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Commentationes Mathematicae Universitatis Carolinae 8,2 (1967)

A REMARK ON THE THEORY OF LATTICE POINTS IN ELLIPSOIDS Břetislav NOVÁK, Praha

For a natural 12, let (22, be the 12 -dimensional Lebesgue measure, By an integral we mean the (absolutely convergent) Lebesgue integral; we put

$$\int_{a+i\sigma}^{a+i\sigma} f(s)ds = i \int_{-\infty}^{\pi} f(a+it) dt .$$

for $\alpha \in E_1$ (provided the integral on the left side exists). The symbols O and Ω are used with regard to the limiting process for $x \to +\infty$ and the constants involved are of the "type α ". $A << \beta$ means $|A| \le c \beta$. If $A << \beta$ and $\beta << A$ we write $A \times \beta$.

The present remark is devoted to the study of certain properties of the function

(1)
$$A(x) = A(x_j \alpha_j) = \sum_{i=1}^{\infty} e^{2\pi i \frac{x_i}{j+1} \alpha i j} \mu_j,$$

where the summation runs over all systems $u_1, u_2, ..., u_n$ of real numbers, satisfying $Q_1(u_j) \leq x$ and $u_j \equiv v_j \pmod{M_j}$ for j = 1, 2, ..., n. Put

$$= b_{j} \pmod{M_{j}} \text{ for } j = 1, 2, \dots n . \text{ Put}$$

$$V(x) = V(x; \alpha_{j}) = \frac{\pi^{\frac{L}{2}} x^{\frac{L}{2}} e^{2\pi i \frac{x}{2}} \alpha_{j} \cdot b_{j}}{\sqrt{D} \cdot \prod_{j=1}^{L} M_{j}} \Gamma(\frac{L}{2} + 1)$$

($\mathcal{O} = 1$ if all numbers $\alpha_1 M_1$, $\alpha_2 M_2$,..., $\alpha_k M_k$ are integers, $\mathcal{O} = 0$ otherwise). Landau proved ([2] pp.54 and 74)

(2) $P(x) = P(x_i a_i) = A(x_i a_i) - V(x_i a_i) = O(x^{\frac{1}{2} - \frac{n}{6+1}})$ and, if $A(x) \neq 0$,

$$P(x) = \Omega\left(x^{\frac{n-1}{4}}\right).$$

Clearly, without loss of generality, we are able to assume $0 \le x_j < M_j$ and $0 \le x_j < \frac{1}{M_j}$ $(j = 1, 2, ..., \pi)$.

2. Denoting $\mathcal{W} = \langle 0, \frac{1}{M_4} \rangle \times \langle 0, \frac{1}{M_2} \rangle \times ... \times \langle 0, \frac{1}{M_{PL}} \rangle$, let us examine the function

(4)
$$\int |A(x)|^{2p} d\alpha_1 d\alpha_2 ... d\alpha_n = \int |P(x)|^{2n} d\alpha_1 d\alpha_2 ... d\alpha_n,$$

where μ is a natural number ($\sigma = 1$ in \mathcal{M} only for $\alpha_j = 0$, j = 1, 2, ..., n).

Lemma 1.

(5)
$$\int_{m} |P(x)|^{2p} d\alpha_{1} d\alpha_{2} ... d\alpha_{n} = \frac{1}{\frac{\pi}{4} M_{1}} \sum_{i=1}^{n} 1_{i},$$

where the summation runs over all systems

(6)
$$n_{4k}, n_{2k}, ..., n_{kk}, m_{4k}, m_{2k}, ..., m_{kk} (k = 1, 2, ..., p)$$

satisfying

(7)
$$Q(n_{jk} M_j + b_j) \leq x$$
, $Q(m_{jk} M_j + b_j) \leq x (k=1,2,...,p)$

and
$$\sum_{k=1}^{p} m_{jk} = \sum_{k=1}^{p} m_{jk}$$
 $(j = 1, 2, ..., n)$.

Proof: Clearly,
$$\int |A(x)|^{2n} d\alpha_1 d\alpha_2 \dots d\alpha_n = \int A^{n}(x) \overline{A^{n}(x)} d\alpha_1 d\alpha_2 \dots d\alpha_n = \int A^{n}(x) \overline{A^{n}(x)$$

(9)
$$= \sum_{m} e^{2\pi i} \sum_{j=1}^{n} \alpha_{j} M_{j} \sum_{k=1}^{n} (m_{jk} - m_{jk}) d\alpha_{j} d\alpha_{j} ... d\alpha_{k}.$$
(the summation runs over all systems (6) satisfying (7)). Since for an integer m and $M > 0$ it holds that

$$\int_{0}^{\frac{1}{M}} e^{2\pi i \alpha Mm} d\alpha = \frac{1}{M} \quad \text{for } m = 0$$

we can infer immediately from (9) the assertion of the lemma. Lemma 2. Let m and n be natural numbers, and let W

be a measurable set with $\mu_n(\mathcal{U}) < +\infty$. Let f surable function on & .* Then

(10)
$$\sqrt{\int_{et}^{n} |f(t)|^{n} dt} \leq \underset{t \in et}{\text{vrai sup }} |f(t)| \sqrt{\mu_{m}(et)^{1}}$$

and

(8)

(9)

(11)
$$\lim_{p\to\infty} \sqrt{\int_{t}^{p} |f(t)|^{p}} dt = \text{veai sup } |f(t)|.$$

Proof: We may assume $\mu_n(\mathcal{U}) > 0$. Put $T = \underset{t \in \mathcal{X}}{\text{weai sup } |f(t)|}$.

If $T = +\infty$ (10) holds. Let $T < T' < +\infty$. We have

¹⁾ wai sup |f(t)| = mai sup |f(t)| = inf sup |f(t)|.

to t

sup
$$|f(t)| \leq T'$$

for a suitable subset \mathcal{L} of \mathcal{U} such that $\mu_{\mathbf{L}}(\mathcal{L}) = 0$ and thus

$$\sqrt{\int\limits_{\mathcal{C}_{k}}^{t}|f(t)|^{t}dt}\leq \top'\sqrt{\frac{t}{(u_{m}(\mathcal{C}_{k}))}}.$$

As T' is arbitrary, (10) follows. Let T > 0 (otherwise (10) implies (11)) and 0 < T' < T. Putting

$$\mathcal{L} = \{t \in \mathcal{C}t; |f(t)| \geq T'\}$$

we have necessarily (2) > 0 and further

$$\sqrt{\int_{\eta_{1}}^{p} |f(t)|^{p} dt} \geq T' \sqrt{\mu_{n}(\mathcal{E})}.$$

From this and (10) using the limit for $n \rightarrow +\infty$ in view that T' is arbitrary (T' < T), we obtain (11).

Theorem 1.

vrai sup
$$|P(x; \alpha_j)| \times x^{\frac{R}{2}} \cdot (\alpha_1 \alpha_2, ..., \alpha_n) \in \mathbb{R}$$

Proof: Let p be a natural number. Denoting S(x, p)the right hand side in (5) we infer from lemmas 1 and 2 (A(x) is continuous in man and thus measurable)

viai sup
$$|A(x)| = \text{viai sup} |P(x)| = \lim_{n \to +\infty} \sqrt{\int |P(x)|^{2n} d\alpha_n d\alpha_n ... d\alpha_n}$$

i.e.

(12) viai sup
$$|P(x)| = \lim_{p \to +\infty} \sqrt{S(x, p)}$$
.

Putting

$$B(x) = A(x; 0, 0, ..., 0)$$

it is

$$S(x,n) \ll B^{2n}(x)$$
.

But, by (2)

Therefore

$$(13) \qquad \sqrt{\frac{2n}{S(x,n)}} << x^{\frac{n}{2}} .$$

Note that

$$Q(u_j) \times \max_{j=1,2,\dots,n} |u_j|^2$$

holds for $(u_1, u_2, ..., u_n) \in E_n$ and hence

$$S(x, n) >> \Sigma 1$$
,

where the summation runs over all systems (6) satisfying (8) and

$$(k = 1, 2, ..., p, j = 1, 2, ..., \kappa)$$
. This implies

$$S(x,n) \gg \sum 1$$

where the summation runs over all systems (6) satisfying (8) and

$$(k=1,2,...,n,j=1,2,...,n)$$
.

Put

$$R(u) = \Sigma 1$$

for $\ensuremath{\mathcal{M}} > 0$, where the summation runs over all m_1, m_2, \ldots ..., m_{2p-1} for which

$$|m_j| \leq u \quad (j=1,2,...,2p-1)$$

and

We shall examine the function R(M). Clearly, we may consider only natural M. Putting

$$\vartheta(d) = \sum_{m=-\infty}^{\infty} e^{2\pi i \alpha m}$$

for
$$\alpha \in \langle 0, 1 \rangle$$
, i.e.

$$\sqrt[A]{(a)} = \begin{cases}
\frac{\sin(2u+1)\pi a}{\sin \pi a} & \text{for } \alpha \in (0,1) \\
2u+1 & \text{for } \alpha = 0,1
\end{cases}$$

it is easily seen that

$$R(u) = \sum_{m=-u}^{u} \int_{0}^{1} v^{2n-1}(\alpha) e^{2\pi i \alpha m} d\alpha = \int_{0}^{1} v^{2n-1}(\alpha) \overline{V(\alpha)} d\alpha$$

and thus

$$R(u) = \int_{0}^{1} \left(\frac{\sin(2u+1)\pi c}{\sin \pi c}\right)^{2\pi} dc .$$

But , by lemma 2, we have

$$\lim_{p \to +\infty} \sqrt{R(u)} = \max_{a \in (0,1)} \left| \frac{\sin(2u+1)\pi d}{\sin \pi d} \right| = 2u+1.$$

Finally, we obtain the relation
$$\lim_{\substack{n \\ n \to +\infty}} \frac{2n}{\sqrt{S(x, n)}} >> (\lim_{\substack{n \to +\infty}} \sqrt{R(c\sqrt{x})})^n >> x^{\frac{n}{2}},$$

proving together with (13) and (12) the theorem.

Comparing the result with (2) we can see a remarkable non-uniformity of this estimation on P(x). If we confine ourselves to the case of a_{jk} , M_{j} , k_{j} $(j,k=1,2,...,\kappa)$ being integers, the comparison becomes still more surprising. In [3] the following theorem is stated under these assumptions and for $\kappa > 5$:

There exists a set $\mathcal{L} \subset \mathcal{M}$, $u_{k}(\mathcal{L}) = 0$ such that $P(x; \alpha_i) = O(x^{\frac{\alpha}{4} + \epsilon})$

for $(\alpha_1, \alpha_2, \ldots, \alpha_n) \in \mathcal{M} - \mathcal{L}$ and for every $\varepsilon > 0$ (the constants in 0-relation are of type $c(\epsilon)$). Nevertheless, by the theorem 1 we have

sup
$$|P(x; \alpha_j)| >> x^{\frac{h}{2}}$$
. $(\alpha_j, \alpha_k, \alpha_k) \in \Re(-x)$

We emphasize, for next purposes, an imporant consequence of lemma 1 (see [3] theorem 4):

Theorem 2.

(14)
$$\int |P(x)|^2 da_1 da_2 \dots da_n = \frac{A(x_j, 0, 0, \dots, 0)}{M_1 M_2 \dots M_n} .$$

3. Kendall [1] examined similar expressions with regard to l_1, l_2, \ldots, l_n under assumption $\alpha_1 = \alpha_2 = \ldots = \alpha_n = 0$, $M_1 = M_2 = \dots = M_n$, especially for the case of a circle. In the following we shall prove his result in general form and in a different way on the basis of the identity of landau.

Let $0 \le \lambda_1 < \lambda_2 < \dots$ (0 < $\lambda_1' < \lambda_2' < \dots$) be the sequence of all numbers of the form $Q(m_j M_j + b_j) (\overline{Q}(\frac{m_j}{M_j} - \alpha_j) > 0)$ for all integers m_1, m_2, \dots, m_n . Let

$$a_{m} = \sum_{i} e^{2\pi i \int_{2\pi}^{2\pi} a_{ij} (m_{ij} M_{ij} + b_{ij})}$$

$$(a'_{m} = \sum_{i} e^{2\pi i \int_{2\pi}^{2\pi} \frac{b_{ij}}{M_{ij}^{2}} m_{ij}}, a''_{m} = \sum_{i} 1),$$

where the summation runs over all systems $m_1, m_2, ..., m_k$ satisfying $Q_i(m_j, M_j + b_j) = \lambda_{m_i} (\overline{Q}(\frac{m_i}{M_i} - \alpha_j) = \lambda'_{m_i})$. Denote

$$\mathcal{H} = \langle 0, M_1 \rangle \times \langle 0, M_2 \rangle \times \dots \times \langle 0, M_n \rangle .$$

Theorem 3.

(15)
$$\int_{\mathcal{H}} |P(x)|^2 db_1 db_2 \dots db_n = \frac{\chi^{\frac{n}{2}}}{D_{j+1}^{\frac{n}{2}} M_j} \sum_{m=1}^{\infty} a_m^{\prime\prime} \frac{J_{\frac{n}{2}}^{2}(2\pi\sqrt{\chi_{\infty}^{\prime}}x)}{\lambda_m^{\prime\prime}^{\frac{n}{2}}}.$$
 2)
2) J₃ is the Bessel function.

Proof: Put

(16)
$$A_{p}(x;\alpha_{j}) = \frac{1}{\Gamma(p+1)} \sum_{\lambda_{n} \in \mathcal{X}} a_{n} (x - \lambda_{n})^{q}$$

$$(17) \bigvee_{\phi} (x, \alpha_j) = \frac{\pi^{\frac{1}{4}} x^{\frac{1}{4} + \varphi} e^{\pi i \sum_{j=1}^{2} \alpha_j \theta_j}}{\sqrt{D} \prod_{j=1}^{4} M_j \Gamma(\frac{\pi}{2} + \varphi + 1)} \sigma', \beta(x; \alpha_j) = A_{\phi}(x; \alpha_j) - \bigvee_{\phi} (x; \alpha_j)$$

for
$$\varphi \in E_1$$
, $\varphi \ge 0$ (It is $A_s = A$, $V_s = V$, $P_s = P$, $\int_s A_s(y) dy = A_{s+1}(x)$ etc.) Because
$$\theta(s) = \sum_{n=1}^{\infty} a_n e^{\lambda_n s} = \frac{\pi^{\frac{n}{2}}}{\sqrt{D_s} \prod_{i=1}^{m} M_i s^{\frac{n}{2}}} \left(e^{2\pi i \sum_{i=1}^{\infty} a_i s^{\frac{n}{2}}} \sigma_+ \sum_{n=1}^{\infty} \alpha_n' e^{-\frac{\pi^2 \lambda'}{3}} \right)$$

for s complex such that Res > 0 and, clearly,

$$A_{\rho}(x) = \frac{1}{2\pi i} \int_{a-i\infty}^{a+i\infty} \frac{e^{xs}\theta(s)}{s^{\rho+i}} ds$$

$$(a \in E_1, a > 0, \rho > 0)$$

we obtain

(18)
$$P_{p}(x) = \frac{x^{\frac{p}{4} + \frac{p}{2}}}{\sqrt{D} \cdot \prod_{i} M_{i} \pi^{p}} \sum_{n=1}^{\infty} \alpha'_{n} \frac{J_{\frac{n}{4} + p}(2\pi \sqrt{\chi'_{n} x})}{\chi'_{n}^{\frac{n}{4} + \frac{p}{2}}}$$

for $p > \frac{\hbar}{2}$ (similarly as Landau [2] pp.258-264 for integer $p > \frac{\hbar}{2}$).

The series on the right hand side is moreover absolutely and uniformly convergent for $(\mathcal{N}_1, \mathcal{N}_2, ..., \mathcal{N}_n) \in \mathcal{H}$ (still for $O > \frac{\kappa}{2}$). It implies

(19)
$$\int_{\mathcal{H}} P_{\rho}(x_{j}, \alpha_{j}) P_{\rho}(x_{j} - \alpha_{j}) dk_{j} dk_{j} dk_{j} \dots dk_{n} = \frac{x_{n}^{\frac{n}{2} + \rho}}{D_{j,n}^{\frac{n}{2}} M_{j} \pi^{\frac{n}{2} \rho}} \sum_{k=0}^{\infty} \alpha_{k}^{n} \frac{\mathcal{I}_{\frac{n}{2} + \rho}^{\frac{n}{2}}(2\pi \sqrt{x_{n}^{n} x})}{\lambda_{n}^{n} \frac{n^{\frac{n}{2} + \rho}}{2}},$$

because of

$$\int a'_{n} \overline{a'_{m}} dk_{i} dk_{j} ... dk_{n} = \begin{cases} a''_{n,j} \stackrel{\text{iff}}{\text{iff}} M_{j} & \text{for } m = m \\ 0 & \text{for } m + m \end{cases}$$

and the interchangeability of summation and integration.

The right hand side of (19) is a continuous function of the variable ρ for $\rho \geq 0$ (in the point 0 we mean the one-sided continuity) and holomorphic with respect to in the half-plane $Re \rho > 0$. We shall investigate the left side. Let \mathcal{L} be the set of all $(\mathcal{L}_1, \mathcal{L}_2, ..., \mathcal{L}_k) \in \mathcal{H}$ such that $\mathcal{L}(m_j M_j + \mathcal{L}_j) = \chi$ for suitable $m_1, m_2, ..., m_k$. Clearly $(\mathcal{L}_k(\mathcal{L})) = 0$. From (16) and (17) it follows that the function $P_{\rho}(\chi_j, x_j)$ and conclusively the integrand in (19) is continuous in the domain $\rho \geq 0$ and holomorphic with respect to ρ in the half-plane $Re \rho > 0$ for $(\mathcal{L}_1, \mathcal{L}_2, ..., \mathcal{L}_k) \in \mathcal{H} - \mathcal{L}$. Using the Lebesgue theorem we thus obtain that the function $(\rho_1 = Re \rho_1, \rho_2 = Im \rho_1)$

$$F(p) = F(p_1 + i p_2) = \int_{n}^{\infty} P_p(x_i, \alpha_i) P_p(x_i, \alpha_i) dl_1 dl_2 ... dl_n$$

is continuous for $\rho \geq 0$ and her derivatives $\frac{\partial F(\rho)}{\partial \rho_{*}} = \int_{\pi}^{\pi} \frac{\partial F_{0}(x; \alpha_{i}) F_{0}(x; -\alpha_{i})}{\partial \rho_{*}} db_{*} db_{2} \dots db_{k},$

$$\frac{\partial F(p)}{\partial p_2} = \int_{\Omega} \frac{\partial P_p(x; a_2) P_p(x; -\alpha_2)}{\partial p_2} db_1 db_2 \dots db_n$$

are continuous for $\rho_1 > 0$. Since the Cauchy-Riemann conditions hold (almost everywhere in $\mathcal H$) for the integrand in (19) they hold for the function F and thus the left side in (19) is holomorphic with respect to ρ in the half-plane $\operatorname{Re} \rho > 0$. Because (19) is proved for $\rho \in E_1$, $\rho > \frac{\mathcal L}{2}$ we can, using the theorem of uniqueness, state that (19) holds in the half-plane $\operatorname{Re} \rho > 0$ and we obtain (15) usin the limiting process $\rho \to 0_+$.

4. Applying (2) to (14) and the asymptotic properties of Bessel functions to (15) we are ready to state

$$\int |P(x)|^2 da_1 da_2 ... da_n X x^{\frac{R}{2}}$$

(21)
$$\int |P(x)|^2 dk_1 dk_2 ... dk_n = O(x^{\frac{n-1}{2}}), \int |P(x)|^2 dk_1 dk_2 ... dk_n = \Omega(x^{\frac{n}{2} - \frac{1}{2}})$$

Analogously as Kendall did in [1], we can now derive from (20) and (21) a series results using the well- nown lemma (see [4],p.345):

Lemma 4. Let Ut C En be a measurable set and let $f_m(t)$ (m=1,2,...) be a function measurable on \mathcal{U} . Let the series

$$\sum_{m=1}^{\infty} \int |f_m(t)|^2 d\epsilon$$

be convergent. Then there exists a set $\mathcal{L} \subset \mathcal{U}$, $(\mathcal{L}_{\kappa}(\mathcal{L}) = 0)$ such that

$$\lim_{n \to +\infty} f_n(t) = 0$$

tell-L. for any

If we put in this lemma $\mathcal{U} = \mathcal{D}\mathcal{I}$ or $\mathcal{U} = \mathcal{H}$,

$$f_n(\alpha_1, \alpha_2, ..., \alpha_n) = P(x_n) x_n^{\frac{1}{4}} \lambda^{-1}(x_n)$$

or

$$f_m\left(\mathcal{b}_1,\mathcal{b}_2,\ldots,\mathcal{b}_n\right) = P(x_m) \times_m^{-\frac{\alpha}{q}+\frac{4}{q}} \lambda^{-1}(x_m)$$

respectively, where $\mathcal{A}(x)$ is a positive function of x and { X } is an increasing sequence of positive real numbers,

$$\lim_{n \to +\infty} x_n = +\infty, \quad \sum_{n=1}^{\infty} \frac{1}{\lambda^2(x_n)} < +\infty$$

we can obtain from (20) and (21) by suitable choice of A(x)and { X } the following results:

Theorem 4. a) If an increasing sequence $i \times_n i$ of positive real numbers has a zero exponent of convergence then

(22)
$$P(x_n) = O(x_n^{\frac{4}{4}+8})$$
 $(P(x_n) = O(x_n^{\frac{4-4}{4}+8}), \text{ resp.})$

almost everywhere in \mathfrak{M} (\mathfrak{H} resp.) for $n \to +\infty$ and for any $\varepsilon > 0$ (the constants in 0 -relations are $c(\varepsilon, \{x_n\})$).

b) If $\lambda(x)$ is an arbitrary increasing positive function, $\lim_{x \to \infty} \lambda(x) = +\infty$, it is

$$\lim_{x \to +\infty} \inf \frac{|P(x)|}{x^{N_h} \lambda(x)} = 0 \quad (\lim_{x \to \infty} \inf \frac{|P(x)|}{x^{N_h - N_h} \lambda(x)} = 0, \text{ resp.})$$

almost everywhere in M (A resp.).

c) If the exponent of convergence of the sequence A_2 , λ_{a}, \dots is $2 \gamma^{a}$ it is

$$(23) \qquad P(x) = O(x^{\frac{4}{4} + g + \epsilon})$$

almost everywhere in \mathfrak{M} for any $\varepsilon > 0$ (the constants in 0 -relation are $c(\varepsilon)$).

Proof: The assertions a) and b) are obvious. Let $\varepsilon > 0$ be an arbitrary real number. Putting $x_n = \lambda_n$ and $\lambda(x) =$ = x *** we obtain

$$P(\lambda_n) = O(\lambda_n^{\frac{R}{4} + r + \epsilon})$$

almost everywhere in \mathcal{M} (for $n \to +\infty$). Because 0 = 1in \mathcal{M} only for $\alpha_j = 0$ $(j = 1, 2, ..., \kappa)$ we have also

$$P(\lambda_m) = A(\lambda_m) = O(\lambda_m^{\frac{n}{q} + \gamma + \epsilon})$$

slmost everywhere in \mathfrak{M} (for $n \to +\infty$) and thus (A(x) = $= P(x) = A(\lambda_n)$ for $\lambda_n \le x < \lambda_{n+1}$, $\sigma = 0$

$$P(x) = O(x^{\frac{n}{4} + 3^{n} + \epsilon})$$

almost everywhere in m ,i.e. (23).

A comparison of the two results (22) indicates that possible definitiveness of the exponent in (3) may be due to the nature of the system $\mathcal{V}_1, \mathcal{V}_2, \ldots, \mathcal{V}_K$.

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