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FACTORIZATION IN THE ALGEBRA OF RAPIDLY DECREASING FUNCTIONS
ON R_n

Hana PETZELTOVÁ and Pavla VRBOVÁ, Praha

Abstract: A factorization theorem which is an analogy of factorization theorems in Banach algebras is proved in the algebra of rapidly decreasing functions on R_n . The result is closely related to investigations of existence of factorization in Fréchet algebras with an approximate unit.

Key words: Rapidly decreasing function, approximate unit, Fréchet algebra.

AMS: 46E25

Let R_n be n -dimensional Euclidean space. As usual, denote by $|t| = (t_1^2 + \dots + t_n^2)^{1/2}$ for $t = (t_1, \dots, t_n) \in R_n$ and

$$D^k x = \frac{\partial^{|k|} x}{\partial^{k_1} t_1 \dots \partial^{k_n} t_n} \quad \text{for } x \in C^\infty(R_n), k = (k_1, \dots, k_n) \geq 0,$$

$|k| = k_1 + \dots + k_n$. Let us recall that $i = (i_1, \dots, i_n) \leq k = (k_1, \dots, k_n)$ by definition if $i_1 \leq k_1, i_2 \leq k_2, \dots, i_n \leq k_n$

and $0 = (0, 0, \dots, 0)$. As usual, $\binom{k}{i} = \binom{k_1}{i_1} \dots \binom{k_n}{i_n}$.

We shall denote by \mathcal{S} the subalgebra of $C^\infty(R_n)$ consisting of all functions rapidly decreasing at infinity, i.e.

$$\mathcal{S} = \left\{ x \in C^\infty(R_n) : \sup_{t \in R_n} |t|^j |(D^k x)(t)| < \infty \quad \text{for all non-} \right. \\ \left. \text{negative integers } j \text{ and multiindices } k \right\} =$$

$$= \left\{ \begin{array}{l} x \in C^\infty(R_n): \sup_{t \in R_n} |t^i (D^k x)(t)| < \infty \quad \text{for all multi-} \\ \text{indices} \\ i, k \geq 0 \quad (t^i = t_1^{i_1} \dots t_n^{i_n}) \end{array} \right\}$$

with the topology generated by the system of pseudonorms $|x|_{jk} = \max_{t \in R_n} |t^j| |(D^k x)(t)|$.

Concerning the problem of factorization in projective limits of Banach algebras there exists an approximate unit in the algebra \mathcal{S} which may be regarded as the projective limit of Banach algebras \mathcal{S}_{jk} consisting of all functions from $C^\infty(R_n)$ for which the norm $\max_{0 \leq i \leq k} |j_i|$ is finite. Namely, the system of characteristic functions of $D_k = (t \in R_n, |t| \leq k)$ ($k = 1, 2, \dots$) in R_n smoothed by convolution with suitable functions from $C^\infty(R_n)$ forms an approximate unit, unfortunately, this unit is unbounded in each \mathcal{S}_{jk} . It turns out that the iterative process which often provides a positive solution in many proofs of factorization theorems (see, for example, [1] - [9]) fails to converge here. Nevertheless, it is possible to prove existence of power factorization on bounded subsets of \mathcal{S} with the help of special properties of the algebra \mathcal{S} .

1. Preliminaries. Denote by w the function $w(t) = |t|$ for $0 \neq t \in R_n$. The function w is of class C^∞ . Since $\frac{\partial w^p}{\partial t_s}(t) = p \cdot w^{p-2}(t) \cdot t_s$ for every integer p , it follows by induction that

$$(1) \quad (D^k w^p)(t) = \sum_{0 \leq l \leq k} c(l, k, p) |t|^{p-|k|-|l|} t^l.$$

If $e^s = (c_{1s}^s, \dots, c_{ns}^s)$ ($s = 1, 2, \dots, n$) then $(D^{k+e^s} w^p)(t) =$
 $= \sum_{0 \leq l \leq k} c(1, k, p)(p - |k| - |l|) |t|^{p-|k|-|l|-2} t^{1+e^s} +$
 $+ \sum_{e^s \leq l \leq k} c(1, k, p) l_s \cdot |t|^{p-|k|-|l|} t^{1-e^s}$. Hence, if $p > 0$,

$|c(1, k + e^s, p)| \leq \max(2p, 3|k|) \cdot \max_{0 \leq r \leq k} |c(r, k, p)|$. The last inequality can be easily proved by considering all possible cases. This, together with $c(0, 0, p) = 1$, yields

$$(2) \quad |c(1, k, p)| \leq (\max(2p, 3|k|))^{|k|}$$

$$|(D^k w^p)(t)| \leq d_k p^{|k|} |t|^{p-|k|} \quad \text{for } t \neq 0, k \geq 0, p > 0.$$

1.1. Lemma. There exist positive constants C, C_k ($k \geq 0$) such that, for every sequence $(m(p))_{p=1}^{\infty}$ of natural numbers with $m(p+1) - m(p) \geq 5$ for $p = 1, 2, \dots$ and $m(1) \geq 3$, there exists a positive function $b \in C^{\infty}(R_n)$ satisfying:

- 1° $b(t) = 1$ for $|t| \leq m(1) - 2$
- $b(t) = w^p(t) = |t|^p$ for $|t| \in \langle m(p) + 2, m(p+1) - 2 \rangle$
- (3) 2° $|(D^k b)(t)| \leq C_k (m(p) + 2)^p$
- (4) $b(t) \geq C \cdot (m(p) - 2)^{p-1}$
for $|t| \in \langle m(p) - 2, m(p) + 2 \rangle$, $p = 1, 2, \dots$, $k \geq 0$
- 3° $b^{-1} \in \mathcal{S}$.

Proof. Let $(m(p))_{p=1}^{\infty}$ be a sequence of natural numbers satisfying $m(p+1) - m(p) \geq 5$ ($p = 1, 2, \dots$) and $m(1) \geq 3$. We shall construct a function b having the required properties and we shall show that the corresponding constants C_k, C do not depend on the choice of $(m(p))_{p=1}^{\infty}$. Let a be a positive function defined by

$$a(t) = \begin{cases} 1 & \text{for } |t| \leq m(1) \\ |t|^p & \text{for } |t| \in (m(p), m(p+1)) \end{cases} \quad p = 1, 2, \dots$$

We shall modify the function a so as to obtain a C^∞ function. Take a function $\varphi \in C^\infty(\mathbb{R}_n)$ such that $0 \leq \varphi \leq 1$, $\varphi \equiv 1$ in a neighbourhood of zero, $\text{supp } \varphi$ is equal to the unit disc D_1 in \mathbb{R}_n , φ positive inside D_1 and $D^k \varphi \equiv 0$ on ∂D_1 for all nonnegative multiindices k . Denote by $N_k = \max_{t \in \mathbb{R}_n} |(D^k \varphi)(t)|$ ($k \geq 0$). Let φ_p be the function defined as follows

$$\varphi_p(t) = \begin{cases} \varphi(t - m(p) \frac{t}{|t|}) & \text{for } t \neq 0 \\ 0 & \text{for } t = 0 \end{cases}$$

Clearly, the function φ_p is a well defined function of class C^∞ and $\text{supp } \varphi_p = \{t: m(p) - 1 \leq |t| \leq m(p) + 1\}$. We shall show that, for every $k \geq 0$, there exist constants K_k (depending on k only) such that $\sup_{t \in \mathbb{R}_n} |(D^k \varphi_p)(t)| \leq K_k$ for

all $k \geq 0$ and $p = 1, 2, \dots$. Denote $\varphi_1^p(t) = t_i (1 - m(p)|t|^{-1})$ for $|t| \in (m(p) - 1, m(p) + 1)$. Since

$$(D^{e^s} \varphi_p)(t) = \sum_{i=1}^n (D^{e^i} \varphi)(t(1 - m(p)|t|^{-1})) (D^{e^s} \varphi_1^p)(t)$$

it follows by induction that $(D^k \varphi_p)(t)$ is a polynomial of order $|k| + 1$ in indeterminates $(D^j \varphi)(t(1 - m(p)|t|^{-1}))$ ($1 \leq |j| \leq |k|$), $(D^1 \varphi_1^p)(t)$ ($0 \leq 1 \leq k$, $i = 1, 2, \dots, n$). Hence, it is sufficient to show that the derivatives of φ_1^p are bounded by constants which do not depend on p . We have

$$(D^j \varphi_1^p)(t) = \sigma_{is} - \sigma_{is} m(p) |t|^{-1} + m(p) t_i t_s |t|^{-3} \quad \text{for } j = e^s$$

and

$$(D^j \varphi_1^p)(t) = -m(p) [t_i (D^{j-1} w)(t) + j_i (D^{j-e^i} w^{-1})(t)] \quad \text{for } |j| \geq 2.$$

According to (1) there exist constants ϵ_j such that,
for $|j| \geq 1$, $|t| \in \langle m(p) - 1, m(p) + 1 \rangle$,

$$|(D^j \varphi_1^p)(t)| \leq \epsilon_j m(p) |t|^{-|j|} \leq 2\epsilon_j.$$

Now, set

$$b(t) = \begin{cases} ((\varphi_p^m) * \varphi)(t) + (1 - \varphi_p(t))a(t) & \text{for } |t| \in \langle m(p) - 2, m(p) + 2 \rangle \\ a(t) & \text{otherwise.} \end{cases} \quad p = 1, 2, \dots$$

This function belongs to $C^\infty(\mathbb{R}_n)$ and satisfies 1°. Given a $|t| \in \langle m(p) - 2, m(p) + 2 \rangle$, $t \neq m(p)$ we have, according to (1), (2)

$$\begin{aligned} |(D^k b)(t)| &\leq \left| \int \varphi_p(x) a(x) (D^k \varphi)(t-x) dx \right| + \sum_{0 \leq i \leq k} \binom{k}{i} |(D^i (1 - \varphi_p))(t) (D^{k-i} a)(t)| \leq N_k \sup_{|x| \in \langle m(p) - 1, m(p) + 1 \rangle} a(x) (\mu_n(\{x: \\ &: |t-x| \leq 1\}) + \sum_{0 \leq i \leq k} \binom{k}{i} K_i \sum_{0 \leq j \leq k-i} \max(2p, 3|k-i|) |k-i| \cdot \\ &|t|^{p-|k-i|-|j|} |t^j| \leq N_k (\mu_n(\{x: |t-x| \leq 1\}) (m(p)+1)^p + \\ &+ \sum_{0 \leq i \leq k} \binom{k}{i} K_i \sum_{0 \leq j \leq k-i} \max(2p, 3|k-i|) |k-i| \cdot |t|^{-|k-i|} (m(p)+ \\ &+ 2)^p \leq C_k (m(p)+2)^p, \end{aligned}$$

where C_k are suitable constants which are clearly independent of $(m(p))_{p=1}^\infty$ and μ_n is the n -dimensional Lebesgue measure in \mathbb{R}_n . The last inequality follows from

$$(5) \quad 2p \leq m(p) - 2 \quad p = 2, 3, \dots$$

To obtain estimate (4) denote by $M = \{t; \varphi(t) \geq 1/2\}$ and $M_p = \{t; \varphi_p(t) \geq 1/2\}$. Then

$b(t) \geq \frac{1}{2}a(t)$ for $t \notin M_p$ and so $b(t) \geq \frac{1}{2} (m(p-2))^{p-1}$

for $t \in \{ |t| \in \langle m(p)-2, m(p)+2 \rangle \} \setminus M_p$. Observe that $M_p = \{ t; t-m(p)t/|t| \in M \}$. Take $\varepsilon, \varepsilon'$ positive such that $\{ t; |t| \leq \varepsilon \} \subset M \subset \{ t; |t| \leq \varepsilon' \}$ and $\varepsilon + \varepsilon' < 1$. If $1 > \sigma' > \varepsilon + \varepsilon'$ then, for each $t \in M_p$, the set $K_p = \{ x \in M_p; |x-t| < \sigma' \}$ contains a ball $K'_p = \{ x \in \mathbb{R}^n; |x-m(p)t/|t|^{-1}| < \varepsilon \}$ for all $p = 1, 2, \dots$. Then, for $t \in M_p$, we have

$$b(t) \geq \int_{K'_p} \varphi_p(x) a(x) \varphi(t-x) dx \geq 2^{-1} \inf_{x \in K'_p} a(x) \cdot \inf_{|z| \leq \sigma'} \varphi(z).$$

$$\cdot \mu_n(K'_p) \geq C \cdot (m(p)-2)^{p-1}$$

where C is a constant depending on $\varepsilon, \sigma', n, \varphi$ only.

We have to show now that $b^{-1} \in \mathcal{S}$, i.e.

$$\sup_{|t| \in \langle m(p)-2, m(p+1)-2 \rangle} \max_{|j| \leq m(p+1)-2} |t|^j |(D^k b^{-1})(t)| < \infty \text{ for all } j, k \geq 0.$$

$$\text{If } f, f^{-1} \in \mathcal{O}(\mathbb{R}_n) \text{ then } D^k f^{-1} = P_k(f, \dots, D^k f) / f^{|k|+1}$$

where P_k is a polynomial of order $|k|$ in indeterminates $D^i f$ for $0 \leq i \leq k$ and the coefficients of P_k depend on k only.

Fix j and k . First, let us take $|t| \in \langle m(p)+2, m(p+1)-2 \rangle$ for $2p \geq 3|k|$. Then $b(t) = w^p(t) = |t|^p$. According to (1), (2), and (5) we have, for arbitrary nonnegative multiindex $m \leq k$

$$|(D^m w^p)(t)| \leq d_m p^{|m|} |t|^{p-|m|} \leq d_m |t|^p$$

It follows that

$$\begin{aligned} |t|^j |(D^k b^{-1})(t)| &= |t|^j |(D^k w^{-p})(t)| = |t|^j |P_k(w^p(t), \dots, (D^k w^p)(t))| \cdot w^{-p(|k|+1)}(t) \\ &\leq |t|^j M_k \left(\max_{0 \leq j \leq k} |(D^j w^p)(t)| \right)^{|k|} |t|^{-p(|k|+1)} \\ &\leq |t|^j M_k \left(\max_{0 \leq j \leq k} d_j \right)^{|k|} |t|^p |k|! \cdot |t|^{-p(|k|+1)} = M'_k |t|^{j-p} \end{aligned}$$

where M_k, M'_k are suitable constants.

Now assume $|t| \in \langle m(p)-2, m(p)+2 \rangle$. We have, according to 2^0 , the following estimate

$$\begin{aligned}
 |t|^j |(D^{k_b-1})(t)| &\leq |t|^j \frac{C'_k(m(p)+2)^{|k|}}{C^{|k|+1} (m(p)-2)^{(p-1)(|k|+1)}} \leq \\
 &\leq C'_k \left(1 + \frac{4}{m(p)-2}\right)^{|k|+j} \cdot (m(p)-2)^{-p+j+|k|+1} \leq \\
 &\leq C'_k \left(1 + \frac{4}{p}\right)^{|k|+j} \cdot (m(p)-2)^{-p+j+|k|+1}.
 \end{aligned}$$

The last expression is bounded and so the proof is complete.

2.1. Theorem. Let K be a bounded subset of \mathcal{S} . Given $\varepsilon > 0$, s_0 natural and $j_0 \geq 0$, $k_0 = (k_{01}, \dots, k_{0n}) \geq 0$, there exists an a in \mathcal{S} and a sequence $(K_s)_{s=1}^\infty$ of bounded subsets of \mathcal{S} with the following property: for $x \in K$ there exists an $y_s \in K_s \cap (\mathcal{S}x)^-$ with

$$1^0 \quad x = a^{s_0} y_s \text{ for } s = 1, 2, \dots$$

$$2^0 \quad |x - y_s|_{j_0 k_0} \leq \varepsilon \text{ for } s = 1, 2, \dots, s_0.$$

Moreover, if $x_n \rightarrow 0$ in \mathcal{S} then the corresponding $y \xrightarrow[sn \rightarrow \infty]{} 0$ for each s .

Proof. The subset K is bounded, so there are a positive function h and nonnegative constants $(q_k)_{k \geq 0}$ such that $\sup_{t \in R_n} |t|^j h(t) < M_j < \infty$ for all $j \geq 0$ and $|(D^k x)(t)| \leq q_k h(t)$ for all $t \in R_n$, $k \geq 0$, $x \in K$ (see [10], p. 235). Denote by $Q_p = \max_{|k| \leq p} q_k$ and take a sequence $(\varepsilon_p)_{p=1}^\infty$ of positive numbers. Since $D^{k_w^r}$ is a polynomial in indeterminates $|t|$, $|t|^{-1}$, t_1, \dots, t_n ($t \neq 0$) it follows from (2) that we can find a sequence $(m(p))_{p=1}^\infty$ such that

(i) $|t|^j |(D^{k_r} w^r)(t)| h(t) \leq \varepsilon_p$ for $|t| \geq m(p)-2$ and all $|k| \leq p, 0 \leq r \leq p^2, j \leq p(p+1)$

(ii) $|t|^{j_0 + s_0 p} |(D^{k_r} w^r)(t)| h(t) \leq \varepsilon_p$ for all $p = 1, 2, \dots,$
 $0 \leq r \leq s_0 p, 0 \leq k \leq k_0, |t| \geq m(p)-2$

(iii) $m(p+1) - m(p) \geq 5, m(1) \geq 3$

It follows that

(6) $|t|^j |(D^{k_r} w^r)(t)| |(D^i x)(t)| \leq Q_p \varepsilon_p$

for $|t| \geq m(p)-2, |i|, |k| \leq p, 0 \leq r \leq p^2, j \leq p(p+1), x \in K$ and

(7) $|t|^{j_0 + s_0 p} |(D^{k_r} w^r)(t)| |(D^i x)(t)| \leq Q_{k_0} \varepsilon_p$

for $|t| \geq m(p)-2, 0 \leq i, k \leq k_0, 0 \leq r \leq s_0 p, x \in K$ and $p = 1, 2, \dots$

Let us take an $x \in K$ and consider the factorization of x in the form $x = b^{-s}(b^s x)$ where b^s is the function corresponding to $(m(p))_{p=1}^\infty$ according to Lemma 1.1. The function b^{-1} belongs to \mathcal{S} so that b^{-s} belongs to \mathcal{S} as well. We have to show that $b^s x$ is in \mathcal{S} ($s = 1, 2, \dots$), i.e.

$\sup_p \max_{m(p)-2 \leq |t| \leq m(p+1)-2} |t|^j |(D^k b^s x)(t)| < \infty$ for all $j \geq 0,$

$s \geq 1, k \geq 0$. Having known that $b^s x \in \mathcal{S}$ it follows that

$b^s x \in (\mathcal{S} x)^-$. Indeed, there exists an approximate unit

$(e_n)_{n=1}^\infty$ in \mathcal{S} consisting of functions with compact supports, so that $b^s x = \lim_n e_n b^s x$ for $x \in \mathcal{S}, s = 1, 2, \dots$. Since $e_n b^s$ are in \mathcal{S} as functions with compact supports, we obtain $b^s x \in (\mathcal{S} x)^-$.

Fix j, k and s . If $p > \max(|k|, j, s)$ we obtain according to (6), for $|t| \in \langle m(p)+2, m(p+1)-2 \rangle$, the estimate

$$|t|^j |(D^k (b^s x))(t)| = |t|^k |(D^k (w^{s p} x))(t)| =$$

$$= |t|^j \sum_{0 \leq i \leq k} \binom{k}{i} |(D^i w^{sp})(t)| |(D^{k-i} x)(t)| \leq 2^{|k|} Q_p \epsilon_p.$$

Now, assume $|t| \in \langle m(p)-2, m(p)+2 \rangle$. It is easy to see that, for $f \in C^\infty(\mathbb{R}_n)$, $D^k f^s$ is a polynomial $P_{k,s}$ of order s in indeterminates $f, \dots, D^k f$. Again, according to (6), $|t|^{p^2+p}$.

$|(D^{k-i} x)(t)| \leq Q_p \epsilon_p$. This, together with 2^0 of 1.1 yields

$$|t|^j |(D^k b^s x)(t)| \leq |t|^j \sum_{0 \leq i \leq k} \binom{k}{i} |(D^i b^s)(t)| |(D^{k-i} x)(t)| =$$

$$= \sum_{0 \leq i \leq k} \binom{k}{i} |P_{i,s}(b(t), \dots, (D^i b)(t))| |t|^j |(D^{k-i} x)(t)| \leq$$

$$\leq \sum_{0 \leq i \leq k} \binom{k}{i} K_{i,s} (m(p)+2)^{sp} |t|^{j-p^2-p} |t|^{p^2+p} |(D^{k-i} x)(t)| \leq$$

$$\leq (m(p)+2)^{sp} (m(p)-2)^{-p^2} \cdot Q_p \epsilon_p \sum_{0 \leq i \leq k} \binom{k}{i} K_{i,s} \leq$$

$$\leq (1 + \frac{4}{m(p)-2})^{sp} \sum_{0 \leq i \leq k} \binom{k}{i} K_{i,s} Q_p \epsilon_p, \text{ where } K_{i,s} \text{ are suitable}$$

constants. From the last two estimates we obtain

$$|t|^j |(D^k b^s x)(t)| \leq M_{k,s} \cdot Q_p \epsilon_p \text{ for } |t| \in \langle m(p)-2, m(p+1)-2 \rangle,$$

p large enough. According to (7) we obtain, for $s=1,2,\dots,s_0$,

$p=1,2,\dots$, similar estimates

$$|t|^j |(D^k b^s x)(t)| \leq M \epsilon_p, \text{ where } M, M_{k,s} \text{ are suitable constants.}$$

Given an $\epsilon > 0$, let us choose now $(\epsilon_p)_{p=1}^\infty$ so that

$Q_p \epsilon_p \rightarrow 0$ and $\max_{p \in \mathbb{N}} M \epsilon_p \leq \epsilon/2$. Using these estimates we can deduce the following facts. First, $b^s x \in \mathcal{Y}$ for $x \in K, s=1,2,\dots$,

all $b^s K = K_s$ are bounded in \mathcal{Y} and $|x - b^s x|_{j_0, K_0} = \max_{t \in \mathbb{R}_n} |t|^j$

$$|(D^k x)(t) - (D^k b^s x)(t)| = \max_{|t| \leq m(1)-2} |t|^j |(D^k x)(t) - (D^k b^s x)(t)| \leq$$

$$\leq \epsilon \text{ for } s=1,2,\dots,s_0. \text{ Finally, if } x_n \rightarrow 0 \text{ in } \mathcal{Y} \text{ then,}$$

for $K = (x_n)_{n=1}^\infty$, we obtain $y_n = b^s x_n$ tends to zero as well.

Indeed, let us fix j, k and s . Given an $\epsilon > 0$, let us find

p_0 so that $M_{k,s} Q_p \epsilon_p \leq \epsilon$ for $p \geq p_0$. There exists n_0 such that, for $n \geq n_0$, $|x_n|_{j1} \leq \left(\sum_{0 \leq i \leq 1} \binom{1}{i} \sup_{|t| \leq m(p_0)} |(D^i b^s)(t)| \right)^{-1}$ for $1 \leq k$. It follows that, for $n \geq n_0$, we have

$$|y_n|_{jk} = \max_{|t| \leq m(p_0)} \left(\max_{|t| \leq m(p_0)} |t|^j |(D^k b^s x_n)(t)| + \right.$$

$$\left. \max_{|t| \leq m(p_0)} |t|^j |(D^k b^s x_n)(t)| \right) \leq \epsilon$$

The proof is complete.

Remark. Since the Fourier transformation is a continuous linear mapping of \mathcal{G} onto itself and takes the pointwise multiplication to the convolution, Theorem 2.1 holds also if we replace the multiplication by the convolution.

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