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JOINT-CONVERGENCE IN FUNCTION SPACES. ORDER OF \mathcal{K} -CLOSURES.

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PRAHA

It is well-known (cf. [4]) that if $\langle X, u \rangle$ is a (sequential) convergence space, then $u^{(\omega)}$ is a topology for X and there are examples such that u^ξ fails to be a topology for each ordinal $\xi < \omega$. In the first part of the present paper we generalize these results to \mathcal{K} -convergence spaces (cf. [5]). In the second part we introduce joint-convergences \mathcal{U}^j and \mathcal{U}^k on the set of all mappings on a set into a closure space, study their properties and their relations to pointwise, continuous and uniform convergences. Further, a characterization of sequentially compact uniformizable spaces is given.

I

First we recall some definitions. Let \mathcal{K} be a class of directed sets and $\langle X, u \rangle$ a closure space. A \mathcal{K} -net is a net with domain in \mathcal{K} and u is a \mathcal{K} -closure if it is determined by the convergence of \mathcal{K} -nets ranging in X (cf. [5]). For each ordinal ξ we define a closure u^ξ as follows: $u^0 A = A$, $u^\xi A = u(u^{\xi-1} A)$ if ξ is isolated and $u^\xi A = \bigcup \{u^\eta A; \eta < \xi\}$ if ξ is a limit ordinal. The order of u is the least ordinal ξ such that for each $A \subset X$ we have $u^{\xi+1} A = u^\xi A$.

Let D and E be directed sets. We say (cf. [3]) that D is a quotient of E , in symbols $D < E$, if there is a convergent mapping on E into D . If $D < E$ and $E < D$, then we say that D and E have the same cofinal type $cf D = cf E$. If $D < E$, then we write $cf D \leq cf E$. Denote by $Q[\mathcal{K}]$ the class of all regular cardinals which are quotients of elements of \mathcal{K} .

Theorem 1. Let Careg be the class of all regular cardinals. Then:

(a) Let $Q[\mathcal{K}] = \text{Careg}$. Then for every ordinal ξ there exists a \mathcal{K} -closure w with order ξ .

(b) Let $Q[\mathcal{K}] \neq \text{Careg}$ and $\beta = \text{Min}(\text{Careg} - Q[\mathcal{K}])$. Then u^β is the topological modification of u for every \mathcal{K} -closure u . Further, there exist a \mathcal{K} -closure v and a set Y such that $v^\xi Y \neq v^\beta Y$ for each $\xi < \beta$.

Proof. (a) Let $\alpha = \text{Min}(Q[\mathcal{K}])$, $P = \alpha \cup \{\alpha\}$, and $X = \{x = \{x_\eta \mid \eta < \xi\} \in P^\xi; \exists \eta < \xi \Rightarrow (x_\eta = \alpha \Rightarrow x_\xi = \alpha)\}$. For each $x \in X$ define the base B_x of w -neighborhoods of x as follows:

Put $\gamma_x = \xi$ if $x = \{\alpha \mid \eta < \xi\}$ and $\gamma_x = \text{Min}(\{\eta < \xi; x_\eta \neq \alpha\})$ otherwise. If $\gamma_x = \alpha + 1$, then $B_x = \{\{y \in X; y_\alpha > \emptyset \text{ and } (\eta \neq \alpha \Rightarrow y_\eta = x_\eta)\}; \emptyset < \alpha\}$. If γ_x is not isolated, then $B_x = \{\{y \in X; (\eta \geq \gamma_x \text{ or } \eta < \emptyset) \Rightarrow y_\eta = x_\eta\}; \emptyset < \gamma_x\}$.

For each $x \in X$, the space $\mathfrak{X}_\xi = \langle X, w \rangle$ has a monotone local base at x with the cofinal type α or cf γ_x . Thus w is a \mathcal{H}^p -closure, because α and all cf γ_x are elements of $Q[\mathcal{H}^p] = \text{Careg}$. For the set $Y = \{y \in X; \eta < \xi \Rightarrow y_\eta < \alpha\}$ we have $u^\emptyset Y = \{y \in X; \eta \geq \emptyset \Rightarrow y_\eta < \alpha\}$.

(b) Let be $x \in u(u^\beta Z)$. Then some \mathcal{H}^p -net $\{x_a \mid a \in D\}$ ranging in $u^\beta Z$ converges to x . For each $a \in D$ denote $\varphi a = \text{Min}(\{\eta < \beta; x_a \in u^\eta Z\})$. Because $D \in \mathcal{H}^p$ and $\beta \notin Q[\mathcal{H}^p]$, the mapping φ from D into β is not convergent. Hence for some ordinal $\xi < \beta$ the set $E = \{a \in D; \varphi a < \xi\}$ is cofinal in D . Then $x_a \in u^\xi Z$ for each $a \in E$ and $x \in u(u^\xi Z) \subset u^\beta Z$.

Further, we define $\langle P, v \rangle$ as the sum of closure spaces $\{\mathfrak{X}_\xi \mid \xi < \beta\}$ from (a). Then v is a \mathcal{H}^p -closure, because for each $x \in P$ we have cf $\gamma_x \leq \gamma_x \leq \xi < \beta$, and hence cf $\gamma_x \in Q[\mathcal{H}^p]$.

Remark. The closures v and u are functionally separated, chain-net-closures, and for their cardinalities and local characters χ^L (cf. [1] p.260) we have: $\chi^L(w) = \chi^L(\mathfrak{X}_\xi) = \omega_0 \cdot \text{card } \xi$, $\text{card } X_\xi = \omega_0 \cdot 2^\xi$, $\chi^L(v) < \alpha^+ \cdot \beta$, $\text{card } P = \alpha \cdot 2^\beta$ ($\text{card } P = \alpha \cdot \beta$, if GCH holds).

Remark. Let $\mathcal{H}^p = \{\omega_0\}$. Then \mathcal{H}^p -nets are sequences, \mathcal{H}^p -closures are (sequential) convergence closures (cf. [4]), $Q[\mathcal{H}^p] = \{\omega_0\}$, $\text{Min}(\text{Careg} - Q[\mathcal{H}^p]) = \omega_1$; therefore the order of every \mathcal{H}^p -closure is at most ω_1 . Consequently, Theorem 1 generalizes the results mentioned in the introduction.

II

In this section we shall define and study joint-convergences $\varrho^j(\mathfrak{X}^T)$ and $\varrho^k(\mathfrak{X}^T)$ on the set X^T of all mappings on a set T into a closure space $\mathfrak{X} = \langle X, u \rangle$. First we introduce two auxiliary convergences.

Definition 1. Let $f \in X^T$ and let $N = \{f_a \mid a \in D\}$ be a net ranging in X^T . We say that N 1-converges to f if the following implication holds true:

If $t \in T$ and $\{t_b \mid b \in B\}$ is a net ranging in T such that for each $a \in D$

the net $\{f_a t_b \mid b \in B\}$ converges to $f_a t$, then the double net $\{f_a t_b \mid \langle a, b \rangle \in D \times B\}$ converges to ft (in X).

We say that N 2-converges to f if the following implication holds true: If $\{z_a \mid a \in D\}$ is a net ranging in X which converges to a point z in X and $\{t_b \mid b \in B\}$ is a net ranging in T such that for each $a \in D$ the net $\{f_a t_b \mid b \in B\}$ converges to z_a , then the double net $\{f_a t_b \mid \langle a, b \rangle \in D \times B\}$ converges to z .

Remark. The definition of a 1-convergence coincides with the definition of a continuous convergence given by Z. Frolík in [2]. Notice that 1-convergence and 2-convergence need not determine a closure for X^T (see Example 1). To avoid this, we shall introduce joint-convergences \mathcal{C}^j and \mathcal{C}^k . However, if we restrict ourselves to decreasing sequences converging to constant mappings (cf. [2]), then 1-convergence and \mathcal{C}^j coincide and determine a closure.

Definition 2. Let $f \in X^T$ and let N be a net ranging in X^T . We say that N \mathcal{C}^j -converges to f if every subnet of N 1-converges to f . We say that N \mathcal{C}^k -converges to f if every subnet of N 2-converges to f or N is eventually equal to f .

Notation. Denote by $\mathcal{C}^j = \mathcal{C}^j(X^T)$, resp. $\mathcal{C}^k = \mathcal{C}^k(X^T)$, the class of all pairs $\langle N, f \rangle$ such that N \mathcal{C}^j -converges, resp. \mathcal{C}^k -converges, to f . Denote by \mathcal{C}_p the pointwise convergence on X^T .

Remark. If X is topological or separated, then the condition "N is eventually equal to f" implies that all subnets of N 2-converge and therefore can be omitted.

If $\text{card } X = 1$, then \mathcal{C}^j and \mathcal{C}^k are trivial. If $\text{card } X > 1$, then there are examples such that \mathcal{C}^j and \mathcal{C}^k are non-trivial.

Proposition. We have $\mathcal{C}^k \subset \mathcal{C}^j \subset \mathcal{C}_p$. If T is finite, then $\mathcal{C}^k = \mathcal{C}^j = \mathcal{C}_p$.

Proposition. $\langle N, f \rangle \in \mathcal{C}^j$ iff every generalized subnet of N 1-converges to f .

Remark. There exist a space X and $\langle N, f \rangle \in \mathcal{C}^k(X^T)$ such that not every generalized subnet of N 2-converges.

Proposition. \mathcal{C}^j and \mathcal{C}^k are convergence structures and fulfil the Urysohn's axiom.

Remark. It is an open problem, whether or not \mathcal{C}^j and \mathcal{C}^k are convergence classes (cf. [1]).

Notation. From the above Proposition it follows (cf. [1]) that \mathcal{C}^j and \mathcal{C}^k determine closures. Denote them u_j , resp. u_k .

Proposition. Let $i \in \{1, 2\}$. Then the following are equivalent:

- (a) \mathfrak{X} is a T_i -space.
- (b) $\langle X^T, u_j \rangle$ is a T_i -space.
- (c) $\langle X^T, u_k \rangle$ is a T_i -space.

Proposition. Let $N = \{f_a \mid a \in A\}$ be a net ranging in X^T and let $\langle N, f \rangle \in \mathcal{C}^j(\mathfrak{X}^T)$. Let v be a closure for T such that $\{a; f_a \text{ is continuous}\}$ is a residual subset of A . Then N converges continuously to f .

The following example shows that the converse implication is false.

Example 1. Let $T = \omega_0 \cup \{r, s\}$, let a closure space \mathfrak{X} contains at least three closed points x, y , and z . Define a net $\{f_n \mid n \in \omega_0\}$ (ranging in X^T) and $f \in X^T$ as follows: $f_n k = f_n^k = x$ for $n \geq k$; $f_n^k = y$ for $n < k$, n is odd; $f_n^k = z$ for $n < k$, n is even; $f_n r = f_n s = y$; $f_n s = f_n s = z$. Let $G = \{f_n \mid n \text{ is odd}\}$ and $H = \{f_n \mid n \text{ is even}\}$. Let u_i ($i \in \{1, 2\}$) be a mapping on the power set of X^T defined by $u_i A = \{g \in X^T; \text{there is a net ranging in } A \text{ which } i\text{-converges to } g\}$. Then N i -converges to f , $f \in u_i(G \cup H) - (u_i G \cup u_i H)$, $\langle N, f \rangle \notin \mathcal{C}^j$, and u_i is not a closure for X^T . If v is a closure for T such that the set $\{n; f_n \text{ is continuous}\}$ is residual in ω_0 , then r and s are isolated in $\langle T, v \rangle$ and N converges continuously to f .

Proposition. Let \mathfrak{X} be a partially ordered sequentially compact topological T_2 -space such that each point in \mathfrak{X} has a base of interval-like neighborhoods. Let N be a decreasing net which 2-converges to f . Then $\langle N, f \rangle \in \mathcal{C}^k(\mathfrak{X}^T)$.

Remark. A counterexample shows that in the above Proposition the 2-convergence and \mathcal{C}^k cannot be replaced by the 1-convergence and \mathcal{C}^j even if \mathfrak{X} is a bounded interval.

Definition 3. Let \mathcal{H}^p be a class of directed sets. We define classes $\mathcal{C}_{\mathcal{H}^p}^j = \mathcal{C}_{\mathcal{H}^p}^j(\mathcal{X}^T)$, resp. $\mathcal{C}_{\mathcal{H}^p}^k = \mathcal{C}_{\mathcal{H}^p}^k(\mathcal{X}^T)$, in the same way as classes \mathcal{C}^j , resp. \mathcal{C}^k , provided that in Definition 1 we assume that the nets $\{t_b \mid b \in B\}$ are \mathcal{H}^p -nets. Further, for $\mathcal{H}^p = \{\omega_0\}$ we put $\mathcal{C}_{\{\omega_0\}}^j = \mathcal{C}^s$, $\mathcal{C}_{\{\omega_0\}}^k = \mathcal{C}^\sigma$.

Proposition. Let \mathcal{H}^p and $\mathcal{H}^{p'}$ be classes of directed sets, \mathcal{C}_p the pointwise convergence on X^T , and $i \in \{j, k\}$. Then:

- (a) $\mathcal{H}^{p'} \subset \mathcal{H}^p$ implies $\mathcal{C}^i \subset \mathcal{C}_{\mathcal{H}^p}^i \subset \mathcal{C}_{\mathcal{H}^{p'}}^i \subset \mathcal{C}_p$.
- (b) $\mathcal{C}_{\mathcal{H}^p}^i \neq \mathcal{C}_p$ if and only if $\text{Min}(Q[\mathcal{H}^p]) \leq \text{card } T$.

Notation. Denote by \mathcal{M}_α the class of all directed sets $\langle E, < \rangle$ with $\text{card } E \leq \alpha$.

Proposition. Let \mathcal{H}^p be a class of directed sets, $i \in \{j, k\}$ and $\text{card } T = \alpha$. Then the conditions (a), (b), and (c) below are equivalent and for all spaces \mathcal{X} (c) implies (d):

- (a) There exists an \mathcal{M}_α -space which is not a \mathcal{H}^p -space.
- (b) There exists a normal \mathcal{M}_α -space which is not a \mathcal{H}^p -space.
- (c) The class $\text{cf}[\mathcal{H}^p]$ is not cofinal in $\langle \text{cf}[\mathcal{H}^p \cup \mathcal{M}_\alpha], \supset \rangle$.
- (d) $\mathcal{C}_{\mathcal{H}^p}^i(\mathcal{X}^T) \neq \mathcal{C}^i(\mathcal{X}^T)$.

The following example shows that the four conditions in the above Proposition are not equivalent.

Example 2. Let p be an ultrafilter on ω_0 , $T = \omega_0 \cup \{s\}$, let x and y be two closed points in \mathcal{X} and $i \in \{j, k\}$. Define a net $N = \{f_a \mid a \in p\}$ ranging in $\{x, y\}^T$ as follows: p is directed by the inverse inclusion \supset , $f_a n = y$ iff $n \in a$, ($f_a n = x$ if $n \notin a$), and $f_a s = f_s = y$. Then $\langle N, f \rangle \in \mathcal{C}_{\mathcal{M}_\omega_0}^i - \mathcal{C}_{\{p\}}^i \subset \mathcal{C}_{\mathcal{M}_\omega_0}^i - \mathcal{C}^i$. (For the proof of $\langle N, f \rangle \notin \mathcal{C}_{\{p\}}^i$ choose $t_b \in b$ for each $b \in p$.)

Theorem 2. Let \mathcal{X} be a first-countable topological space, let $N = \{f_d \mid d \in A\}$ be a net containing a subsequence, and let $\omega_0 \in Q[\mathcal{H}^p]$. Then the following are equivalent:

- (a) $\langle N, f \rangle \in \mathcal{C}^j(\mathcal{X}^T)$.
- (b) $\langle N, f \rangle \in \mathcal{C}^s(\mathcal{X}^T)$.
- (c) $\langle N, f \rangle \in \mathcal{C}_p$ and the condition (b) holds in the following modified form: in Definition 2 subnets are replaced by subsequences and

in the Definition 1 ($\mathbb{E} = \omega_0$) the double sequence is replaced by the diagonal sequence.

(d) $\langle N, f \rangle \in \mathcal{C}_\tau^j(\mathfrak{X}^T)$.

Remark. The analogous Theorem is true for \mathcal{C}^k and \mathcal{C}^σ . If \mathfrak{X} is discrete, then (c) can be simplified.

Now we shall consider the relations between \mathcal{C}^k and the uniform convergence \mathcal{C}_u on the function space X^T .

Theorem 3. Let \mathcal{U} be a uniformity inducing \mathfrak{X} . If a net N ranging in X^T converges \mathcal{U} -uniformly to $f \in X^T$, then $\langle N, f \rangle \in \mathcal{C}^k(\mathfrak{X}^T)$.

Proof. Let $\{f_a \mid a \in D\}$ be a subnet of N , $\{t_b \mid b \in B\}$ a net ranging in T , and $\{z_a \mid a \in A\}$ a net converging in \mathfrak{X} to z such that the net $\{f_a t_b \mid b \in B\}$ converges to z_a for each $a \in D$. Let W be a neighborhood of z . Choose $U \in \mathcal{U}$ and $V \in \mathcal{U}$ such that $U[z] \subset W$ and $V \circ V \circ V \subset U$. Choose $d \in D$ such that $\langle z_d, z \rangle \in V$ and $\langle f_a t, ft \rangle \in V$ for each $t \in T$ and $a > d$. Choose $c \in B$ such that $\langle f_d t_b, z_d \rangle \in V$ for each $b > c$. If $a > d$ and $b > c$, then $\langle f_a t_b, ft_b \rangle \in V$ and $\langle ft_b, f_d t_b \rangle \in V$, and hence $\langle f_a t_b, z \rangle \in V \circ V \circ V \subset U$ and $f_a t_b \in W$.

Theorem 4. Let \mathfrak{X} be a sequentially compact topological space, \mathcal{U} a continuous uniformity for \mathfrak{X} . Let N be a net ranging in X^T which contains a subsequence. If $\langle N, f \rangle \in \mathcal{C}^\sigma(\mathfrak{X}^T)$, then N \mathcal{U} -uniformly converges to f .

Proof. Let $\langle N, f \rangle \in \mathcal{C}^\sigma - \mathcal{C}_u$. Then we can find $U \in \mathcal{U}$, $V \in \mathcal{U}$ with $V \circ V \subset U$, a sequence $\{t_i \mid i \in \omega_0\}$ ranging in T , and a subsequence $\{s_i \mid i \in \omega_0\}$ of N such that (for each i, j satisfying $j < i < \omega_0$) $\langle s_i t_i, ft_i \rangle \notin U$ and $\langle s_i t_j, ft_j \rangle \in V$. Put $D_0 = \omega_0$ and choose (inductively) $i_k \in D_k$ and $D_{k+1} \subset D_k$ such that sequences $\{s_{i_k} t_j \mid j \in D_{k+1}\}$ converge in \mathfrak{X} . Denote their limits by z_k , choose a convergent subsequence $\{z_k \mid k \in E\}$ and an open neighborhood G of its limit z . Then $\{s_{i_k} t_{i_j} \mid j \in \omega_0\}$ converges to z_k for each $k \in E$. Because $\langle N, f \rangle \in \mathcal{C}^\sigma$, the double net $\{s_{i_k} t_{i_j} \mid \langle k, j \rangle \in E \times \omega_0\}$ converges to z ; thus for large $k \in \omega_0$ $\langle s_{i_k} t_{i_k}, s_{i_{k+1}} t_{i_k} \rangle \in G \times G \subset V$ and $\langle s_{i_k} t_{i_k}, ft_{i_k} \rangle \in V \circ V - U$.

Theorem 5. Let \mathcal{U} be a fine uniformity for \mathfrak{X} . If \mathfrak{X} is not sequentially compact, then there exists a sequence N and a mapping f such that $\langle N, f \rangle \in \mathcal{C}^k(\mathfrak{X}^T)$ and N does not converge \mathcal{U} -uniformly to f .

Proof. We can find a sequence $\{y_n | n \in \omega_c\}$ without accumulation points and $U \in \mathcal{U}$ such that $n \neq m \Rightarrow \langle y_n, y_m \rangle \notin U$. We choose a bijective sequence $\{s_n | n \in \omega_c\}$ onto $S \subset T$ and define $N = \{f_n | n \in \omega_c\}$ and $f \in X^T$ such that $f_n s_m = y_{m+2}$ for $m > n$, $f_n s_m = y_{m+1}$ for $m \leq n$, $f s_m = y_{m+1}$, and $f_n [T-S] = f [T-S] = \{y_1\}$. Evidently, N does not converge \mathcal{U} -uniformly. $\langle N, f \rangle \in \mathcal{C}^k(\mathfrak{X}^T)$, for if all nets $\{f_n t_b | b \in B\}$ converge, then $\{t_b | b \in B\}$ must be eventually either in $T-S$ or in some $\{s_m\}$.

Corollary 1. Let \mathfrak{X} be sequentially compact, \mathcal{U} a uniformity inducing \mathfrak{X} , and N a sequence ranging in X^T . Then the following are equivalent:

- (a) $\langle N, f \rangle \in \mathcal{C}^k(\mathfrak{X}^T)$.
- (b) $\langle N, f \rangle \in \mathcal{C}^\sigma(\mathfrak{X}^T)$.
- (c) N converges \mathcal{U} -uniformly to f .

Corollary 2. Let \mathcal{U} be the fine uniformity of a topological space \mathfrak{X} . Then the following are equivalent:

- (1) \mathfrak{X} is sequentially compact.
- (2) For each $f \in X^T$ and for every sequence N such that $\langle N, f \rangle \in \mathcal{C}^k(\mathfrak{X}^T)$, N converges \mathcal{U} -uniformly to f .
- (3) For each $f \in X^T$ and for every sequence N such that $\langle N, f \rangle \in \mathcal{C}^\sigma(\mathfrak{X}^T)$, N converges \mathcal{U} -uniformly to f .

Proofs. (b) \Rightarrow (c) and (1) \Rightarrow (3) follow from Theorem 4, (c) \Rightarrow (a) from Theorem 3, (2) \Rightarrow (1) from Theorem 5, and the remaining from $\mathcal{C}^k \subset \mathcal{C}^\sigma$.

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