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ON COUNTABLE FRÉCHET-URYSOHN SPACES

V. I. MALYKHIN

Dedicated to Professor Miroslav Katětov on his seventieth birthday

**Abstract:** Modifications of Fréchet-Urysohn property, introduced as  $\langle i\text{-FU} \rangle$ -properties by A.V. Arhangel'skii, are examined. It is shown that  $\langle 1\text{-FU} \rangle$  and  $\langle 5\text{-FU} \rangle$ -properties are similar to the countability character but differ from it.

**Key words:** Fréchet-Urysohn property,  $\langle i\text{-FU} \rangle$ -properties, filter.

**Classification:** 54A25, 54A35

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0. Recall that a point  $x$  of a topological space is said to be Fréchet-Urysohn point if whenever  $x$  is in the closure of a set there is a sequence from this set converging to  $x$ .

The Fréchet-Urysohn property is pointwise, i.e. it is determined by a neighbourhood filter of a given point. The character, the pseudocharacter are also pointwise properties, characteristics like the  $\pi$ -character is not. The sequentiality and many kinds of compactness are not pointwise.

There are some modifications of Fréchet-Urysohn property. They can be divided into three groups:

1. The bisequentiality, strong Fréchet property and so on.

These are characterized naturally (see, for example, [2]): by means of maps, by their behaviour under multiplication and so on.

2. The Preiss-Simon property (see [3]),  $\Phi$ -space in Popov-Ranchin's sense [4] and some others.

3. The  $\langle i\text{-FU} \rangle$  - properties introduced by A.V. Arhangel'skii [1, 2].

Let us recall the relevant definitions.

A point  $x$  of a topological space is called an  $\langle i\text{-FU} \rangle$ -point,  $i=1,2,3,4,5$  if it is a Fréchet-Urysohn point and if for every countable family  $\mathcal{L}$  of

mutually disjoint sequences converging to  $x$ , there exists a sequence  $\xi$  converging to  $x$  for which the following condition holds:

- 1)  $|\mathcal{L} \setminus \xi| < \aleph_0$  for every  $\mathcal{L} \in \mathcal{L}^1$ ;
- 2)  $|\mathcal{L} \setminus \xi| < \aleph_0$  for infinitely many  $\mathcal{L} \in \mathcal{L}$ ;
- 3)  $|\xi \cap \mathcal{L}| = \aleph_0$  for infinitely many  $\mathcal{L} \in \mathcal{L}$ ;
- 4)  $\xi \cap \mathcal{L} \neq \emptyset$  for infinitely many  $\mathcal{L} \in \mathcal{L}$ ;
- 5)  $|\xi \cap \mathcal{L}| = \aleph_0$  for every  $\mathcal{L}' \in \mathcal{L}$ .

Let us note that our definition 5) is equivalent to the definition 5) of [2]. The definitions in [5] and in [7] differ from those given in [2].

All  $\langle i\text{-FU} \rangle$ -properties are pointwise. In the sequel, the filter of deleted neighbourhoods of an  $\langle i\text{-FU} \rangle$ -point is called also  $\langle i\text{-FU} \rangle$ -filter.

The main results of this paper show that  $\langle 1\text{-FU} \rangle$  - and  $\langle 5\text{-FU} \rangle$ -properties are similar to the countability character (see Theorem 1 and its corollaries) and, on the other hand, differ from it (see Theorems 2, 3).

First of all on analogies. The following statements are well known.

**Statement 1.** On a countable set there exist at most  $2^{\aleph_0}$  different filters of countable character (i.e. with countable base).

**Statement 2.** There exist at most  $2^{\aleph_0}$  mutually non-homeomorphic Hausdorff countable spaces of countable character.

Let us take up Theorem 1 and its corollaries.

**Theorem 1.** Let  $2^{\aleph_0} = k$  in a model  $\mathcal{M}$ , and  $\mathcal{M}'$  be obtained by adding to  $\mathcal{M}$  any number of new Cohen reals. Then in  $\mathcal{M}'$  any  $\langle 5\text{-FU} \rangle$ -filter has a base of power not greater than  $k$ .

**Corollary 1.** It is impossible to define in ZFC a  $\langle 5\text{-FU} \rangle$ -filter of the character  $\aleph_1$ .

**Corollary 2.** It is impossible to construct in ZFC a family of mutually non-homeomorphic Hausdorff countable  $\langle 5\text{-FU} \rangle$ -spaces of power greater than  $2^{\aleph_0}$ .

Let us note now that E. Resnichenko [6] constructed a family of power  $2^{\aleph_1}$

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1) A sequence  $\mathcal{L}$  converging to  $x$  is the countable subset  $\mathcal{L}$ , such that  $|\mathcal{L} \setminus O_x| < \aleph_0$  for every neighbourhood  $O_x$  of  $x$ .

of mutually non-homeomorphic completely regular countable  $\langle 3\text{-FU} \rangle$ -spaces. In connection with this result the following question was raised:

Is this valid for  $\langle 1\text{-FU} \rangle$ - and  $\langle 5\text{-FU} \rangle$ -spaces?

(The general question about maximal power of families of mutually non-homeomorphic  $\langle i\text{-FU} \rangle$ -spaces was raised by A.V. Arhangel'skii.) The corollary 2 shows that the negative answer to the indicated question is consistent with ZFC.

The following Theorems 2, 3 expose a big difference between  $\langle 1\text{-FU} \rangle$ - or  $\langle 5\text{-FU} \rangle$ -properties and the character countability, and demonstrate the independence of corresponding statements from ZFC.

**Theorem 2** [CH]. On a countable set, there exist  $2^{\mathfrak{C}}$  different  $\langle 1\text{-FU} \rangle$ -filters and hence there exist  $2^{\mathfrak{C}}$  mutually non-homeomorphic countable  $\langle 1\text{-FU} \rangle$ -spaces with only one non-isolated point.

**Theorem 3.** On a countable set  $\omega$  there exist two  $\langle 5\text{-FU} \rangle$ -filters  $F_1, F_2$  of uncountable character, such that the countable spaces  $N_{F_1}, N_{F_2}$  with only one non-isolated point associated with them have the following properties:

- 1)  $N_{F_1}, N_{F_2}$  are  $\langle 5\text{-FU} \rangle$ -spaces;
- 2)  $\mathfrak{c}_0 \not\subseteq \text{Sp}(N_{F_1}), \mathfrak{c}_0 \not\subseteq \text{Sp}(N_{F_2})$ ;
- 3) for these spaces there exist no completely regular countable compact extensions of countable tightness;
- 4) the product  $N_{F_1} \times N_{F_2}$  is not a Fréchet-Urysohn space;
- 5) the character of every space  $N_{F_1}, N_{F_2}$  equals  $\mathfrak{C}$  under LB.

LB denotes Lemma of Booth - one of the most important consequences of Martin axiom MA.

Some additional remarks. Recently A. Dow proved that it is consistent with ZFC that each  $\langle 1\text{-FU} \rangle$ -filter on a countable set has a countable base and also that it is consistent with ZFC that each  $\langle 5\text{-FU} \rangle$ -filter on a countable set is  $\langle 1\text{-FU} \rangle$  ([7]).

I. The  $\langle i\text{-FU} \rangle$ -properties can be characterized in terms of Stone-Čech compactification of the corresponding discrete space. If we wish to consider only separable regular spaces, then we can consider only filters on a countable set and characterize them in terms of Stone-Čech compactification of the  $\omega$ .

Let  $[\omega]^\omega = \{A \subset \omega : |A| = \mathfrak{c}_0\}$ . For  $A \in [\omega]^\omega$  let  $A^* = [A]_{\beta\omega} \setminus \omega$ , for  $\mathcal{A} \subset [\omega]^\omega$  let  $\mathcal{A}^* = \{A^* : A \in \mathcal{A}\}$ . Let  $\text{Int } X$  denote the interior of a set

$X \subset \omega^*$ .

There exists a natural correspondence among non-empty closed subsets of  $\omega^*$ , countable spaces with one non-isolated point only and free filters on  $\omega$  :

$$F \subset \omega^* \leftrightarrow N \cup \{F\} = N_F \leftrightarrow \Phi = \{A \subset \omega : A^* \supset F\}.$$

These objects are called associated.

This correspondence extends over some characteristics of these objects, for example, over the character  $F$  in  $\omega^*$ , the character of the point  $\{F\}$  in the space  $N_F$  and over the character of  $\Phi$  .

**Proposition 1.** Let  $F$  be a non-empty closed subset of  $\omega^*$ , then the associated filter  $\Phi$  is

o) a Fréchet-Urysohn filter iff  $F = [\text{Int } F]$ , i.e.  $F$  is the regular closed subset of  $\omega^*$ ;

1) a  $\langle 1\text{-FU} \rangle$ -filter iff  $F = [\text{Int } F]$  and for every countable family  $\mathcal{C}^*$  of clopen subsets of  $\omega^*$ , contained in  $F$ , there exists a clopen set  $E^* \subset F$ , such that  $E^* \supset \bigcup \mathcal{C}^*$  ;

5) a  $\langle 5\text{-FU} \rangle$ -filter iff  $F = [\text{Int } F]$  and for every countable family  $\mathcal{C}^*$  of clopen subsets of  $\omega^*$ , contained in  $F$ , there exists a clopen set  $A^* \subset F$ , such that  $A^* \cap E^* \neq \emptyset$  for every non-empty  $E^* \in \mathcal{C}^*$  .

There exist analogous characterizations for  $\langle i\text{-FU} \rangle$ -filters for  $i=2,3,4$  (by Arhangel'skii's result [2], a  $\langle 4\text{-FU} \rangle$ -filter is strongly Fréchet, the characterization of which is given in [8].)

## II. Proofs of Theorems

The proof of Theorem 1. Add  $m$  new Cohen reals using a partially ordered set  $\mathcal{F}_m$  consisting of functions  $p$ , for which  $\text{range } p \subseteq \{0,1\}$ ,  $\text{dom } p \subset m$ ,  $|\text{dom } p| < \aleph_0$  and  $p \leq q$  iff  $p \supset q$ . Let  $\mathcal{M}$  be any ground model, and  $\mathcal{M}'' = \mathcal{M}[G]$ , where  $G$  is any  $\mathcal{M}$ -generic subset of  $\mathcal{F}_m$ . It is known that for every  $E \in \mathcal{M}$ ,  $E \subset m$  the set  $G_E = G \cap \mathcal{F}_E$  is the  $\mathcal{M}$ -generic subset of  $\mathcal{F}_E$  and

$\mathcal{M}'' = (\mathcal{M}[G_E])[G_{m \setminus E}]$ , where  $G_{m \setminus E}$  is some  $\mathcal{M}[G_E]$ -generic subset of  $\mathcal{F}_{m \setminus E}$ . It is known also that cardinals and their cofinalities are preserved by adding Cohen reals, and if not greater than  $\mathfrak{C}$  new Cohen reals are added, then arithmetic in  $\mathcal{M}''$  and  $\mathcal{M}$  are the same.

So, let  $\mathcal{M}'$  be obtained by adding  $m$  new Cohen reals to a model  $\mathcal{M}$ , in which  $2^{\aleph_0} = k$ .

Let, in  $\mathcal{M}'$ ,  $\Phi$  be any  $\langle 5\text{-FU} \rangle$ -filter on  $\omega$  and  $F$  a closed subset of  $\omega^*$

associated with it, i.e.  $F = \bigcap \Phi^*$ . Let  $\mathcal{A} = \{A \in [\omega]^\omega : A^* \subset F\}$ . It is clear that  $[\bigcup \mathcal{A}^*] = F$ .

Working in  $\mathcal{M}$ , find the set  $E \subset m$ ,  $|E| \leq k$  by transfinite induction, such that the following conditions 1), 2) are fulfilled (see below).

Let us denote the model  $\mathcal{M}[G_E]$  for brevity through  $\mathcal{M}'_E$ . In  $\mathcal{M}'_E$  let  $\Phi_E = \Phi \cap \mathcal{M}'_E$ ,  $\mathcal{A}_E = \mathcal{A} \cap \mathcal{M}'_E$ , then  $\Phi_E, \mathcal{A}_E \in \mathcal{M}'_E$  and in  $\mathcal{M}'_E$  the conditions 1), 2) should be fulfilled:

- 1)  $[\bigcup \mathcal{A}_E^*] = F_E (= \bigcap \Phi_E^*)$ ;
- 2)  $\Phi_E$  is the  $\langle 5\text{-FU} \rangle$ -filter.

The construction of the set  $E$  is a standard method for finding an intermediate model with necessary properties.

It was shown that the last model  $\mathcal{M}'$  is obtained by adding Cohen reals to  $\mathcal{M}'_E$  by means of the partially ordered set  $\mathcal{F}_{m \setminus E}$ .

So, let us consider the generic extension  $\mathcal{M}'_E \xrightarrow{\mathcal{F}_{m \setminus E}} \mathcal{M}'$ .

Let  $1 \neq \kappa \leq \aleph_0$ ,  $|\underline{A} \cap \check{K}| = \aleph_0$  for every  $K \in \Phi_E$ . We can assume that  $\underline{A} \in \omega \times \mathcal{F}_S$  for some countable set  $S \subset m \setminus E$ . Therefore we can consider in the proof only the case of a countable partially ordered set  $\mathcal{P}$  instead of  $\mathcal{F}_{m \setminus E}$ .

So, let  $1 \neq \mathcal{P} \neq \aleph_0$ ,  $|\underline{A} \cap K| = \aleph_0$  for every  $K \in \Phi_E$ .

For every  $p \in \mathcal{P}$  let  $L_p = \{k \in \omega : \exists q \leq p, q \neq k, k \in \underline{A}\}$ . As it can easily be seen,  $|L_p \cap K| = \aleph_0$  for every  $K \in \Phi_E$ . As  $\Phi_E$  is  $\langle 5\text{-FU} \rangle$ -filter and the family  $\{L_p : p \in \mathcal{P}\}$  is countable, so there exists a sequence  $L$  converging to  $\Phi_E$ , such that  $|L \cap L_p| = \aleph_0$  for every  $L_p$ . Therefore,  $1 \neq \mathcal{P} \neq \aleph_0$ ,  $|\underline{A} \cap L| = \aleph_0$ . Note that  $L \in \mathcal{A}_E$ .

If in  $\mathcal{M}'$   $A$  is such that  $|A \cap K| = \aleph_0$  for every  $K \in \Phi_E$ , then there exists some  $L \in \mathcal{A}_E$ , such that  $|L \cap A| = \aleph_0$ . It follows that  $\Phi_E$  is the base of  $\Phi$ . Let us note that in  $\mathcal{M}'$  the power of  $\Phi_E$  is not greater than  $k$ . This completes the proof of Theorem 1.

The proof of Theorem 2. As it is known under CH, there exist  $2^{\aleph_0}$  of different P-points in  $\omega^*$ . As it was noted in [7], for every P-point  $p \in \omega^*$  there exists an open set  $V_p$  in  $\omega^*$ , having only one boundary point  $p$  which is also the unique accumulation point of  $\omega^* \setminus V_p$ . Hence,  $\{V_p\} = V_p \cup \{p\}$  is the closed subset such that the filter  $\Phi_p$  associated with it is  $\langle 1\text{-FU} \rangle$ . If  $p, q$  are different P-points in  $\omega^*$ , then  $\Phi_p, \Phi_q$  are also different  $\langle 1\text{-FU} \rangle$ -filters, hence there exist  $2^{\aleph_0}$  of different  $\langle 1\text{-FU} \rangle$ -filters on  $\omega$ . This completes the proof of Theorem 2.

The proof of Theorem 3. F. Hausdorff (see [9]) and N.N. Luzin [10] con-

structured in ZFC two families  $\mathcal{A}, \mathcal{B}$  of infinite subsets of  $\omega$  with the following properties:

- 1)  $\mathcal{A} = \{A_\alpha : \alpha \in \omega_1\}, \mathcal{B} = \{B_\beta : \beta \in \omega_1\};$
- 2)  $A_\alpha^* \subset A_\beta^*, B_\alpha^* \subset B_\beta^*$  for any  $\alpha < \beta < \omega_1;$
- 3)  $(\cup \mathcal{A}^*) \cap (\cup \mathcal{B}^*) = \emptyset;$
- 4)  $[\cup \mathcal{A}^*] \cap [\cup \mathcal{B}^*] \neq \emptyset.$

Now such pair is called the Hausdorff-Luzin gap.

Let  $F_1 = [\cup \mathcal{A}^*], F_2 = [\cup \mathcal{B}^*].$  The filters  $\Phi_1, \Phi_2$  associated with  $F_1, F_2$  are  $\langle S-FU \rangle$ -filters. Let us consider the associated spaces  $N_{F_1} = \omega \cup \{F_1\}, N_{F_2} = \omega \cup \{F_2\}.$  These are  $\langle S-FU \rangle$ -spaces. As  $F_1 \cap F_2 \neq \emptyset$  but  $\text{Int}(F_1 \cap F_2) = \emptyset,$  one has  $\langle \{F_1\}, \{F_2\} \rangle \in [\langle \langle n, n \rangle : n \in \omega \rangle]$  in the product  $N_{F_1} \times N_{F_2}.$  However, there exists no sequence of the set  $\{\langle n, n \rangle : n \in \omega\}$  which converges to the point  $\langle \{F_1\}, \{F_2\} \rangle,$  hence the product  $N_{F_1} \times N_{F_2}$  is not a Fréchet-Urysohn space.

Let us consider now the space  $N_{F_1}$  (the arguments for the space  $N_{F_2}$  are identical). The space  $N_{F_1}$  has a compact extension  $bN_1,$  which is obtained from  $\beta\omega$  by collapsing the closed set  $F_1$  to a point  $\{F_1\}.$  As it is easy to see, the tightness of this point  $\{F_1\}$  in  $bN_1$  is uncountable, from which it follows that  $\kappa_0 \notin \text{Sp}(N_{F_1}).$  Recall that  $\text{Sp}(X)$  is the spectrum of frequencies, a special characteristic of a space  $X$  which was introduced by A.V. Arhangel'skii [1] to investigate the behaviour of tightness by multiplication of the space  $X$  with other spaces.

It follows directly from Proposition 2 of [8] that every space  $N_{F_1}, N_{F_2}$  has no countably compact completely regular extension of countable tightness.

Let us prove the conclusion 5) of Theorem 3. It is known under LB that if  $\mathcal{E} \subset [\omega]^\omega, \mathcal{E}^*$  is a centered family and  $|\mathcal{E}| < \mathfrak{C},$  then  $\text{Int}(\cap \mathcal{E}^*) \neq \emptyset.$  Let us suppose that  $\chi(F_1, \omega^*) = \lambda < \mathfrak{C};$  then  $\omega^* \setminus F_1 = \cup \mathcal{K}^*,$  where  $\mathcal{K} \subset [\omega]^\omega, |\mathcal{K}| = \lambda.$  For our situation, the family  $\mathcal{E} = \{\omega \setminus A_\alpha : \alpha \in \omega_1\} \cup \{\omega \setminus K : K \in \mathcal{K}\}$  has the power  $\lambda < \mathfrak{C}$  and  $\mathcal{E}^*$  is a centered family, however, it is easy to see that  $\text{Int}(\cap \mathcal{E}^*) = \emptyset.$  This contradiction completes the proof of Theorem 3.

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