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COMPACTNESS OF TRAJECTORIES OF DYNAMICAL SYSTEMS  
IN COMPLETE UNIFORM SPACES

Wojciech Bartoszek and Tomasz Downarowicz

In this paper we investigate the asymptotic behaviour of trajectory  $\{\varphi_t(x)\}_{t \geq 0}$ , for a semigroup of mappings  $\{\varphi_t\}_{t \geq 0}$  of a Hausdorff space  $X$  into itself. More precisely: the main subject of our interest is to establish conditions equivalent to precompactness of the trajectory and of the set of limit points for a given point  $x \in X$ . This topic has already been studied in [7], [8] and [6]. In our case the space  $X$  is in addition equipped with a complete uniform structure  $\mathcal{U}$  (see [4] for definition). We also assume the following four conditions for the family  $\{\varphi_t\}$ :

- (i)  $\varphi_0(x) = x$ , for all  $x \in X$
- (ii)  $\varphi_t \circ \varphi_s = \varphi_{t+s}$ , for all  $t, s \in \mathbb{R}_+$
- (iii)  $\lim_{t \rightarrow s} \varphi_t(x) = \varphi_s(x)$ , for all  $s \in \mathbb{R}_+$
- (iv) for every  $W \in \mathcal{U}$  there exists  $V \in \mathcal{U}$  such that for all  $x, y$  with  $(x, y) \in V$  and all  $t \geq 0$  we have  $(\varphi_t(x), \varphi_t(y)) \in W$ .

The first three of the above conditions mean that the mappings  $\varphi_t$  form an one-parameter continuous semigroup acting on  $X$ . The last condition establishes its equicontinuity.

For a fixed element  $W$  of  $\mathcal{U}$  by  $\mathcal{U}_W$  we will denote the collection of all the elements  $V$  which fulfill (iv). We also write  $W_x$  instead of  $\{y : (x, y) \in W\}$ . For contraction semigroups acting on subsets of Banach spaces the condition (iv) may be replaced by an adequate norm - condition. In this case many interesting results were obtained, dealing with limit properties of the trajectory  $\gamma(x) = \{\varphi_t(x) : t \geq 0\}$  (see [1], [3], [5]). Subsequently in [2] were obtained some analogous results for nonextending semigroups acting

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on Polish spaces. The methods of proofs used there have let hope that further generalisations are possible.

We start by proving the following lemma, which is an adaptation of a well known result from [3] (Theorem 1).

Lemma 1. For every  $x \in X$ , the set of limit points  $w(x) = \bigcap_{s \geq 0} \{\varphi_t(x) : t \geq s\}$  is either minimal or empty.

Proof. We have to show that  $\overline{\delta(y)} = w(x)$ , for every  $y \in w(x)$ . The inclusion  $\subseteq$  is immediate because for every  $t \geq 0$ , the point  $\varphi_t(y)$  is a limit of  $\varphi_t(x)$ . Now let  $z \in w(x)$  and  $U$  be an open neighbourhood of  $z$ . There exists an element  $W$  of the structure  $\mathcal{U}$  such that for all  $\alpha$  large enough  $(\varphi_{t_\alpha}(x), v) \in W$  implies  $v \in U$ , where  $t_\alpha \rightarrow \infty$  is some fixed net satisfying  $\varphi_{t_\alpha}(x) \rightarrow z$ . We can also easily find a net  $s_\alpha \rightarrow \infty$  with  $\varphi_{t_\alpha - s_\alpha}(x) \rightarrow y$ . For  $V \in \mathcal{U}_W$  we have  $(\varphi_{t_\alpha - s_\alpha}(x), y) \in V$  for some  $\alpha$ . Thus  $(\varphi_{t_\alpha}(x), \varphi_{s_\alpha}(y)) \in W$ , so  $\varphi_{s_\alpha}(y) \in U$ , hence  $w(x) \subseteq \overline{\delta(y)}$ , and the minimality is proved.

By  $X_0$  we shall denote the set of all  $x \in X$  such that the trajectory  $\delta(x)$  is precompact.

Lemma 2. The set  $X_0$  is closed and  $\varphi_t$ -invariant.

Proof. Let  $x_\alpha \rightarrow x$ , where  $x_\alpha \in X_0$ . For the precompactness of  $\delta(x)$  it is enough to show that  $\delta(x)$  is totally bounded with respect to  $\mathcal{U}$  (see [4]). For  $U \in \mathcal{U}$  let  $W \in \mathcal{U}$  be such that  $(b, a) \in W$ ,  $(b, c) \in W$  and  $(c, d) \in W$  imply  $(a, d) \in U$ . By equicontinuity, for some  $\alpha$  we have  $(\varphi_t(x_\alpha), \varphi_t(x)) \in W$  for every  $t \geq 0$ . Now  $\delta(x_\alpha)$  is precompact, thus there exists a finite set of points  $y_n = \varphi_{t_n}(x_\alpha)$  such that  $W_{y_n}$  cover  $\delta(x_\alpha)$ . Hence, for fixed  $t \in \mathbb{R}_+$ ,  $(\varphi_t(x_\alpha), \varphi_{t_n}(x_\alpha)) \in W$  for some  $n$ . Also  $(\varphi_{t_n}(x_\alpha), \varphi_{t_n}(x)) \in W$  and thus  $(\varphi_t(x), \varphi_{t_n}(x)) \in U$ . We have obtained a finite covering  $U_{z_n}$  of  $\delta(x)$ , where  $z_n = \varphi_{t_n}(x)$ , so the precompactness of  $\delta(x)$  is proved. The invariance of  $X_0$  is obvious and so the proof is complete.

Theorem. Let  $\{\varphi_t\}_{t \geq 0}$  be an equicontinuous semigroup acting on a complete uniform space  $X$ . Then the following conditions are equivalent :

- a)  $x \in X_0$
- b)  $w(x)$  is nonempty and compact
- c) there exists a  $\varphi_t$ -invariant probability measure  $\mu_x$  on  $w(x)$
- d) for every continuous function  $F : X \rightarrow E$  ( $E$  is a Banach space) the Bochner integrals

$T^{-1} \int_0^T F(\varphi_t(x)) dt$  are convergent for  $T \rightarrow \infty$  to a limit

$$\bar{F}(x) \in E.$$

If the above holds then  $\bar{F}$  is a continuous invariant function on  $X_0$  and it equals  $\int_{w(x)} F(y) \mu_x(dy)$ , where  $\mu_x$  is the unique

invariant probability measure on  $w(x)$ .

Proof. a)  $\Rightarrow$  b) is obvious by the definition of  $X_0$  and  $w(x)$ .

b)  $\Rightarrow$  c) is the well known corollary of the Markov - Kakutani theorem.

c)  $\Rightarrow$  b). Suppose that  $w(x)$  is non-compact. Then it is not totally bounded and thus there exists an infinite collection of nonempty pairwise disjoint open sets of the form  $W_{z_n}$ , where  $z_n \in w(x)$ , and  $W \in \mathcal{U}$ . Since  $w(x)$  is minimal we may (changing if necessary the set  $W$ ) choose the points  $z_n$  of the form  $\varphi_{t_n}(z_0)$  for some  $z_0 \in w(x)$ .

Now, for  $V \in \mathcal{U}_W$  we have  $\varphi_{t_n}(V_{z_0}) \subseteq W_{z_n}$ . By invariantness of  $\mu_x$  the measures of the sets  $W_{z_n}$  are at least  $\mu_x(V_{z_0})$ . This is a contradiction since by minimality of  $w(x)$   $\mu_x(V_{z_0}) > 0$  and, on the other hand,  $\mu_x$  is finite. b)  $\Rightarrow$  d) see [1] Th. 3.2 and Corollary 3.1.

d)  $\Rightarrow$  a). Suppose  $x \notin X_0$ , i.e.  $\delta(x)$  is not totally bounded. An easy argument using the uniform structure allows us to find an infinite collection of open pairwise disjoint neighbourhoods  $U_n$  of certain points  $x_n = \varphi_{t_n}(x)$  such that every convergent net is (starting from some index) contained in at most one of  $U_n$ 's. We may also assume that for every  $n$  the set  $U_n \cap \{\varphi_t(x), t \leq t_n\}$  is of the form  $\{\varphi_t(x), t \in (t_n - \varepsilon, t_n]\}$ . Let  $F_n$  be continuous functions on  $X$  with  $F_n(x_n) = 1$ ,  $F_n = 0$  out of  $U_n$  (see [4] for the existence of Uryson functions on uniform spaces). The function  $F = \sum_{n=1}^{\infty} \beta_n \cdot F_n$  is continuous which contradicts d) whenever  $\beta_n$  increases rapidly enough. To prove the last assertion of the Theorem consider  $E = \mathbb{R}$  and restrict all the functions  $F$  to the compact set  $\delta(x)$ . Now observe that the map  $F \rightarrow \bar{F}(x)$  is a linear nonnegative functional on  $C(\delta(x))$ . So, by the Riesz theorem it is represented by a Radon measure  $\nu_x$  on  $\delta(x)$ , i.e. we can write  $\bar{F}(x) = \langle F, \nu_x \rangle$ . Taking  $F \equiv 1$  we obtain that  $\nu_x$  is a probability measure. To see the invariantness of  $\nu_x$  denote  $F_s = F \circ \varphi_s$  for  $F \in C(\delta(x))$  and  $s \geq 0$  and calculate :

$$T^{-1} \int_0^T F_s(\varphi_t(x)) dt \rightarrow \langle F_s, \nu_x \rangle = \langle F, \nu_x \circ \varphi_s^{-1} \rangle. \text{ On the other hand}$$

$$T^{-1} \int_0^T F_s(\varphi_t(x)) dt = T^{-1} \int_s^{T+s} F(\varphi_t(x)) dt =$$

$$=T^{-1}(T+s)(T+s)^{-1} \int_0^{T+s} F(\varphi_t(x)) dt - T^{-1} \int_0^s F(\varphi_t(x)) dt \rightarrow \langle F, \nu_x \rangle.$$

Our last step is to check that  $\nu_x$  is supported by  $w(x)$ . Let  $y \notin w(x)$ . There exists  $W \in \mathcal{U}$  such that  $y$  does not belong to the set  $U = \bigcup_{z \in w(x)} W_z$  together with its open neighbourhood. But  $\varphi_t(x) \in U$  for big  $t$ , hence for any continuous  $F : X \rightarrow \mathbb{R}$  with  $F(y) = 1$  and  $F = 0$  on  $U$  we have  $F_s = 0$  on  $\overline{\delta(x)}$  and  $\langle F, \nu_x \rangle = \langle F_s, \nu_x \rangle = 0$  for  $s$  big enough. The uniqueness of the measure  $\nu_x$  follows from the well known Halmos - von Neumann theorem. We omit the easy standard approximation argument for proving the continuity of  $\overline{F}$  on  $X_0$ .

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