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## NINTH WINTER SCHOOL ON ABSTRACT ANALYSIS (1981)

## Stable Banach spaces

S. Guerre

A separable Banach space  $E$  is called stable if for every bounded sequences  $(x_n)$  and  $(y_m)$  in  $E$  and every ultrafilters  $\mathcal{U}$  and  $\mathcal{V}$  on  $\mathbb{N}$ , we have:

$$\lim_{\mathcal{U}} \lim_{\mathcal{V}} \|x_n + y_m\| = \lim_{\mathcal{V}} \lim_{\mathcal{U}} \|x_n + y_m\| .$$

This notion was first introduced by T.L. Krivine and B. Maurey ([5]) to extend a result of D. Aldous ([1]). These theorems are the following:

Theorem 1. ([1])

Every subspace of  $L^1$  contains an  $l^p$ -space,  $1 \leq p < +\infty$

Theorem 2. ([5])

Every stable Banach space contains an  $l^p$ -space,  $1 \leq p < +\infty$

Examples.

- (1) Hilbert spaces,  $l^p$ - and  $L^p$ -spaces ( $1 \leq p < +\infty$ ) Orlicz spaces  $l^\varphi$  and  $L^\varphi$  ( $\varphi$  having the  $\varepsilon_2$ -condition), Lorentz spaces  $L^{p,\varphi}$  are stable ([6]).
- (2)  $c_0$ , the Tsirelson spaces  $T$  and  $T'$ , the James space  $J$  are not stable.

Property 1. ([5])

If  $E$  is stable, then every subspace of  $E$  and the spaces  $l^p(E)$  and  $L^p(E)$  with  $1 \leq p < +\infty$  are stable

Open problem

If  $E$  is stable and reflexive, are  $E'$  and every quotient space of  $E$  stable?

Theorem 3. ([4])

Every stable Banach space is weakly sequentially complete

Corollary

If  $E$  is stable then  $E$  is reflexive if and only if  $E$

does not contain  $1^1$

Sketch of the proof of theorem 3

We have to define some notions:

$\sigma$  is a type on  $E$  if:  $\exists (a_n) \in E, \exists \mathcal{U}$  ultrafilter on  $\mathbb{N}$  such that:  $\forall x \in E, \sigma(x) = \lim_{\mathcal{U}} \|x + a_n\|$

The type  $\lambda\sigma$  is defined by:

$$\forall x \in E, \lambda\sigma(x) = |\lambda| \sigma\left(\frac{x}{\lambda}\right) = \lim_{\mathcal{U}} \|x + \lambda a_n\|$$

The type  $\sigma * \tau$  is defined by:

$$\forall x \in E, \sigma * \tau(x) = \lim_{\mathcal{U}} \lim_{\mathcal{V}} \|x + a_n + b_m\|$$

if

$$\tau(x) = \lim_{\mathcal{V}} \|x + b_m\|$$

If  $(x_n)$  is a bounded sequence in  $E$  and  $\mathcal{U}$  an ultrafilter on  $\mathbb{N}$ , we define the spreading-model associated to  $(x_n)$  and  $\mathcal{U}$  by the completion of  $E \times \mathbb{R}^{(\mathbb{N})}$  under the semi-norm:

$$\left| x + \sum_{i=1}^k \lambda_i 1_i \right| = \lim_{\mathcal{U}} \dots \lim_{\mathcal{U}} \left\| x + \sum_{i=1}^k \lambda_i x_{n_i} \right\|$$

(See [2] or [3] for more details).

If  $(x_n)$  has no Cauchy subsequences, then this is a norm. In [2] it is proved that every sequence  $(x_n)$  has a "good subsequence"  $(x'_n)$  which means:

$\forall \varepsilon > 0, \forall k \in \mathbb{N}, \forall (\alpha_1, \dots, \alpha_k) \in \mathbb{R}^k, \exists \nu \in \mathbb{N}$  such that:

$$\nu < n_1 \dots < n_k \Rightarrow \forall x \in E,$$

$$\left| \left\| x + \sum_{i=1}^k \alpha_i e_i \right\| - \left\| x + \sum_{i=1}^k \alpha_i x'_{n_i} \right\| \right| \leq \varepsilon$$

$(x_n)$  will be called a good sequence if it has the property of the subsequence  $(x'_n)$  above -  $(e_n)$  will be called the fundamental sequence of the spreading model

Relations between types and spreading models in stable Banach spaces

If  $\sigma$  is a type on  $E$  defined by  $(x_n)$  and  $\mathcal{U}$ , the spreading model associated to  $(x_n)$  and  $\mathcal{U}$  is given by:

$$\left| x + \sum_{i=1}^k \alpha_i e_i \right| = \lim_{\substack{n \\ \mathcal{U}}} \dots \lim_{\substack{n \\ \mathcal{U}}} \left\| x + \sum_{i=1}^k \alpha_i x_{n_i} \right\| \\ = (\alpha_1 \sigma * \dots * \alpha_k \sigma)(x).$$

On the other hand, if  $(e_n)$  is the fundamental sequence of a spreading model associated to  $(x_n)$  and  $\mathcal{U}$ , then the type  $\sigma$  is given by:  $\sigma(x) = \left| x + e_1 \right| = \lim_{\substack{n \\ \mathcal{U}}} \left\| x + x_n \right\|$

Property 2.

If  $E$  is stable then every fundamental sequence  $(e_n)$  is symmetric

$$(i.e.: \left| x + \sum_{i=1}^n \alpha_i e_{\sigma(i)} \right| = \left| x + \sum_{i=1}^n \alpha_i e_i \right| \quad \text{where } \sigma$$

is a permutation of  $\{1, \dots, n\}$ ).

We now give the proof of the theorem 3

Suppose  $(x_n)$  is a "good sequence", weakly Cauchy and not convergent in  $E$ . Let  $(e_n)$  be the fundamental sequence of the spreading model associated to  $(x_n)$ . It is easy to see that  $(e_n)$  is of "type  $1_1^+$ ", symmetric and basic, so it is equivalent to the unit vector basis of  $l_1^1$ . Let  $y_n = x_{2n+1} - x_{2n}$ . Then  $(y_n)$  converges weakly to 0 and the fundamental sequence  $(f_n)$  of the spreading model associated to  $(y_n)$  is defined by  $f_n = e_{2n+1} - e_{2n}$  and so is also equivalent to the unit vector basis of  $l_1^1$ .

Let  $\sigma$  be the type defined by  $(y_n)$  [i.e.:  $\sigma(x) = \lim_n \left\| x + y_n \right\| = \left\| x + f_1 \right\|$ ] and  $K$  be the closure under the

pointwise topology of  $\left\{ \tau, \tau = \alpha_1 \sigma * \dots * \alpha_k \sigma, (\alpha_1, \dots, \alpha_k) \in \mathbb{R}^{(\mathbb{N})}, \tau(0) = 1 \right\}$ .

We can show that if  $\tau \in K$ , then the spreading model associated with  $\tau$  is equivalent to  $l_1^1$ . We know from [5], that  $K$  contains an  $1P$ -type  $\tau_0$

[i.e.:  $\alpha_1 \varepsilon_0 * \dots * \alpha_k \varepsilon_0(x) = (|\alpha_1|^p + \dots + |\alpha_k|^p)^{1/p} \varepsilon_0(x)$ ].

So we must have  $p=1$ . It is easy to see, by a diagonal argument that  $\varepsilon_0$  is defined by a sequence of convex blocks  $(\mathcal{U}_n)$  on  $(y_n)$ . The sequence  $(\mathcal{U}_n)$  converges weakly to 0 [because  $(y_n)$  does] and by [5] contains a sequence equivalent to the unit vector basis of  $l^1$ .

This is a contradiction and proves the theorem 3. We give some more results on stable Banach spaces. The proof of the theorem 4 is very close to the proof of theorem 3.

Theorem 4. ([4])

Every spreading model of a stable Banach space  $E$  is stable.

If a spreading model of a stable Banach space  $E$  contains an  $l^p$ -space ( $1 \leq p < +\infty$ ), then  $E$  itself contains  $l^p$ .

No spreading model of a stable Banach space  $E$  contains  $c_0$ .

Open problem

Find an "isomorphic" characterization of stable Banach spaces.

#### References

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