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ASYMPTOTIC PROPERTIES OF NONOSCILLATORY SOLUTIONS
OF NEUTRAL DELAY DIFFERENTIAL EQUATIONS
OF n -TH ORDER

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1. INTRODUCTION

We consider a neutral differential equation in the form

$$(E) \quad L_n[x(t) + p(t)x(h(t))] + q(t)f(x(g(t))) = b(t),$$

where $L_0z(t) = z(t)$, $L_kz(t) = a_k(t)(L_{k-1}z(t))'$, $k = 1, 2, \dots, n$, $a_0 = a_n = 1$, $a_k \in C([0, \infty), (0, \infty))$, $k = 1, 2, \dots, n-1$, $p, q, h, g, b \in C([0, \infty), \mathbb{R})$, $q(t) \neq 0$ on any half line $[t_0, \infty)$, $t_0 \geq 0$;

(C₁) $h(t) < t$, $\lim_{t \rightarrow \infty} h(t) = \infty$, $\lim_{t \rightarrow \infty} g(t) = \infty$, $h(t)$ is an increasing function on $[t_0, \infty)$, $t_0 \geq 0$;

(C₂) $uf(u) > 0$ for $u \neq 0$.

Let $t_0 \geq 0$ be such that $T = \min\{\inf_{t \geq t_0} h(t), \inf_{t \geq t_0} g(t)\} \geq 0$. A function $x(t) \in C(T, \infty, \mathbb{R})$ is a solution of (E) on $[t_0, \infty)$ if the functions $L_k[x(t) + p(t)x(h(t))]$, $0 \leq k \leq n$ exist and are continuous on $[t_0, \infty)$ and $x(t)$ satisfies the equation (E) on $[t_0, \infty)$.

In this paper we will consider only such solutions of the equation (E) that $\sup\{|x(t)|; t \geq t_x\} > 0$ for any $t_x \geq t_0$. Such a solution is called nonoscillatory if it is eventually of constant sign for sufficiently large t . Otherwise it is called oscillatory.

Many authors have been studying the oscillatory properties of solutions of neutral differential equations with positive or negative coefficient $q(t)$. Numerous interesting results of this type can be found, for example, in the papers [1–3, 5, 6, 9–11] and in the references given therein. We know only the papers [4, 8] dealing with the case

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when the coefficient $q(t)$ can change the sign. In this paper we extend some results from the papers [4, 7, 8] to the equation (E).

2. PRELIMINARIES

Denote

(1) $h^{[0]}(t) = t$, $h^{[k]}(t) = h(h^{[k-1]}(t))$, $k = 1, 2, \dots$; $h^{[-k]}(t)$ denotes the inverse function to $h^{[k]}(t)$, $n = 1, 2, \dots$

(2) $P_0(t) = 1$, $P_{k+1}(t) = P_k(t)p(h^{[k]}(t))$, $k = 0, 1, 2, \dots$;

(3) $\gamma(t) = \sup\{s \geq 0; h(s) \leq t\}$, $t \geq 0$, $\gamma_0(t) = t$, $\gamma_{k+1}(t) = \gamma(\gamma_k(t))$, $k = 0, 1, \dots$

It is easily verify that $\gamma_k(t_0) < \gamma_{k+1}(t_0)$, $\lim_{t \rightarrow \infty} \gamma_k(t) = \infty$ if $h(t)$ satisfies (C_1) .

Lemma 1. [8; Lemma 1] (a) *Let*

$$(4) \quad u(t) = v(t) + p(t)v(h(t)),$$

where $u, v, p, h \in C([t_0, \infty), \mathbb{R})$, and $h(t)$ satisfies the condition (C_1) .

(b) *Let there exist constants $p_1, p_2 \in \mathbb{R}$ such that*

$$(5) \quad |p(t)| \leq p_1 < 1, \quad p(t)p(h(t)) \geq 0,$$

or

$$(6) \quad p_2 \leq p(t) \leq 0$$

holds for $t \geq t_0$. Assume that $0 < v(t)$, $\liminf_{t \rightarrow \infty} v(t) = 0$ and there exists $\lim_{t \rightarrow \infty} u(t) = L \in \mathbb{R}$.

Then $L = 0$.

A similar lemma we can find in [5, Lemma 1.5.2], in which $h(t) = t - c$, $0 < c \in \mathbb{R}$ and $p(t)$ has a constant sign.

Lemma 2. *Let the assumption (a) of Lemma 1 be satisfied. Let there exist constants $p_1, p_3 \in \mathbb{R}$ such that either (5) or*

$$(7) \quad p(t) \leq p_3 < -1$$

holds for $t \geq t_0$.

Assume that $0 \leq v(t) \leq v_0 < \infty$ and $\lim_{t \rightarrow \infty} u(t) = 0$. Then $\lim_{t \rightarrow \infty} v(t) = 0$.

Proof. i) Let (5) hold. Then the proof is the same as the proof of Lemma 2 [9].

ii) Let (7) hold. Then from (4) with regard to (1) and (7) we obtain

$$v(t) \leq \frac{1}{p_2} [u(h^{-[1]}(t)) - v(h^{-[1]}(t))], \text{ for } \gamma_1(t) \geq t_0.$$

By iteration for sufficiently large t we have

$$(8) \quad v(t) \leq \frac{1}{p_2} u(h^{-[1]}(t)) - \frac{1}{p_2^2} u(h^{-[2]}(t)) + \dots \\ + (-1)^{n-1} \frac{1}{p_2^n} u(h^{-[n]}(t)) + (-1)^n \frac{1}{p_2^n} v(h^{-[n]}(t)) \quad \text{for } \gamma_n(t) \geq t_0.$$

In view of $\lim_{t \rightarrow \infty} u(t) = 0$, for any $\varepsilon_1 > 0$ there exists a sufficiently large t_1 such that $|u(t)| < \varepsilon_1$ for any $t \geq t_1$. Then from (8) we obtain

$$|v(t)| \leq \frac{\varepsilon_1}{|p_2| - 1} + \frac{v_0}{|p_2|^n}.$$

Therefore for any $\varepsilon > 0$ there exist ε_1 and $n = n_0 \in N$ such that $\frac{\varepsilon_1}{|p_2| - 1} + \frac{v_0}{|p_2|^n} < \varepsilon$. Then the last two relations imply $\lim_{t \rightarrow \infty} v(t) = 0$. \square

Lemma 3. *Let the assumption (a) of Lemma 1 hold and $|p(t)| \leq p_1 < 1$ for $t \geq t_0 > 0$. If $v(t) > 0$ and $u(t)$ is bounded from above ($v(t) < 0$ and $u(t)$ is bounded from below) on $[t_0, \infty)$, then $v(t)$ is bounded.*

Proof. Let $v(t) > 0$ and $u(t) \leq K < \infty$ for $t \geq t_0$. From (4) in view of (1)–(3) we obtain

$$v(t) = u(t) - p(t)v(h(t)) = u(t) - P_1(t)u(h(t)) + P_2(t)v(h^{[2]}(t)), \quad t \geq \gamma(t_0).$$

Repeating this argument we find

$$(9) \quad v(t) = \sum_{k=0}^{m-1} (-1)^k P_k(t)u(h^{[k]}(t)) + (-1)^m P_m(t)v(h^{[m]}(t)), \\ t \geq \gamma_m(t_0), m = 1, 2, \dots$$

Let $0 < v(t) \leq c$ for $t \in [t_0, \gamma(t_0)]$, then $h^{[m]}(t) \in [\gamma_m(t_0), \gamma_{m+1}(t_0)]$ and $0 < v(h^{[m]}(t)) \leq c$.

If $|p(t)| \leq p_1 < 1$ then by (2) $|P_k(t)| \leq p_1^k < 1$ for $t \geq \gamma_k(t_0), k = 1, 2, \dots, m$. Then from (9) we have

$$0 < v(t) \leq K \sum_{k=0}^{m-1} p_1^k + p_1^m c \leq \frac{K}{1 - p_1} + c = K_1 < \infty$$

for $t \in [\gamma_m(t_0), \gamma_{m+1}(t_0)], m = 1, 2, \dots$. The last relation for $t \rightarrow \infty$ implies that $0 < v(t) \leq K_1$.

Analogously we prove the result if $v(t) < 0$ and $u(t)$ is bounded from below on $[t_0, \infty)$. \square

Lemma 4. [7, Lemma 2] Let $w \in C([t_0, \infty), \mathbb{R})$, $v \in C^1([t_0, \infty), \mathbb{R})$ and let there exist $\lim_{t \rightarrow \infty} [w(t)v'(t) + v(t)]$ in the extended real line $\mathbb{R}^\#$. Then $\lim_{t \rightarrow \infty} v(t)$ exists in $\mathbb{R}^\#$.

Denote

$$(10) \quad A_0(t) = 1, \quad A_k(t) = \int_{t_0}^t \frac{A_{k-1}(s)}{a_k(s)} ds, \quad \text{if } A_k(\infty) = \infty$$

for $k = 1, 2, \dots, n-1$.

$$(11) \quad A_0(t) = 1, \quad A_k(t) = \int_t^\infty \frac{A_{k-1}(s)}{a_k(s)} ds, \quad \text{if } A_k(t_0) < \infty$$

for $k = 1, 2, \dots, n-1$.

Lemma 5. Let $k \in \{1, 2, \dots, n\}$, $u_k(t) = \int_T^t A_{k-1}(s)(L_{k-1}z(s))' ds$, where $T > t_0$, $L_0z(t), \dots, L_nz(t)$ are continuous functions on $[t_0, \infty)$ and $A_k(t)$, $k = 1, 2, \dots, n-1$ are defined by (10) or by (11).

(i) If

$$\lim_{t \rightarrow \infty} u_k(t) = +\infty \text{ } (-\infty) \text{ for } k = 2, 3, \dots, n,$$

then $\lim_{t \rightarrow \infty} u_i(t) = +\infty(-\infty)$, $i = 1, 2, \dots, k-1$.

(ii) Let $z(t)$ be a bounded function on $[t_0, \infty)$ and let there exist $\lim_{t \rightarrow \infty} u_n(t)$, then

$$\lim_{t \rightarrow \infty} z(t) = z_0 \in \mathbb{R}.$$

If (10) holds, then in addition $\lim_{t \rightarrow \infty} L_i z(t) = 0$, $i = 1, 2, \dots, n-1$.

Proof. We easily prove that the functions $u_k(t)$, $k = 1, 2, \dots, n-1$ satisfy the differential equation

$$(13_k) \quad \frac{A_k(t)}{A_k'(t)} u_k'(t) - u_k(t) = \varepsilon \bar{u}_{k+1}(t), \quad t \geq T > t_0,$$

where $\varepsilon = +1$, or -1 if (10) or (11) holds, respectively,

$$(14) \quad \bar{u}_{k+1}(t) = u_{k+1}(t) + A_k(T)L_{k-1}z(T), \quad k = 1, 2, \dots, n-1.$$

In view of (10) or (11) and (C₁) we have $A_k(t) > 0$, $A_k'(t) > 0$, $k = 1, 2, \dots, n-1$, for $t \geq T > t_0$.

The equation (13_k), $k \in \{1, 2, \dots, n-1\}$ can be written in the form

$$\left(\frac{u_k(t)}{A_k(t)} \right)' = \varepsilon \frac{A_k'(t)}{A_k^2(t)} \bar{u}_{k+1}(t), \quad t \geq T.$$

From the last equation we obtain

$$(15_k) \quad u_k(t) = \varepsilon A_k(t) \int_T^t \frac{A'_k(s)}{A_k^2(s)} \bar{u}_{k+1}(s) ds,$$

$k \in \{1, 2, \dots, n-1\}$.

(i) Let $k \in \{1, 2, \dots, n-1\}$ and $\lim_{t \rightarrow \infty} u_{k+1}(t) = \infty$. Then by (14) $\lim_{t \rightarrow \infty} \bar{u}_{k+1}(t) = \infty$. Then from (15_k), taking into account (10) or (11), we obtain that $\lim_{t \rightarrow \infty} u_k(t) = \infty$. If $k > 1$, we can repeat this process and getting successively that $\lim_{t \rightarrow \infty} u_i(t) = \infty$, $i = k-1, \dots, 2, 1$.

(ii) Let $z(t)$ be a bounded on $[T, \infty)$, $T > t_0$. Then $u_1(t) = z(t) - z(T)$ is bounded on $[T, \infty)$. If there exists $\lim_{t \rightarrow \infty} u_n(t)$, then in view of (13_{n-1}) and Lemma 3 there exists $\lim_{t \rightarrow \infty} u_{n-1}(t)$. If we proceed similarly we successively get that there exist $\lim_{t \rightarrow \infty} u_k(t)$, $k = 1, 2, \dots, n-2$. Then with regard to the case (i) and the fact that $u_1(t)$ is bounded, there are $b_k: |b_k| < \infty$ such that $\lim_{t \rightarrow \infty} u_k(t) = b_k, k = 1, 2, \dots, n$. Therefore from

$$\lim_{t \rightarrow \infty} \left[\frac{A_k(t)}{A'_k(t)} u'_k(t) - u_k(t) \right] = \varepsilon [b_{k+1} + A_k(T) L_k z(T)] = \bar{b}_{k+1},$$

($k = 1, 2, \dots, n-1$) and (12) we obtain

$$(16) \quad \lim_{t \rightarrow \infty} \frac{A_k(t)}{A'_k(t)} u'_k(t) = \lim_{t \rightarrow \infty} A_k(t) L_k z(t) = \bar{b}_{k+1} - b_k = c_k \in \mathbb{R},$$

$$\lim_{t \rightarrow \infty} z(t) = b_1 + z(T) = \bar{b}_1.$$

Let (10) hold. Then from (16) in view of (10) we obtain

$$\lim_{t \rightarrow \infty} L_k z(t) = 0, \quad k = 1, 2, \dots, n-1.$$

□

Remark. Denote $q_+(t) = \max\{0, q(t)\}$, $q_-(t) = \max\{0, -q(t)\}$. Then $q(t) = q_+(t) - q_-(t)$, $t \in [t_0, \infty)$.

3. MAIN RESULTS

Theorem 1. *Let (C_1) , (C_2) and either (10) or (11) hold. Let there exist constants $p_1, p_2, p_3 \in \mathbb{R}$ such that either (5) or*

$$(17) \quad p_3 \leq p(t) \leq p_2 \leq -1 \quad \text{for } t \geq t_0.$$

In addition we suppose that for $T \geq t_0$

$$(18) \quad \int_T^\infty A_{n-1}(t)|b(t)| dt < \infty,$$

and either

$$(19_1) \quad \int_T^\infty A_{n-1}(t)q_+(t) dt = \infty,$$

$$(20_1) \quad \int_T^\infty A_{n-1}(t)q_-(t) dt < \infty,$$

or

$$(19_2) \quad \int_T^\infty A_{n-1}(t)q_+(t) dt < \infty,$$

$$(20_2) \quad \int_T^\infty A_{n-1}(t)q_-(t) dt = \infty.$$

Then every bounded solution of the equation (E) is either oscillatory or $\lim_{t \rightarrow \infty} x(t) = 0$ and $\lim_{t \rightarrow \infty} z(t) = 0$. If (10) holds then in addition

$$(21) \quad \lim_{t \rightarrow \infty} L_k z(t) = 0, \quad k = 1, 2, \dots, n-1.$$

Proof. Let $x(t)$ be a bounded positive solution of (E) on $[t_0, \infty)$. Without loss of generality we suppose that $x(g(t)) > 0$, $x(h(t)) > 0$ for $t \geq t_1 \geq t_0$. If $x(t)$ is bounded, then in view of (5) or (17) we have that $z(t)$ is bounded on $[t_1, \infty)$.

Multiplying the equation (E) by $A_{n-1}(t)$ and then integrating from t_1 to t ($> t_1$) we get

$$(22) \quad \begin{aligned} u_n(t) &= \int_{t_1}^t A_{n-1}(s)L_n z(s) ds = \int_{t_1}^t A_{n-1}(s)q_-(s)f(x(g(s))) ds \\ &\quad - \int_{t_1}^t A_{n-1}(s)q_+(s)f(x(g(s))) ds + \int_{t_1}^t A_{n-1}(s)b(s) ds. \end{aligned}$$

Let (19₁), (20₁) hold. If

$$(23) \quad \int_{t_1}^{\infty} A_{n-1}(s)q_+(s)f(x(g(s))) ds = \infty,$$

then from (22) in view of the boundedness of $x(t) (> 0)$, (C₁), (C₂), (18), and (20₁) we obtain $\lim_{t \rightarrow \infty} u_n(t) = -\infty$. Then by Lemma 5 $\lim_{t \rightarrow \infty} z(t) = -\infty$, which contradicts the assumption that $z(t)$ is bounded. Therefore

$$(24) \quad \int_{t_1}^{\infty} A_{n-1}(s)q_+(s)f(x(g(s))) ds < \infty.$$

Then (22) with regard to the boundedness of $x(t) (> 0)$, (C₁), (C₂), (18), (20₁) and (24) yields that there exists a $b_n \in \mathbb{R}$ such that $\lim_{t \rightarrow \infty} u_n(t) = b_n$. Then in view of Lemma 5, the case (ii) and the boundedness of $z(t)$ there exists a finite $\lim_{t \rightarrow \infty} z(t) = b_0 \in \mathbb{R}$.

If (10) holds then in addition $\lim_{t \rightarrow \infty} L_k z(t) = 0$, $k = 1, 2, \dots, n - 1$.

From (24) in view of (C₁), (C₂) and (19₁) we have $\liminf_{t \rightarrow \infty} x(t) = 0$. Using Lemma 1 we obtain $\lim_{t \rightarrow \infty} z(t) = 0$. Now by Lemma 2 we have $\lim_{t \rightarrow \infty} x(t) = 0$. Analogously we can prove the result if (19₂), (20₂) hold. \square

The following examples are illustrative:

Example 1. Consider the equation

$$(E_1) \quad \left(e^{-t}(x(t) - \frac{e^{-2\pi}}{2}x(t - 2\pi))' \right)' + \frac{e^{-(t+\pi)}}{2} \frac{1 + \cos t}{2 + \cos t} x(t - \pi) \\ = \frac{e^{-2t}(5 - 3 \sin t)}{2}.$$

The assumptions (5), (10), (18), (19₁), (20₁) of Theorem 1 are satisfied. The equation (E₁) has a nonoscillatory solution $x(t) = e^{-t}(2 - \cos t)$. Then $z(t) = \frac{1}{2}e^{-t}(2 - \cos t)$, $L_1 z(t) = e^{-t}z'(t)$. We easily see that $x(t)$, $z(t)$, $L_1 z(t)$ tends to 0 as $t \rightarrow \infty$.

Example 2. Consider the equation

$$(E_2) \quad (e^{2t}(x(t) - 5e^{-2}x(t - 2)))' - 4e^{2t+1}(t^2 + 1)x(t + 1) = -t^2e^t.$$

The assumptions (5), (10), (18), (19₂), (20₂) of Theorem 1 are satisfied. The equation (E₂) has a nonoscillatory solution $x(t) = e^{-t}$. Then $z(t) = -4e^{-t}$, $L_1 z(t) = 4e^t$. We easily see that $x(t)$, $z(t)$ tend to 0 as $t \rightarrow 0$ and $L_1 z(t)$ tends to ∞ as $t \rightarrow \infty$.

We see that if (11) is satisfied then (21) need not hold.

Theorem 2. *Let (C_1) , (C_2) , (5), (11), (18) hold. In we suppose in addition that $q(t) \geq 0$ on $[t_0, \infty)$ and*

$$(25) \quad \int_T^\infty A_{n-1}(t)q(t) dt = \infty, \quad T > 0,$$

then every solution of (E) is either oscillatory or $\lim_{t \rightarrow \infty} z(t) = 0$ and $\lim_{t \rightarrow \infty} x(t) = 0$.

PROOF. Let $x(t)$ be a positive solution of (E) on $[t_0, \infty)$. Without loss of generality we suppose that $x(g(t)) > 0$, $x(h(t)) > 0$ for $t \geq t_1 \geq t_0$. Multiplying the equation (E) by $A_{n-1}(t)$ and then integrating from t_1 to t we have

$$\begin{aligned} u_n(t) &= \int_{t_1}^t A_{n-1}(s)L_n z(s) ds \\ &= \int_{t_1}^t A_{n-1}(s)b(s) ds - \int_{t_1}^t A_{n-1}(s)q(s)f(x(g(s))) ds. \end{aligned}$$

Then with regard to (C_1) , (C_2) , (18) and (24), the last equation implies that $u_n(t)$ is bounded from above, i.e. there exist a $T \geq t_1$ and a constant $K > 0$ such that $\bar{u}_n(t) = u_n(t) + A_n(T)L_{n-1}z(T) < K < \infty$ for $t \geq T$. Then using (15_{n-1}) and (11) we get

$$\begin{aligned} u_{n-1}(t) &\leq -KA_{n-1} \int_T^t \frac{A'_{n-1}(s)}{A_{n-1}^2(s)} ds \\ &= K \left[1 - \frac{A_{n-1}(t)}{A_{n-1}(T)} \right] \leq K, \quad t \geq T. \end{aligned}$$

If we repeat this argument $n - 2$ -times we get that $u_1(t) = z(t) - z(T)$ is bounded from above. Using Lemma 3 we obtain that $x(t)$ is bounded on $[t_0, \infty)$. Now we apply Theorem 1 we obtain that $\lim_{t \rightarrow \infty} z(t) = 0$ and $\lim_{t \rightarrow \infty} x(t) = 0$. \square

Theorem 3. *Let (C_1) , (C_2) hold and let $p(t)$ be a bounded function on $[t_0, \infty)$. In addition we suppose that*

$$(26) \quad \int_{t_0}^\infty \frac{dt}{a_i(t)} = \infty, \quad i = 1, 2, \dots, n - 1,$$

$$(27) \quad \int_{t_0}^\infty |b(t)| dt < \infty,$$

and either

$$(28_1) \quad \int_{t_0}^{\infty} q_+(t) dt = \infty,$$

$$(29_1) \quad \int_{t_0}^{\infty} q_-(t) dt < \infty,$$

or

$$(28_2) \quad \int_{t_0}^{\infty} q_+(t) dt < \infty,$$

$$(29_2) \quad \int_{t_0}^{\infty} q_-(t) dt = \infty.$$

Then every bounded solution of the equation (E) is either oscillatory or

$$\liminf_{t \rightarrow \infty} |x(t)| = 0 \text{ and } \lim_{t \rightarrow \infty} L_k z(t) = 0 \text{ for } k = 1, 2, \dots, n-1.$$

PROOF. Let $x(t)$ be a bounded positive solution of (E) on $[t_0, \infty)$. Without loss of generality we suppose that $x(g(t)) > 0$, $x(h(t)) > 0$ for $t \geq t_1 \geq T$. Because $p(t)$ and $x(t)$ are bounded on $[t_0, \infty)$ then $z(t)$ is bounded. Integrating the equation (E) from t_1 to t we get

$$(30) \quad L_{n-1}z(t)(t) - L_{n-1}z(t_1) + \int_{t_1}^t q_+(s)f(x(g(s))) ds \\ = \int_{t_1}^t b(s) ds + \int_{t_1}^t q_-(s)f(x(g(s))) ds.$$

Let (28₁), (29₁) hold. If

$$\int_{t_1}^{\infty} q_+(s)f(x(g(s))) ds = \infty,$$

then from (30) in view of the boundedness of $x(t)$ (> 0), (C₁), (C₂), (27), (28₁) we obtain $\lim_{t \rightarrow \infty} L_{n-1}z(t) = -\infty$. In view of (26) the last relation implies $\lim_{t \rightarrow \infty} z(t) = -\infty$, which contradicts the fact that $z(t)$ is bounded on $[t_0, \infty)$.

Therefore

$$(31) \quad \int_{t_1}^{\infty} q_+(s)f(x(g(s))) ds < \infty.$$

From (30) with regard to (C₁), (C₂), (28₁) and the boundedness of $x(t)$ we have $\liminf_{t \rightarrow \infty} x(t) = 0$.

In view of (27), (31) and (29₁), (30) implies that there exists a finite $\lim_{t \rightarrow \infty} L_{n-1}z(t)$. Now if we use (26) and the boundedness of $z(t)$, we have $\lim_{t \rightarrow \infty} L_k z(t) = 0$, $k = 1, 2, \dots, n-1$.

Analogously we prove the result if (28₂), (29₂) hold. □

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