrightarrow{p^l(\text{id}^k)} & \mathcal{J}^l(J^k(E)) \end{array}$$

is commutative.

Considering (1.19) and (1.18) with $G = J^k(E)$, we get the following corollary: Given a bundle morphism $f: J^k(E) \rightarrow F$ there is a unique bundle morphism $p^l(f): J^{k+l}(E) \rightarrow J^l(F)$ such that the diagram

$$(1.20) \quad \begin{array}{ccc} \mathcal{E} & \xrightarrow{\bar{f}j^k} & \mathcal{F} \\ j^{k+l} \downarrow & & \downarrow j^l \\ \mathcal{J}^{k+l}(E) & \xrightarrow{p^l(f)} & \mathcal{J}^l(F) \end{array}$$

is commutative; here, $p^l(f) = j^l(f) p^l(\text{id}^k)$.

Considering $F = J^k(E)$ and $f = \text{id}$, we get $p^l(\text{id}) = p^l(\text{id}^k)$, thus explaining why we have denoted by $p^l(\text{id}^k)$ the morphism of Theorem 1.7. The morphism $p^l(f)$ is the l -th prolongation of $f: J^k(E) \rightarrow F$.

The differential equation (of order k) is a C^∞ sub-bundle $R^k \subset J^k(E)$. The l -th ($l \geq 0$) prolongation of R^k is the subset

$$(1.21) \quad p^l R^k = R^{k+l} = J^l(R^k) \cap J^{k+l}(E).$$

Obviously, $J^l(R^k) \subset J^l(J^k(E))$ and $J^{k+l}(E)$ is identified with its image in the monomorphism $p^l(\text{id}^k)$ of Theorem 1.7. Define $R^{k-l} = J^{k-l}(E)$ for $1 \leq l \leq k$. R^{k+l} need not to be a sub-bundle of $J^{k+l}(E)$ as $\dim R_x^{k+l}$ is not constant in general.

Theorem 1.8. *To each differential equation R^k on E there is a bundle F and a bundle morphism $f: J^k(E) \rightarrow F$ such that $R^k = \text{Ker } f$. Then $R^{k+l} = \text{Ker } p^l(f)$.*

1.3. The formal complete integrability. The equation $R^k \subset J^k(E)$ is *formally completely integrable* if, for each $l \geq 0$, R^{k+l} is a vector bundle and

$$(1.22) \quad R^{k+l+1} \rightarrow R^{k+l} \rightarrow 0$$

is exact.

One of the main results is to obtain criteria for the formal complete integrability of a given differential equation.

Let $R^k \subset J^k(E)$ be an equation. For each $l \geq 0$, define $g^{k+l} \subset S^{k+l}T^* \otimes E$ by the exact sequence

$$(1.23) \quad 0 \rightarrow g^{k+l} \xrightarrow{\epsilon} R^{k+l} \xrightarrow{\pi} R^{k+l+1};$$

further, set $g^{k-l} = S^{k-l}T^* \otimes E$ for $1 \leq l \leq k$. g^k is the symbol of R^k . In general, g^{k+l} is not a bundle.

Theorem 1.9. *Let R^k be an equation of order k and g^{k+l} the corresponding system of spaces. Then $g_x^{k+l} = p^l g_x^k$ for each $l \geq 0$ and $x \in X$, and the sequence*

$$(1.24) \quad 0 \rightarrow g^{k+l+1} \xrightarrow{\delta} T^* \otimes g^{k+l} \xrightarrow{\delta} \wedge^2 T^* \otimes g^{k+l-1}$$

is exact for $l \geq 0$; i.e., $\{g^{k+l}\}$ is 1-acyclic.

Theorem 1.10. *The manifold X being connected, there is an integer $k_0 > k$ (depending only on $k, n = \dim X$ and $\dim E$) such that $H^{k_0+m,j} = 0$ for each $m \geq 0, j \geq 0$.*

Theorem 1.11. *If R^{k+l} is a vector bundle (for an $l \geq 0$) and $\pi: R^{k+l} \rightarrow R^{k+l-1}$ is an epimorphism, there is a bundle morphism (the so-called curvature of R^{k+l})*

$$(1.25) \quad \kappa = \kappa(R^{k+l}): R^{k+l} \rightarrow H^{k+l-1,2}$$

such that the sequence

$$(1.26) \quad R^{k+l+1} \xrightarrow{\pi} R^{k+l} \xrightarrow{\alpha} H^{k+l-1,2}$$

is exact.

Theorem 1.12. *If g^k and g^{k+1} are vector bundles and g^k is 2-acyclic, g^{k+1} is a vector bundle for any $l \geq 0$.*

Combining the preceding two theorems, we get

Theorem 1.13. *Let $R^k \subset J^k(E)$ be a differential equation and suppose: (1) R^{k+1} is a vector bundle, (2) $\pi : R^{k+1} \rightarrow R^k$ is an epimorphism, (3) g^k is a 2-acyclic system, (4) g^k and/or g^{k+2} is a vector bundle. Then R^k is formally completely integrable.*

Finally, combining this theorem with Theorem 1.10, we get

Theorem 1.14. *If X is connected and $R^k \subset J^k(E)$ a differential equation, there is a number $k_0 > k$ (depending only on n, k and $\dim E$) such that if R^{k+l+1} is a vector bundle and $\pi : R^{k+l+1} \rightarrow R^{k+l}$ is an epimorphism for $0 \leq l \leq k_0 - k$, then R^k is formally completely integrable.*

A (local) section $s \in \mathcal{E}$ is called a *solution* of R^k if $j^k s \in \mathcal{J}^k(E)$ is contained in R^k .

Theorem 1.15. *Let X be an analytic manifold, E an analytic vector bundle and $R^k \subset J^k(E)$ an analytic sub-bundle. Let R^k be a formally completely integrable equation. Be given a point $u \in R^{k+1}$, let $\pi(u) = x \in X$. Then there is a neighborhood $U \subset X$ of x and an analytic section $s : U \rightarrow E$ which is a solution of R^k and $j_x^{k+1}(s) = u$.*

2. EQUIVALENCE OF SUBMANIFOLDS

2.1. Initial conditions of differential equations. Let X be a C^∞ differentiable manifold and E a vector bundle over it. Suppose that all the manifolds and maps considered are of the class C^∞ . Let X_1 be a submanifold of X . Let E_1 be the restriction of E to X_1 , i.e., $E_1 = E | X_1$. Define the bundle morphisms

$$(2.1) \quad \eta^k : J^k(E) | X_1 \rightarrow J^k(E_1)$$

as follows. Let $u \in J^k(E) | X_1$, i.e., $x = \pi(u) \in X_1$. Then there is a neighborhood $U \subset X$ of x and a section $s : U \rightarrow E$ such that $u = j_x^k(s)$. We set $\eta^k(u) = j_x^k(s | X_1 \cap U)$; $\eta^k(u)$ obviously does not depend on s .

Be given a formally completely integrable equation $R^k \subset J^k(E)$. For each $m \geq k$ define a system of spaces $S_{(m)}^m \subset J^m(E_1)$ as

$$(2.2) \quad S_{(m)}^m = \eta^m(R^m | X_1);$$

of course, R^m is the $(m - k)$ -th prolongation of R^k . Although $S_{(m)}^m$ is not always a differential equation, we may define its prolongation $S_{(m)}^{m+p}$ in a natural way. The diagram

$$(2.3) \quad \begin{array}{ccc} J^{m+1}(E) | X_1 & \xrightarrow{\eta^{m+1}} & J^{m+1}(E_1) \\ \pi \downarrow & & \downarrow \pi \\ J^m(E) | X_1 & \xrightarrow{\eta^m} & J^m(E_1) \end{array}$$

being commutative, we get

$$(2.4) \quad \pi(S_{(m+1)}^{m+1}) = S_{(m)}^m$$

for each $m \geq k$ because of the formal complete integrability of R^k . Indeed, let $u \in S_{(m)}^m$. Then there exists a $v \in R^m$ such that $\eta^m(v) = u$. Because of the complete integrability there is a $w \in R^{m+1}$ such that $\pi(w) = v$, from the commutativity of the diagram (2.3) it follows $\pi(\eta^{m+1}(w)) = u$, and the mapping $\pi : S_{(m+1)}^{m+1} \rightarrow S_{(m)}^m$ is onto. Further, to each $t \in S_{(m+1)}^{m+1}$ there is a $t_1 \in R^{m+1}$ such that $\eta^{m+1}(t_1) = t$, hence $\pi(t) = \eta^m(\pi(t_1)) \in S_{(m)}^m$ because of $\pi(t_1) \in R^m$. Thus we get (2.4). It is easy to see that

$$(2.5) \quad S_{(m+1)}^{m+1} \subset S_{(m)}^{m+1} = pS_{(m)}^m$$

for each $m \geq k$. The system of subspaces $g_{(m)}^{m+p+1}$ be defined as in (1.23) by the exact sequence

$$(2.6) \quad 0 \rightarrow g_{(m)}^{m+p+1} \xrightarrow{\varepsilon} S_{(m)}^{m+p+1} \xrightarrow{\pi} S_{(m)}^{m+p}.$$

Let us choose a fixed point $y \in X$. For each $m > k$ consider the space

$$(2.7) \quad h^m = g_{(m)}^m(y);$$

from (2.5) we get $h^{m+1} \subset ph^m$ for each $m \geq k$. Applying Theorem 1.4, we get the existence of an integer $k_0 \geq k$ such that

$$(2.8) \quad h^{m+1} = ph^m \quad \text{for each } m \geq k_0.$$

Thus we obtain

Theorem 2.1. *Let $R^k \subset J^k(E)$ be a formally completely integrable equation. Let $X_1 \subset X$ be a submanifold, $y \in X_1$ a fixed point and $S_{(m)}^m$ be defined by (2.2). Then there is a $k_0 \geq k$ such that*

$$(2.9) \quad S_{(m+1)}^{m+1}(y) = S_{(m)}^{m+1}(y) \quad \text{for each } m \geq k_0.$$

The formal solution of the equation R^k at the point $y \in X$ is a sequence $\{s^q\}$ with

$s^q \in R^q(y)$ and $\pi(s^{q+1}) = s^q$ for $q = 1, 2, \dots$. The formal initial condition at $y \in X_1 \subset X$ with respect to the submanifold X_1 is a sequence $\{r^q\}$ with $r^q \in J^q(E_1)_y$ and $\pi(r^{q+1}) = r^q$ for $q = 1, 2, \dots$. The formal solution $\{s^q\}$ goes through the formal initial condition $\{r^q\}$ if $\eta^q(s^q) = r^q$ for each q . The formal initial condition $\{r^q\}$ is m -admissible if $r^{m+p} \in S_{(m)}^{m+p}(y)$ for each $p \geq 0$.

It is easy to prove the following

Theorem 2.2. *Be given the situation described in Theorem 2.1. There is a $k_0 \geq k$ such that through each m -admissible, $m \geq k_0$, formal initial condition at y with respect to X_1 there goes a formal solution of R^k .*

2.2. Equivalence of submanifolds. Let X be a vector space. Consider the trivial vector bundle $E = X \times X$ over it with the projection $\pi = \text{pr}_1 : X \times X \rightarrow X$, pr_1 being the projection on the first factor. Be given a formally completely integrable equation $R^k \subset J^k(E)$ and a submanifold $X_1 \subset X$. Let $s \in \mathcal{E}$ be a local section of E over $U \subset X$, i.e., a mapping $s(x) = (x, \hat{s}(x))$ for $x \in U$ determining thus the mapping $\hat{s} : U \rightarrow X$. Denote by \mathcal{S} the set of all local mappings $\hat{s} : U \rightarrow X$ such that the corresponding section $s(x) = (x, \hat{s}(x))$, $x \in U$, is a solution of R^k . Further, be given a mapping $\tilde{f} : X_1 \rightarrow X$. \tilde{f} is called the deformation of order m of X_1 with respect to \mathcal{S} if, for each $x_1 \in X_1$, there is a $\hat{s}_{x_1} \in \mathcal{S}$ (defined in the neighborhood of x_1) such that $j_{x_1}^m(\tilde{f}) = j_{x_1}^m(\hat{s}_{x_1} | X_1)$. The mapping $\tilde{f} : X_1 \rightarrow X$ determines the section $f : X_1 \rightarrow E_1 \equiv E | X_1$ given by $f(x_1) = (x_1, \tilde{f}(x_1))$. It is easy to see that f is the deformation of order m if and only if \tilde{f} is a solution of the (generalized) equation $S_{(m)}^m$ constructed above.

The mapping \tilde{f} is called the formal equivalence at $y \in X_1$ with respect to \mathcal{S} if there is a sequence of local maps $\{\hat{s}^q : U^q \rightarrow X\}$ of the neighbourhoods U^q of y such that, for $q = 1, 2, \dots$, $j_y^q(\hat{s}^{q+1}) = j_y^q(\hat{s}^q)$, $\hat{s}^q \in \mathcal{S}$, $j_y^q(\tilde{f}) = j_y^q(\hat{s}^q | X_1)$. We get from Theorem 2.2

Theorem 2.3. *Be given a vector space X and a set \mathcal{S} of local maps on it which are the solutions of an equation R^k (in the way described above). Be given a submanifold $X_1 \subset X$ and $y \in X_1$. Then there is an integer $k_0 \geq k$ with the following property: $\tilde{f} : X_1 \rightarrow X$ being the deformation of order $m \geq k_0$, \tilde{f} is the formal equivalence at y .*

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