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DERIVATIONS WITH POWER CENTRAL VALUES ON  
LIE IDEALS IN PRIME RINGS

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*Abstract.* Let  $R$  be a prime ring of char  $R \neq 2$  with a nonzero derivation  $d$  and let  $U$  be its noncentral Lie ideal. If for some fixed integers  $n_1 \geq 0, n_2 \geq 0, n_3 \geq 0$ ,  $(u^{n_1}[d(u), u]u^{n_2})^{n_3} \in Z(R)$  for all  $u \in U$ , then  $R$  satisfies  $S_4$ , the standard identity in four variables.

*Keywords:* prime ring, derivation, extended centroid, martindale quotient ring

*MSC 2000:* 16W25, 16R50, 16N60

## 1. INTRODUCTION

Throughout this paper  $R$  always denotes a prime ring with center  $Z(R)$ , extended centroid  $C$  and two-sided Martindale quotient ring  $Q$ . For  $x, y \in R$ , set  $[x, y]_1 = [x, y] = xy - yx$  and  $[x, y]_2 = [[x, y], y]$ .

A well-known result proved by Posner [11] states that for a derivation  $d$  of  $R$ , if  $[d(x), x] \in Z(R)$  for all  $x \in R$  then either  $d = 0$  or  $R$  is commutative. In [9] Lanski generalized the result of Posner to a Lie ideal. Lanski proved that if  $U$  is a noncommutative Lie ideal of  $R$  and  $d \neq 0$  is a derivation of  $R$  such that  $[d(x), x] \in Z(R)$  for all  $x \in U$ , then either  $R$  is commutative, or char  $R = 2$  and  $R$  satisfies  $S_4$ , the standard identity in four variables. Carini and Filippis [2] studied the case  $[d(u), u]^n \in Z(R)$  for all  $u$  in a noncentral Lie ideal of  $R$ . They showed that if  $U$  is a noncentral Lie ideal of  $R$  with char  $R \neq 2$  and  $d$  a nonzero derivation of  $R$  such that  $[d(u), u]^n \in Z(R)$  for all  $u \in U$  then  $R$  satisfies  $S_4$ . Here we shall prove that the same conclusion of Carini and Filippis holds if  $(u^{n_1}[d(u), u]u^{n_2})^{n_3} \in Z(R)$  for all  $u$  in a noncentral Lie ideal of  $R$  with char  $R \neq 2$ .

## 2. MAIN RESULTS

First we prove some lemmas.

**Lemma 2.1.** *Let  $R = M_k(F)$  be the set of all  $k \times k$  matrices over a field  $F$  of characteristic  $\neq 2$ . If for some  $b \in R$ ,  $([b, [x, y]]_2[x, y]^n)^t = 0$  for all  $x, y \in R$ , where  $t (\geq 0)$ ,  $n (\geq 1)$  are fixed integers and  $t$  is even, then  $b \in F.I_k$ .*

*Proof.* Let  $b = (b_{ij})_{k \times k}$ . We choose  $x = e_{12}$ ,  $y = e_{21}$  and then compute  $[x, y] = e_{11} - e_{22}$ ,

$$[b, [x, y]]_2 = \begin{pmatrix} 0 & 4b_{12} & b_{13} & \dots & b_{1k} \\ 4b_{21} & 0 & b_{23} & \dots & b_{2k} \\ b_{31} & b_{32} & 0 & \dots & 0 \\ \vdots & \vdots & \vdots & & \vdots \\ b_{k1} & b_{k2} & 0 & \dots & 0 \end{pmatrix},$$

$$[b, [x, y]]_2[x, y]^n = \begin{pmatrix} 0 & (-1)^n 4b_{12} & 0 & \dots & 0 \\ 4b_{21} & 0 & 0 & \dots & 0 \\ b_{31} & (-1)^n b_{32} & 0 & \dots & 0 \\ \vdots & \vdots & \vdots & & \vdots \\ b_{k1} & (-1)^n b_{k2} & 0 & \dots & 0 \end{pmatrix},$$

$$e_{11}([b, [x, y]]_2[x, y]^n)^t = (-1)^{tn/2} 4^t b_{12}^{t/2} b_{21}^{t/2} e_{11}.$$

Now the assumption  $e_{11}([b, [x, y]]_2[x, y]^n)^t = 0$  implies that one of  $b_{12}$  and  $b_{21}$  must be zero. So without loss of generality we assume that  $b_{12} = 0$ . Now choose  $x = e_{11}$ ,  $y = e_{12} - e_{21}$  and then compute

$$[x, y]^n = \begin{cases} I_2, & \text{if } n \text{ is even,} \\ e_{12} + e_{21}, & \text{if } n \text{ is odd,} \end{cases}$$

$$[b, [x, y]]_2 = \begin{pmatrix} 2(b_{11} - b_{22}) & -2b_{21} & b_{13} & \dots & b_{1k} \\ 2b_{21} & -2(b_{11} - b_{22}) & b_{23} & \dots & b_{2k} \\ b_{31} & b_{32} & 0 & \dots & 0 \\ \vdots & \vdots & \vdots & & \vdots \\ b_{k1} & b_{k2} & 0 & \dots & 0 \end{pmatrix}.$$

If  $n$  is even then

$$[b, [x, y]]_2[x, y]^n = \begin{pmatrix} 2(b_{11} - b_{22}) & -2b_{21} & 0 & \dots & 0 \\ 2b_{21} & -2(b_{11} - b_{22}) & 0 & \dots & 0 \\ b_{31} & b_{32} & 0 & \dots & 0 \\ \vdots & \vdots & \vdots & & \vdots \\ b_{k1} & b_{k2} & 0 & \dots & 0 \end{pmatrix}$$

and  $e_{11}([b, [x, y]]_2[x, y]^n) = 2(b_{11} - b_{22})e_{11} - 2b_{21}e_{12}$ . If  $n$  is odd then

$$[b, [x, y]]_2[x, y]^n = \begin{pmatrix} -2b_{21} & 2(b_{11} - b_{22}) & 0 & \dots & 0 \\ -2(b_{11} - b_{22}) & 2b_{21} & 0 & \dots & 0 \\ b_{32} & b_{31} & 0 & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ b_{k2} & b_{k1} & 0 & \dots & 0 \end{pmatrix}$$

and  $e_{11}([b, [x, y]]_2[x, y]^n) = -2b_{21}e_{11} + 2(b_{11} - b_{22})e_{12}$ . Thus whether  $n$  is even or odd both cases give  $e_{11}([b, [x, y]]_2[x, y]^n)^t = (-)^{tn/2}2^t\{(b_{11} - b_{22})^2 - b_{21}^2\}^{t/2}e_{11}$ . The assumption  $e_{11}([b, [x, y]]_2[x, y]^n)^t = 0$  implies  $(b_{11} - b_{22})^2 - b_{21}^2 = 0$ .

On the other hand, by choosing  $x = e_{11}$ ,  $y = e_{12} + e_{21}$  we obtain in a similar manner as earlier that  $(b_{11} - b_{22})^2 + b_{21}^2 = 0$ . The addition and subtraction of  $(b_{11} - b_{22})^2 - b_{21}^2 = 0$  and  $(b_{11} - b_{22})^2 + b_{21}^2 = 0$  implies  $b_{21} = 0$  and  $b_{11} = b_{22}$ .

In this way we can prove for any  $i \neq j$  that  $b_{ij} = b_{ji} = 0$  and  $b_{ii} = b_{jj}$ . Thus  $b \in FI_k$ .  $\square$

**Lemma 2.2.** *Let  $R = M_k(F)$  be the set of all  $k \times k$  matrices over a field  $F$  of characteristic  $\neq 2$  and  $k \geq 3$ . If for some  $b \in R$ ,  $([x, y]^m[b, [x, y]]_2[x, y]^n)^t \in Z(R)$  for all  $x, y \in R$ , where  $t(\geq 0)$ ,  $n(\geq 0)$ ,  $m(\geq 0)$  are fixed integers, then  $b \in FI_k$ .*

**Proof.** Let  $b = (b_{ij})_{k \times k}$ . We choose  $x = e_{12}$ ,  $y = e_{21}$  and then compute  $[x, y] = e_{11} - e_{22}$ ,

$$([x, y]^m[b, [x, y]]_2[x, y]^n)^t = \begin{cases} \alpha^{t/2}\beta^{t/2}I_2, & \text{if } t \text{ is even,} \\ \alpha^{(t-1)/2}\beta^{(t-1)/2}(\alpha e_{12} + \beta e_{21}), & \text{if } t \text{ is odd} \end{cases}$$

where  $\alpha = (-1)^n 4b_{12}$  and  $\beta = (-1)^m 4b_{21}$ . Since  $k \geq 3$ ,  $([x, y]^m[b, [x, y]]_2[x, y]^n)^t \in Z(R)$  implies that at least one of  $\alpha$  and  $\beta$  must be zero, i.e.,  $b_{12}$  or  $b_{21}$  is equal to zero.

Let  $b_{12} = 0$ . Now choose  $x = e_{11}$ ,  $y = e_{12} - e_{21}$  and then compute

$$[x, y]^n = \begin{cases} I_2, & \text{if } n \text{ is even,} \\ e_{12} + e_{21}, & \text{if } n \text{ is odd.} \end{cases}$$

If both  $n$  and  $m$  are odd integers then

$$([x, y]^m[b, [x, y]]_2[x, y]^n)^t = \begin{cases} \lambda^{t/2}I_2, & \text{if } t \text{ is even,} \\ \lambda^{(t-1)/2}\{-2(b_{11} - b_{22})e_{11} + 2b_{21}e_{12} \\ -2b_{21}e_{21} + 2(b_{11} - b_{22})e_{22}\}, & \text{if } t \text{ is odd} \end{cases}$$

where  $\lambda = 4\{(b_{11} - b_{22})^2 - b_{21}^2\}$ . Since  $k \geq 3$ , the assumption  $([x, y]^m [b, [x, y]]_2 [x, y]^n)^t \in Z(R)$  implies that  $\lambda = 0$  for  $t$  even and  $-2(b_{11} - b_{22})\lambda^{(t-1)/2} = 0$ ,  $2b_{21}\lambda^{(t-1)/2} = 0$  for  $t$  odd, which gives  $\lambda^{(t+1)/2} = 0$ , i.e.,  $\lambda = 0$ . If  $n$  is odd and  $m$  is even then

$$([x, y]^m [b, [x, y]]_2 [x, y]^n)^t = \begin{cases} (-\lambda)^{t/2} I_2, & \text{if } t \text{ is even,} \\ (-\lambda)^{(t-1)/2} \{-2b_{21}e_{11} + 2(b_{11} - b_{22})e_{12} \\ -2(b_{11} - b_{22})e_{21} + 2b_{21}e_{22}\}, & \text{if } t \text{ is odd} \end{cases}$$

is in the center of  $R$ , again implying  $\lambda = 0$ . Similarly, for any choice of  $n$  and  $m$ , even or odd, we get  $\lambda = 0$ .

By the same process as above, we obtain by choosing  $x = e_{11}, y = e_{12} + e_{21}$  that  $\mu = 4\{(b_{11} - b_{22})^2 + b_{21}^2\} = 0$ . Hence  $0 = \lambda \pm \mu$  leads to  $b_{21} = 0$ . Thus for any  $i \neq j$ ,  $b_{ij} = b_{ji} = 0$ , i.e.,  $b$  is diagonal. So let  $b = \sum_{i=1}^k b_{ii}e_{ii}$ . For any  $F$ -automorphism  $\theta$  of  $R$ ,  $b^\theta$  enjoy the same property as  $b$  does, namely,  $([x, y]^m [b^\theta, [x, y]]_2 [x, y]^n)^t \in Z(R)$  for all  $x, y \in R$ . Hence,  $b^\theta$  must also be diagonal. For each  $j \neq 1$  we have  $(1 + e_{1j})b(1 - e_{1j}) = \sum_{i=1}^k b_{ii}e_{ii} + (b_{jj} - b_{11})e_{1j}$  diagonal. Therefore,  $b_{jj} = b_{11}$  and so  $b \in F.I_k$ .  $\square$

We are now in a position to prove our first theorem.

**Theorem 2.3.** *Let  $R$  be a prime ring of char  $R \neq 2$ ,  $d$  a derivation of  $R$  and  $U$  a noncentral Lie ideal of  $R$ . If for some fixed integers  $n_1 \geq 0, n_2 \geq 0, n_3 \geq 0$ ,  $(u^{n_1}[d(u), u]u^{n_2})^{n_3} = 0$  for all  $u \in U$ , then  $d = 0$ .*

*Proof.* By virtue of our assumption, we can write  $([d(u), u]u^{n_1+n_2})^{n_3+1} = 0$ . Let  $m = n_1 + n_2$  and choose an even integer  $t \geq n_3 + 1$ . Then we have  $([d(u), u]u^m)^t = 0$  for all  $u \in U$ . For  $m = 0$ , the result holds true by [2, Lemma 1.1]. So we are to deal with the case  $m \geq 1$ .

Now since char  $R \neq 2$  and  $U$  is noncentral, by [1, Lemma 1]  $[U, U] \neq 0$  and  $0 \neq [I, R] \subseteq U$ , where  $I$  is the ideal of  $R$  generated by  $[U, U]$ . So  $[I, I] \subseteq U$ . Hence without loss of generality we can assume  $U = [I, I]$ . By our assumption we have

$$(1) \quad ([d[x, y], [x, y]][x, y]^m)^t = 0$$

for all  $x, y \in I$ , which implies

$$([d(x), y] + [x, d(y)], [x, y])[x, y]^m)^t = 0$$

for all  $x, y \in I$ .

If  $d$  is not  $Q$ -inner then by Kharchenko's theorem [7],

$$([[u, y] + [x, v], [x, y]][x, y]^m)^t = 0$$

for all  $x, y, u, v \in I$ . By Chuang [3, Theorem 2], this generalized polynomial identity (GPI) is also satisfied by  $Q$  and hence by  $R$  as well. In this case it is a polynomial identity and hence there exists a field  $F$  such that  $R \subseteq M_k(F)$  and  $R$  and  $M_k(F)$  satisfy the same polynomial identities [5, Theorem 2, p.57 and Lemma 1, p.89]. Suppose  $k \geq 2$ . If we choose  $x = e_{12}, y = e_{21}, u = e_{22}, v = e_{11}$ , then we get the contradiction

$$0 = ([[u, y] + [x, v], [x, y]][x, y]^m)^t = 2^t(e_{21} + (-1)^m e_{12})^t \neq 0.$$

Therefore,  $k = 1$  and so  $R$  is commutative, contradicting the fact that  $U$  is noncentral.

Now if  $d$  is  $Q$ -inner, i.e.,  $d(x) = [b, x]$  for all  $x \in R$  and for some  $b \in Q$ , then (1) becomes

$$([[b, [x, y]]_2[x, y]^m)^t = 0$$

for all  $x, y \in I$ . By Chuang [3, Theorem 2], this GPI is also satisfied by  $Q$ , i.e.,

$$(2) \quad f(x, y) = ([[b, [x, y]]_2[x, y]^m)^t = 0$$

for all  $x, y \in Q$ .

In the case the center  $C$  of  $Q$  is infinite, we have  $f(x, y) = 0$  for all  $x, y \in Q \otimes_C \overline{C}$ , where  $\overline{C}$  is the algebraic closure of  $C$ . Since both  $Q$  and  $Q \otimes_C \overline{C}$  are prime and centrally closed [4, Theorem 2.5 and 3.5], we may replace  $R$  by  $Q$  or  $Q \otimes_C \overline{C}$  according to whether  $C$  is finite or infinite. Thus we may assume that  $R$  is centrally closed over  $C$  (i.e.,  $RC = R$ ) which is either finite or algebraically closed, and  $f(x, y) = 0$  for all  $x, y \in R$ .

Now suppose that  $d \neq 0$ . Then  $b \notin C$  and so the GPI  $([[b, [x, y]]_2[x, y]^m)^t$  is nontrivial in  $R$ . By Martindale's theorem [10],  $R$  is then a primitive ring having nonzero socle  $H$  with  $C$  as the associated division ring. Hence by Jacobson's theorem [6, p.75]  $R$  is isomorphic to a dense ring of linear transformations of some vector space  $V$  over  $C$ , and  $H$  consists of the linear transformations in  $R$  of finite rank. Assume first that  $V$  is finite dimensional over  $C$ . Then the density of  $R$  on  $V$  implies that  $R \cong M_k(C)$  where  $k = \dim_C V$ . By Lemma 2.1 we have  $b \in Z(R)$  implying  $d = 0$ , a contradiction. Assume next that  $V$  is infinite dimensional over  $C$ . Then for any  $e = e^2 \in H$  we have  $eRe \cong M_k(C)$  with  $k = \dim_C Ve$ . Since  $b \notin C$ ,  $b$  does not centralize the nonzero ideal  $H$  of  $R$ , so  $bh_0 \neq h_0b$  for some

$h_0 \in H$ . By Litoff's theorem [9, p.280] there exists an idempotent  $e \in H$  such that  $h_0, h_0b, bh_0$  are all in  $e\text{Re}$ . We have  $e\text{Re} \cong M_k(C)$  where  $k = \dim_C Ve$ . Since  $R$  satisfies the GPI  $e([[b, [exe, eye]]_2 [exe, eye]^m]^t e = 0$ , the subring  $e\text{Re}$  satisfies the GPI  $([[ebe, [x, y]]_2 [x, y]^m]^t = 0$ . Then by Lemma 2.1,  $ebe \in Z(e\text{Re})$ . Thus

$$bh_0 = ebh_0 = ebeh_0 = h_0ebe = h_0be = h_0b,$$

a contradiction. Thus the proof of the theorem is complete.  $\square$

**Theorem 2.4.** *Let  $R$  be a prime ring of char  $R \neq 2$ ,  $d$  a nonzero derivation of  $R$  and  $U$  a noncentral Lie ideal of  $R$ . If for some fixed integers  $n_1 \geq 0, n_2 \geq 0, n_3 \geq 0$ ,  $(u^{n_1}[d(u), u]u^{n_2})^{n_3} \in Z(R)$  for all  $u \in U$ , then  $R$  satisfies  $S_4$ , the standard identity in four variables.*

*Proof.* Since  $\text{char } R \neq 2$  and  $U$  is noncentral, by [1, Lemma 1] there exists an ideal  $I$  of  $R$  such that  $0 \neq [I, R] \subseteq U$  and  $[U, U] \neq 0$ . Let  $J$  be any nonzero two-sided ideal of  $R$ . Then it is easy to check that  $V = [I, J^2] \subseteq U$  is a noncentral Lie ideal of  $R$ . If for each  $v \in V$ ,  $(v^{n_1}[d(v), v]v^{n_2})^{n_3} = 0$ , then by Theorem 2.3  $d = 0$ , which contradicts our assumption. Hence for some  $v \in V$ ,  $0 \neq (v^{n_1}[d(v), v]v^{n_2})^{n_3} \in J \cap Z(R)$ , since  $d(V) \subseteq J$ . Thus  $J \cap Z(R) \neq 0$ . Now let  $K$  be a nonzero two-sided ideal of  $R_Z$ , the ring of central quotients of  $R$ . Since  $K \cap R$  is a nonzero two-sided ideal of  $R$ ,  $(K \cap R) \cap Z(R) \neq 0$ . Therefore,  $K$  contains an invertible element in  $R_Z$  and so  $R_Z$  is a simple ring with identity 1.

Moreover, without loss of generality, we may assume that  $U = [I, I]$ . Thus  $I$  satisfies the generalized differential identity

$$(3) \quad [([x_1, x_2]^{n_1}[d[x_1, x_2], [x_1, x_2]][x_1, x_2]^{n_2})^{n_3}, x_3].$$

If  $d$  is not  $Q$ -inner then by Kharchenko's theorem [7],

$$(4) \quad [([x_1, x_2]^{n_1}[[y_1, x_2] + [x_1, y_2], [x_1, x_2]][x_1, x_2]^{n_2})^{n_3}, x_3] = 0$$

for all  $x_1, x_2, x_3, y_1, y_2 \in I$ . By Chuang [3], this GPI of (4) is also satisfied by  $Q$  and hence by  $R$  as well. By localizing  $R$  at  $Z(R)$ , we obtain that  $[([x_1, x_2]^{n_1}[[y_1, x_2] + [x_1, y_2], [x_1, x_2]][x_1, x_2]^{n_2})^{n_3}, x_3]$  is also an identity of  $R_Z$ . Since  $R$  and  $R_Z$  satisfy the same polynomial identities, in order to prove that  $R$  satisfies  $S_4$ , we may assume that  $R$  is a simple ring with 1 and  $[R, R] \subseteq U$ . Thus  $R$  satisfies the identity (4). Now putting  $y_1 = [b, x_1] = \delta(x_1)$  and  $y_2 = [b, x_2] = \delta(x_2)$  for some  $b \notin Z(R)$ , where  $\delta$  is an inner derivation induced by some  $b \in R$ , we obtain that  $R$  satisfies

$$[([x_1, x_2]^{n_1}[\delta[x_1, x_2], [x_1, x_2]][x_1, x_2]^{n_2})^{n_3}, x_3] = 0.$$

Thus by Martindale's theorem [10],  $R$  is a primitive ring with a minimal right ideal, whose commuting ring  $D$  is a division ring which is finite dimensional over  $Z(R)$ . However, since  $R$  is simple with 1,  $R$  must be Artinian. Hence  $R = D_{k'}$ , the ring of  $k' \times k'$  matrices over  $D$ , for some  $k' \geq 1$ . Again, by [8, Lemma 2], it follows that there exists a field  $F$  such that  $R \subseteq M_k(F)$ , the ring of  $k \times k$  matrices over the field  $F$ , and  $M_k(F)$  satisfies

$$[[[x_1, x_2]^{n_1} [\delta[x_1, x_2], [x_1, x_2]] [x_1, x_2]^{n_2}]^{n_3}, x_3] = 0.$$

If  $k \geq 3$ , then by Lemma 2.2 we have  $b \in Z(R)$ , a contradiction. Thus  $k = 2$ , that is,  $R$  satisfies  $S_4$ .  $\square$

Similarly, we can draw the same conclusion in the case  $d$  is a  $Q$ -inner derivation induced by some  $b \in Q$ .

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