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## Fan–Gottesman type compactification of frames

D. BABOOLAL

*Abstract.* We construct a compactification, which we call a Fan–Gottesman type compactification, of a regular frame having a normal base. It is shown that the Stone–Čech compactification of a normal regular frame and the least compactification of a regular continuous frame are examples of compactifications of such type. We also characterize those precompact uniformities on a frame whose Samuel compactification is of Fan–Gottesman type.

*Keywords:* frame, compactification, strong inclusion, uniform frame

*Classification:* 06D20, 06B35, 54D35

In [4] Fan and Gottesman construct a compactification of a regular topological space having a normal base. It is shown there that the Stone–Čech compactification of a normal Hausdorff space can be obtained using this general construction if one takes as a normal base the collection of all open subsets of the topological space. It is also shown that the Alexandroff one–point compactification of a locally compact, non–compact Hausdorff space  $X$  can be so obtained if one takes as a normal base the family of all those open subsets  $U$  such that either  $\text{cl } U$  or  $X - U$  is compact.

This classical construction for topological spaces provided the motivation to construct compactifications of regular frames having a base satisfying properties analogous to that for normal bases as defined in [4]. Such compactification we shall call compactifications of Fan–Gottesman type. We construct this compactification for a regular frame with a so–called normal base in Section 1.

In Sections 2 and 3 we show that just as for the classical case the Stone–Čech compactification of a normal regular frame and the least compactification of a regular continuous frame are examples of compactifications of Fan–Gottesman type. We also give in Section 2 an alternative proof (which avoids the use of Joyal’s lemma [6, p.91]) of P.T. Johnstone’s [7] result that the Wallman compactification of a normal regular frame is the same as its Stone–Čech compactification. The Wallman compactification for such a frame, we may deduce then, is of Fan–Gottesman type.

In Section 4 we discuss uniform frames with a view to characterizing those precompact uniformities on a frame whose Samuel compactification is of Fan–Gottesman type.

**0. Preliminaries.** Recall that a frame (locale) is a complete lattice satisfying the infinite distributive law  $x \wedge \bigvee A = \bigvee_{a \in A} (x \wedge a)$  for any  $x \in L$ ,  $A \subset L$ . These are the objects of the category  $\text{Frm}$  whose morphisms are those functions which preserve finite meets and arbitrary joins. We denote the top of  $L$  by  $e$  and the bottom by  $0$ .

A frame  $L$  is compact if  $e = \bigvee A$  implies that there exists a finite  $S \subset A$  such that  $e = \bigvee S$ . A frame  $L$  is regular if for each  $a \in L$ ,  $a = \bigvee_{x \prec a} x$ . Here  $x \prec a$  is read as  $x$  is "rather below"  $a$  and is defined by  $x \wedge y = 0$  and  $y \vee a = e$  for some  $y \in L$ , or equivalently  $x^* \vee a = e$ , where  $x^*$  is the pseudocomplement of  $x$ .  $L$  is normal if given  $a$  and  $b$  in  $L$  with  $a \vee b = e$  there exists  $c$  and  $d$  with  $c \wedge d = 0$ ,  $c \vee b = e$  and  $a \vee d = e$ . A frame map  $h : M \rightarrow L$  is called dense if  $h(x) = 0$  implies that  $x = 0$ . A compactification of  $L$  is a compact regular frame  $M$  together with a dense onto map  $h : M \rightarrow L$ . A strong inclusion on  $L$  is a binary relation  $\triangleleft$  on  $L$  such that

- (i)  $x \leq a \triangleleft b \leq y \implies x \triangleleft y$
- (ii)  $\triangleleft \subset L \times L$  is a sublattice, i.e.  $0 \triangleleft 0$ ,  $e \triangleleft e$ ,  
 $x \triangleleft a, b \implies x \triangleleft a \wedge b$ ,  $x, y \triangleleft a \implies x \vee y \triangleleft b$
- (iii)  $x \triangleleft a \implies x \prec a$
- (iv)  $\triangleleft$  interpolates, that is  $x \triangleleft z \implies x \triangleleft y \triangleleft z$  for some  $y \in L$
- (v)  $x \triangleleft a \implies a^* \triangleleft x^*$
- (vi)  $a = \bigvee_{x \triangleleft a} x$

If  $\triangleleft$  is a strong inclusion on  $L$ , then this determines a compactification of  $L$  defined as follows: An ideal  $J \subset L$  is called strongly regular (with respect to  $\triangleleft$ ) if  $x \in J$  implies that  $x \triangleleft y$  for some  $y \in J$ . Let  $\gamma L = \{J \mid J \text{ is a strongly regular ideal of } L\}$ . Then  $\gamma L$  is a compact regular subframe of  $\text{Idl}(L)$ , the frame of ideals of  $L$ . The join map  $\bigvee : \gamma L \rightarrow L$  is dense and onto so that  $(\gamma L, \bigvee)$  is a compactification of  $L$ .

The concept of strong inclusion and the construction of the compactification determined by it is due to Banaschewski [2]. We are unaware of any published reference of this fact. For a general reference on frames see [6].

**1. Fan-Gottesman compactification.** In [4] Fan and Gottesman constructed a compactification of a regular topological space having a so called normal base, which includes Wallman's compactification for normal Hausdorff spaces. As a direct frame translation of the conditions for this base we may formulate

**1.1 Definition.** A base  $B \subset L$  for a regular frame  $L$  is said to be a *normal base* if it satisfies

- (i)  $a, b \in B \implies a \wedge b \in B$
- (ii)  $a \in B \implies a^* \in B$
- (iii) for any  $c \in L$ ,  $a \in B$  with  $a \prec c$  there exists  $b \in B$  such that  $a \prec b \prec c$ .

**1.2 Proposition.** Let  $L$  be regular and  $B$  a normal base for  $L$ . Define  $\triangleleft$  on  $L$  by:  $x \triangleleft y$  if there exists  $b \in B$  with  $x \prec b \prec y$ . Then  $\triangleleft$  is a strong inclusion on  $L$ .

**PROOF :** We check that the six conditions for a strong inclusion are satisfied:

- (i)  $x \leq a \triangleleft b \leq y \implies x \leq a \prec c \prec b \leq y$  for some  $c \in B$ . Thus  $x \prec c \prec y$  and hence  $x \triangleleft y$ .
- (ii) We have  $0 \triangleleft 0$  since  $0 \prec 0 \prec 0$  and  $0 \in B$ . Also  $e \triangleleft e$  since  $e \prec e \prec e$  and  $e \in B$ . Now suppose  $x \triangleleft a, b$ . Find  $c, d \in B$  such that  $x \prec c \prec a$ ,  $x \prec d \prec b$ . Then  $x \prec c \wedge d \prec a \vee b$ . Since  $c \wedge d \in B$  we have  $x \triangleleft a \wedge b$ . If  $x, y \triangleleft b$ , then there exist  $a, c \in B$  such that  $x \prec a \prec b$ ,  $y \prec c \prec b$ . Thus  $a \vee c \prec b$  and hence  $(a \vee c)^{**} \prec b$ . Thus  $x \vee y \prec (a \vee c)^{**} \prec b$ . Since  $(a \vee c)^{**} = (a^* \wedge c^*)^* \in B$  we have  $x \vee y \triangleleft b$ .
- (iii) If  $x \triangleleft y$ , then  $x \prec y$  follows from the definition.

(iv) Suppose  $x \triangleleft z$ . Then there exists  $a \in B$  such that  $x \triangleleft a \triangleleft z$ . By the third condition of the definition of the base  $B$ , there exist  $b, c \in B$  such that  $x \triangleleft a \triangleleft b \triangleleft c \triangleleft z$ . Hence  $x \triangleleft b \triangleleft z$ .

(v) If  $x \triangleleft a$  then there exists  $b \in B$  such that  $x \triangleleft b \triangleleft a$ . Then  $a^* \triangleleft b^* \triangleleft x^*$ , and since  $b^* \in B$  we have  $a^* \triangleleft x^*$ .

(vi) Let  $a \in L$ . By regularity and the fact that  $B$  is a base for  $L$ , we have  $a = \bigvee_{z \triangleleft a, z \in B} z$ . Now if  $z \triangleleft a$  and  $z \in B$ , then there exists  $c \in B$  such that  $z \triangleleft c \triangleleft a$ . Hence  $z \triangleleft a$ , and thus  $a = \bigvee_{x \triangleleft a} x$ . ■

The compactification  $\gamma L$  associated with the above  $\triangleleft$  (or  $\gamma_B L$ , to emphasize that this is with respect to a normal base  $B$  for  $L$ ) we shall call the *Fan-Gottesman compactification* of  $L$ . Any compactification of  $L$  isomorphic with  $\gamma_B L$  for some normal base  $B$  for  $L$  will be called a Fan-Gottesman type compactification.

Let  $S(L)$  be the set of all strong inclusions on  $L$  partially ordered by inclusion and let  $K(L)$  be the set of all compactifications  $(M, h)$  of  $L$  partially ordered by:  $(M, h) \leq (K, f)$  if and only if there exists a frame homomorphism  $g : M \rightarrow K$  such that  $fg = h$ . It is known that  $S(L) \cong K(L)$  (Banaschewski [2]). As we are unaware of this result appearing in the published literature, we sketch a proof below from Banaschewski [2].

**1.3 Proposition.**  $S(L) \cong K(L)$ .

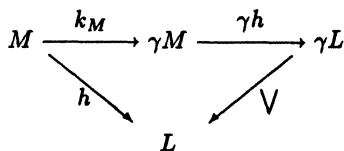
PROOF (sketch):

Consider the maps  $S(L) \rightarrow K(L)$  given by  $\triangleleft \rightsquigarrow (\gamma L, \bigvee)$  (as defined above) and  $K(L) \rightarrow S(L)$  given by  $(M, h) \rightsquigarrow \triangleleft$ . Here  $\triangleleft$  is defined by  $x \triangleleft y$  if and only if  $l(x) \triangleleft l(y)$  where  $l : L \rightarrow M$  is the right adjoint of  $h$  given by  $l(a) = \bigvee_{h(x)=a} x$ . That these maps are order-preserving can be easily shown. We show these maps are inverses of each other.

Consider  $S(L) \rightarrow K(L) \rightarrow S(L)$  where  $\triangleleft \rightsquigarrow (\gamma L, \bigvee) \rightsquigarrow \triangleleft_0$ . For  $a \in L$ ,  $k(a) = \{x \in L \mid x \triangleleft a\} \in \gamma L$ . Furthermore  $\bigvee J \leq a$  if and only if  $J \subset k(a)$  so that  $k$  is the right adjoint of  $\bigvee : \gamma L \rightarrow L$ . Thus for  $\triangleleft_0$  determined by  $(\gamma L, \bigvee)$ ,  $x \triangleleft_0 a$  if and only if  $k(x) \triangleleft k(a)$ .

Now  $x \triangleleft a \implies k(x) \triangleleft k(a)$  (with a little calculation)  $\implies x \triangleleft_0 a$ . Conversely  $x \triangleleft_0 a \implies k(x) \triangleleft k(a) \implies$  there exists  $J \in \gamma L$  such that  $k(x) \cap J = 0$  and  $k(a) \vee J = L$  from which we may obtain  $x \triangleleft a$ . Thus  $S(L) \rightarrow K(L) \rightarrow S(L)$  is the identity.

To show  $K(L) \rightarrow S(L) \rightarrow K(L)$  is the identity, where  $(M, h) \rightsquigarrow \triangleleft \rightsquigarrow (\gamma L, \bigvee)$ , we must show  $(M, h) \cong (\gamma L, \bigvee)$ . Consider



where  $k_M(a) = \{x \in M \mid x \triangleleft a\}$  and  $(\gamma h)(I) = \bigcup \{\downarrow h(x) \mid x \in I\}$ .

The map  $k_m$  is a frame map since  $M$  is compact regular. Furthermore  $\gamma h$  is a frame map so that  $(\gamma h)k_m : M \rightarrow \gamma L$  is a frame map. Also the above diagram commutes and  $(\gamma h)k_m$  is dense since both  $h$  and  $\bigvee$  are. As one can verify  $(\gamma h)k_m$  is also onto. Thus  $(\gamma h)k_m$  is an isomorphism since  $M$  and  $\gamma L$  are compact regular. ■

**1.4 Proposition.** *Let  $L$  be a regular frame,  $B$  a normal base for  $L$  and  $R$  the set of regular elements of  $B$ , that is  $R = \{b \in B | b = b^{**}\}$ . Then  $R$  is a normal base for  $L$  and  $\gamma_R L$  is isomorphic to  $\gamma_B L$ .*

PROOF : That  $R$  is a normal base follows from the following:

(i) If  $a, b \in R$  then  $(a \wedge b)^{**} = a^{**} \wedge b^{**} = a \wedge b$ . Hence  $a \wedge b \in R$ .

(ii) If  $a \in R$ , then  $(a^*)^{**} = a^*$ . Hence  $a^* \in R$ .

(iii) If  $a \in R$ ,  $a \prec c$  then there exists  $b \in B$  such that  $a \prec b \prec c$ . Thus  $a \prec b^{**} \prec c$  with  $b^{**} \in R$ .

(iv) If  $a \in L$ , then  $a = \bigvee_{x \in B, x \prec a} x$ . Since  $x \in B$  and  $x \prec a$  implies that  $x^{**} \in R$  and  $x^{**} \prec a$  we have  $a = \bigvee_{x \prec a, x \in R} x$ .

To complete the proof we show that  $\triangleleft_B = \triangleleft_R$ , where  $\triangleleft_B$  and  $\triangleleft_R$  are the strong inclusions with respect to the bases  $B$  and  $R$  respectively. Obviously if  $x \triangleleft_R y$  then  $x \triangleleft_B y$  since  $R \subset B$ . If  $x \triangleleft_B y$ , then  $x \prec a \prec y$  for some  $a \in B$ . But then  $x \triangleleft_R y$  since we have  $x \prec a \leq a^{**} \prec y$  and  $a^{**} \in R$ . Hence  $\triangleleft_B = \triangleleft_R$ . ■

It might be thought that if  $B$  and  $B'$  are normal bases for  $L$  such that  $\gamma_B L$  and  $\gamma_{B'} L$  are isomorphic then they contain the same regular elements. The following example shows this is not the case.

**1.5 Example:** Let  $X = [0, 1]$  with the usual topology. Then  $\mathcal{O}X$  is compact, regular and normal, where  $\mathcal{O}X$  is the frame of open sets of  $X$ . Since, as is well known, every dense frame map between compact regular frames is an embedding, any compactification of  $\mathcal{O}X$  is isomorphic with  $\mathcal{O}X$ . Now  $\mathcal{O}X$  is a normal base for  $\mathcal{O}X$  and thus the set  $R$  of all the regular elements of  $\mathcal{O}X$  (i.e. the regular open subsets of  $X$ ) is a normal base as well by Proposition 1.4. Now  $R' = \{g \in \mathcal{O}X | G \text{ is a finite union of open intervals in } X\}$  is evidently a normal base for  $\mathcal{O}X$ . We have  $\gamma_R \mathcal{O}X \cong \gamma_{R'} \mathcal{O}X (\cong \mathcal{O}X)$ , but  $R' \subsetneq R$ .

**2. Normal regular frames.** If  $L$  is normal regular, recall that the rather below relation  $\prec$  interpolates and that the Stone-Čech compactification can be described as  $(RL, \bigvee)$  where  $RL$  consists of all the regular ideals of  $L$  and  $\bigvee : RL \rightarrow L$  is the join map. (See e.g. [1],[2],[6]). An ideal  $J \subset L$  is said to be regular if  $x \in J$  implies that there exists  $y \in J$  such that  $x \prec y$ . Since  $\prec$  interpolates it is clear that  $L$  itself is a normal base and that  $\triangleleft_L = \prec$ . Thus  $(\gamma_L L, \bigvee)$  is isomorphic to  $(RL, \bigvee)$ . We have shown:

**2.1 Proposition.** *For normal regular  $L$ ,  $L$  itself is a normal base and the Fan-Gottesman compactification  $(\gamma_L L, \bigvee)$  is the Stone-Čech compactification of  $L$ .*

The remainder of this section is devoted to an alternative proof (which avoids the use of Joyal's lemma ([6]) of Johnstone's result ([7]) that the Wallman compactification of a normal regular frame is the Stone-Čech compactification of  $L$ . Hence by

Proposition 2.1, the Wallman compactification of such a frame is a compactification of Fan-Gottesman type.

Let us firstly recall Johnstone's ([7]) construction of the Wallman compactification of a subfit frame  $L$ , i.e.  $\mathfrak{A}$  frame satisfying  $\nabla(a) \subset \nabla(b) \implies a \leq b$ , where  $\nabla(a) = \{c \in L \mid a \vee c = e\}$ : Let  $j$  be the nucleus on the frame  $\text{Idl}(L)$  of ideals of a subfit frame  $L$  given by

$$j(I) = \{a \in L \mid (\forall b \in L)(a \vee b = e) \implies (\exists c \in I)(c \vee b = e)\}.$$

The Wallman compactification of  $L$  is defined to be the frame  $\text{Idl}(L)_j$  of  $j$ -fixed ideals of  $L$ .

**2.2 Lemma.** *If  $L$  is regular, then for any ideal  $I$  of  $L$ ,  $\bigvee I = \bigvee j(I)$ .*

PROOF :  $I \subset j(I)$  so that  $\bigvee I \leq \bigvee j(I)$ .

Now let  $a \in j(I)$  be arbitrary. Take any  $x \prec a$ . Then  $x^* \vee a = e$ . Since  $a \in j(I)$ , there exists  $c \in I$  such that  $x^* \vee c = e$ , i.e.  $x \leq c \leq \bigvee I$ . By regularity  $a = \bigvee_{y \prec a} y$  so that we have  $a \leq \bigvee I$ . Hence  $\bigvee j(I) \leq \bigvee I$ . ■

**2.3 Lemma.** *If  $L$  is normal regular then  $(\text{Idl}(L)_j, \bigvee)$  is a compactification of  $L$ .*

PROOF : That  $\text{Idl}(L)_j$  is compact regular is proved in [7]. We need to show that  $\bigvee : \text{Idl}(L)_j \rightarrow L$  is a frame homomorphism which is dense and onto.

That  $\bigvee$  is dense is clear; also  $L$  subfit  $\implies$  every principal ideal of  $L$  is  $j$ -fixed ([7]) so that  $\bigvee$  is onto. That  $\bigvee$  preserves finite meets is clear. Now take  $I, J \in \text{Idl}(L)_j$ . Then  $\bigvee(I \vee_j J) = \bigvee(j(I \vee J)) = \bigvee(I \vee J)$  (from Lemma 2.2)  $= \bigvee I \vee \bigvee J$ . Now take any collection of updirected ideals  $\{I_i\}$  in  $\text{Idl}(L)_j$ . Then  $\bigvee(\bigvee_j I_i) = \bigvee j(\bigcup I_i) = \bigvee(\bigcup I_i) = \bigvee \bigvee I_i$ . Thus  $\bigvee$  preserves arbitrary joins and hence is a frame homomorphism. ■

**2.4 Proposition ([7]).** *If  $L$  is normal regular then  $(\text{Idl}(L)_j, \bigvee)$  is the Stone-Čech compactification of  $L$ .*

PROOF : Let  $M$  be compact regular,  $h : M \rightarrow L$  a frame map. Define

$$g : M \rightarrow \text{Idl}(L)_j \text{ by}$$

$$g(b) = j \left( \bigvee_{\text{Idl}(L)} \downarrow h(c)(c \prec b) \right)$$

Then

$$\begin{aligned} \bigvee g(b) &= \bigvee \bigvee_{\text{Idl}(L)} \downarrow h(c)(c \prec b) && \text{(from Lemma 2.2)} \\ &= \bigvee \bigvee \downarrow h(c)(c \prec b) \\ &= \bigvee h(c)(c \prec b) \\ &= h \left( \bigvee c(c \prec b) \right) \\ &= h(b) \end{aligned}$$

We need to show only that  $g$  is a frame map:

$$g(0) = j(0), \quad g(e) = L \text{ is clear;}$$

$$\begin{aligned} g(b \wedge d) &= j \left( \bigvee_{\text{Idl}(L)} \downarrow h(s)(s \prec b) \right) \wedge j \left( \bigvee_{\text{Idl}(L)} \downarrow h(t)(t \prec d) \right) \\ &= j \left( \bigvee_{\text{Idl}(L)} (\downarrow h(s) \wedge \downarrow h(t))(s \prec b, t \prec d) \right) \\ &= j \left( \bigvee_{\text{Idl}(L)} \downarrow h(s \wedge t)(s \prec b, t \prec d) \right) \\ &\subseteq g(b \wedge d) \end{aligned}$$

Since  $g(b \wedge d) \subseteq g(b) \wedge g(d)$  is clear, we have  $g(b \wedge d) = g(b) \wedge g(d)$ . To show  $g(b) \vee_j g(d) = g(b \vee d)$ : Obviously  $g(b) \vee_j g(d) \subseteq g(b \vee d)$ . Now  $g(b \vee d) = \bigvee_j \downarrow h(c)(c \prec b \vee d)$ .

Take any  $c \prec b \vee d$ . By regularity and compactness of  $B$  we can find  $s \prec b, t \prec d$  such that  $c \prec s \vee t$ . Then  $c = (c \wedge s) \vee (c \wedge t)$  which implies

$$h(c) = h(c \wedge s) \vee h(c \wedge t) \in g(b) \vee g(d) \subseteq g(b) \vee_j g(d)$$

Thus  $\downarrow h(c) \subseteq g(b) \vee_j g(d)$  and hence  $g(b \vee d) = g(b) \vee_j g(d)$ . Now suppose  $\{b_i\}$  is an updirected subset of  $B$ . To show  $g(\bigvee b_i) \subseteq j(\bigvee g(b_i)) = j(\bigcup g(b_i))$ . Let  $x \in g(\bigvee b_i)$  and  $x \vee y = e$ . Then there exists  $c \in \bigvee \downarrow h(s)(s \prec \bigvee b_i)$  such that  $c \vee y = e$ . Now  $c \in \bigcup \downarrow h(s)(s \prec \bigvee b_i)$  so that there exists  $x \prec \bigvee b_i, c \leq h(s)$ . By compactness of  $B, s \prec b_i$  for some  $i$ . Thus  $c \in \downarrow h(s) \subseteq j(\bigvee \downarrow h(w)(w \prec b_i)) = g(b_i)$ . Hence  $x \in j(\bigcup g(b_i))$  as required. Thus  $g$  is a frame homomorphism. ■

**3. Regular continuous frames.** Recall that in any complete lattice  $L, x \ll y$  ( $x$  is "way below"  $y$ ) if  $y \leq \bigvee x_i$  implies that  $x \leq x_{i_1} \vee x_{i_2} \vee \dots \vee x_{i_n}$  for some  $i_1, i_2, \dots, i_n$ . A complete lattice  $L$  is said to be continuous if for each  $a \in L, a = \bigvee x (x \prec a)$ . A continuous frame is a distributive continuous lattice, also called a locally compact frame (see e.g. [2],[6]). For regular continuous  $L, x \ll y$  if and only if  $x \prec y$  and  $\uparrow x^*$  is compact, where  $\uparrow x^* = \{z \in L \mid z \geq x^*\}$ . Furthermore such a frame has a smallest strong inclusion given by:  $x \triangleleft y$  if and only if  $x \prec y$  and  $\uparrow x^*$  is compact. This means then that  $L$  has a least compactification which is the frame counterpart to the Alexandroff one-point compactification of a locally compact non-compact Hausdorff space. We then have the following result which is just the localic version of Exercise iv 2.7 in [6].

**3.1 Proposition.** *Let  $L$  be a regular continuous frame. Let  $B = \{a \in L \mid \text{either } \uparrow a \text{ or } \uparrow a^* \text{ is compact}\}$ . Then  $B$  is a normal base for  $L$  and  $(\gamma_B L, \vee)$  is the least compactification of  $L$ .*

PROOF : That  $B$  is a normal base follows from:

(i) Let  $a \in B, b \in B$ . If either  $\uparrow a^*$  or  $\uparrow b^*$  is compact, then  $\uparrow(a \wedge b)^*$  is compact and hence  $a \wedge b \in B$ . If  $\uparrow a^*$  and  $\uparrow b^*$  are not compact, then  $\uparrow a$  and  $\uparrow b$  are compact. Hence  $\uparrow(a \wedge b)$  is compact and thus  $a \wedge b \in B$ .

(ii) Let  $a \in B$ . If  $\uparrow a$  is compact, then since  $a \leq a^{**}$  we have  $\uparrow a^{**}$  is compact. Thus  $a^* \in B$ .

(iii) Let  $a \in B, a \prec c$ . If  $\uparrow a^*$  is compact then  $a \ll c$ . Since the “way below” relation interpolates there exists  $b \in L$  such that  $a \ll b \ll c$ . Now since  $b \ll c$  we have  $b \prec c$  and  $\uparrow b^*$  is compact. This says  $b \in B$ . Thus  $a \prec b \prec c$  with  $b \in B$ . If, on the other hand,  $\uparrow a$  is compact, then  $\uparrow c$  is compact also. Now  $a \prec c$  implies that  $a^* \vee c = e$  and hence  $\vee c \vee x (x \ll a^*) = e$ . Since  $\uparrow c$  is compact we can find  $x \ll a^*$  such that  $c \vee x = e$ . Now  $x \ll a^*$  implies that  $x \prec a^*$  and  $\uparrow x^*$  is compact. Thus  $a \leq a^{**} \prec x^* \prec c$  with  $x^* \in B$  as required.

(iv) That  $B$  is indeed a base follows from the fact that  $x \ll a$  implies that  $x \in B$ . ■

To show that  $\gamma_B L$  is the least compactification, we show that  $\triangleleft_B = \triangleleft$ . Suppose  $x \triangleleft_B y$ . Find  $c \in B$  such that  $x \prec c \prec y$ . If  $\uparrow c$  is compact, then  $\uparrow y$  is compact and hence  $x \triangleleft y$ . If  $\uparrow c^*$  is compact, then  $c \triangleleft y$  and hence  $x \triangleleft y$ . Suppose now that  $x \triangleleft y$ . Find  $a, b \in L$  such that  $x \triangleleft a \triangleleft b \triangleleft y$ . If  $\uparrow a^*$  is compact, then  $a \in B$  and we have  $x \prec a \prec y$ . Thus  $x \triangleleft_B y$ . If  $\uparrow b$  is compact, then  $b \in B$  and  $x \prec b \prec y$ , so again  $x \triangleleft_B y$ .

**4. Precompact uniform frames.** It is well known that every Hausdorff compactification of a Tychonoff space is the Samuel compactification of a uniform space with respect to a precompact uniformity. The same is true for compactifications of frames as well. In this section we characterize those precompact uniformities on a frame whose Samuel compactification is of Fan-Gottesman type. Let us recall some preliminaries on uniform frames which we shall need. Uniform frames were introduced in [8], called uniform locales therein; also see [5], [9]. A cover of a frame  $L$  is a subset  $A \subseteq L$  such that  $\vee A = e$ . Denote by  $\text{Cov}(L)$ , the set of all covers of  $L$ . For  $A, B \in \text{Cov}(L)$ , we write  $A \leq B$  if for each  $a \in A$  there is a  $b \in B$  such that  $a \leq b$ . For  $A, B \in \text{Cov}(L)$ , set  $A \wedge B = \{a \wedge b \mid a \in A, b \in B\}$ . Clearly  $A \wedge B \in \text{Cov}(L)$ . For  $A \in \text{Cov}(L), x \in L$ , let  $\text{st}(x, A) = \vee \{a \in A \mid a \wedge x \neq 0\}$ . For  $A, B \in \text{Cov}(L)$ ,  $A$  is said to star-refine  $B$ , written  $A^* \leq B$  if  $\{\text{st}(a, A) \mid a \in A\} \leq B$ .

**4.1 Definition ([9]).** Let  $L$  be a frame. A non-empty set of covers  $\mu$  of  $L$  is said to be a *uniformity* on  $L$  if

- (i)  $A \in \mu$  and  $A \leq B \implies B \in \mu$
- (ii)  $A \in \mu$  and  $B \in \mu \implies A \wedge B \in \mu$
- (iii) For each  $A \in \mu$  there exists  $B \in \mu$  such that  $B^* \leq A$
- (iv) For each  $a \in L, a = \vee x$  (for some  $A \in \mu, \text{st}(x, A) \leq a$ )

$(L, \mu)$  is called a *uniform frame*.



As in the classical theory of uniform spaces we say that a non-empty subfamily  $\mu' \subseteq \mu$  is a uniformity basis for  $\mu$  if each member of  $\mu$  is refined by some member of  $\mu'$ . A non-empty subfamily  $\mu'' \subseteq \mu$  is a uniformity subbasis for  $\mu$  if the set of all finite meets of members of  $\mu''$ , is a basis for  $\mu$ . Clearly  $\mu'$  is a basis for some uniformity on  $L$  if and only if it is a filter basis satisfying (iii) and (iv) above. A uniform frame  $(L, \mu)$  is said to be *precompact* (or totally bounded) if the finite uniform covers form a base for  $\mu$ .

We recall the Samuel compactification of a uniform frame as defined by Banaschewski ([3]):

For a uniform frame  $(L, \mu)$  define  $x \triangleleft y$  if there is an  $A \in \mu$  such that  $\text{st}(x, A) \leq y$ . Then  $\triangleleft$  is a strong inclusion on  $L$ , as one may verify. The *Samuel compactification* of  $(L, \mu)$  is defined to be  $(RL, \bigvee)$ , where  $RL$  consists of all the strongly regular ideals (with respect to  $\triangleleft$ ) and  $\bigvee : RL \rightarrow L$  is the join map.

For a frame  $L$  let  $P(L)$  be the set of all precompact uniformities on  $L$  partially ordered by inclusion, and as earlier let  $S(L)$  and  $K(L)$  be the set of all strong inclusions and compactifications of  $L$  respectively. In [5] it is shown that every strong inclusion on  $L$  is induced by a unique precompact uniformity: Given  $\triangleleft, \mu_0 = \{C_a^b \mid a, b \in L, a \triangleleft b\}$  where  $C_a^b = \{a^*, b\}$  forms a subbasis for a precompact uniformity  $\mu(\triangleleft)$  on  $L$ . Any uniformity  $\mu$  on  $L$  induces a strong inclusion  $\triangleleft(\mu)$  given by:  $x \triangleleft(\mu) y$  if and only if there is an  $A \in \mu$  such that  $\text{st}(x, A) \leq y$ . The maps  $S(L) \rightarrow P(L)$  given by  $\triangleleft \rightsquigarrow \mu(\triangleleft)$ , and  $P(L) \rightarrow S(L)$  given by  $\mu \rightsquigarrow \triangleleft(\mu)$  are clearly order preserving, and by the result in [5] stated above are inverses of each other. Thus we have the proposition, the second statement of which follows from the first.

#### 4.2 Proposition.

(a)  $S(L) \cong P(L) \cong K(L)$ .

(b) Every compactification of  $L$  is the Samuel compactification of  $L$  with respect to a precompact uniformity.

**4.3 Definition.** Let  $\mu$  be a precompact uniformity on  $L$ . A base  $B$  for  $L$  is said to *generate*  $\mu$  if the family of all finite covers of  $L$  from  $B$  is base for  $\mu$ .

We may now prove

**4.4 Proposition.** Let  $(L, \mu)$  be a precompact uniform frame. Then the Samuel compactification  $(RL, \bigvee)$  of  $(L, \mu)$  is of Fan-Gottesman type if and only if  $\mu$  possesses a generating base  $B$  which is normal.

PROOF : ( $\Leftarrow$ ): Assume  $\mu$  possesses a generating base  $B$  which is normal. Let  $\triangleleft$  be the strong inclusion induced by  $\mu$  and  $\triangleleft_B$  the strong inclusion associated with  $B$ . It suffices to show  $\triangleleft = \triangleleft_B$ . Suppose  $x \triangleleft y$ . Then there exists  $z$ ,  $x \triangleleft z \triangleleft y$ . Find  $A \in \mu$  such that  $\text{st}(x, A) \leq z$ . Find finite  $C \subseteq B$  such that  $\bigvee C = e$  and  $C \leq A$ . Let  $C = \{b_1, b_2, \dots, b_n\}$ , say. By relabelling, if necessary, let  $b_1, b_2, \dots, b_k$  be those elements of  $C$  for which  $b_i \wedge x = 0$  and  $b_{k+1}, \dots, b_n$  be those for which  $b_i \wedge x \neq 0$ . Then

$$x \leq b_{k+1} \vee \dots \vee b_n \leq (b_{k+1} \vee \dots \vee b_n)^{**}$$

Now  $(b_{k+1} \vee \dots \vee b_n)^{**} = (b_{k+1}^* \wedge \dots \wedge b_n^*)^* \in B$ .

Further  $x \triangleleft b_{k+1} \vee \dots \vee b_n$  (separating element being  $b_1 \vee \dots \vee b_k$ ) so that  $x \triangleleft$

$(b_{k+1} \vee \cdots \vee b_n)^{**}$ .

We have  $\text{st}(x, C) \leq z \triangleleft y$  so that  $b_{k+1} \vee \cdots \vee b_n \leq z \triangleleft y$  and hence  $(b_{k+1} \vee \cdots \vee b_n)^{**} \triangleleft y$ . We have found an element  $b \in B$  such that  $x \triangleleft b \triangleleft y$ , i.e.  $x \triangleleft_B y$ . If on the other hand  $x \triangleleft_B y$ , then there exists  $z$ ,  $x \triangleleft_B z \triangleleft_B y$ . Thus there exists  $b, c \in B$  such that  $x \triangleleft b \triangleleft z \triangleleft c \triangleleft y$ . Then  $\{b^*, c\} \subseteq B$ ,  $b^* \vee c = e$  so that  $\{b^*, c\} \in \mu$ . Further  $\text{st}(x, \{b^*, c\}) = c \leq y$  so that  $x \triangleleft y$ .

( $\Rightarrow$ ): Now assume the Samuel compactification of  $(L, \mu)$  is a Fan-Gottesman type compactification. Then there exists a normal base  $B$  of  $L$  such that  $(\gamma_B L, \bigvee) = (RL, \bigvee)$ . We show  $B$  is a generating base for  $\mu$ . Since  $\gamma_B L = RL$ , the corresponding strong inclusions on  $L$  are the same, i.e.  $\triangleleft_B = \triangleleft$ . Since  $\mu$  is precompact and  $\mu$  induces  $\triangleleft$  it is evident from Proposition 4.2 and the remarks preceding it that  $\mu$  has a subbasis  $\{C_a^b = \{a^*, b\} \mid a \triangleleft b\}$ . Take any  $C_a^b$ ,  $a \triangleleft b$ . Then  $a \triangleleft_B b$  and hence there exists  $c$  such that  $a \triangleleft_B c \triangleleft_B b$ , i.e. there exists  $b_1, b_2 \in B$  such that  $a \triangleleft b_1 \triangleleft c \triangleleft b_2 \triangleleft b$ . Then  $\{b_1^*, b_2\}$  is a cover of  $L$  from  $B$  which refines  $C_a^b$ . This implies every basic member, and hence every member of  $\mu$  is refined by a cover of  $L$  from  $B$ . ■

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