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ON THE ALGEBRA OF  $A^k$ -FUNCTIONS

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*Abstract.* For a domain  $\Omega \subset \mathbb{C}^n$  let  $H(\Omega)$  be the holomorphic functions on  $\Omega$  and for any  $k \in \mathbb{N}$  let  $A^k(\Omega) = H(\Omega) \cap C^k(\overline{\Omega})$ . Denote by  $\mathcal{A}_D^k(\Omega)$  the set of functions  $f: \Omega \rightarrow [0, \infty)$  with the property that there exists a sequence of functions  $f_j \in A^k(\Omega)$  such that  $\{|f_j|\}$  is a nonincreasing sequence and such that  $f(z) = \lim_{j \rightarrow \infty} |f_j(z)|$ . By  $\mathcal{A}_I^k(\Omega)$  denote the set of functions  $f: \Omega \rightarrow (0, \infty)$  with the property that there exists a sequence of functions  $f_j \in A^k(\Omega)$  such that  $\{|f_j|\}$  is a nondecreasing sequence and such that  $f(z) = \lim_{j \rightarrow \infty} |f_j(z)|$ .

Let  $k \in \mathbb{N}$  and let  $\Omega_1$  and  $\Omega_2$  be bounded  $A^k$ -domains of holomorphy in  $\mathbb{C}^{m_1}$  and  $\mathbb{C}^{m_2}$  respectively. Let  $g_1 \in \mathcal{A}_D^k(\Omega_1)$ ,  $g_2 \in \mathcal{A}_I^k(\Omega_1)$  and  $h \in \mathcal{A}_D^k(\Omega_2) \cap \mathcal{A}_I^k(\Omega_2)$ . We prove that the domains  $\Omega = \{(z, w) \in \Omega_1 \times \Omega_2: g_1(z) < h(w) < g_2(z)\}$  are  $A^k$ -domains of holomorphy if  $\text{int } \overline{\Omega} = \Omega$ . We also prove that under certain assumptions they have a Stein neighbourhood basis and are convex with respect to the class of  $A^k$ -functions. If these domains in addition have  $C^1$ -boundary, then we prove that the  $A^k$ -corona problem can be solved. Furthermore we prove two general theorems concerning the projection on  $\mathbb{C}^n$  of the spectrum of the algebra  $A^k$ .

*Keywords:*  $A^k$ -domains of holomorphy,  $A^k$ -convexity

*MSC 2000:* 32A38

## 1. INTRODUCTION

For a domain  $\Omega$  in  $\mathbb{C}^n$  let  $H(\Omega)$  denote the holomorphic functions on  $\Omega$  and for any natural number  $k \in \mathbb{N} = \{0, 1, 2, \dots\}$  let  $A^k(\Omega)$  denote the set  $H(\Omega) \cap C^k(\overline{\Omega})$ . According to the Cartan-Thullen theorem ([3]) a domain  $\Omega$  in  $\mathbb{C}^n$  is a domain of holomorphy if and only if it is convex with respect to the holomorphic functions on  $\Omega$ . This means that domains of holomorphy (which are defined using the ambient

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space  $\mathbb{C}^n$ ) can be characterized by an intrinsic property in terms of convexity conditions with respect to  $H(\Omega)$ . Furthermore the solution of the Levi Problem ([8], [1], [7]) shows that a domain in  $\mathbb{C}^n$  is a domain of holomorphy if and only if it is locally a domain of holomorphy.

For  $A^k(\Omega)$  the situation is different. It is not known whether a domain that is locally an  $A^k$ -domain of holomorphy is an  $A^k$ -domain of holomorphy. This makes it much more difficult to analyse the  $A^k$ -situation and few results have been obtained. In general an  $A^k$ -domain of holomorphy does not have to be convex with respect to the class of  $A^k$ -functions and there are also examples of  $A^k$ -convex domains which are not  $A^k$ -domains of holomorphy. (See section 3.)

M. Jarnicki and P. Pflug ([6]) have shown that any bounded Reinhardt domain  $\Omega$  in  $\mathbb{C}^n$  such that  $\text{int } \overline{\Omega} = \Omega$  is an  $A^k$ -domain of holomorphy for any  $k \in \mathbb{N}$ . Moreover it follows from work of D. Catlin ([2]) and M. Hakim and N. Sibony ([5]) that a bounded pseudoconvex domain with  $C^\infty$ -boundary is an  $A^k$ -convex  $A^k$ -domain of holomorphy for any  $0 \leq k \leq \infty$ .

In this paper we study the algebra of  $A^k$ -functions on domains in  $\mathbb{C}^n$ . First we treat the notion of sequential  $A^k$ -convexity. We then introduce a class of domains and we prove in Theorem 4.2, using properties of the spectrum of  $A^k$ , that these domains are  $A^k$ -domains of holomorphy for every  $k \in \mathbb{N}$ . In section 5 we prove two general theorems (Theorem 5.1 and Theorem 5.3), which are of independent interest, concerning the projection on  $\mathbb{C}^n$  of the spectrum of  $A^k$ . Under certain assumptions we then prove, in Theorem 5.4, that the domains considered in the statement of Theorem 4.2 have a Stein neighbourhood basis and if in addition they have  $C^1$ -boundary we use the results obtained to prove that the  $A^k$ -corona problem can be solved. In the last section we prove that the domains considered in the statement of Theorem 5.4 are  $A^k$ -convex.

## 2. PRELIMINARIES

We study properties of  $A^k$ -domains of holomorphy and  $A^k$ -convex domains for  $k \in \mathbb{N}$ . With the norm

$$\|f\|_{k,\Omega} = \sum_{\alpha \in \mathbb{N}^n, |\alpha| \leq k} \sup_{z \in \Omega} |D^\alpha f(z)|$$

$A^k(\Omega) = H(\Omega) \cap C^k(\overline{\Omega})$  is a Banach algebra. The set of nonzero multiplicative complex homomorphisms on  $A^k(\Omega)$  is called the spectrum of  $A^k(\Omega)$ , when it is equipped with the weak\*-topology. We denote the spectrum by  $\mathfrak{M}^{A^k(\Omega)}$ . For  $z \in \overline{\Omega}$  the point evaluation  $m_z$  is defined by  $m_z(f) = f(z)$  for every  $f \in A^k(\Omega)$ . The closure of the domain  $\Omega$  can then be embedded as a subset  $\overline{\Omega}_e = \{m_z : z \in \overline{\Omega}\}$  of  $\mathfrak{M}^{A^k(\Omega)}$ .

**Definition 2.1.** A domain  $\Omega \subset \mathbb{C}^n$  is said to be  $A^k$ -convex (or convex with respect to the class of  $A^k$ -functions) if for every compact subset  $K$  of  $\Omega$  the set

$$\widehat{K}_{A^k} = \left\{ z \in \Omega: |f(z)| \leq \sup_{\zeta \in K} |f(\zeta)| \quad \forall f \in A^k(\Omega) \right\}$$

is a compact subset of  $\Omega$ . The set  $\widehat{K}_{A^k}$  is called the  $A^k$ -convex hull of  $K$  in  $\Omega$ .

**Definition 2.2.** A domain  $\Omega \subset \mathbb{C}^n$  is said to be an  $A^k$ -domain of holomorphy (or a domain of existence for  $A^k$ ) if there do not exist nonempty open sets  $\Omega_1$  and  $\Omega_2$  such that

- (1)  $\Omega_1 \subset \Omega_2 \cap \Omega$
- (2)  $\Omega_2$  is connected and not contained in  $\Omega$
- (3) for every function  $u \in A^k(\Omega)$  there is a function  $u_2$  holomorphic on  $\Omega_2$  such that  $u = u_2$  on  $\Omega_1$ .

For every domain  $\Omega \subset \mathbb{C}^n$  there exists a unique  $A^k$ -envelope of holomorphy  $(\Omega, \Pi, \mathbb{C}^n)$  which is a Riemann domain spread over  $\mathbb{C}^n$  ([11]).

It is easy to see that the interior of the intersection of any family of  $A^k$ -domains of holomorphy is an  $A^k$ -domain of holomorphy and that the interior of the intersection of any family of  $A^k$ -convex domains is an  $A^k$ -convex domain. A bounded pseudoconvex domain with  $C^\infty$ -boundary is an  $A^k$ -convex  $A^k$ -domain of holomorphy. This implies that the increasing union of  $A^k$ -domains of holomorphy (respectively,  $A^k$ -convex domains) does not have to be an  $A^k$ -domain of holomorphy (respectively,  $A^k$ -convex domain) since an arbitrary pseudoconvex domain can be exhausted by an increasing sequence of bounded pseudoconvex domains with  $C^\infty$ -boundary.

The following proposition will be used later on:

**Proposition 2.3.** *Let  $D_1$  and  $D_2$  be  $A^k$ -domains of holomorphy in  $\mathbb{C}^{m_1}$  and  $\mathbb{C}^{m_2}$  respectively. Then  $\Omega = D_1 \times D_2 \subset \mathbb{C}^{m_1+m_2}$  is an  $A^k$ -domain of holomorphy.*

*Proof.* Suppose that  $\Omega$  is not an  $A^k$ -domain of holomorphy. Then there exist open sets  $\Omega_1$  and  $\Omega_2$  as in Definition 2.2 and since  $\Omega_2$  intersects the boundary of  $\Omega$  it intersects either  $\partial D_1 \times D_2$  or  $D_1 \times \partial D_2$ . In either case there is a function in  $A^k(\Omega)$  which cannot be continued to  $\Omega_2$ .  $\square$

### 3. SEQUENTIAL $A^k$ -CONVEXITY

One way of proving that a domain is  $A^k$ -convex or an  $A^k$ -domain of holomorphy is to show that it is sequentially  $A^k$ -convex. We recall that a domain in  $\mathbb{C}^n$  is a domain of holomorphy if and only if for every discrete sequence  $\{p_j\}_{j=0}^\infty$  in  $\Omega$  there exists a function  $f \in H(\Omega)$  such that  $\sup_{j \in \mathbb{N}} |f(p_j)| = +\infty$ . We will see that a corresponding notion for  $A^k$  is a sufficient condition for a domain to be an  $A^k$ -domain of holomorphy as well as an  $A^k$ -convex domain. It is however not a necessary condition.

**Definition 3.1.** A domain  $\Omega \subset \mathbb{C}^n$  is said to be sequentially  $A^k$ -convex if for every discrete sequence  $\{p_j\}_{j=0}^\infty$  in  $\Omega$  there exists a function  $f \in A^k(\Omega)$ , not identically constant, such that  $\sup_{j \in \mathbb{N}} |f(p_j)| = \|f\|_{L^\infty(\Omega)}$ .

**Proposition 3.2.** *A sequentially  $A^k$ -convex domain  $\Omega \subset \mathbb{C}^n$  is  $A^k$ -convex.*

*Proof.* Suppose  $\Omega \subset \mathbb{C}^n$  is not  $A^k$ -convex. Then there exists a compact set  $K$  in  $\Omega$  such that  $\widehat{K}_{A^k}$  is not a compact subset of  $\Omega$  and hence we can find a discrete sequence  $\{p_j\}_{j=0}^\infty \subset \widehat{K}_{A^k}$  such that  $|f(p_j)| \leq \|f\|_{L^\infty(K)}$  for every  $f \in A^k(\Omega)$ . It follows from the maximum principle for holomorphic functions that  $\sup_{j \in \mathbb{N}} |f(p_j)| < \|f\|_{L^\infty(\Omega)}$  for every non-constant function  $f \in A^k(\Omega)$  and this means that  $\Omega$  is not sequentially  $A^k$ -convex.  $\square$

**Proposition 3.3.** *A sequentially  $A^k$ -convex domain  $\Omega \subset \mathbb{C}^n$  is an  $A^k$ -domain of holomorphy.*

*Proof.* Suppose  $\Omega$  is not an  $A^k$ -domain of holomorphy. Then there exist open sets  $\Omega_1$  and  $\Omega_2$  as in Definition 2.2. In particular,  $\Omega_2$  is not a subset of  $\Omega$ , but  $\Omega \cap \Omega_2 \neq \emptyset$ . Let  $K$  be a compact set in  $\Omega \cup \Omega_2$  such that  $K \setminus \Omega_2$  is a compact subset in  $\Omega$ . We choose a discrete sequence  $\{p_j\}_{j=0}^\infty \subset \Omega \cap K$ . It follows from the maximum principle for holomorphic functions and the fact that holomorphic functions cannot increase in norm when extended, that  $\sup_{j \in \mathbb{N}} |f(p_j)| < \|f\|_{L^\infty(\Omega)}$  for every non-constant function  $f$  in  $A^k(\Omega)$ .  $\square$

In [10] N. Sibony constructed a pseudoconvex Runge domain  $\Omega$  contained in the bidisk  $\Delta^2 \subset \mathbb{C}^2$  such that  $\text{int } \overline{\Omega} = \Omega$ ,  $\Delta^2 \setminus \Omega \neq \emptyset$  and so that all bounded holomorphic functions on  $\Omega$  can be holomorphically continued to  $\Delta^2$ . Hence  $\Omega$  is not an  $A^k$ -domain of holomorphy for any  $k \in \mathbb{N}$  but since it is Runge, it follows that it is also convex with respect to the class of  $A^k$ -functions. By Proposition 3.3 the domain  $\Omega$  cannot be sequentially  $A^k$ -convex. Moreover the Hartogs triangle  $\{(z_1, z_2) \in \mathbb{C}^2 : |z_1| < |z_2| < 1\}$  is not a sequentially  $A^k$ -convex domain since it is not an  $A^k$ -convex domain for

any  $k \in \mathbb{N}$ . It is however an  $A^k$ -domain of holomorphy for every  $k \in \mathbb{N}$  and therefore the following corollary can be established.

**Corollary 3.4.** *There exists a bounded domain  $D_1 \subset \mathbb{C}^2$  with  $\text{int } \overline{D}_1 = D_1$  which for every  $k \in \mathbb{N}$  is an  $A^k$ -convex domain but not a sequentially  $A^k$ -convex domain.*

*There also exists a bounded domain  $D_2 \subset \mathbb{C}^2$  with  $\text{int } \overline{D}_2 = D_2$  which for every  $k \in \mathbb{N}$  is an  $A^k$ -domain of holomorphy but not a sequentially  $A^k$ -convex domain.*

We remark that as a consequence of Proposition 3.2 and Proposition 3.3 a domain  $\Omega$  for which every boundary point is a peak point for  $A^k(\Omega)$  is an  $A^k$ -convex  $A^k$ -domain of holomorphy.

#### 4. $A^k$ -DOMAINS OF HOLOMORPHY

We now study domains of existence for the class of  $A^k$ -functions on domains in  $\mathbb{C}^n$ . We first prove the following lemma.

**Lemma 4.1.** *Let  $k \in \mathbb{N}$  and let  $\Omega$  be a bounded domain in  $\mathbb{C}^n$ . For every element  $m$  in the spectrum  $\mathfrak{M}^{A^k(\Omega)}$  of  $A^k(\Omega)$  the following inequality holds:*

$$|m(f)| \leq \sup_{z \in \Omega} |f(z)|, \quad f \in A^k(\Omega).$$

*Proof.* Suppose there is an element  $m \in \mathfrak{M}^{A^k(\Omega)}$  and a function  $f \in A^k(\Omega)$  such that

$$m(f) = \lambda, \quad \text{where } |\lambda| > \sup_{z \in \Omega} |f(z)|.$$

Then the function

$$g(z) = \frac{1}{f(z) - \lambda}$$

belongs to  $A^k(\Omega)$  and  $m(g(f - \lambda)) = 1$ . On the other hand

$$\begin{aligned} m(g(f - \lambda)) &= m(g) \cdot m(f - \lambda) \\ &= m(g) \cdot (m(f) - \lambda) = 0. \end{aligned}$$

This contradiction completes the proof of the lemma. □

Let  $\Omega$  be a bounded domain in  $\mathbb{C}^n$ . Denote by  $\mathcal{A}_D^k(\Omega)$  the set of functions  $f: \Omega \rightarrow [0, \infty)$  with the property that there exists a sequence of functions  $f_j \in A^k(\Omega)$  such that  $\{|f_j|\}$  is a nonincreasing sequence and such that

$$f(z) = \lim_{j \rightarrow \infty} |f_j(z)|.$$

Furthermore, denote by  $\mathcal{A}_I^k(\Omega)$  the set of functions  $f: \Omega \rightarrow (0, \infty)$  with the property that there exists a sequence of nonvanishing functions  $f_j \in A^k(\Omega)$  such that  $\{|f_j|\}$  is a nondecreasing sequence and such that

$$f(z) = \lim_{j \rightarrow \infty} |f_j(z)|.$$

It follows from the definitions that the functions in  $\mathcal{A}_D^k$  are nonnegative and plurisubharmonic and that the functions in  $\mathcal{A}_I^k$  are positive and plurisuperharmonic.

We now introduce a class of domains defined by functions in  $\mathcal{A}_D^k$  and  $\mathcal{A}_I^k$  and we prove the following theorem:

**Theorem 4.2.** *Let  $k \in \mathbb{N}$  and let  $\Omega_1$  and  $\Omega_2$  be bounded  $A^k$ -domains of holomorphy in  $\mathbb{C}^{m_1}$  and  $\mathbb{C}^{m_2}$  respectively. Let  $g_1 \in \mathcal{A}_D^k(\Omega_1)$ ,  $g_2 \in \mathcal{A}_I^k(\Omega_1)$  and  $h \in \mathcal{A}_D^k(\Omega_2) \cap \mathcal{A}_I^k(\Omega_2)$ . If the domain  $\Omega$  defined by*

$$\Omega = \{(z, w) \in \Omega_1 \times \Omega_2: g_1(z) < h(w) < g_2(z)\}$$

*fulfills  $\text{int } \bar{\Omega} = \Omega$ , then  $\Omega$  is an  $A^k$ -domain of holomorphy.*

**Proof.** Suppose that  $g_1(z) = \lim_{j \rightarrow \infty} |g_{1,j}(z)|$  and that  $g_2(z) = \lim_{j \rightarrow \infty} |g_{2,j}(z)|$  where  $\{|g_{1,j}|\}$  is a nonincreasing sequence and  $\{|g_{2,j}|\}$  a nondecreasing sequence of nonvanishing functions where  $g_{1,j}$  and  $g_{2,j}$  belong to  $A^k(\Omega_1)$ . Suppose also that  $h(z) = \lim_{j \rightarrow \infty} |h_{1,j}(z)| = \lim_{j \rightarrow \infty} |h_{2,j}(z)|$  where  $\{|h_{1,j}|\}$  is a nonincreasing sequence and  $\{|h_{2,j}|\}$  a nondecreasing sequence of nonvanishing functions where  $h_{1,j}$  and  $h_{2,j}$  belong to  $A^k(\Omega_2)$ .

Suppose that  $\Omega$  is not an  $A^k$ -domain of holomorphy. Since  $\text{int } \bar{\Omega} = \Omega$  the  $A^k$ -envelope of holomorphy,  $(\tilde{\Omega}, \Pi, \mathbb{C}^{m_1+m_2})$ , of  $\Omega$  contains a point  $\tilde{z}$  such that  $\Pi(\tilde{z}) = (z^0, w^0) \notin \bar{\Omega}$ . We will see that this leads to a contradiction.

There exists a complex homomorphism  $m^0$  in  $\mathfrak{M}^{A^k(\Omega)}$  such that

$$(4.1) \quad m^0(f) = \tilde{f}(z^0, w^0) \text{ for every } f \in A^k(\Omega)$$

where  $\tilde{f}$  denotes the holomorphic continuation of  $f$  to  $(\tilde{\Omega}, \Pi, \mathbb{C}^{m_1+m_2})$ . Since  $\Omega_1$  and  $\Omega_2$  are  $A^k$ -domains of holomorphy, it follows from Proposition 2.3 that  $z^0 \in \Omega_1$  and  $w^0 \in \Omega_2$ .

Define for every  $n \in \mathbb{N}$  the functions

$$\gamma_{n,1,i}(z, w) = \begin{cases} 0 & \text{if } g_{1,i} \equiv 0, \\ \frac{g_{1,i}^{k+n}(z)}{\|g_{1,i}\|_{L^\infty(\Omega_1)}^k h_{1,i}^n(w)} & \text{otherwise} \end{cases}$$

and

$$\gamma_{n,2,i}(z, w) = \frac{h_{2,i}(w)^{k+n}}{\|g_{2,i}\|_{L^\infty(\Omega_1)}^k g_{2,i}^n(z)}.$$

For  $i$  large enough we have  $|g_{1,i}(z)| < |h_{1,i}(w)|$  and  $|h_{2,i}(w)| < |g_{2,i}(z)|$  when  $(z, w) \in \Omega$  and therefore  $\gamma_{n,1,i}$  and  $\gamma_{n,2,i}$  belong to  $A^k(\Omega)$ . Furthermore we have  $\|\gamma_{n,1,i}\|_{L^\infty(\Omega)} \leq 1$  and  $\|\gamma_{n,2,i}\|_{L^\infty(\Omega)} \leq 1$ . For  $i$  large enough we get, using Lemma 4.1, that

$$|m^0(\gamma_{n,1,i})| = \frac{|m^0(g_{1,i})|^{k+n}}{\|g_{1,i}\|_{L^\infty(\Omega_1)}^k |m^0(h_{1,i})|^n} = \frac{|g_{1,i}(z^0)|^{k+n}}{\|g_{1,i}\|_{L^\infty(\Omega_1)}^k |h_{1,i}(w^0)|^n} \leq 1$$

and

$$|m^0(\gamma_{n,2,i})| = \frac{|m^0(h_{2,i})|^{k+n}}{\|g_{2,i}\|_{L^\infty(\Omega_1)}^k |m^0(g_{2,i})|^n} = \frac{|h_{2,i}(w^0)|^n}{\|g_{2,i}\|_{L^\infty(\Omega_1)}^k |g_{2,i}(z^0)|^n} \leq 1$$

which implies that

$$|g_{1,i}(z^0)|^{k+n} \leq \|g_{1,i}\|_{L^\infty(\Omega_1)}^k |h_{1,i}(w^0)|^n$$

and

$$|h_{2,i}(w^0)|^{k+n} \leq \|g_{2,i}\|_{L^\infty(\Omega_1)}^k |g_{2,i}(z^0)|^n$$

for all  $n \in \mathbb{N}$ . Hence

$$|g_{1,i}(z^0)| \leq |h_{1,i}(w^0)| \text{ and } |h_{2,i}(w^0)| \leq |g_{2,i}(z^0)|.$$

This holds for every  $i$  large enough, so we conclude that

$$g_1(z^0) \leq h(w^0) \leq g_2(z^0)$$

which means that  $(z^0, w^0)$  belongs to  $\overline{\Omega}$ . This contradiction concludes the proof of the theorem.  $\square$

A comparison with the class  $A^\infty(\Omega) = C^\infty(\overline{\Omega}) \cap H(\Omega)$  gives that the domains considered in the statement of Theorem 4.2 do not have to be  $A^\infty$ -domains of holomorphy. (See Remark 1 on page 60.)

It is not difficult to see that the proof of Theorem 4.2 can be modified to give the following proposition.



**Proposition 4.3.** *Let  $k \in \mathbb{N}$  and let  $\Omega_1$  be a bounded  $A^k$ -domain of holomorphy in  $\mathbb{C}^n$ . Let  $g \in \mathcal{A}_I^k(\Omega_1)$ . Then the Hartogs domain  $\Omega$  defined by*

$$\Omega = \{(z, w) \in \Omega_1 \times \mathbb{C} : |w| < g(z)\}$$

*is an  $A^k$ -domain of holomorphy if  $\text{int } \overline{\Omega} = \Omega$ .*

## 5. SPECTRUM PROPERTIES

Recall that  $\overline{\Omega}_e$  denotes the embedding of the point evaluations on  $\overline{\Omega}$  in the spectrum  $\mathcal{M}^{A^k(\Omega)}$  (see Section 2). In this section we show that if  $\Omega$  is a pseudoconvex domain with  $C^1$ -boundary in  $\mathbb{C}^n$  which has the property that the projection of the spectrum of  $A^k(\Omega)$  on  $\mathbb{C}^n$  equals  $\overline{\Omega}$ , then the spectrum in fact equals  $\overline{\Omega}_e$ . We then show that if a domain  $\Omega$  has a Stein neighbourhood basis, then the projection of the spectrum of  $A^k(\Omega)$  equals the closure of  $\Omega$ . We also show that the domains studied in Section 4 have, under certain conditions, a Stein neighbourhood basis. We conclude that if  $\Omega$  is such a domain with  $C^1$ -boundary, then the  $A^k$ -corona problem can be solved.

For a domain  $\Omega \subset \mathbb{C}^n$  we will denote by  $\pi$  the projection of the spectrum of  $A^k(\Omega)$  on  $\mathbb{C}^n$  defined by

$$\pi(m) = (m(z_1), \dots, m(z_n)), \quad m \in \mathcal{M}^{A^k(\Omega)}.$$

Observe that the closure of  $\Omega$  is always a subset of  $\pi(\mathcal{M}^{A^k(\Omega)})$ . The following proposition gives a sufficient condition for the equality  $\overline{\Omega}_e = \mathcal{M}^{A^k(\Omega)}$  to hold:

**Theorem 5.1.** *Let  $k \in \mathbb{N}$  and let  $\Omega$  be a bounded pseudoconvex domain in  $\mathbb{C}^n$  with  $C^1$ -boundary. If the projection  $\pi(\mathcal{M}^{A^k(\Omega)})$  of the spectrum of  $A^k(\Omega)$  equals  $\overline{\Omega}$ , then  $\mathcal{M}^{A^k(\Omega)} = \overline{\Omega}_e$ .*

*Proof.* Let  $f$  be an arbitrary function in  $A^k(\Omega)$  and define the continuous function  $F: \mathcal{M}^{A^k(\Omega)} \rightarrow \mathbb{C}$  as  $F(m) = f \circ \pi(m)$ . Since  $\pi(\mathcal{M}^{A^k(\Omega)}) = \overline{\Omega}$ , the function is well-defined. By  $B$  we denote the uniform algebra generated by  $F$  and  $A^k(\Omega)$ . Clearly the Shilov boundary  $\delta A^k(\Omega)$  of  $A^k(\Omega)$  is a subset of the Shilov boundary  $\delta B$  of  $B$ .

Since  $\Omega$  is a bounded pseudoconvex domain with  $C^1$ -boundary, it follows from a result by M. Hakim and N. Sibony ([5], Lemma 3), that for every  $m \in \mathcal{M}^{A^k(\Omega)}$  there exists a neighbourhood  $U$  of  $m$  such that  $F$  can be uniformly approximated on  $U$  by functions in  $A^k(\Omega)$ . From this it follows (Lemma 9.1, p. 93, [4]) that  $\delta B \subset \delta A^k(\Omega)$

and hence  $\delta B = \delta A^k(\Omega)$ . Furthermore the Shilov boundary of  $A^k(\Omega)$  is contained in the topological boundary  $\partial\Omega$  of  $\Omega$ .

We have that  $\hat{f} = F$  on  $\partial\Omega$  and hence on  $\delta B$ . Thus  $\hat{f} = f \circ \pi$  on  $\mathcal{M}^{A^k(\Omega)}$ . This proves that  $\pi$  is injective and the result follows.  $\square$

We remind the reader of the definition of a Stein neighbourhood basis.

**Definition 5.2.** A domain  $\Omega \subset \mathbb{C}^n$  is said to have a Stein neighbourhood basis if for every open neighbourhood  $U$  of  $\bar{\Omega}$  there exists a domain of holomorphy  $\Omega'$  such that  $\bar{\Omega} \subset \Omega' \subset U$ .

**Theorem 5.3.** Let  $k \in \mathbb{N}$  and let  $\Omega \subset \mathbb{C}^n$  be a bounded domain that has a Stein neighbourhood basis. Then the projection on  $\mathbb{C}^n$  of the spectrum  $\mathcal{M}^{A^k(\Omega)}$  of  $A^k(\Omega)$  equals  $\bar{\Omega}$ .

*Proof.* Suppose there is an element  $m_0$  in the spectrum  $\mathcal{M}^{A^k(\Omega)}$  such that  $\pi(m_0) = (m_0(z_1), \dots, m_0(z_n)) \notin \bar{\Omega}$ . Let  $U$  be a bounded open neighbourhood of  $\bar{\Omega}$  such that  $\pi(m_0) \notin U$  and denote by  $\tilde{\Omega}$  a pseudoconvex domain with  $C^\infty$ -boundary such that  $\bar{\Omega} \subset \tilde{\Omega} \subset U$ . It follows from [5] that the spectrum  $\mathcal{M}^{A^k(\tilde{\Omega})}$  equals  $\bar{\Omega}_e$ . We have that the restrictions to  $\bar{\Omega}$  of the functions in  $A^k(\tilde{\Omega})$  is a subset of  $A^k(\Omega)$ . It follows that there exists an element  $\tilde{m}_0$  in  $\mathcal{M}^{A^k(\tilde{\Omega})}$  defined by  $\tilde{m}_0(f) = m_0(f|_{\bar{\Omega}})$ . Hence  $\pi(\tilde{m}_0) = (\tilde{m}_0(z_1), \dots, \tilde{m}_0(z_n)) = (m_0(z_1), \dots, m_0(z_n)) = \pi(m_0) \notin U$ . This however contradicts the fact that  $\mathcal{M}^{A^k(\tilde{\Omega})} = \bar{\Omega}_e$ . Thus we obtain that  $\pi(\mathcal{M}^{A^k(\Omega)}) = \bar{\Omega}$ .  $\square$

**Theorem 5.4.** Let  $k \in \mathbb{N}$  and let  $\Omega_1$  and  $\Omega_2$  be bounded  $A^k$ -domains in  $\mathbb{C}^{m_1}$  and  $\mathbb{C}^{m_2}$  respectively. Let  $g_1 \in \mathcal{A}_D^k(\Omega_1)$ ,  $g_2 \in \mathcal{A}_I^k(\Omega_1)$  and  $h \in \mathcal{A}_D^k(\Omega_2) \cap \mathcal{A}_I^k(\Omega_2)$  and suppose that  $g_1$  does not vanish on  $\Omega_1$ . If the domain  $\Omega$  defined by

$$\Omega = \{(z, w) \in \Omega_1 \times \Omega_2 : g_1(z) < h(w) < g_2(z)\}$$

fulfills  $\text{int}\bar{\Omega} = \Omega$  and is a relatively compact subset of  $\Omega_1 \times \Omega_2$ , then  $\Omega$  has a Stein neighbourhood basis.

*Proof.* Define the domains

$$G_{1,\varepsilon} = \left\{ (z, w) \in \Omega_1 \times \Omega_2 : h(w) > 0, \frac{g_1(z)}{h(w)} < 1 + \varepsilon \right\}$$

and

$$G_{2,\varepsilon} = \left\{ (z, w) \in \Omega_1 \times \Omega_2 : g_2(w) > 0, \frac{h(w)}{g_2(z)} < 1 + \varepsilon \right\}.$$

From the plurisubharmonicity and the pluriuperharmonicity of the functions that define  $G_{1,\varepsilon}$  and  $G_{2,\varepsilon}$  it follows that these domains are pseudoconvex. For  $\varepsilon > 0$  small

enough the intersection  $G_\varepsilon = G_{1,\varepsilon} \cap G_{2,\varepsilon} \subset \Omega_1 \times \Omega_2$  obviously contains  $\Omega$  and is pseudoconvex. Furthermore, for every open neighbourhood  $U$  of  $\overline{\Omega}$  we can find an  $\varepsilon$  such that  $\overline{\Omega} \subset G_\varepsilon \subset U$ . This completes the proof of the theorem.  $\square$

**Corollary 5.5.** *Let  $k \in \mathbb{N}$  and let  $\Omega_1$  and  $\Omega_2$  be bounded  $A^k$ -domains in  $\mathbb{C}^{m_1}$  and  $\mathbb{C}^{m_2}$  respectively. Let  $g_1 \in \mathcal{A}_D^k(\Omega_1)$ ,  $g_2 \in \mathcal{A}_I^k(\Omega_1)$  and  $h \in \mathcal{A}_D^k(\Omega_2) \cap \mathcal{A}_I^k(\Omega_2)$  and suppose that  $g_1$  does not vanish on  $\Omega_1$ . Let  $\Omega$  be a domain defined by*

$$\Omega = \{(z, w) \in \Omega_1 \times \Omega_2: g_1(z) < h(w) < g_2(z)\}.$$

*Assume that  $\Omega$  has  $C^1$ -boundary and is a relatively compact subset of  $\Omega_1 \times \Omega_2$ . Let  $f_1, \dots, f_m$  be functions in  $A^k(\Omega)$  such that  $|f_1(z)| + |f_2(z)| + \dots + |f_m(z)| > 0$  for every  $z \in \overline{\Omega}$ . Then there exist functions  $g_1, \dots, g_m$  in  $A^k(\Omega)$  such that*

$$\sum_{i=1}^m f_i(z)g_i(z) = 1 \text{ for every } z \in \overline{\Omega}.$$

*Proof.* It follows from Theorem 5.3 and Theorem 5.4 that the projection of  $\mathcal{M}^{A^k(\Omega)}$  on  $\mathbb{C}^n$  equals  $\overline{\Omega}$ . Theorem 5.1 now gives that  $\mathcal{M}^{A^k(\Omega)} = \overline{\Omega}_\varepsilon$ . The conclusion in the theorem is then a standard result in the theory of uniform algebras.  $\square$

## 6. $A^k$ -CONVEXITY

For a domain  $\Omega$  in  $\mathbb{C}^n$  consider the property of being convex with respect to  $H(\Omega)$ . This is both a necessary and a sufficient condition for  $\Omega$  to be a domain of existence for  $H(\Omega)$  ([3]). The convexity property remains a necessary condition if the class of holomorphic functions  $H(\Omega)$  is replaced by an arbitrary subclass  $S$  of  $H(\Omega)$  such that if  $f$  is a function in  $S$ , then all derivatives of  $f$  also belong to  $S$ . For any  $k \in \mathbb{N}$  the corresponding convexity property of  $\Omega$  when  $H(\Omega)$  is replaced by  $A^k(\Omega)$  is neither necessary nor sufficient as remarked in Section 3. In this section we study convexity with respect to the class of  $A^k$ -functions for domains of the type studied in the previous sections.

We start with a lemma that will be used to show that the domains considered in the statement of Theorem 5.4 are convex with respect to the class of  $A^k$ -functions.

**Lemma 6.1.** *Let  $\Omega$  be a domain in  $\mathbb{C}^n$  and let  $S(\Omega)$  be a subclass of  $H(\Omega)$  such that if  $f$  is a function in  $S$ , then all derivatives of  $f$  also belong to  $S$ . Let  $K$  be a compact subset of  $\Omega$  and denote by  $\varrho = \varrho(K, \partial\Omega)$  :*

$$\varrho(K, \partial\Omega) = \inf_{z \in K} \{ \sup \{ R \in \mathbb{R} : \Delta(z, R) \subset \Omega \} \}$$

where  $\Delta(z, R)$  is the polydisc with centre at  $z$  and all radii equal  $R$ . If  $p$  is a point in the  $S$ -convex hull  $\widehat{K}_S$  of  $K$ , then every function  $f \in S(\Omega)$  extends holomorphically to the polydisc with centre at  $p$  and all radii equal  $\varrho$ .

For the reader's convenience we prove the proposition:

*P r o o f.* (See e.g. [9].) Every function  $f \in S(\Omega)$  can in a neighbourhood of  $a$  be expanded in a Taylor series

$$(6.1) \quad f(z) = \sum_{|k|=0}^{\infty} c_k (z-p)^k$$

since  $p \in \Omega$ . Here

$$c_k = \frac{1}{k!} \frac{\partial^{|k|} f}{\partial z^k}(p).$$

Since  $p \in \widehat{K}_S$  it follows that

$$\left| \frac{\partial^{|k|} f}{\partial z^k}(p) \right| \leq \left\| \frac{\partial^{|k|} f}{\partial z^k} \right\|_K.$$

Choose a number  $r < \varrho$  and denote by  $K^r$  an  $r$ -neighbourhood of  $K$ . The function  $f$  is bounded on  $K^r$  since  $K^r$  is relatively compact in  $\Omega$  and we let

$$M_f(r) = \|f\|_K^r.$$

If  $z \in K$ , then  $\Delta(z, r) \subset K^r$  and we get

$$|c_k| \leq \frac{1}{k!} \left\| \frac{\partial^{|k|} f}{\partial z^k} \right\|_K \leq \frac{M_f(r)}{r^{|k|}}.$$

For any positive  $r_1 < r$  and  $z \in \Delta(p, r_1)$  we have

$$|c_k (z-p)^k| \leq M_f(r) \left( \frac{r_1}{r} \right)^{|k|}$$

and from this we see that the series (6.1) converges in  $\Delta(p, r_1)$ . Since we can choose  $r$  and  $r_1$  arbitrary close to  $\varrho$  it follows that the series (6.1) converges in  $\Delta(p, \varrho)$ . The holomorphic continuation is given by this series and the proof is completed.  $\square$

Since the coordinate functions belong to  $A^\infty(\Omega) = H(\Omega) \cap C^\infty(\overline{\Omega})$  we get from Lemma 6.1 the following corollary:

**Corollary 6.2.** *An  $A^\infty$ -domain of holomorphy  $\Omega \subset \mathbb{C}^n$  is  $A^\infty$ -convex.*

However it is not true that every  $A^\infty$ -convex domain is an  $A^\infty$ -domain of holomorphy as is seen from the example by Sibony [10] mentioned in Section 3. That is an example of a domain which is not an  $H^\infty$ -domain of holomorphy and hence not an  $A^\infty$ -domain of holomorphy. However it is  $A^\infty$ -convex since it is pseudoconvex and Runge.

**Theorem 6.3.** *Let  $k \in \mathbb{N}$  and let  $\Omega_1$  and  $\Omega_2$  be bounded  $A^k$ -domains in  $\mathbb{C}^{m_1}$  and  $\mathbb{C}^{m_2}$  respectively. Let  $g_1 \in \mathcal{A}_D^k(\Omega_1)$ ,  $g_2 \in \mathcal{A}_I^k(\Omega_1)$  and  $h \in \mathcal{A}_D^k(\Omega_2) \cap \mathcal{A}_I^k(\Omega_2)$  and suppose that  $g_1$  does not vanish on  $\Omega_1$ . If the domain  $\Omega$  defined by*

$$\Omega = \{(z, w) \in \Omega_1 \times \Omega_2 : g_1(z) < h(w) < g_2(z)\}$$

*fulfills  $\text{int } \bar{\Omega} = \Omega$  and is a relatively compact subset of  $\Omega_1 \times \Omega_2$ , then  $\Omega$  is  $A^k$ -convex.*

**Proof.** Recall that any pseudoconvex domain can be exhausted by bounded pseudoconvex domains with  $C^\infty$ -boundary and that bounded pseudoconvex domains with  $C^\infty$ -boundary are  $A^\infty$ -domains of holomorphy ([2], [5]). It follows from Theorem 5.4 that  $\Omega$  has a Stein neighbourhood basis and therefore  $\Omega$  is the interior of the intersection of  $A^\infty$ -domains of holomorphy. Hence  $\Omega$  is an  $A^\infty$ -domain of holomorphy. Corollary 6.2 implies that  $\Omega$  is convex with respect to  $A^\infty$  and hence also with respect to  $A^k$ ,  $0 \leq k < \infty$ .  $\square$

**Remark 1.** If the assumption that  $g_1$  is strictly positive on  $\Omega_1$  in the statement of Theorem 6.3 is removed, then it can be shown that the conclusion of the theorem is not true in general. Suppose there is a point  $(z_0, w_0) \in \Omega \subset \mathbb{C}^{m_1} \times \mathbb{C}$  such that  $g_1(z_0) = 0$ . If  $h(w) = |w|$ , then  $\Omega$  contains the punctured disk  $\{(z_0, w) : 0 < |w| < g_2(z_0)\}$  which implies that the  $A^k$ -convex hull of  $K = \{(z_0, w) : |w| = 2^{-1}g_2(z_0)\}$  is not a compact subset of  $\Omega$ . This also means that  $\Omega$  is not an  $A^\infty$ -domain of holomorphy since, by Corollary 6.2, every  $A^\infty$ -domain of holomorphy is convex with respect to the class of  $A^\infty$ -functions.

Also if the condition that  $\Omega$  is a relatively compact subset of  $\Omega_1 \times \Omega_2$  is not fulfilled, then the conclusion of the theorem may not be true. This can be seen by letting  $\Omega_1$  and  $\Omega_2$  be  $A^k$ -domains of holomorphy such that  $\Omega_1 \times \Omega_2$  is not  $A^k$ -convex. Then it is trivial that one can find functions  $g_1, g_2$  and  $h$  so that  $\{(z, w) \in \Omega_1 \times \Omega_2 : g_1(z) < h(w) < g_2(z)\} = \Omega_1 \times \Omega_2$ .

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