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COMPACTIFICATIONS WITH FINITE REMAINDERS

Eliza WAJCH

**Abstract:** For a locally compact space  $X$  and a positive integer  $n$ , denote  $B_n(X) = \{f \in C(X) : \text{there is a compact } K \subset X \text{ such that } |f(X \setminus K)| \leq n\}$ . Then the diagonal mapping  $e_n = \Delta \{f : f \in B_n(X)\}$  is a homeomorphic embedding and the closure of  $e_n(X)$  is a compactification of  $X$  denoted by  $e_n X$ . It is shown here that  $|e_n X \setminus X| = n$  if and only if  $X$  has exactly one  $n$ -point compactification which holds if and only if  $B_n(X)$  is a subalgebra of  $C^*(X)$  but  $B_m(X)$  is not whenever  $1 < m < n$ . A number of other necessary and sufficient conditions for  $X$  to have only one  $n$ -point compactification are given.

**Key words:**  $n$ -point compactifications, locally compact spaces, sets generating compactifications, algebras of functions.

**Classification:** 54D35, 54D40, 54C20

Throughout this paper,  $X$  denotes a locally compact Hausdorff space. The algebra of all real-valued continuous functions on  $X$  is denoted by  $C(X)$  and its subalgebra of bounded functions - by  $C^*(X)$ .

For a compactification  $\alpha X$  of  $X$ , let  $C_\alpha$  denote the set of all functions  $f \in C^*(X)$  continuously extendable to  $\alpha X$ . For  $f \in C_\alpha$ , let  $f^\alpha$  be the continuous extension of  $f$  to  $\alpha X$  and, for  $F \subset C_\alpha$ , let  $F^\alpha = \{f^\alpha : f \in F\}$ .

Let  $\mathcal{C}(X)$  be the family of all sets  $F \subset C^*(X)$  such that the diagonal mapping  $e_F = \Delta_{f \in F} f$  is a homeomorphic embedding. If  $F \in \mathcal{C}(X)$ , then the closure of  $e_F(X)$  in  $R^{|F|}$  is a compactification of  $X$ . This compactification is said to be generated by  $F$  and is denoted by  $e_F X$ . Of course,  $e_F X$  is the smallest compactification of  $X$  to which all functions from  $F$  are continuously extendable.

For a positive integer  $n$ , denote  $B_n(X) = \{f \in C(X) : \text{there exists a compact set } K \subset X \text{ such that } |f(X \setminus K)| \leq n\}$ . It is easily verified that  $B_n(X)$  separates points from closed sets, and so belongs to  $\mathcal{C}(X)$ . For simplicity, denote  $e_n X = e_{B_n(X)}$  where  $F = B_n(X)$ . It follows from [2; Theorem 3.3] and [5; Theorem 3.3'] (cf. also [3; Corollary 6.5, p. 67]) that if  $|\beta X \setminus X| = n$ , then  $\beta X = e_n X$ .

In [3; Remarks 6.9, p. 71] R.E. Chandler asked the question whether  $|e_n X \setminus X|$  equals  $n$  for  $X$  having an  $n$ -point compactification (i.e. a compactification with the remainder of cardinality  $n$ ). In this note, we shall show that  $|e_n X \setminus X| = n$  if and only if  $X$  has exactly one (up to equivalence)  $n$ -point compactification which holds if and only if  $B_n(X)$  is a subalgebra of  $C^*(X)$  but  $B_m(X)$  is not whenever  $1 < m < n$ . We shall also give a number of other necessary and sufficient conditions for  $X$  to have only one (up to equivalence)  $n$ -point compactification.

We shall use the following theorem proved in [2] by B.J. Ball and Shoji Yokura:

**Theorem 0.** For any subset  $F$  of  $C^*(X)$  and any compactification  $\alpha X$  of  $X$ , the following conditions are equivalent:

- (i)  $F \in \mathcal{C}_\alpha(X)$  and  $e_F X = \alpha X$ ;
- (ii)  $F \subset C_\alpha$  and  $F^\alpha$  separates points of  $\alpha X$ .

For notation and terminology not defined here, see [3] and [4].

**Results.** To begin with, let us observe that if  $X$  is a noncompact locally compact space and  $\omega X$  is the one-point compactification of  $X$ , then  $B_1(X) \subset C_\omega$  and  $B_1(X)^\omega$  separates points of  $\omega X$ . Theorem 0 implies that  $B_1(X)$  generates  $\omega X$ .

**Lemma 1.** If  $\alpha X$  is a compactification of  $X$  for which  $|\alpha X \setminus X|$  is finite, then  $B_2(X) \cap C_\alpha$  generates  $\alpha X$ .

**Proof.** Without any difficulties one can check that the set  $B_1(X)^\alpha$  separates each pair of distinct points  $y, z \in \alpha X$  such that  $y \in X$ .

Suppose that  $y, z \in \alpha X \setminus X$  and  $y \neq z$ . As the set  $\alpha X \setminus X$  is finite, there exist sets  $V, W$  open in  $\alpha X$  and such that  $y \in V$ ,  $(\alpha X \setminus X) \setminus \{y\} \subset W$  and  $(cl_{\alpha X} V) \cap (cl_{\alpha X} W) = \emptyset$ . Take a function  $f^\alpha \in C(\alpha X)$  such that  $f^\alpha(cl_{\alpha X} V) = \{0\}$  and  $f^\alpha(cl_{\alpha X} W) = \{1\}$  and put  $f = f^\alpha|_X$ . The set  $K = X \setminus (V \cup W) = \alpha X \setminus (V \cup W)$  is compact and  $f(X \setminus K) \subset \{0, 1\}$ , so  $f \in B_2(X) \cap C_\alpha$ . Clearly,  $f^\alpha(y) \neq f^\alpha(z)$ , hence

$[B_2(X) \cap C_\alpha]^\alpha$  separates points of  $\alpha X$ . It follows from Theorem 0 that  $B_2(X) \cap C_\alpha$  generates  $\alpha X$ .

**Lemma 2.** If  $\alpha X$  is an  $n$ -point compactification of  $X$  where  $n > 1$ , then there exist functions  $f_i \in B_2(X) \cap C_\alpha$  ( $i=1, \dots, n$ ) such that

$$\sum_{i=1}^n f_i \in B_n(X) \setminus B_{n-1}(X).$$

Proof. Let  $z_1, \dots, z_n$  be distinct points of  $\alpha X \setminus X$ . Take sets  $V_i$ , open in  $\alpha X$ , such that  $z_i \in V_i$  and  $(\text{cl}_{\alpha X} V_i) \cap (\text{cl}_{\alpha X} V_j) = \emptyset$  for  $i \neq j$  ( $i, j=1, \dots, n$ ). There exist functions  $f_i^\alpha \in C(\alpha X)$  such that  $f_i^\alpha(\text{cl}_{\alpha X} V_i) = \{i\}$  and  $f_i^\alpha(\bigcup_{j \neq i} \text{cl}_{\alpha X} V_j) = \{0\}$  ( $i=1, \dots, n$ ). Denote  $f_i = f_i^\alpha|_X$  ( $i=1, \dots, n$ ) and  $f = \sum_{i=1}^n f_i$ . Then  $f_i \in B_2(X) \cap C_\infty$  and  $f^\alpha(\text{cl}_{\alpha X} V_i) = \{i\}$  for  $i=1, \dots, n$ . If there is a compact set  $K \subset X$  such that  $|f(X \setminus K)| \leq n-1$ , then  $V_i \cap X \subset K$  for some  $i \in \{1, \dots, n\}$ , which is impossible because  $z_i \in \text{cl}_{\alpha X}(V_i \cap X)$ . Hence  $f \in B_n(X) \setminus B_{n-1}(X)$ .

**Lemma 3.** If  $n > 1$  and  $f \in B_n(X) \setminus B_{n-1}(X)$ , then there exists an  $n$ -point compactification  $\alpha X$  of  $X$  such that  $f \in C_\infty$ .

Proof. Suppose that  $K$  is a compact subset of  $X$  such that  $|f(X \setminus K)| = n$ . Let  $f(X \setminus K) = \{a_1, \dots, a_n\}$  and, for  $i=1, \dots, n$ , let us put  $G_i = f^{-1}(a_i) \setminus K$ . It is easily seen that the sets  $G_i$  are open in  $X$ , pairwise disjoint and  $X \setminus \bigcup_{i=1}^n G_i = K$ . If  $K \cup G_i$  is compact for some  $i$ , then, since  $|f[X \setminus (K \cup G_i)]| \leq n-1$ , we have that  $f \in B_{n-1}(X)$  - a contradiction. Hence all the sets  $K \cup G_i$  are not compact. The proof of Magill's theorem (cf. [6; the proof of Theorem 2.1] or [3; the proof of Theorem 6.8, p. 70]) implies that there exists an  $n$ -point compactification  $\alpha X$  of  $X$  such that if  $\alpha X \setminus X = \{z_1, \dots, z_n\}$ , then the set  $G_i \cup \{z_i\}$  is a neighbourhood of  $z_i$  in  $\alpha X$  ( $i=1, \dots, n$ ). Let us define  $f^\alpha(z) = f(z)$  for  $z \in X$  and  $f^\alpha(z_i) = a_i$  for  $i=1, \dots, n$ . The function  $f^\alpha$  is a continuous extension of  $f$  to  $\alpha X$ , so  $f \in C_\infty$ .

Let us recall the notion of  $\beta$ -families (cf. [3; Definition 5.15, p.52]).

**Definition.** Let  $\alpha X$  be a compactification of  $X$  and let  $h: \beta X \rightarrow \alpha X$  be a continuous mapping such that  $h \circ \beta = \alpha$ . The set  $\{h^{-1}(z) : z \in \alpha X \setminus X\}$  is denoted by  $\mathcal{F}(\alpha X)$  and is called the  $\beta$ -family of  $\alpha X$ .

**Lemma 4.** If  $\alpha X$  and  $\gamma X$  are nonequivalent  $n$ -point compactifications of  $X$ , then neither  $\alpha X \leq \gamma X$  nor  $\gamma X \leq \alpha X$ .

Proof. Suppose that  $\alpha X \leq \gamma X$ ,  $\mathcal{F}(\alpha X) = \{A_1, \dots, A_n\}$  and  $\mathcal{F}(\gamma X) = \{E_1, \dots, E_n\}$ . For  $j=1, \dots, n$ , denote  $N_j = \{i \in \{1, \dots, n\} : E_i \subset A_j\}$ . Then the sets  $N_j$  are pairwise disjoint and, moreover, Lemma 5.16 of [3; p. 52] yields that

$$\bigcup_{j=1}^n N_j = \{1, \dots, n\}. \text{ As } \bigcup_{i=1}^n E_i = \bigcup_{i=1}^n A_i = \beta X \setminus X, \text{ then } |N_j| = 1 \text{ for each } j.$$

$j \in \{1, \dots, n\}$ . This implies that  $\mathcal{F}(\alpha X) = \mathcal{F}(\mathcal{G}X)$ . By virtue of [3; Corollary 5.17, p. 53], we have that  $\alpha X = \mathcal{G}X$  - a contradiction.

Our next lemma is a consequence of Lemmas 6.12 and 6.13 of [3; p. 72].

**Lemma 5.** Suppose that  $X$  has an  $n$ -point compactification for some  $n > 1$ . Then all  $n$ -point compactifications of  $X$  are equivalent if and only if  $X$  has no  $m$ -point compactification where  $m > n$ .

**Theorem 1.** For every locally compact space  $X$  and any positive integer  $n > 1$ , the compactifications  $e_2 X$  and  $e_n X$  of  $X$  are equivalent.

*Proof.* Let us fix a positive integer  $n > 1$ . Since  $B_2(X) \subset B_n(X)$ , according to Theorem 2.10 of [3; p. 14], it suffices to show that  $B_n(X) \subset C_{e_2}$ .

Suppose that  $f \in B_n(X) \setminus B_2(X)$  and let  $p$  be the smallest positive integer for which  $f \in B_p(X)$ . It follows from Lemma 3 that  $X$  has a  $p$ -point compactification  $\alpha X$  such that  $f \in C_\alpha$ . By virtue of Lemma 1, the set  $B_2(X) \cap C_\alpha$  generates  $\alpha X$ . Using Theorem 2.10 of [3], we obtain that  $\alpha X \not\subseteq e_2 X$ ; thus,  $C_\alpha \subset C_{e_2}$  and  $f \in C_{e_2}$ . Consequently,  $B_n(X) \subset C_{e_2}$ .

**Theorem 2.** For every locally compact space  $X$  and any positive integer  $n > 1$ , the following conditions are equivalent:

- (i)  $X$  has exactly one (up to equivalence)  $n$ -point compactification;
- (ii)  $B_m(X) = B_n(X) \neq B_{n-1}(X)$  for each  $m \geq n$ ;
- (iii)  $B_{n+1}(X) = B_n(X) \neq B_{n-1}(X)$ ;
- (iv)  $|e_2 X \setminus X| = n$ .

*Proof.* Assume (i). Applying Lemma 2, we deduce that  $B_n(X) \neq B_{n-1}(X)$ . If  $B_m(X) \neq B_n(X)$  for some  $m > n$ , then there exists a positive integer  $p > n$  such that  $B_p(X) \setminus B_{p-1}(X) \neq \emptyset$ . Thus, by Lemma 3,  $X$  has a  $p$ -point compactification. This, together with Lemma 5, contradicts (i). Hence (i)  $\implies$  (ii).

Assume (iii). According to Lemma 3, there exists a compactification  $\alpha X$  of  $X$  such that  $|\alpha X \setminus X| = n$ . Let us take a function  $f \in B_2(X)$  and suppose that  $f \notin C_\alpha$ . As  $B_1(X) \subset C_\alpha$ , by virtue of Lemma 3,  $X$  has a 2-point compactification  $\mathcal{G}X$  such that  $f \in C_{\mathcal{G}}$ . Denote  $\mathcal{F}(\alpha X) = \{A_1, \dots, A_n\}$  and  $\mathcal{F}(\mathcal{G}X) = \{E_1, E_2\}$ . Since  $C_{\mathcal{G}} \setminus C_\alpha \neq \emptyset$ , the inequality  $\mathcal{G}X \not\subseteq \alpha X$  does not hold. It follows from Lemma 5.16 of [3; p. 52] that there is an  $i \in \{1, \dots, n\}$  such that  $A_i \cap E_1 \neq \emptyset$  and  $A_i \cap E_2 \neq \emptyset$ . Then there exists a compactification  $\mathcal{D}X$  of  $X$  for which  $\mathcal{F}(\mathcal{D}X) = \{A_1, \dots, A_{i-1}, A_i \cap E_1, A_i \cap E_2, A_{i+1}, \dots, A_n\}$ . Clearly,  $|\mathcal{D}X \setminus X| = n+1$  and, by

using Lemma 2, we obtain that  $B_{n+1}(X) \neq B_n(X)$  - a contradiction. Hence,  $f \in C_\alpha$  and  $B_2(X) \subset C_\alpha$ . It follows from Lemma 1 that  $e_2X = \alpha X$ , so (iii)  $\Rightarrow$  (iv). It remains to show that (iv)  $\Rightarrow$  (i).

Assume (iv) and let  $\alpha X$  be an arbitrary  $n$ -point compactification of  $X$ . By Lemma 1, the set  $B_2(X) \cap C_\alpha$  generates  $\alpha X$ . This, along with Theorem 2.10 of [3; p. 14], yields that  $\alpha X \subseteq e_2X$ . Lemma 4 implies that  $\alpha X = e_2X$ ; hence (iv)  $\Rightarrow$  (i).

**Corollary 1.** For every locally compact space  $X$  and any positive integer  $n$ , the following conditions are equivalent:

- (i)  $X$  has exactly one (up to equivalence)  $n$ -point compactification;
- (ii)  $|e_nX \setminus X| = n$ .

**Corollary 2.** For every locally compact space  $X$ , the following conditions are equivalent:

- (i)  $X$  does not have any 2-point compactification;
- (ii)  $B_n(X) = B_1(X)$  for each positive integer  $n$ ;
- (iii)  $|e_2X \setminus X| \leq 1$ .

**Corollary 3.** For every locally compact space  $X$ , the following conditions are equivalent:

- (i)  $X$  has an  $n$ -point compactification for any positive integer  $n$ ;
- (ii)  $B_{n+1}(X) \neq B_n(X)$  for any positive integer  $n$ ;
- (iii)  $|e_2X \setminus X| \geq \aleph_0$ .

**Example.** Let  $X$  be an infinite discrete space. It is easily seen that if  $y, z$  are distinct points of  $\beta X$ , then there exist sets  $V$  and  $W$ , open in  $\beta X$ , such that  $y \in V, z \in W, V \cap W = \emptyset$  and  $V \cup W = \beta X$ . This implies that  $B_2(X)^\beta$  separates points of  $\beta X$ ; thus, by Theorem 0,  $e_2X = \beta X$ .

In connection with the above example one may suspect that  $e_2X = \beta X$  whenever  $|e_2X \setminus X|$  is infinite. That this is false is shown by the following

**Theorem 3.** For every cardinal number  $\aleph \neq 0$ , there exists a locally compact space  $X$  such that  $|e_2X \setminus X| = \aleph$  and  $e_2X \neq \beta X$ .

**Proof.** Let  $Y$  be the discrete space of cardinality  $\aleph \neq 0$ . By virtue of [8; Proposition 4.17, p. 36], there exists a locally compact space  $X$  such that  $\beta X \setminus X$  is homeomorphic to  $[0;1] \times \omega Y$ . For simplicity, assume that  $\beta X \setminus X = [0;1] \times \omega Y$ . Let us observe that if  $z_0, z_1$  are distinct points of  $\omega Y$ , then one can find a function  $f \in B_2(X)$  such that  $f^\beta([0;1] \times \{z_i\}) = \{i\}$  for  $i=0,1$ .

Since  $B_2(X)^\beta$  does not separate points of  $[0;1] \times \{z\}$  where  $z \in \omega Y$ , it follows from Theorem 0 that  $\mathcal{F}(e_2 X) = \{[0;1] \times \{z\} : z \in \omega Y\}$ . Hence  $|e_2 X \setminus X| = \aleph$  and, moreover,  $e_2 X \not\approx \beta X$ .

**Theorem 4.** For every cardinal number  $\aleph$ , there exists a locally compact space  $X$  such that  $|e_2 X \setminus X| = \aleph$  and  $e_2 X = \beta X$ .

*Proof.* Let  $Y$  be the discrete space of cardinality  $\aleph$ . If  $X$  is a locally compact space such that  $\beta X \setminus X$  is homeomorphic to  $\omega Y$  (cf. [8; Proposition 4.17, p. 36]), then  $B_2(X)^\beta$  separates points of  $\beta X$ ; hence, by Theorem 0,  $e_2 X = \beta X$ .

Let  $F$  be a nonempty subset of  $C^*(X)$ . For a positive integer  $n$ , denote  $M^n(F) = \{h \circ \Delta_{i=1}^n f_i : h \in C(\mathbb{R}^n) \text{ and } f_i \in F \text{ for } i=1, \dots, n\}$  and  $M^\infty(F) = \bigcup_{n=1}^{\infty} M^n(F)$ . The sets  $M^n(F)$  and  $M^\infty(F)$  were first considered by B.J. Ball and Shoji Yokura in [1]. As shown in [7],  $M^\infty(F)$  is a subalgebra of  $C^*(X)$  containing  $F$  and all constant functions. Denote by  $\mathcal{A}(F)$  the smallest subalgebra of  $C^*(X)$  which contains  $F$  and all constant functions, and let  $\overline{\mathcal{A}(F)}$  be the closure of  $\mathcal{A}(F)$  in  $C^*(X)$  with the topology of uniform convergence. Proposition 1.10 of [7] says that  $M^\infty(F) \subset \overline{\mathcal{A}(F)}$ .

Without any difficulties we can check that  $B_1(X) = M^\infty(B_1(X))$ , so  $B_1(X)$  is a subalgebra of  $C^*(X)$ . We are now going to generalize this result to sets  $B_n(X)$  such that  $|e_n X \setminus X| = n$ .

**Theorem 5.** For every locally compact space  $X$  and any positive integer  $n$ , the following conditions are equivalent:

- (i)  $|e_n X \setminus X| = n$ ;
- (ii)  $M^\infty(B_n(X)) = B_n(X)$ , and if  $1 < m < n$ , then  $M^\infty(B_m(X)) \neq B_m(X)$ ;
- (iii)  $B_n(X)$  is a subalgebra of  $C^*(X)$ , and if  $1 < m < n$ , then  $B_m(X)$  is not an algebra.

*Proof.* Assume (i). It is easily seen that  $M^\infty(B_n(X)) \subset \bigcup_{m=1}^{\infty} B_m(X)$ ; thus, by virtue of Theorem 2,  $B_n(X) \subset M^\infty(B_n(X)) \subset \bigcup_{m=1}^n B_m(X) = B_n(X)$ ; so that  $M^\infty(B_n(X)) = B_n(X)$  and, moreover,  $B_n(X)$  is a subalgebra of  $C^*(X)$ . Suppose that  $1 < m < n$ . Lemma 2 yields the existence of functions  $f_i \in B_2(X)$  such that

$\sum_{i=1}^n f_i \notin B_m(X)$ . Hence we have proved that (i) implies both (ii) and (iii).

Assume either (ii) or (iii), and suppose that (i) does not hold. Then  $n > 1$  and  $B_n(X) \not\subseteq B_{n-1}(X)$ . It follows from Theorem 2 that  $B_m(X) \not\subseteq B_{m-1}(X)$  for some  $m > n$ . By Lemma 3,  $X$  has an  $m$ -point compactification. Lemma 2 implies that there exist functions  $g_i \in B_n(X)$  such that  $\sum_{i=1}^m g_i \notin B_{m-1}(X)$ . As  $B_n(X) \subset B_{m-1}(X)$ , we have a contradiction. This completes the proof.

**Remarks.** Assume that  $\alpha X$  is the unique (up to equivalence)  $n$ -point compactification of  $X$ . By our theorems,  $B_n(X)$  is an algebra which generates  $\alpha X$ . Applying Theorem 3.1 of [2], we deduce that  $B_n(X)$  is a uniformly dense subset of  $C_{\alpha}$ . In this way, we obtain a new proof of Theorem 3.1 of [5].

If  $n > 1$ , then, by Theorem 2,  $B_2(X)$  generates  $\alpha X$ . It follows from Theorem 2.3 of [7] that  $C_{\alpha}$  consists of all functions of the form  $h \circ \bigtriangleup_{i=1}^{\infty} f_i$ , where  $h \in C(\mathbb{R}^{\mathbb{N}})$  and  $f_i \in B_2(X)$  for  $i=1, 2, \dots$ . Of course, by Theorem 2.3 of [7], a function  $f \in C^*(X)$  is continuously extendable to the one-point compactification of  $X$  if and only if  $f = h \circ \bigtriangleup_{i=1}^{\infty} f_i$  for some  $h \in C(\mathbb{R}^{\mathbb{N}})$  and  $f_i \in B_1(X)$  ( $i=1, 2, \dots$ ).

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