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## On nowhere first-countable compact spaces with countable $\pi$ -weight

JAN VAN MILL

*Abstract.* The minimum weight of a nowhere first-countable compact space of countable  $\pi$ -weight is shown to be  $\kappa_B$ , the least cardinal  $\kappa$  for which the real line  $\mathbb{R}$  can be covered by  $\kappa$  many nowhere dense sets.

*Keywords:*  $\pi$ -weight; nowhere first-countable;  $\kappa_B$ ; compact space

*Classification:* 54D35

### 1. Introduction

*All spaces under discussion are Tychonoff.*

In [4], the author showed that there is a (naturally defined) compact space  $X$  which is (topologically) homogeneous under  $\text{MA}+\neg\text{CH}$  but not under  $\text{CH}$ . This space has countable  $\pi$ -weight, character  $\omega_1$  and weight  $\mathfrak{c}$ . It is an open problem whether there can be a compact nowhere first-countable homogeneous space of countable  $\pi$ -weight and weight less than  $\mathfrak{c}$ . This cannot be done by a straightforward modification of the method in [4] since from Juhász [2, Theorem 5] it follows that under  $\text{MA}$ , every compact space of countable  $\pi$ -weight and weight less than  $\mathfrak{c}$  is somewhere first-countable. Hence a homogeneous compactum of countable  $\pi$ -weight and weight less than  $\mathfrak{c}$  is first-countable under  $\text{MA}$  ([4, Theorem 1.5]). Let  $\lambda$  be the minimum weight of a nowhere first-countable compact space of countable  $\pi$ -weight. Clearly,  $\omega_1 \leq \lambda \leq \mathfrak{c}$ . The aim of this note is to show that  $\lambda$  is equal to  $\kappa_B$ , the least cardinal  $\kappa$  for which the real line  $\mathbb{R}$  can be covered by  $\kappa$  many nowhere dense sets. Hence there exists a nowhere first-countable compact space of weight  $\kappa_B$  and countable  $\pi$ -weight. Whether such a space can be homogeneous while  $\kappa_B < \mathfrak{c}$  remains an open problem.

### 2. Preliminaries

Our basic references are Miller [5], Juhász [1] and Kunen [3].

For every space  $X$ , define  $\kappa_B(X)$  to be the least cardinal  $\kappa$  such that  $X$  can be covered by  $\kappa$  many nowhere dense (in  $X$ ) subsets of  $X$ . In Miller [5, Lemma 1] it is shown that for every crowded Polish space  $X$  we have  $\kappa_B(X) = \kappa_B$ .

Let  $\text{MA}_\kappa(\text{countable})$  denote the statement that for any countable partial order  $\mathbb{P}$  and family  $\mathcal{F}$  of dense subsets of  $\mathbb{P}$ , if  $|\mathcal{F}| < \kappa$ , then there exists a  $\mathbb{P}$ -generic filter  $G$  over  $\mathcal{F}$ . It is well-known, see Miller [5, Lemma 2], that  $\kappa_B$  is the greatest  $\kappa$  for which  $\text{MA}_\kappa(\text{countable})$  holds.

The proof of the following result is standard and is included for the sake of completeness.

**Lemma 2.1** ( $\text{MA}_{\kappa^+}(\text{countable})$ ). *Let  $X$  be a crowded space of weight at most  $\kappa$  and of countable  $\pi$ -weight. Assume that  $D$  is a nowhere dense subset of  $X$ . Then there exist disjoint open sets  $U$  and  $V$  in  $X$  such that  $D \subseteq \overline{U} \cap \overline{V}$ .*

PROOF: Let  $\mathcal{U}$  be a countable  $\pi$ -base for  $X$ . Put

$$\mathbb{P} = \{ \langle p, q \rangle : (p, q \in [\mathcal{U}]^{<\omega}) \ \& \ (\bigcup p \cap \bigcup q = \emptyset) \ \& \ (\overline{\bigcup p} \cup \overline{\bigcup q} \subseteq X \setminus \overline{D}) \}.$$

Order  $\mathcal{P}$  in the natural way by  $\langle p_0, q_0 \rangle \leq \langle p_1, q_1 \rangle$  iff  $\bigcup p_1 \subseteq \bigcup p_0$  and  $\bigcup q_1 \subseteq \bigcup q_0$ . Let  $\mathcal{V}$  be an open base for  $X$  such that  $|\mathcal{V}| \leq \kappa$ . Let  $\mathcal{W} = \{V \in \mathcal{V} : V \cap D \neq \emptyset\}$ . For every  $W \in \mathcal{W}$ , put

$$W^* = \{ \langle p, q \rangle \in \mathcal{P} : (\bigcup p \cap W \neq \emptyset) \ \& \ (\bigcup q \cap W \neq \emptyset) \}.$$

We claim that  $W^*$  is dense in  $\mathcal{P}$ . To prove this, take an arbitrary  $\langle p, q \rangle \in \mathcal{P}$ . By assumption,  $(\overline{\bigcup p} \cup \overline{\bigcup q}) \cap \overline{D} = \emptyset$  and  $W \cap D \neq \emptyset$ . Since  $X$  is crowded, there exist  $U, V \in \mathcal{U}$  such that

$$\overline{U} \cup \overline{V} \subseteq W \setminus (\overline{D} \cup \overline{p} \cup \overline{q}).$$

Hence  $p' = p \cup U$  and  $q' = q \cup V$  belong to  $\mathcal{P}$  and, clearly,  $\langle p', q' \rangle \leq \langle p, q \rangle$ . By our assumptions, there is a filter  $F$  in  $\mathbb{P}$  such that for every  $W \in \mathcal{W}$  we have  $W^* \cap F \neq \emptyset$ . Put

$$U = \bigcup \{ p : (\exists q \in [\mathcal{U}]^{<\omega}) (\langle p, q \rangle \in F) \},$$

and

$$V = \bigcup \{ q : (\exists p \in [\mathcal{U}]^{<\omega}) (\langle p, q \rangle \in F) \},$$

respectively. Then  $U$  and  $V$  are clearly as required. □

It was shown in Miller [5, Theorem 1] that  $\kappa_B$  has uncountable cofinality. (Interestingly, Shelah [6] showed that the measure analogue of this may fail.)

### 3. Proofs

Theorem 5 and Lemma 4 in Juhász [2] imply that if  $X$  is countably compact, nowhere first-countable, and has a dense set of points of countable  $\pi$ -character, then  $w(X) \geq \kappa_B$ . For completeness sake, we include a simple proof of a weaker result which suffices for our purposes.

**Lemma 3.1** (Juhász [2]). *Let  $\kappa$  be a cardinal for which there exists a compact nowhere first-countable space  $X$  with countable  $\pi$ -weight and weight  $\kappa$ . Then  $\kappa_B \leq \kappa$ .*

PROOF: Let  $\mathcal{B}$  be an open base for  $X$  such that  $|\mathcal{B}| = \kappa$ . Moreover, let  $\mathcal{U}$  be a countable  $\pi$ -base for  $X$ . For every  $B \in \mathcal{B}$ , put

$$S(B) = \overline{B} \setminus \bigcup \{U \in \mathcal{U} : U \subseteq B\}.$$

Since  $\mathcal{U}$  is a  $\pi$ -base, it is clear that for every  $B \in \mathcal{B}$  the set  $S(B)$  is a nowhere dense closed subset of  $X$ .

We claim that  $\bigcup_{B \in \mathcal{B}} S(B) = X$ . To this end, pick an arbitrary  $x \in X$ . The collection  $\mathcal{V} = \{U \in \mathcal{U} : x \in U\}$  is countable. Since  $\chi(x, X) > \omega$ , there exists  $B \in \mathcal{B}$  which contains no  $U \in \mathcal{V}$ . Hence for every  $U \in \mathcal{U}$  which is contained in  $B$  it follows that  $x \notin U$ , i.e.,  $x \in S(B)$ .

There is an irreducible continuous surjection  $f: X \rightarrow Y$ , where the weight of  $Y$  is countable. Hence  $Y$  is covered by the collection of nowhere dense closed sets

$$\{f(S(B)) : B \in \mathcal{B}\}.$$

Clearly  $Y$  is crowded since  $X$  is. From this we conclude that  $\kappa_B \leq \kappa$ , as required. □

If  $X$  is a compact space and  $A$  and  $B$  are closed subsets of  $X$  such that  $A \cup B = X$ , then  $X(A, B)$  denotes the topological sum  $(\{0\} \times A) \cup (\{1\} \times B)$  of  $A$  and  $B$  and  $\pi_{A,B}: X(A, B) \rightarrow X$  is defined by

$$\pi_{A,B}(t) = \begin{cases} a & (t = \langle 0, a \rangle, a \in A), \\ b & (t = \langle 1, b \rangle, b \in B). \end{cases}$$

Observe that  $t \in A \cap B$  if and only if  $|\pi_{A,B}^{-1}(\{t\})| \geq 2$  if and only if  $|\pi_{A,B}^{-1}(\{t\})| = 2$ .

**Lemma 3.2.**  $\pi_{A,B}: X(A, B) \rightarrow X$  is irreducible if and only if  $A \setminus B$  is dense in  $A$  and  $B \setminus A$  is dense in  $B$ .

PROOF: It will be convenient to denote  $\{0\} \times A$  and  $\{1\} \times B$  by  $A'$  and  $B'$ , respectively. Assume first that  $C \subseteq X(A, B)$  is a proper closed set such that  $\pi_{A,B}(C) = X$ . We may assume without loss of generality that  $U = A' \setminus C$  is nonempty. Put  $V = \pi_{A,B}(U)$ . Then  $V$  is a nonempty relatively open subset of  $A$ . Moreover, if  $x \in V$ , then there exists  $\langle 1, b \rangle \in B'$  such that  $B \ni b = \pi_{A,B}(\langle 1, b \rangle) = x$ . As a consequence,  $V \subseteq B$ . There is an open subset  $W$  in  $X$  such that  $W \cap A = V$ . Since  $V \subseteq B$ , obviously  $W \subseteq B$ . Hence  $A \setminus B$  is not dense in  $A$ .

For the converse implication, assume without loss of generality that  $A \setminus B$  is not dense in  $A$ . Then  $(\{0\} \times \overline{A \setminus B}) \cup (\{1\} \times B)$  is a proper closed subset of  $X_{A,B}$  which is mapped onto  $X$  by  $\pi_{A,B}$ . □

**Lemma 3.3.** *There is a nowhere first-countable compact space of weight  $\kappa_B$  and countable  $\pi$ -weight.*

PROOF: Let  $\tau: \kappa_B \rightarrow \kappa_B$  be a surjection every fiber of which has size  $\kappa_B$ . Moreover, let  $\{D_\alpha : \alpha < \kappa_B\}$  be a family of closed and nowhere dense subsets of  $2^\omega$  covering  $2^\omega$ . Our space will be the inverse limit  $X_{\kappa_B}$  of a continuous inverse system  $\{X_\alpha, \beta \leq \alpha < \kappa_B, f_\beta^\alpha\}$  such that  $X_0 = 2^\omega$  and for every  $\alpha < \kappa_B$  and  $\beta \leq \alpha$ ,

- (1)  $X_\alpha$  is a compact space of weight at most  $|\alpha| \cdot \omega$ ,
- (2)  $f_\beta^\alpha: X_\alpha \rightarrow X_\beta$  is a continuous, irreducible surjection,
- (3) there are closed sets  $A_\alpha$  and  $B_\alpha$  in  $X_\alpha$  such that
  - (a)  $A_\alpha \cup B_\alpha = X_\alpha$ ,
  - (b)  $A_\alpha \cap B_\alpha \supseteq (f_0^\alpha)^{-1}(D_{\tau(\alpha)})$ ,
  - (c)  $A_\alpha \setminus B_\alpha$  and  $B_\alpha \setminus A_\alpha$  are dense in  $A_\alpha$  respectively  $B_\alpha$ ,
  - (d)  $X_{\alpha+1} = X_\alpha(A_\alpha, B_\alpha)$  and  $f_\alpha^{\alpha+1} = \pi_{A_\alpha, B_\alpha}$ .

The construction of this inverse sequence is a triviality by a repeated application of Lemmas 2.1 and 3.2. The only thing left to verify is that  $X_{\kappa_B}$  has weight  $\kappa_B$  and is nowhere first-countable.

Striving for a contradiction, assume that  $X_{\kappa_B}$  is first-countable at  $t$ . Since  $\kappa_B$  has uncountable cofinality (see §2), there exists  $\beta < \kappa_B$  such that

$$(\dagger) \quad (f_\beta^{\kappa_B})^{-1}(\{f_\beta^{\kappa_B}(t)\}) = \{t\}.$$

Let  $\xi < \kappa_B$  be such that  $f_0^{\kappa_B}(t) \in D_\xi$ . Pick  $\alpha > \beta$  so large that  $\tau(\alpha) = \xi$ . Then clearly

$$|(f_\alpha^{\alpha+1})^{-1}(\{f_\alpha^{\kappa_B}(t)\})| = 2,$$

which contradicts  $(\dagger)$ .

That the weight of  $X_{\kappa_B}$  is at most  $\kappa_B$  follows by construction. And that it has weight at least  $\kappa_B$  is a consequence of Lemma 3.1 and the fact that it is nowhere first-countable. Observe that  $X_0$  has countable weight, and that  $X_{\kappa_B}$  admits a continuous, irreducible map onto  $X_0$ . Hence  $X_{\kappa_B}$  has countable  $\pi$ -weight.  $\square$

#### 4. Questions

- (1) Is there in ZFC a homogeneous nowhere first-countable compact space of countable  $\pi$ -weight and weight  $\kappa_B$ ?
- (2) What are the cardinals of the form  $w(X)$ , where  $X$  is a nowhere first-countable compactum of countable  $\pi$ -weight?  
 (Let  $\Pi$  denote this set of cardinals. We showed that  $\kappa_B \in \Pi$ . Moreover,  $\mathfrak{c} \in \Pi$ . To check this, let  $X$  be the absolute of the unit interval. Then  $X$  has countable  $\pi$ -weight, is nowhere first-countable, and has weight  $\mathfrak{c}$  (since it contains a copy of  $\beta\omega$ ). We do not know whether there can be a cardinal  $\kappa \in \Pi \setminus \{\kappa_B, \mathfrak{c}\}$ .)

A natural question is whether there can be a  $\kappa$  in  $\Pi$  of countable cofinality. This question may have a very simple answer. Indeed, assume that there is a sequence

$$\kappa_0 < \kappa_1 < \cdots < \kappa_n < \cdots$$

in  $\Pi$ . For every  $n$  let  $X_n$  be a witness of the fact that  $\kappa_n \in \Pi$ . Then  $X = \prod_{n < \omega} X_n$  is a witness that  $\kappa = \sup_{n < \omega} \kappa_n \in \Pi$ .

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