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HOMOLOGICAL FIXED POINT THEOREMS III.

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Theorem 2 of [4] asserts the presence of a fixed point for some one of the iterates f, f^2, \dots, f^m of any continuous map $f: X \rightarrow X$, under rather strict restrictions on X (non-oddness, l.c.; one may then take $m = \chi(X)$). In some cases it may be useful to weaken the conditions on X but restrict the maps f considered. Indeed, some theorems of this type are already known: assume X triangulable; if $\chi(X) \neq 0$ and $f: X \rightarrow X$ is homotopic to the identity map, then f has a fixed point (a corollary to the Hopf-Lefschetz fixed point theorem); or, more generally, if $f: X \rightarrow X$ is homotopic to a retraction $X \rightarrow Y$ with $\chi(Y) \neq 0$, then again f has a fixed point (theorem 6 in [3]). The main result of this paper, theorem 4, is another result of this type. In particular, it is shown that if $f: X \rightarrow X$ is homotopic to a homeomorphism and $\chi(X) \neq 0$, then some iterate f^s has a fixed point (and an upper bound to s is given: corollary 5).

The terminology and notation of [3] are preserved. In particular, "group" means an abelian group G with fixed integrity domain \mathcal{J} as left operators, and with finite rank over \mathcal{J} (this rank will now be denoted by $\pi(G)$). A "group sequence" is a sequence $\{G_q\}$ of such groups with πG_q again of finite rank over \mathcal{J} . The Euler characteristic of $G = \{G_q\}$ is, as in [3], defined as

$$\chi(G) = \sum (-1)^q \pi(G_q).$$

For r, j, q we refer to definitions 1 to 3 in [3]. It seems useful to introduce the following notation

Definition 1. For a group sequence $G = \{G_q\}$ set

$$\mathfrak{e}(G) = \sum \pi(G_q).$$

Obviously $\mathfrak{e}(G) = \pi(\prod G_q)$, $\mathfrak{e}(G) \geq 0$, and $\mathfrak{e}(G) = 0$ iff all G_q are periodic; $\chi(G) \leq \mathfrak{e}(G)$, with equality iff all odd-indexed G_{2q+1} are periodic; $\mathfrak{e}(G) \pm \chi(G)$ are both even integers.

For triangulable spaces X (i.e. topological spaces with a finite triangulation), the homology sequence is denoted by $H_*(X) = \{H_q(X)\}$, the q -th Betti number by $\pi_q(X) = \pi(H_q(X))$; $f_*: H_*(X_1) \rightarrow H_*(X_2)$ denotes the homomorphism induced by a continuous $f: X_1 \rightarrow X_2$; and we define

$$\chi(X) = \chi(H_*(X)) = \sum (-1)^q \pi_q(X), \quad \mathfrak{e}(X) = \mathfrak{e}(H_*(X)) = \sum \pi_q(X).$$

In particular, $\chi(X) = \mathfrak{e}(X)$ iff X is non-odd (cf. the definition in [4, p.87]). (As another example, for compact 2-manifolds X , $\mathfrak{e}(X) - \chi(X) = 4 \times (\text{genus of } X)$.)

Lemma 2. If $f: G \rightarrow G$ is a homomorphism of a group G , then

$$j(f; \lambda) = \sum_{k=1}^n \frac{\lambda_k}{1 - \lambda \lambda_k}$$

with $n = \text{rank } \text{im } f \leq \pi(G)$, and $0 \neq \lambda_k$ in a root field over J . If $f: G \rightarrow G$ is a homomorphism of a group sequence G , then

$$(1) \quad \text{qli}(f; \lambda) = \sum_{k=1}^n \frac{\kappa_k \lambda_k}{1 - \lambda \lambda_k}$$

with distinct $\lambda_k \neq 0$, integers κ_k and

$$\sum_1^n \kappa_k = -\chi(\text{im } f), \quad n \leq \mathfrak{e}(G).$$

(Proof.) In the definition of $\mu(\cdot)$ as

$$\mu(f; \lambda) = \det(I - \lambda D^{-1}A)$$

obviously

$$\text{degree } \mu = \text{rank } D^{-1}A = \text{rank } A = \pi(\text{im } f).$$

Hence the decomposition of $\mu(\cdot)$ in its root field J_μ over J may be written as (cf. proof of theorem 2 in [3]; note that $\mu(f; 0) = \det I = 1$)

$$\mu(f; \lambda) = \prod_{k=1}^m (1 - \lambda \lambda_k)$$

with $0 \neq \lambda_k \in J_\mu$, $m = \pi(\text{im } f)$. Then $j = -\frac{d\mu}{d\lambda} / \mu$ yields the first assertion.

The second then results on applying the first to

$$g_{li}(f; \lambda) = \sum (-1)^k j(f_k; \lambda)$$

with, say,

$$j(f_2; \lambda) = \sum_{k=1}^{m_2} \frac{\lambda_{k,2}}{1 - \lambda \lambda_{k,2}}, \quad m_2 = \pi(\text{im } f_2) \leq \pi(G_2);$$

the integers n_k are then obtained by collecting equal summands. This concludes the proof.

Lemma 3. Let $f: G \rightarrow G$ be a homomorphism of a group sequence G , and let

$$g_{li}(f; \lambda) \sim \sum_0^\infty J(f^{n+1}) \lambda^n$$

be the formal power-series expansion as in [3, lemma 4]. If

$\chi(\text{im } f) \neq 0$ then $J(f^s) \neq 0$ for some s with $1 \leq s \leq \text{el}(G)$.

(Proof.) From (1) there follows easily

$$J(f^s) = \sum_{k=1}^n n_k \lambda_k^s,$$

with n, n_k, λ_k as indicated there.

Now consider $J(f^s) = 0$, $1 \leq s \leq n$, as a system of linear equations in unknowns n_k ; the determinant Δ of the system is then readily recognised as

$$\prod_{k=1}^n \lambda_k \times V(\dots \lambda_k \dots)$$

with V the Vandermonde determinant. Then $\Delta \neq 0$ since the λ_k 's are distinct and non-zero; hence all $\kappa_k = 0$ and in particular

$$0 = \sum_{k=1}^n \kappa_k = -\chi(im f).$$

This contradicts an assumption, and proves the assertion.

Remarks. Lemma 1 is obviously a result on the structure of the rational function g_{li} ; it implies, e.g., that

$$\lim_{\lambda \rightarrow \infty} \lambda g_{li}(f; \lambda) = -\chi(im f),$$

interpreting the limit as $\frac{1}{\lambda} g_{li}(f; \frac{1}{\lambda})$ at $\lambda = 0$.

In particular, $g_{li}(f; \lambda) \neq 0$ if $\chi(im f) \neq 0$, so that some $J(f^s) \neq 0$; lemma 3 then gives more information concerning this integer s .

(Obviously the proof of lemma 2 is an improved version of that used in [3, corollary 2] and [4, theorem 2].) These two lemmas form the algebraic apparatus of the following theorem.

Theorem 4. Let X, Y be triangulable spaces, $\chi(Y) \neq 0$ and let f, g be continuous maps with

$$f: X \rightarrow Y, \quad g: Y \rightarrow X,$$

(2) f_x onto, $ker g_x = 0$.

Then the map $gf: X \rightarrow X$ has some iterate $(gf)^s$ with a fixed point, and $1 \leq s \leq \alpha(Y)$.

(Proof.) There is

$$im (gf)_x = g_x(im f_x) = im g_x \approx H_x(Y)$$

by assumption on f_x, g_x ; hence

$$\chi(im (gf)_x) = \chi(Y) \neq 0.$$

Our assertion then follows immediately from lemma 2 and the Hopf-Lefschetz theorem (applied to $(gf)^s$; or from [3, theorem 5] with $Y = \emptyset$).

Corollary 5. Let X be triangulable with $\chi(X) \neq 0$, and let $f: X \rightarrow X$ be homotopic (or homologous) to a homeomorphism of X (or, more generally, assume that f_* is either $1 - 1$ or maps onto). Then some iterate f^s , $1 \leq s \leq \infty(X)$, has a fixed point.

(Proof: for the second map take the identity of X .)

Remark. Possibly it is not apparent that corollary to theorem 5 [3, p.28] is a special case of the preceding assertion. Indeed, let $X = S^{2n}$, so that $\chi(X) = \infty(X) = 2$; and let $f: S^{2n} \rightarrow S^{2n}$ be continuous. Now either $J(f) \neq 0$, and f has a fixed point by the Hopf-Lefschetz theorem. Or $J(f) = 0$; but then $\text{degree } f = -1$ and f_* is an isomorphism, so that, by corollary 5, f^2 has a fixed point.

There is an obvious obstacle to direct application of theorem 4: it is difficult to verify conditions (2) (except for homeomorphisms, where this is trivially true; however, see the preceding remark). To illustrate, consider maps $E^1 \rightarrow S^1$. Evidently, there are even local homeomorphisms onto; however, no $f: E^1 \rightarrow S^1$ has f_* mapping onto, nor does any $g: S^1 \rightarrow E^1$ have $\ker g_* = 0$ (merely consider the homology groups). We shall now exhibit a class of maps satisfying (2).

Definition 6. Given a category, a morphism f is termed κ -invertible if $ff' = 1$; a unit morphism, for some (associated) morphism f' ; the dual concept is ℓ -invertibility.

Thus, if $ff' = 1$, then f is κ -invertible and f' ℓ -invertible. As an example in the category of topological spaces, an inclusion map $Y \subset X$ is ℓ -invertible iff Y is a retract of X . Each invertible morphism is κ - and

ℓ -invertible; conversely, an κ - and ℓ -invertible morphism (or, more generally, an κ -invertible monomorphism) is invertible. The composition of κ -invertibles is κ -invertible, so that, in particular, a morphism equivalent to an κ -invertible is itself κ -invertible.

From $ff' = 1$ it follows that f is epimorphic; more generally, for every admissible covariant functor F , $F(f)$ is κ -invertible and hence epimorphic. In particular, on taking for F the homology functor,

Remark 7. In the category of triangulable spaces, if f is κ -invertible and g ℓ -invertible, then f_* maps onto and $\ker g_* = 0$.

It is now seen that our invertibility conditions are rather brutal: we only need (2), but use a condition entirely independent of the structure of the homology functor. The following condition characterises κ -invertible maps of compact topological spaces.

Lemma 8. Let $f: X \rightarrow Y$ be a continuous map of Hausdorff spaces. If f is κ -invertible, there exists in X a closed section to the relation $fx = fy$; if X is compact, this latter condition is also sufficient.

(Proof.) Let $ff' = id_Y$ with $f': Y \rightarrow X$ continuous. Then $im f'$ is easily shown to be a section [1, p.78] to the relation $fx = fy$ in X ; it is readily verified that $im f'$ is the set of fixed points of $ff': X \rightarrow X$, and hence closed if X is separated.

Conversely, let F be a compact section to the indicated relation; then one may prove directly that $f' = (f|_F)^{-1}$; $f': Y \rightarrow X$ is single-valued and continuous, and obviously then $ff' = id_Y$.

Proposition 9. Let X, Y be triangulable, $\chi(Y) \neq 0$; let $f: X \rightarrow Y$ be κ -invertible, $g: Y \rightarrow X$ ℓ -invertible. Then, for some s with $1 \leq s \leq \infty(Y)$, $(gf)^s$ has a fixed point.

(Proof: lemma 7 and theorem 4.)

Corollary 10. Let X, Y be triangulable, $\chi(Y) \neq 0$,

and let $f, g: X \rightarrow Y$ be π -invertible; then there exist points $\{x_k\}_1^s$ in P , $1 \leq s \leq \infty(Y)$, such that

$$fx_k = gx_{k+1} \quad (1 \leq k < s), \quad fx_s = gx_1.$$

(Proof. Let $gg' = id_Y$; apply prop.9, obtaining a fixed point x_1 of $(g'f)^s$; define $x_{k+1} = g'fx_k$.)

In particular, for $s = 1$ there results a "coincidence theorem" as suggested in [4, p.91]:

Corollary 11. If $f, g: X \rightarrow Y$ are π -invertible, X, Y triangulable and Y homologically point-like (e.g. $Y = E^n$), then $fx = gx$ for some $x \in X$.

Corollary 12. If X is triangulable, $f: X \rightarrow Y$ π -invertible, with Y a retract of X and $\chi(Y) \neq 0$, then some iterate f^s has a fixed point, $1 \leq s \leq \infty(Y)$.

(Proof: apply prop. 9 with $g = j: Y \subset X$ the inclusion map, ℓ -invertible since Y is a retract; obviously $j(f(x)) = f(x)$.)

Remark 13. In assertions 9-12, the maps f, g may be replaced by homotopic (or homologous) maps.

Further applications of theorem 4 will be given in a forthcoming paper on flows.

CORRECTIONS to preceding papers. The second displayed formula in corollary 1, [3, p.20], should end with ... = - rank G . In [3, p.29], 11th line from below, replace $g: Y \rightarrow Y$ by $g: X \rightarrow Y$.

In [4, p.89], 10th line from below, replace $\chi(T)$ by $\chi(X)$; two lines further down, the upper limit of summation should read $\chi(X) - 1$. On p.91, lines 2-3 from above, the sentence "If f itself ... holds" should be deleted completely.

R e f e r e n c e s

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