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A Dini Principle for Convex Functions

and the Theorem of James

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We show that a set T in the unit ball of a dual Banach space E' gives us a good information about the whole space if

Definition: T supports the norm on E :

To each $x \in E$ there exists $f \in T$ such that $f(x) = \|x\|$.

Example: $T =$ extreme points of the unit Ball.

(But note that $T \cap \text{Ball } E' = \emptyset$ is possible.)

The following facts concerning such subsets have already been known:

- (1) (James) If the unit ball of a Banach space F , considered as a subset of F'' , supports the norm on F' , then F is reflexive.
- (2) (Simons) If (x_1, x_2, \dots) is a bounded sequence in E such that $f(x_n) \rightarrow 0$ for each $f \in T$, then $x_n \rightarrow 0$ weakly.

This was proved by Rainwater in the case $T = \text{ex Ball } E'$, using Choquet theory.

We prove (1), (2) and further results using a lemma about sublinear functionals on l_1^+ which generalizes the essential idea in James' proof of (1):

- (3) Lemma. Let β be a sublinear functional on l_1^+ , $c > 0$.
 Then there exists a sequence (q_1, q_2, \dots) of points in l_1^+ ,
 $q_n = (q_n^1, q_n^2, \dots)$, such that
- (i) $\|q_n\| = 1$, $q_n^k = 0$ if $1 \leq k < n$.
 - (ii) If $p: l_1^+ \rightarrow \mathbb{R}$ is sublinear, $p \leq \beta$ and $p(q_1) = \beta(q_1)$,
 then $p(q_n) \geq \beta(q_1) - c$ for each n .

The proof of (3) is elementary but difficult.

The following theorem does not contain the full power of (3), but
 it is sufficient for many applications ((4) \rightarrow (2), (5), (7)).

And it is very easy to work with it.

- (4) (Dini principle for convex functions)

Let A be a σ -convex subset of a TVS, (v_1, v_2, \dots) a sequence
 of bounded convex functions such that

(i) $v_1 \leq v_2 \leq v_3 \leq \dots$

(ii) If $a \in A$, then there exists $n \in \mathbb{N}$ with $v_n(a) = v_\infty(a) (= \sup_{l \in \mathbb{N}} v_l(a))$.

Then $\inf_n v_n(A) \rightarrow \inf v_\infty(A)$.

- (5) If T is strongly separable, then E' is strongly separable, and the
 convex hull of T is strongly dense in Ball E' .

- (5) contains (1) if F is separable. Another application:

The Banach space of all trace class operators on a Hilbert space
 has the RNP. (You have to show that each separable space of
 compact operators on the Hilbert space has a separable dual.)

- (6) If A is a convex subset of E and if for each sequence (x_1, x_2, \dots)
 in A there exists $x_\infty \in A$ with $\liminf_{n \rightarrow \infty} f(x_n) \leq f(x_\infty) \leq \limsup_{n \rightarrow \infty} f(x_n)$, $f \in T$,
 then A is weakly compact.

- (6) is stronger than (1).

- (7) If T is the countable union of weak- $*$ -compact sets, then to each $g \in E'$ there exists a nonnegative regular Borel measure on T representing g .

Let us finally note that it is possible to deduce characterization of strong compactness with the same methods. For example:

- (8) A closed set K in a Banach space is strongly compact iff each continuous seminorm attains its supremum on K .