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*Mathematica Slovaca*, Vol. 54 (2004), No. 5, 453--464

Persistent URL: <http://dml.cz/dmlcz/133257>

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## ON SOME PROPERTIES OF DISPERSION OF BLOCK SEQUENCES OF POSITIVE INTEGERS

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(Communicated by Stanislav Jakubec)

ABSTRACT. Properties of distribution functions of block sequences were investigated in [STRAUCH, O.—TÓTH, J. T.: *Distribution functions of ratio sequences*, Publ. Math. Debrecen **58** (2001), 751–778]. The present paper is a continuation of the study of relations between the density of the block sequence and so called dispersion of the block sequence.

### Preliminaries

In this part we recall some basic definitions. Denote by  $\mathbb{N}$  and  $\mathbb{R}^+$  the set of all positive integers and positive real numbers, respectively. For  $X \subset \mathbb{N}$  let  $X(n) = \text{card}\{x \in X : x \leq n\}$ . In the whole paper we will assume that  $X$  is infinite. Denote by  $R(X) = \{\frac{x}{y} : x \in X, y \in X\}$  the *ratio set of X* and say that a set  $X$  is ( $R$ )-dense if  $R(X)$  is (topologically) dense in the set  $\mathbb{R}^+$ . Let us notice that the concept of ( $R$ )-density was defined and first studied in papers [Š1] and [Š2].

Now let  $X = \{x_1, x_2, \dots\}$  where  $x_n < x_{n+1}$  are positive integers. The following sequence of finite sequences derived from  $X$

$$\frac{x_1}{x_1}, \frac{x_1}{x_2}, \frac{x_2}{x_2}, \frac{x_1}{x_3}, \frac{x_2}{x_3}, \frac{x_3}{x_3}, \dots, \frac{x_1}{x_n}, \frac{x_2}{x_n}, \dots, \frac{x_n}{x_n}, \dots \tag{1}$$

is called the *block sequence* of the sequence  $X$ . Thus the block sequence is formed by blocks  $X_1, X_2, \dots, X_n, \dots$  where

$$X_n = \left( \frac{x_1}{x_n}, \frac{x_2}{x_n}, \dots, \frac{x_n}{x_n} \right), \quad n = 1, 2, \dots,$$

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2000 Mathematics Subject Classification: Primary 11B05.

Keywords: dispersion, block sequence, asymptotic density, ( $R$ )-density.

The work on this paper was supported by Grant GA ČR 201/04/0381/2.

Research of the first author was supported also by the Grant VEGA 2/4138/24.

is called the  $n$ th block. This kind of block sequences were studied in the paper [S–T2]. For every  $n \in \mathbb{N}$  let

$$D(X_n) = \max \left\{ \frac{x_1}{x_n}, \frac{x_2 - x_1}{x_n}, \dots, \frac{x_{i+1} - x_i}{x_n}, \dots, \frac{x_n - x_{n-1}}{x_n} \right\},$$

the maximum distance between two consecutive terms in the  $n$ th block. In this paper we will consider the following characteristics, called the *dispersion* of the sequence  $X$

$$\underline{D}(X) = \liminf_{n \rightarrow \infty} D(X_n),$$

and its relations to the previously mentioned ( $R$ )-density. Notice that the ( $R$ )-density of the set  $X$  is equivalent to the density of its block sequence in the interval  $(0, 1)$ .

At the end of this section, let us mention the concept of a dispersion of a general sequence of numbers in the interval  $[0, 1]$ . Let  $(x_n)_{n=0}^\infty$  be a sequence in  $[0, 1]$ . For every  $N \in \mathbb{N}$  let  $x_{i_1} \leq x_{i_2} \leq \dots \leq x_{i_N}$  be reordering of its first  $N$  terms into a nondecreasing sequence and denote

$$d_N = \frac{1}{2} \max \{ \max \{ x_{i_{j+1}} - x_{i_j} : j = 1, 2, \dots, N-1 \}, x_{i_1}, 1 - x_{i_N} \}$$

the dispersion of the finite sequence  $x_0, x_1, x_2, \dots, x_N$ . Properties of this concept can be found for example in [N], where it is also proven that

$$\limsup_{N \rightarrow \infty} N d_N \geq \frac{1}{\log 4}$$

holds for every one-to-one infinite sequence  $x_n \in [0, 1)$ . Notice that the density of the whole sequence  $(x_n)_{n=0}^\infty$  is equivalent to  $\lim_{N \rightarrow \infty} d_N = 0$ . Also notice that the analogy of this property for the concept of dispersion of block sequences defined in the present paper does not hold.

## Results

When calculating the value  $\underline{D}(X)$  the following theorem is often useful.

**THEOREM 1.** *Let*

$$X = \{x_1, x_2, \dots\} = \bigcup_{n=1}^{\infty} \langle c_n, d_n \rangle \cap \mathbb{N}$$

where  $x_n < x_{n+1}$  and let  $c_n \leq d_n < c_{n+1} - 1$ , for  $n \in \mathbb{N}$ , be positive integers. Then

$$\underline{D}(X) = \liminf_{n \rightarrow \infty} \frac{\max \{ c_{i+1} - d_i : i = 1, 2, \dots, n \}}{d_{n+1}}. \tag{2}$$

**Proof.** Let  $n$  be a fixed positive integer and let  $k \in \mathbb{N}$  be such that  $c_{k+1} \leq x_n \leq d_{k+1}$ . Then

$$\begin{aligned} D(X_n) &= \max \left\{ \frac{x_1}{x_n}, \frac{x_{i+1} - x_i}{x_n} : i = 1, 2, \dots, n-1 \right\} \\ &= \max \left\{ \frac{x_1}{x_n}, \frac{c_2 - d_1}{x_n}, \frac{c_3 - d_2}{x_n}, \dots, \frac{c_{k+1} - d_k}{x_n} \right\} \\ &= \frac{\max \{x_1, c_{i+1} - d_i : i = 1, 2, \dots, k\}}{x_n}. \end{aligned}$$

For  $x_n \in \langle c_{k+1}, d_{k+1} \rangle$  the minimal value of  $D(X_n)$  will be obtained when  $x_n = d_{k+1}$ . Thus

$$\underline{D}(X) = \liminf_{n \rightarrow \infty} \frac{\max \{x_1, c_{i+1} - d_i : i = 1, 2, \dots, n\}}{d_{n+1}}.$$

Now notice that the set of all  $k \in \mathbb{N}$  for which  $x_1 = \max \{x_1, c_{i+1} - d_i : i = 1, 2, \dots, k\}$  is either empty or finite or equals to  $\mathbb{N}$ . Thus in the first two cases the term  $x_1$  in the nominator of the fraction on the right side in the last equation can be omitted. In the third case  $\underline{D}(X) = 0$  and, consequently, in all cases the relation (2) holds.  $\square$

The following corollary is a straightforward consequence of the previous theorem.

**COROLLARY 1.** *Let  $X$  be of the same form as in Theorem 1. Suppose that there exists such a positive integer  $n_0$  that for all integers  $n > n_0$*

$$c_{n+1} - d_n \leq c_{n+2} - d_{n+1}.$$

Then

$$\underline{D}(X) = \liminf_{n \rightarrow \infty} \frac{c_{n+1} - d_n}{d_{n+1}}. \tag{3}$$

**THEOREM 2.** *If  $\underline{D}(X) = 0$ , then the block sequence (1) is dense in the interval  $(0, 1)$ .*

**Proof.** Let  $\underline{D}(X) = 0$  and let  $0 < a < b < 1$  be given numbers. Then there exists a positive integer  $n$  such that  $D(X_n) < b - a$ . Then, by definition of  $D(X_n)$ , we have

$$\frac{x_{i+1}}{x_n} - \frac{x_i}{x_n} < b - a \quad \text{for } i = 1, 2, \dots, n-1 \quad \text{and} \quad \frac{x_1}{x_n} < b - a.$$

Consequently at least one of the numbers  $\frac{x_1}{x_n}, \frac{x_2}{x_n}, \dots, \frac{x_{n-1}}{x_n}, \frac{x_n}{x_n}$  belongs to the interval  $(a, b)$ , i.e. the block sequence (1) is dense in  $(0, 1)$ .  $\square$

**THEOREM 3.** *If the block sequence (1) is dense in the interval  $(0, 1)$ , then  $\underline{D}(X) \leq \frac{1}{2}$ .*

*P r o o f.* Suppose the contrary. Then there exists  $\varepsilon > 0$  and  $n_0 \in \mathbb{N}$  such that for all  $n > n_0$  there is  $D(X_n) \geq \frac{1}{2} + \varepsilon$ . By definition of  $D(X_n)$ , for every  $n > n_0$  there exists an interval  $I_n \subset (0, 1)$  with length  $|I_n| = D(X_n)$  such that  $X_n \cap I_n = \emptyset$ . Obviously  $(\frac{1}{2} - \varepsilon, \frac{1}{2} + \varepsilon) \subset \bigcap_{n=n_0}^{\infty} I_n$  and therefore only finite number of terms of the block sequence (1) belong to the interval  $(\frac{1}{2} - \varepsilon, \frac{1}{2} + \varepsilon)$ . Consequently, the block sequence (1) is not dense in  $(\frac{1}{2} - \varepsilon, \frac{1}{2} + \varepsilon)$ , which is a contradiction.  $\square$

**THEOREM 4.** *For every  $\alpha \in \langle 0, \frac{1}{2} \rangle$  there exists a set  $X \subset \mathbb{N}$  such that  $\underline{D}(X) = \alpha$  and the block sequence (1) is dense in the interval  $(0, 1)$ .*

*P r o o f.* First let us notice that for  $\alpha = 0$  the set  $X = \mathbb{N}$  fulfils the statement of the theorem. So, let  $\alpha \in (0, \frac{1}{2})$  be given. Let  $(r_n)_{n=1}^{\infty}$  be a sequence dense in the interval  $(\frac{1}{\alpha}, \infty)$ . Let us consider the set  $X = \bigcup_{n=1}^{\infty} \langle c_n, d_n \rangle \cap \mathbb{N}$  defined as follows.

$$c_1 = 1, \quad d_1 = 2 \quad \text{and}$$

$$c_{n+1} = [r_n d_n], \quad d_{n+1} = \left[ \frac{r_n - 1}{\alpha} d_n \right] + 1 \quad \text{for } n = 1, 2, 3, \dots$$

where  $[x]$  means the integer part of  $x$ . For  $\alpha \in (0, \frac{1}{2})$  we have  $\frac{1}{1-\alpha} \leq \frac{1}{\alpha}$  and, as  $r_n \geq \frac{1}{\alpha}$ , also  $r_n \geq \frac{1}{1-\alpha}$ , which is equivalent to the inequality  $r_n \leq \frac{r_n - 1}{\alpha}$ . Consequently for every positive integer  $n$  we have  $c_{n+1} \leq d_{n+1} < c_{n+2} - 1$ , which proofs that the set  $X$  is defined correctly.

Now we are going to prove that  $\underline{D}(X) = \alpha$ . As  $\alpha \leq \frac{1}{2}$  and  $r_{n+1} > \frac{1}{\alpha}$ , we have  $2 < \frac{r_{n+1} - 1}{\alpha}$  and, consequently,  $d_n(r_n - 1) < \frac{d_n(r_n - 1)}{\alpha}(r_{n+1} - 1)$ . Thus we have for all  $n \in \mathbb{N}$

$$\begin{aligned} c_{n+1} - d_n &= [r_n d_n] - d_n \leq d_n(r_n - 1) \leq \frac{d_n(r_n - 1)}{\alpha}(r_{n+1} - 1) - 1 \\ &< \left( \left[ \frac{r_n - 1}{\alpha} d_n \right] + 1 \right) (r_{n+1} - 1) - 1 \\ &= d_{n+1}(r_{n+1} - 1) - 1 = d_{n+1}r_{n+1} - 1 - d_{n+1} < [r_{n+1}d_{n+1}] - d_{n+1} \\ &= c_{n+2} - d_{n+1}. \end{aligned}$$

Thus the assumptions of Corollary 1 are fulfilled and so

$$\underline{D}(X) = \liminf_{n \rightarrow \infty} \frac{[r_n d_n] - d_n}{\left[ \frac{r_n - 1}{\alpha} d_n \right] + 1} = \liminf_{n \rightarrow \infty} \frac{d_n(r_n - 1)}{\frac{r_n - 1}{\alpha} d_n + 1} = \alpha.$$

Now we are going to prove that the block sequence (1) is dense in  $(0, 1)$ . Clearly, this is equivalent to density of  $R(X)$  in  $(1, \infty)$ . Density of  $R(X)$  in the interval  $(\frac{1}{\alpha}, \infty)$  follows easily from the definition of  $c_{n+1}$ :

$$\frac{c_{n+1}}{d_n} = r_n - \frac{\{r_n d_n\}}{d_n}, \quad \frac{c_{n+1}}{d_n} \in R(X), \quad \lim_{n \rightarrow \infty} \frac{\{r_n d_n\}}{d_n} = 0$$

and the sequence  $(r_n)_{n=1}^\infty$  is dense in  $(\frac{1}{\alpha}, \infty)$ . In the above,  $\{r_n d_n\}$  means the fractional part of  $r_n d_n$ . Thus to finish the proof it suffices to prove that  $R(X)$  is dense in  $(1, \frac{1}{\alpha})$ . Let  $1 < a < b < \frac{1}{\alpha}$ . Then there is  $n \in \mathbb{N}$  such that

$$\frac{1}{c_{n+1}} < b - a \quad \text{and} \quad r_n > \frac{1}{\alpha(b - a)}. \quad (4)$$

The difference of succeeding terms in the finite sequence

$$A_n = \left\{ \frac{c_{n+1}}{c_{n+1}}, \frac{c_{n+1} + 1}{c_{n+1}}, \frac{c_{n+1} + 2}{c_{n+1}}, \dots, \frac{d_{n+1}}{c_{n+1}} \right\} \subset R(X)$$

is  $\frac{1}{c_{n+1}} < b - a$ . From the definition of numbers  $c_{n+1}$ ,  $d_{n+1}$  and (4) it follows that

$$\frac{d_{n+1}}{c_{n+1}} \geq \frac{\frac{r_n - 1}{\alpha} d_n}{r_n d_n} = \left(1 - \frac{1}{r_n}\right) \frac{1}{\alpha} > (1 - \alpha(b - a)) \frac{1}{\alpha} = \frac{1}{\alpha} - (b - a).$$

Thus

$$1 < \frac{c_{n+1} + 1}{c_{n+1}} < 1 + b - a \quad \text{and} \quad \frac{1}{\alpha} - (b - a) < \frac{d_{n+1}}{c_{n+1}}$$

and, consequently,  $A_n \cap (a, b) \neq \emptyset$  and also  $R(X) \cap (a, b) \neq \emptyset$  which completes the proof.  $\square$

**THEOREM 5.** *For every  $c \in (0, 1)$  there exists a set  $X \subset \mathbb{N}$  such that  $\underline{D}(X) = c$  and the block sequence (1) is not dense in the interval  $(0, 1)$ .*

*Proof.* For  $c = 1$  the set  $X = \{2^{2^n} : n \in \mathbb{N}\}$  fulfils the statement of the theorem. So let  $c \in (0, 1)$ . Let  $1 < a < b$ . Let us consider the set  $X = \bigcup_{n=1}^\infty \langle c_n, d_n \rangle \cap \mathbb{N}$  where

$$c_n = [a^n b^n] + 1, \quad d_n = [a^{n+1} b^n] \quad \text{for } n = 1, 2, \dots$$

In the paper [M-T] it is proved that  $R(X) \cap (a, b) = \emptyset$ , so the block sequence (1) is not dense in  $(0, 1)$ . Notice that for every  $c \in (0, 1)$  there are  $1 < a < b$  such that  $c = \frac{b-1}{ab}$ . Thus to prove the theorem it suffices to show that  $\underline{D}(X) = \frac{b-1}{ab}$ . Let  $n_0 \in \mathbb{N}$  be such that  $2 \leq a^{n_0+1} b^{n_0} (b-1)(ab-1)$  or, equivalently,

$$a^{n_0+1} b^{n_0} (b-1) + 1 \leq a^{n_0+2} b^{n_0+1} (b-1) - 1.$$

So for every  $n > n_0$  we have

$$\begin{aligned} c_{n+1} - d_n &= [a^{n+1}b^{n+1}] - [a^{n+1}b^n] \leq a^{n+1}b^{n+1} - (a^{n+1}b^n - 1) \\ &= a^{n+1}b^n(b - 1) + 1 \leq a^{n+2}b^{n+1}(b - 1) - 1 \\ &= a^{n+2}b^{n+2} - 1 - a^{n+2}b^{n+1} < [a^{n+2}b^{n+2}] - [a^{n+2}b^{n+1}] \\ &= c_{n+2} - d_{n+1}. \end{aligned}$$

This shows that the assumptions of Corollary 1 are fulfilled and we can calculate

$$\underline{D}(X) = \liminf_{n \rightarrow \infty} \frac{[a^{n+1}b^{n+1}] - [a^{n+1}b^n]}{[a^{n+2}b^{n+1}]} = \frac{b - 1}{ab}.$$

□

In the rest of the paper we will suppose that

$$X = \{x_1 < x_2 < \dots\} = \bigcup_{n=1}^{\infty} \langle c_n, d_n \rangle \cap \mathbb{N}, \quad \text{where } c_n \leq d_n < c_{n+1} \text{ for } n \in \mathbb{N} \quad (5)$$

and we denote

$$M(X) = \{n \in \mathbb{N} : c_{n+1} - d_n = \max\{c_{i+1} - d_i : i = 1, 2, \dots, n\}\}.$$

In the sequel we will consider only sets  $X \subset \mathbb{N}$  such that  $M(X)$  is infinite. Notice that otherwise  $\underline{D}(X) = 0$  and the results in the sequel are trivial in this case. Also define

$$q(X) = \liminf_{m \rightarrow \infty, m \in M(X)} \frac{c_{m+1}^2}{d_m d_{m+1}}.$$

**Remark 1.** Notice that as the sequence  $\left(\frac{c_{m+1} - d_m}{d_{m+1}}\right)_{m \in M(X)}$  is a subsequence of  $\left(\frac{\max\{c_{i+1} - d_i : i = 1, 2, \dots, n\}}{d_{n+1}}\right)_{n \in \mathbb{N}}$ , an immediate consequence of Theorem 1 is the following

$$\underline{D}(X) \leq \liminf_{m \rightarrow \infty, m \in M(X)} \frac{c_{m+1} - d_m}{d_{m+1}}.$$

The following theorem provides an upper bound of  $\underline{D}(X)$  by means of  $q(X)$  and can be useful when  $q(X)$  is not very large.

**THEOREM 6.** *For every  $X \subset \mathbb{N}$  we have*

$$\underline{D}(X) \leq \frac{q(X)}{4}.$$

*P r o o f.* Let us denote  $q_m = \frac{c_{m+1}^2}{d_m d_{m+1}}$  and  $x_m = \frac{d_{m+1}}{c_{m+1}}$  for all  $m \in M(X)$ . Then we have

$$\frac{c_{m+1} - d_m}{d_{m+1}} = \frac{1 - \frac{d_m}{c_{m+1}}}{\frac{d_{m+1}}{c_{m+1}}} = \frac{\frac{d_{m+1}}{c_{m+1}} - \frac{d_m d_{m+1}}{c_{m+1}^2}}{\left(\frac{d_{m+1}}{c_{m+1}}\right)^2} = \frac{x_m - \frac{1}{q_m}}{x_m^2}.$$

Using methods of elementary analysis one can easily verify that for fixed  $q_m$  the last fraction takes its maximal value  $\frac{q_m}{4}$  when  $x_m = \frac{2}{q_m}$  and thus we have

$$\frac{c_{m+1} - d_m}{d_{m+1}} \leq \frac{q_m}{4}.$$

Now, by Remark 1, we have

$$\underline{D}(X) \leq \liminf_{m \rightarrow \infty, m \in M(X)} \frac{c_{m+1} - d_m}{d_{m+1}} \leq \liminf_{m \rightarrow \infty, m \in M(X)} \frac{q_m}{4} = \frac{q(X)}{4}.$$

□

The following theorem is of the similar kind as the previous one.

**THEOREM 7.** *Let  $X = \bigcup_{n=1}^{\infty} \langle c_n, d_n \rangle \cap \mathbb{N}$ . Suppose that there exists an increasing sequence  $(k_n)_{n=1}^{\infty}$  of positive integers such that  $k_n \in M(X)$  holds for all sufficiently large  $n \in \mathbb{N}$  and*

$$a = \lim_{n \rightarrow \infty} \frac{d_{k_n+1}}{c_{k_n+1}} \geq \lim_{n \rightarrow \infty} \frac{c_{k_n+1}}{d_{k_n}} = b. \tag{6}$$

Then

$$\underline{D}(X) \leq \frac{b-1}{ab} \leq \frac{1}{4}.$$

*P r o o f.* Again, we will use Remark 1 to calculate

$$\begin{aligned} \underline{D}(X) &\leq \liminf_{m \rightarrow \infty, m \in M(X)} \frac{c_{m+1} - d_m}{d_{m+1}} \leq \liminf_{n \rightarrow \infty} \frac{c_{k_n+1} - d_{k_n}}{d_{k_n+1}} \\ &\leq \liminf_{n \rightarrow \infty} \frac{\frac{c_{k_n+1}}{d_{k_n}} - 1}{\frac{d_{k_n+1}}{c_{k_n+1}} \frac{c_{k_n+1}}{d_{k_n}}} = \frac{b-1}{ab} \leq \frac{b-1}{b^2} \leq \frac{1}{4}. \end{aligned}$$

□

The next theorem completes Theorem 7.



**THEOREM 8.** *Let  $\alpha \in \langle 0, \frac{1}{4} \rangle$ . Then there exists a set  $X \subset \mathbb{N}$  fulfilling conditions of Theorem 7 such that  $\underline{D}(X) = \alpha$ .*

*Proof.* First, let us consider the case  $\alpha = 0$ . Then put  $X = \bigcup_{n=1}^{\infty} \langle 2^{n-1} + n - 1, 2^n \rangle \cap \mathbb{N}$ . One can easily see that in this case  $M(X) = \mathbb{N}$  and the sequence  $k_n = n$  for every  $n \in \mathbb{N}$  fulfils (6). An easy calculation using Corollary 1 shows that

$$\underline{D}(X) = \liminf_{n \rightarrow \infty} \frac{c_{n+1} - d_n}{d_{n+1}} = \liminf_{n \rightarrow \infty} \frac{n}{2^{n+1}} = 0.$$

Now, let  $\alpha \in (0, \frac{1}{4})$ . Denote  $t = \frac{1}{1-2\alpha}$ . Then  $t \in (1, 2)$  and also  $\alpha = \frac{1}{2} - \frac{1}{2t}$ . Set

$$X = \bigcup_{n=1}^{\infty} \langle [2^{n-1}t^n], [2^n t^n] \rangle \cap \mathbb{N}.$$

As  $t > 1$  there exists  $n_0 \in \mathbb{N}$  such that for all  $n > n_0$  we have

$$2 \leq 2^n t^n (t - 1)(2t - 1)$$

and consequently

$$\begin{aligned} c_{n+1} - d_n &= [2^n t^{n+1}] - [2^n t^n] \leq 2^n t^{n+1} - (2^n t^n - 1) \\ &= 2^n t^n (t - 1) + 1 \leq 2^{n+1} t^{n+1} (t - 1) - 1 \\ &= 2^{n+1} t^{n+2} - 1 - 2^{n+1} t^{n+1} < [2^{n+1} t^{n+2}] - [2^{n+1} t^{n+1}] \\ &= c_{n+2} - d_{n+1}. \end{aligned}$$

Thus  $M(X)$  is a cofinite set and so we can set  $k_n = n$  for every  $n \in \mathbb{N}$ . For such a choice the condition (6) is equivalent to  $2 \geq t$ , which holds, and the set  $X$  fulfils the condition of Theorem 7. To finish the proof, it suffices to show that  $\underline{D}(X) = \alpha$ .

Again, we can use Corollary 1 and (3)

$$\underline{D}(X) = \liminf_{n \rightarrow \infty} \frac{c_{n+1} - d_n}{d_{n+1}} = \liminf_{n \rightarrow \infty} \frac{2^n t^{n+1} - 2^n t^n}{2^{n+1} t^{n+1}} = \frac{1}{2} - \frac{1}{2t} = \alpha.$$

□

Theorem 3 states the upper bound for dispersions of  $(R)$ -dense sets and Theorem 4 shows that dispersions of  $(R)$ -dense sets can take any positive values less than or equal to this upper bound. The sets constructed in Theorem 4 can have very irregular structure. A natural question arises whether the upper bound in Theorem 3 can be improved when knowing that structure of the  $(R)$ -dense set is regular in some sense. The next two theorems give some answers to this question. In their proofs we will use the following lemma.

**LEMMA 1.** *Let  $X \subset \mathbb{N}$  be  $(R)$ -dense set. Then*

$$\limsup_{n \rightarrow \infty} \frac{d_n}{c_n} \geq \liminf_{n \rightarrow \infty} \frac{c_{n+1}}{d_n}.$$

*Proof.* Suppose the contrary, i.e.

$$a = \limsup_{n \rightarrow \infty} \frac{d_n}{c_n} < \liminf_{n \rightarrow \infty} \frac{c_{n+1}}{d_n} = b.$$

First assume that  $b < \infty$ . Then there exist  $\varepsilon > 0$  and  $n_0 \in \mathbb{N}$  such that  $a + \varepsilon < b - \varepsilon$ , and for all  $n > n_0$  it is  $\frac{d_n}{c_n} < a + \varepsilon$  and  $\frac{c_n}{d_{n-1}} > b - \varepsilon$ . For the proof that  $X$  is not  $(R)$ -dense it is sufficient to show that there are only finitely many fractions  $\frac{p}{q}$ :  $p, q \in X$  in some open subinterval of  $(1, \infty)$ . So suppose that  $\frac{p}{q} \in (a + \varepsilon, b - \varepsilon)$  for some  $p, q \in X$ . If both  $p$  and  $q$  belong to the same interval, say  $\langle c_n, d_n \rangle \cap \mathbb{N}$ , then

$$\frac{d_n}{c_n} \geq \frac{p}{q} > a + \varepsilon$$

and so  $n \leq n_0$ . Now let  $p \in \langle c_n, d_n \rangle \cap \mathbb{N}$  and  $q \in \langle c_m, d_m \rangle \cap \mathbb{N}$  for some  $n > m$ . Then

$$\frac{c_n}{d_{n-1}} \leq \frac{c_n}{d_m} \leq \frac{p}{q} < b - \varepsilon$$

and so  $m < n \leq n_0$ . In the case  $b = \infty$  the idea of proof is similar to the previous one and we omit it.  $\square$

The following theorem is a consequence of Theorem 7.

**THEOREM 9.** *Let  $X \subset \mathbb{N}$  be  $(R)$ -dense set and let there exist a proper limit  $\lim_{n \rightarrow \infty} \frac{c_{n+1}}{d_n} = b$ . Then*

$$\underline{D}(X) \leq \frac{b-1}{b^2} \leq \frac{1}{4}.$$

*Proof.* First, let us consider the case  $b = 1$ . Then a simple use of Theorem 1 yields  $\underline{D}(X) = 0$  and the statement of Theorem 9 holds in this case. Now let  $b > 1$ . Then it can be easily seen that  $M(X)$  contains almost all positive integers and, by Lemma 1, the  $(R)$ -density of  $X$  implies that the assumptions of Theorem 7 are fulfilled.  $\square$

**THEOREM 10.** *Let  $X \subset \mathbb{N}$  be  $(R)$ -dense and let there exist a proper limit  $\lim_{n \rightarrow \infty} \frac{d_n}{c_n} = a$ . Then*

$$\underline{D}(X) \leq \min \left\{ \frac{1}{a+1}, \max \left\{ \frac{a-1}{a^2}, \frac{1}{a^2} \right\} \right\},$$

*i.e.*

$$\underline{D}(X) \leq \begin{cases} \frac{1}{a+1} & \text{if } a \in \left(1, \frac{1+\sqrt{5}}{2}\right), \\ \frac{1}{a^2} & \text{if } a \in \left(\frac{1+\sqrt{5}}{2}, 2\right), \\ \frac{a-1}{a^2} & \text{if } a \in (2, \infty). \end{cases}$$

*Proof.* First we will prove that  $\underline{D}(X) \leq \max\left\{\frac{a-1}{a^2}, \frac{1}{a^2}\right\}$ .

By Lemma 1 there is a sequence  $(k_n)_{n=1}^\infty$  of positive integers such that

$$a = \lim_{m \rightarrow \infty} \frac{d_m}{c_m} \geq \lim_{n \rightarrow \infty} \frac{c_{k_n+1}}{d_{k_n}} = b.$$

If there are infinitely many  $k_n$ 's in  $M(X)$ , Theorem 7 can be applied to get

$$\underline{D}(X) \leq \frac{b-1}{ab} \leq \frac{a-1}{a^2} \leq \max\left\{\frac{a-1}{a^2}, \frac{1}{a^2}\right\}.$$

Now suppose that there are only finitely many  $k_n$ 's in  $M(X)$ . Let  $\varepsilon > 0$  and  $n_0 \in \mathbb{N}$  be such that  $\frac{d_{k_n}}{c_{k_n}} > a - \varepsilon$  and  $k_n$  does not belong to  $M(X)$  for all  $n > n_0$ . Then  $j \leq k_n - 1$  holds for such a  $j$  that  $c_{j+1} - d_j = \max\{c_{i+1} - d_i : i = 1, 2, \dots, k_n\} \leq c_{k_n}$ , for all  $n > n_0$ . Let us calculate, using Theorem 1,

$$\begin{aligned} \underline{D}(X) &= \liminf_{n \rightarrow \infty} \frac{\max\{c_{i+1} - d_i : i = 1, 2, \dots, n\}}{d_{n+1}} \\ &\leq \liminf_{n \rightarrow \infty} \frac{\max\{c_{i+1} - d_i : i = 1, 2, \dots, k_n\}}{d_{k_n+1}} \\ &\leq \liminf_{n \rightarrow \infty} \frac{c_{k_n}}{d_{k_n+1}} = \liminf_{n \rightarrow \infty} \frac{c_{k_n}}{d_{k_n}} \frac{d_{k_n}}{c_{k_n+1}} \frac{c_{k_n+1}}{d_{k_n+1}} \leq \frac{1}{(a - \varepsilon)^2}, \end{aligned}$$

which proves  $\underline{D}(X) \leq \max\left\{\frac{a-1}{a^2}, \frac{1}{a^2}\right\}$ , as  $\varepsilon > 0$  was arbitrary.

Now we are going to prove that  $\underline{D}(X) \leq \frac{1}{a+1}$ . So, let  $X = \bigcup_{n=1}^\infty (c_n, d_n) \cap \mathbb{N}$  be an  $(R)$ -dense set. Notice that the statement is trivial in the case  $a = 1$ . Thus, let  $a > 1$ . We will prove the statement by contradiction. So, suppose the contrary, i.e.  $\underline{D}(X) > \frac{1}{a+1}$ . Let us define the set  $Y \subset \mathbb{N}$  as follows.

$$Y = X \cup \left( \bigcup_{k \in K} (d_k, c_{k+1}) \cap \mathbb{N} \right),$$

where  $k \in K$  if and only if there exists a positive integer  $l < k$  such that

$$c_{l+1} - d_l \geq c_{k+1} - d_k.$$

Let us write the set  $Y$  in the form  $Y = \bigcup_{n=1}^{\infty} \langle c'_n, d'_n \rangle \cap \mathbb{N}$ . Then the following statements hold.

- (i)  $\underline{D}(X) = \underline{D}(Y) = \liminf_{x \rightarrow \infty} \frac{c'_{n+1} - d'_n}{d'_{n+1}}$ .
- (ii)  $\liminf_{x \rightarrow \infty} \frac{d'_n}{c'_n} \geq a$ .
- (iii)  $\limsup_{x \rightarrow \infty} \frac{d'_n}{c'_n} \leq \frac{1}{\underline{D}(Y)}$ .
- (iv) The set  $Y$  is  $(R)$ -dense.

The statement (i) implies that  $\underline{D}(Y) > \frac{1}{a+1}$ . Let  $\delta > 0$  be any number such that  $\underline{D}(Y) > \frac{1}{a+1} + \delta$ .

Now, choose an arbitrary  $\varepsilon > 0$ . Then there exists  $n_0 \in \mathbb{N}$  such that for every  $n \geq n_0$  the inequalities

$$\frac{1}{a+1} + \delta - \varepsilon < \frac{c'_{n+1} - d'_n}{d'_{n+1}} \quad \text{and} \quad a - \varepsilon < \frac{d'_{n+1}}{c'_{n+1}}$$

hold. This implies

$$\begin{aligned} \frac{d'_n}{c'_{n+1}} &< 1 - \left( \frac{1}{a+1} + \delta - \varepsilon \right) (a - \varepsilon) \\ &= \frac{1}{a+1} + \frac{\varepsilon}{a+1} - a\delta + \varepsilon(a - \varepsilon + \delta) \\ &< \frac{1}{a+1} - a\delta + \varepsilon \left( \frac{1}{a+1} + a + \delta \right). \end{aligned}$$

As both  $\delta > 0$  and  $\varepsilon > 0$  were arbitrary small, the above inequalities imply that

$$\limsup_{x \rightarrow \infty} \frac{d'_n}{c'_{n+1}} < \frac{1}{a+1}.$$

The last inequality, together with (iii), gives

$$\limsup_{x \rightarrow \infty} \frac{d'_n}{c'_n} < \liminf_{x \rightarrow \infty} \frac{c'_{n+1}}{d'_n},$$

and an application of Lemma 1 yields that the set  $Y$  is not  $(R)$ -dense, which is a contradiction.  $\square$

**REMARK 2.** Notice that the previous theorem implies that if  $X \subset \mathbb{N}$  is  $(R)$ -dense set and if there exists a proper limit  $\lim_{n \rightarrow \infty} \frac{d_n}{c_n} = a \geq 2$ , then

$$\underline{D}(X) \leq \frac{1}{4}.$$

**REMARK 3.** Sometimes it is useful to express subsets of  $\mathbb{N}$  as composed of blocks in a slightly different form as it is done in (5), for example

$$X = \{x_1 < x_2 < \dots\} = \bigcup_{n=1}^{\infty} (c_n, d_n) \cap \mathbb{N}, \quad \text{where } c_n < d_n < c_{n+1} \text{ for } n \in \mathbb{N},$$

or

$$X = \{x_1 < x_2 < \dots\} = \bigcup_{n=1}^{\infty} \langle c_n, d_n \rangle \cap \mathbb{N}, \quad \text{where } c_n < d_n < c_{n+1} \text{ for } n \in \mathbb{N}.$$

Notice that also using any of this notations all theorems in the paper hold without any change.

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Received April 16, 2004

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