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THE LATTICE OF EQUATIONAL THEORIES
PART IV: EQUATIONAL THEORIES OF FINITE ALGEBRAS

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0. INTRODUCTION

This paper is a continuation of [1], [2] and [3].

The lattice \mathcal{L}_Δ of equational theories of type Δ is antiisomorphic to the lattice of varieties of Δ -algebras. The variety, corresponding to an equational theory T , is denoted by $\text{Mod}(T)$; its elements are called models of T . If K is any class of Δ -algebras, then $\text{Eq}(K)$ denotes the equational theory corresponding to the variety $\text{HSP}(K)$ (the variety generated by K). For any algebra A put $\text{Eq}(A) = \text{Eq}(\{A\})$; this equational theory is called the equational theory of A ; it is just the set of equations satisfied in the algebra A .

In this paper we shall be interested in the equational theories of finite algebras. Our aim is to prove that for any type Δ , the set of the equational theories of finite Δ -algebras is definable in the lattice \mathcal{L}_Δ and that in the case of a finite type Δ , the equational theory of any finite Δ -algebra is definable up to automorphisms in \mathcal{L}_Δ . This will answer a problem formulated by George McNulty.

For this purpose, we shall have to find a suitable encoding of finite algebras in \mathcal{L}_Δ . The formulas ψ_{30} and ψ_{45} , the two most important formulas discovered in [3], enable us to carry most of the work over from \mathcal{L}_Δ to the lattice \mathcal{F}_Δ of full sets of Δ -terms. And so instead of in \mathcal{L}_Δ we shall encode the algebras in \mathcal{F}_Δ . We shall not confine ourselves to finite algebras: in the case of a strictly large type Δ all algebras of cardinality $\leq \text{Max}(\aleph_0, \text{Card}(\Delta))$ will be encoded, while in the case of a large but not strictly large type the same will be done for the algebras of cardinality $\leq \text{Max}(\aleph_0, \text{Card}(\Delta \setminus \Delta_0))$ only.

For the terminology and notation see [1], [2] and [3].

Algebras are often identified with their underlying sets. If A is a Δ -algebra and $F \ \Delta$ is a symbol of an arity n , then the corresponding n -ary operation in A will be denoted by F_A .

Most of the lemmas are without proof; they are either evident or follow easily from the preceding ones.

I would like to correct one wrong place in Section 5 of [2]: the definition of the

formula φ_{37} should be replaced by

$$\begin{aligned} \varphi_{37}(X_1, X_2, Y, A, B \equiv & \varphi_{33}(X_1, X_2, Y) \& (\exists Z(\varphi_{33}(X_1, X_2, Z) \& \\ & \& Y \neq Z \& \varphi_{36}(X_1, X_2, Z, A, B))) \text{VEL} \exists U, A_0, B_0(\alpha_0(U) \& \\ & \& U \ll A_0 \& U \ll B_0 \& \varphi_8(A_0, A) \& \varphi_8(B_0, B) \& A_0 \ll B_0)). \end{aligned}$$

1. STRICTLY LARGE TYPES

Throughout this section let Δ be a strictly large type.

Let $(F, i) \in \Delta^{(2)}$. The notion of an (F, i) -codelement is defined as follows:

- (1) if Δ is finite, then (F, i) -codelements are the elements of \mathcal{F}_Δ of the form $(K_x(t))^*$ where $x \in V$ and $t \in x \begin{bmatrix} k \\ F, i \end{bmatrix} \begin{bmatrix} 1 \\ F, j \end{bmatrix}$ for some $k \geq 2$ and some $j \in \{1, \dots, n_F\} \setminus \{i\}$;
- (2) if Δ is infinite and contains at least one nullary symbol, then (F, i) -codelements are elements of \mathcal{F}_Δ of the form $(G(C_1, \dots, C_{n_G}))^*$ where $G \in \Delta \setminus \Delta_0$ and $C_1, \dots, C_{n_G} \in \Delta_0$;
- (3) if Δ is infinite and contains no nullary symbols, then (F, i) -codelements are elements of \mathcal{F}_Δ of the form $(G(x, x, \dots, x))^*$ where $G \in \Delta$ and $x \in V$.

The set of (F, i) -codelements is denoted by $\text{CEL}_{F,i}$.

1.1. Lemma. *Let $(F, i) \in \Delta^{(2)}$. Then $\text{CEL}_{F,i}$ is a set of pairwise uncomparable elements of \mathcal{F}_Δ ; we have $\text{Card}(\text{CEL}_{F,i}) = \text{Max}(\aleph_0, \text{Card}(\Delta))$.*

Let $(F, i) \in \Delta^{(2)}$; let $G \in \Delta$ and let A_1, \dots, A_{n_G}, A be (F, i) -codelements. For every variable x there exists a unique pair a, b of terms such that $\text{var}(a) \cup \text{var}(b) \subseteq \{x\}$, $b^* = A$ and $a = G(a_1, \dots, a_{n_G})$ where $a_1^* = A_1, \dots, a_{n_G}^* = A_{n_G}$. The element $H_{F,i}(a, b)$ of \mathcal{F}_Δ (which does not depend on the choice of x) will be denoted by $[G, A_1, \dots, A_{n_G}, A]_{F,i}$. The elements of \mathcal{F}_Δ of this form will be called (F, i) -definators.

1.2. Lemma. *Let $(F, i) \in \Delta^{(2)}$. If $[G, A_1, \dots, A_{n_G}, A]_{F,i}$ and $[H, B_1, \dots, B_{n_H}, B]_{F,i}$ are two (F, i) -definators and $[G, A_1, \dots, A_{n_G}, A]_{F,i} \leq [H, B_1, \dots, B_{n_H}, B]_{F,i}$ then $G = H, A_1 = B_1, \dots, A_{n_G} = B_{n_H}$ and $A = B$.*

Proof. As in the definition of codelements, it is necessary to distinguish three cases. However, each of them is easy.

For every $U \in \mathcal{F}_\Delta$ put $I^*(U) = \{t^*; t \in I(U)\}$.

By an (F, i) -codset we mean an element S of \mathcal{F}_Δ such that every element of $I^*(S)$ is an (F, i) -codelement. Elements of $I^*(S)$ are called (F, i) -codelements of S . There is a natural one-to-one correspondence between (F, i) -codsets and subsets of $\text{CEL}_{F,i}$. The union of the sets in $\text{CEL}_{F,i}$ is the largest (F, i) -codset, while the empty set is the least (F, i) -codset.

By an (F, i) -codalgebra we mean a pair S, R of elements of \mathcal{F}_Δ satisfying the following three conditions:

- (1) S is a non-empty (F, i) -codset;

(2) every element of $I^*(R)$ is an (F, i) -definitor of the form $[G, A_1, \dots, A_{n_G}, A]_{F,i}$ where $G \in \Delta$ and $A_1, \dots, A_{n_G}, A \in I^*(S)$;

(3) for every $G \in \Delta$ and every $A_1, \dots, A_{n_G} \in I^*(S)$ there exists exactly one (F, i) -codelement A such that $[G, A_1, \dots, A_{n_G}, A]_{F,i} \in I^*(R)$.

Given an (F, i) -codalgebra S, R , we can define an algebra Q of type Δ with the underlying set $I^*(S)$ as follows: if $G \in \Delta$ and $A_1, \dots, A_{n_G} \in I^*(S)$ then $G_Q(A_1, \dots, A_{n_G}) = A$ where A is the only (F, i) -codelement with $[G, A_1, \dots, A_{n_G}, A]_{F,i} \in I^*(R)$. This algebra Q is said to be the Δ -algebra corresponding to the (F, i) -codalgebra S, R .

1.3. Lemma. *Let $(F, i) \in \Delta^{(2)}$. Every Δ -algebra whose underlying set is a subset of $\text{CEL}_{F,i}$ corresponds to exactly one (F, i) -codalgebra. Consequently, a Δ -algebra Q is isomorphic to a Δ -algebra corresponding to an (F, i) -codalgebra, iff $\text{Card}(Q) \leq \leq \text{Max}(\aleph_0, \text{Card}(\Delta))$.*

Proof. Lemma follows from 1.2 and the definitions.

Definition. (i) $\chi_1(X, Y, Z, U) \equiv \varphi_{53}(X, U) \& Y \leq U \& Z \leq U \& \neg \omega_1(Y) \& \neg \omega_1(Z) \& \exists A, B, C(\varphi_{56}(X, A, Y) \& \varphi_{56}(X, B, Z) \& \varphi_{56}(X, C, U) \& \varphi_{59}(X, A, C) \& \varphi_{61}(X, C, B) \& \forall Z_1, U_1, Z_2, U_2((\varphi_{60}(X, A, Z_1, U_1) \& \varphi_{60}(X, B, Z_2, U_2)) \rightarrow U_1 \neq U_2))$.

(ii) $\chi_2(X, Y, Z, U) \equiv \varphi_{53}(X, Y) \& Y \leq U \& Z \leq U \& (\omega_1(Y) \rightarrow U = Z) \& (\omega_1(Z) \rightarrow U = Y) \& ((\neg \omega_1(Y) \& \neg \omega_1(Z)) \rightarrow (\chi_1(X, Y, Z, U) \& \forall U_1(\chi_1(X, Y, Z, U_1) \rightarrow U \leq U_1)))$.

(iii) $\chi_3(X, Y, A, B, Z) \equiv \exists U_1, U_2, U, C, D(\varphi_{60}(X, Y, A, U_1) \& \varphi_{60}(X, Y, B, U_2) \& \chi_2(X, A, C, B) \& C < D \& \varphi_{59}(X, U, Y) \& \varphi_{56}(X, U, B) \& \varphi_{61}(X, U, Z) \& \varphi_{56}(X, Z, D))$.

(iv) $\chi_4(X, Y, A, B, Z) \equiv \exists U(\chi_3(X, Y, A, B, U) \& \varphi_{69}(X, U, Z))$.

(v) $\chi_5(X, Y, A, B) \equiv \exists Z(\chi_4(X, Y, A, B, Z) \& \varphi_{72}(X, Z))$.

(vi) $\chi_6(X, Y, Z) \equiv \exists A, B, C, U_1, U_2, U_3, U_4, U(\varphi_{69}(X, A, Y) \& \varphi_4(Z) \& \varphi_3(B, X) \& \varphi_3(B, C) \& X \neq C \& \varphi_{64}(X, X, U_1) \& \varphi_{64}(X, C, U_2) \& \varphi_{65}(X, U_1, C, U_3) \& \varphi_{65}(X, U_3, Z, U_4) \& \varphi_{68}(X, Y, U_2, U_4, U))$.

(vii) $\chi_7(X, Y) \equiv \exists U_1, U_2(\varphi_{56}(X, Y, U_1) \& U_1 < U_2 \& \forall Z, P, Q, R((\varphi_{56}(X, Z, U_2) \& \varphi_{59}(X, Y, Z) \& \chi_4(X, Z, U_1, U_2, P) \& \varphi_{69}(X, P, Q) \& \chi_6(X, Q, R)) \rightarrow \exists U_3(U_3 \leq U_1 \& \chi_5(X, Z, U_3, U_2))))$.

(viii) $\chi_8(X, Y) \equiv \chi_7(X, Y) \& \forall Z(\varphi_{59}(X, Z, Y) \rightarrow \chi_7(X, Z))$.

(ix) $\chi_9(X, Y, A, B, C) \equiv \exists Z(\chi_4(X, Y, A, B, Z) \& \chi_6(X, Z, C))$.

(x) $\chi_{10}(X, Y_1, Y_2) \equiv \exists Z, U_1, U_2(\varphi_{56}(X, Y_1, Z) \& \varphi_{56}(X, Y_2, Z) \& \varphi_{60}(X, Y_1, Z, U_1) \& \varphi_{60}(X, Y_2, Z, U_2) \& (\alpha_0(U_1) \rightarrow U_1 = U_2) \& \forall A, C(\chi_9(X, Y_1, A, Z, C) \rightarrow \chi_9(X, Y_2, A, Z, C)))$.

(xi) $\chi_{11} \equiv \exists A(\tau(A) \& \forall Z(\alpha(Z) \rightarrow Z \leq A))$.

(xii) $\chi_{12}(X, Y) \equiv (\chi_{11} \rightarrow \exists Z, U, X_1, A(\varphi_{53}(X, Z) \& X \leq Z \& \varphi_{29}(X_1, Z, U) \&$

$\& X \neq X_1 \& A < X \& A < X_1 \& \varphi_9(U, Y)) \& ((\neg \chi_{11} \& \exists A \alpha_0(A)) \rightarrow$
 $\rightarrow (\exists Z(\bar{\alpha}_1(Z) \& \varphi_8(Y, Z)) \& \forall U(\varphi_{31}(Y, U) \rightarrow Y = U)) \& ((\neg \chi_{11} \& \neg \exists A \alpha_0(A)) \rightarrow$
 $\rightarrow \exists Z(\alpha(Z) \& \varphi_9(Z, Y))).$

(xiii) $\chi_{13}(X, Y, A, B) \equiv \exists U, U_0, C_1, C_2(\varphi_{56}(X, U, C_2) \& X \leq C_1 \& C_1 < C_2 \&$
 $\& \chi_8(X, U) \& \varphi_{60}(X, U, C_2, A) \& \varphi_{60}(X, U, C_1, B) \& \chi_3(X, U, X, C_2, U_0) \&$
 $\& \chi_7(X, U_0) \& \neg \omega_1(A) \& \forall P, Q((\varphi_{60}(X, U_0, P, Q) \& P \neq C_1) \rightarrow \chi_{12}(X, Q)) \&$
 $\& \chi_4(X, U, C_1, C_2, Y)).$

(xiv) $\chi_{14}(X, Y) \equiv \exists U \varphi_{53}(X, U) \& \forall Z(\varphi_1(Z, Y) \rightarrow \chi_{12}(X, Z)).$

(xv) $\chi_{15}(X, Y, Z) \equiv \chi_{14}(X, Y) \& \exists Y_1, B \chi_{13}(X, Y_1, Z, B) \& \forall U(\varphi_{32}(X, U, Z) \rightarrow$
 $\rightarrow \varphi_1(U, Y)).$

(xvi) $\chi_{16}(X, S, R) \equiv \chi_{14}(X, S) \& \neg \omega_0(S) \& \forall Z(\varphi_1(Z, R) \rightarrow$
 $\rightarrow \exists A, B(\chi_{13}(X, Z, A, B) \& \chi_{15}(X, S, A) \& \varphi_1(B, S))) \& \forall A(\chi_{15}(X, S, A) \rightarrow$
 $\rightarrow \exists!! B \exists Z(\chi_{13}(X, Z, A, B) \& \varphi_1(Z, R))).$

(xvii) $\chi_{17}(X, S, R, Y, Z) \equiv \chi_{16}(X, S, R) \& \chi_8(X, Y) \& \exists P(\varphi_{56}(X, Y, P) \&$
 $\& \varphi_{56}(X, Z, P)) \& \forall P, Q(\varphi_{60}(X, Z, P, Q) \rightarrow \varphi_1(Q, S)) \& ((\chi_{11} \text{ VEL } \neg \exists U \alpha_0(U)) \rightarrow$
 $\rightarrow \forall P_1, P_2, C(\chi_4(X, Z, P_1, P_2, C) \rightarrow \neg \varphi_{62}(X, C))) \& \forall P_1, P_2(\chi_5(X, Y, P_1, P_2) \rightarrow$
 $\rightarrow \exists Q(\varphi_{60}(X, Z, P_1, Q) \& \varphi_{60}(X, Z, P_2, Q))) \& \forall P, Q((\varphi_{60}(X, Y, P, Q) \&$
 $\& \neg \omega_1(Q)) \rightarrow \exists Y_1, Z_1, P_1, D, A, B, Z_2(\varphi_{59}(X, Y_1, Y) \& \varphi_{56}(X, Y_1, P) \&$
 $\& \varphi_{59}(X, Z_1, Z) \& \varphi_{56}(X, Z_1, P_1) \& P_1 < P \& \varphi_1(D, R) \& \chi_{13}(X, D, A, B) \&$
 $\& \varphi_{56}(X, Z_2, P) \& \varphi_{59}(X, Z_1, Z_2) \& \varphi_{60}(X, Z_2, P, A) \& \chi_{10}(X, Y_1, Z_2) \&$
 $\& \varphi_{60}(X, Z, P, B))).$

(xviii) $\chi_{18}(X, S, R, U) \equiv \chi_{16}(X, S, R) \& \exists U_1 \varphi_{69}(X, U_1, U) \&$
 $\& \forall Y, Z((\chi_{17}(X, S, R, Y, Z) \& \varphi_{61}(X, Y, U)) \rightarrow \exists P, P_1, Q(\varphi_{56}(X, Y, P) \& P_1 <$
 $< P \& \varphi_{60}(X, Z, P_1, Q) \& \varphi_{60}(X, Z, P, Q))).$

1.4. Lemma. Let Δ be a strictly large type. Then:

(i) $\chi_1(X, Y, Z, U)$ in \mathcal{F}_Δ iff there are $(F, i) \in \Delta^{(2)}$, $x \in V$, integers $k, m, n \geq 1$
and terms $a \in x \begin{bmatrix} k \\ F, i \end{bmatrix}$, $b \in x \begin{bmatrix} m \\ F, i \end{bmatrix}$, $c \in x \begin{bmatrix} n \\ F, i \end{bmatrix}$ such that $X = (F, i)^*$, $Y = a^*$,
 $Z = b^*$, $U = c^*$ and $n \geq k + m$.

(ii) $\chi_2(X, Y, Z, U)$ in \mathcal{F}_Δ iff there are $(F, i) \in \Delta^{(2)}$, $x \in V$, integers $k, m \geq 0$ and
terms $a \in x \begin{bmatrix} k \\ F, i \end{bmatrix}$, $b \in x \begin{bmatrix} m \\ F, i \end{bmatrix}$, $c \in x \begin{bmatrix} k+m \\ F, i \end{bmatrix}$ such that $X = (F, i)^*$, $Y = a^*$, $Z = b^*$
and $U = c^*$.

(iii) $\chi_3(X, Y, A, B, Z)$ in \mathcal{F}_Δ iff there are $(F, i) \in \Delta^{(2)}$, $x \in V$, a finite sequence
 a_1, \dots, a_n of terms, two integers k, m ($1 \leq k \leq m \leq n$) and terms $a \in x \begin{bmatrix} k \\ F, i \end{bmatrix}$,
 $b \in x \begin{bmatrix} m \\ F, i \end{bmatrix}$ such that $X = (F, i)^*$, $Y = H_{F,i}(a_1, \dots, a_n)$, $A = a^*$, $B = b^*$ and
 $Z = H_{F,i}(a_k, \dots, a_m)$.

(iv) $\chi_4(X, Y, A, B, Z)$ in \mathcal{F}_Δ iff there are $(F, i) \in \Delta^{(2)}$, $x \in V$, a finite sequence

a_1, \dots, a_n of terms, two integers k, m ($1 \leq k < m \leq n$) and terms $a \in x \begin{bmatrix} k \\ F, i \end{bmatrix}$, $b \in x \begin{bmatrix} m \\ F, i \end{bmatrix}$ such that $X = (F, i)^*$, $Y = H_{F,i}(a_1, \dots, a_n)$, $A = a^*$, $B = b^*$ and $Z = H_{F,i}(a_m, a_k)$.

(v) $\chi_5(X, Y, A, B)$ in \mathcal{F}_Δ iff there are $(F, i) \in \Delta^{(2)}$, $x \in V$, a finite sequence a_1, \dots, a_n of terms, two integers k, m ($1 \leq k < m \leq n$) and terms $a \in x \begin{bmatrix} k \\ F, i \end{bmatrix}$, $b \in x \begin{bmatrix} m \\ F, i \end{bmatrix}$ such that $X = (F, i)^*$, $Y = H_{F,i}(a_1, \dots, a_n)$, $A = a^*$, $B = b^*$ and $a_k = a_m$.

(vi) $\chi_6(X, Y, Z)$ in \mathcal{F}_Δ iff there are $(F, i) \in \Delta^{(2)}$, $(G, j) \in \Delta^{(1)}$ and two terms a, b such that $X = (F, i)^*$, $Z = (G, j)^*$, $Y = H_{F,i}(a, b)$ and $a = G(b_1, \dots, b_{n_G})$ for some terms b_1, \dots, b_{n_G} with $b_j = b$.

(vii) $\chi_7(X, Y)$ in \mathcal{F}_Δ iff there are $(F, i) \in \Delta^{(2)}$ and a finite sequence a_1, \dots, a_n of terms such that $X = (F, i)^*$, $Y = H_{F,i}(a_1, \dots, a_n)$ and the following is true: if $a_n = G(b_1, \dots, b_{n_G})$ then $b_1, \dots, b_{n_G} \in \{a_1, \dots, a_{n-1}\}$.

(viii) $\chi_8(X, Y)$ in \mathcal{F}_Δ iff there are $(F, i) \in \Delta^{(2)}$ and a finite sequence a_1, \dots, a_n of terms such that $X = (F, i)^*$, $Y = H_{F,i}(a_1, \dots, a_n)$ and the following is true: whenever $a_j = G(b_1, \dots, b_{n_G})$ then $b_1, \dots, b_{n_G} \in \{a_1, \dots, a_{j-1}\}$.

(ix) $\chi_9(X, Y, A, B, C)$ in \mathcal{F}_Δ iff there are $(F, i) \in \Delta^{(2)}$, $(G, j) \in \Delta^{(1)}$, $x \in V$, a finite sequence a_1, \dots, a_n of terms, two integers k, m ($1 \leq k < m \leq n$) and terms $a \in x \begin{bmatrix} k \\ F, i \end{bmatrix}$, $b \in x \begin{bmatrix} m \\ F, i \end{bmatrix}$ such that $X = (F, i)^*$, $Y = H_{F,i}(a_1, \dots, a_n)$, $A = a^*$, $B = b^*$, $C = (G, j)^*$ and $a_m = G(b_1, \dots, b_{n_G})$ for some terms b_1, \dots, b_{n_G} with $b_j = a_k$.

(x) $\chi_{10}(X, Y_1, Y_2)$ in \mathcal{F}_Δ iff there are $(F, i) \in \Delta^{(2)}$ and two finite sequence a_1, \dots, a_n , b_1, \dots, b_n of terms such that $X = (F, i)^*$, $Y_1 = H_{F,i}(a_1, \dots, a_n)$, $Y_2 = H_{F,i}(b_1, \dots, b_n)$ and the following is true: if $a_n = G(a_{i_1}, \dots, a_{i_k})$ where $k = n_G$ and $i_1, \dots, i_k \in \{1, \dots, n-1\}$ then $b_n = G(b_{i_1}, \dots, b_{i_k})$.

(xi) χ_{11} in \mathcal{F}_Δ iff Δ is finite.

(xii) $\chi_{12}(X, Y)$ in \mathcal{F}_Δ iff there is an $(F, i) \in \Delta^{(2)}$ such that $X = (F, i)^*$ and Y is an (F, i) -codelement.

(xiii) $\chi_{13}(X, Y, A, B)$ in \mathcal{F}_Δ iff there are $(F, i) \in \Delta^{(2)}$ and terms a, b such that $X = (F, i)^*$, $A = a^*$, $B = b^*$ and $Y = H_{F,i}(a, b)$ is an (F, i) -definitor.

(xiv) $\chi_{14}(X, Y)$ in \mathcal{F}_Δ iff $X = (F, i)^*$ for some $(F, i) \in \Delta^{(2)}$ and Y is an (F, i) -codset.

(xv) $\chi_{15}(X, Y, Z)$ in \mathcal{F}_Δ iff there are $(F, i) \in \Delta^{(2)}$ and an (F, i) -definitor $H_{F,i}(a, b) = [G, A_1, \dots, A_{n_G}, A]_{F,i}$ such that $X = (F, i)^*$, Y is an (F, i) -codset, $Z = a^*$ and $A_1, \dots, A_{n_G} \in I^*(Y)$.

(xvi) $\chi_{16}(X, S, R)$ in \mathcal{F}_Δ iff $X = (F, i)^*$ for some $(F, i) \in \Delta^{(2)}$ and S, R is an (F, i) -codalgebra.

(xvii) $\chi_{17}(X, S, R, Y, Z)$ in \mathcal{F}_Δ iff there are $(F, i) \in \Delta^{(2)}$ and two finite sequences a_1, \dots, a_n , b_1, \dots, b_n of terms such that $X = (F, i)^*$, S, R is an (F, i) -codalgebra, $Y = H_{F,i}(a_1, \dots, a_n)$, $Z = H_{F,i}(b_1, \dots, b_n)$ and the following are true: whenever

$a_j = G(d_1, \dots, d_{n_G})$ then $d_1, \dots, d_{n_G} \in \{a_1, \dots, a_{j-1}\}$; $\text{Card}(\text{var}(b_1) \cup \dots \cup \text{var}(b_n)) \leq 1$; there exists a homomorphism h of the Δ -algebra W_Δ into the Δ -algebra corresponding to S, R such that $h(a_1) = b_1^*, \dots, h(a_n) = b_n^*$.

(xviii) $\chi_{18}(X, S, R, U)$ in \mathcal{F}_Δ iff there are $(F, i) \in \Delta^{(2)}$ and an equation (a, b) such that $X = (F, i)^*$, S, R is an (F, i) -codalgebra, $U = H_{F,i}(a, b)$ and (a, b) is satisfied in the Δ -algebra corresponding to S, R .

Definition. (i) $\chi_{19}(X, S, R, T) \equiv \chi_{16}^e(X, S, R) \ \& \ \forall A, B(\psi_{30}(X, A, B) \rightarrow (B \leq T \leftrightarrow \chi_{18}^e(X, S, R, A)))$.

(ii) $\chi_{20}(X, S, R, T) \equiv \exists U(\chi_{19}(X, S, R, U) \ \& \ T \leq U)$.

(iii) $\chi_{21}(T) \equiv \exists X, S, R, A(\chi_{20}(X, S, R, T) \ \& \ \tau^e(A) \ \& \ \forall U(\varphi_1^e(U, S) \rightarrow A \leq U))$.

1.5. Lemma. Let Δ be a strictly large type. Then:

(i) $\chi_{19}(X, S, R, T)$ in \mathcal{L}_Δ iff there are $(F, i) \in \Delta^{(2)}$ and an (F, i) -codalgebra S_0, R_0 such that $X = Z((F, i)^*)$, $S = Z(S_0)$, $R = Z(R_0)$ and T is the equational theory of the Δ -algebra corresponding to S_0, R_0 .

(ii) $\chi_{20}(X, S, R, T)$ in \mathcal{L}_Δ iff there are $(F, i) \in \Delta^{(2)}$ and an (F, i) -codalgebra S_0, R_0 such that $X = Z((F, i)^*)$, $S = Z(S_0)$, $R = Z(R_0)$ and the Δ -algebra corresponding to S_0, R_0 is a model of the equational theory T .

(iii) $\chi_{21}(T)$ in \mathcal{L}_Δ iff T is the equational theory of a finite Δ -algebra.

Now let Δ be a finite, strictly large type. For every finite Δ -algebra A we shall construct a formula $f_A(T)$ with one free variable T in the following way: Denote by n the cardinality of A , by m the cardinality of Δ and put $A = \{a_1, \dots, a_n\}$ and $\Delta = \{F_1, \dots, F_m\}$. Denote by M the set of finite sequences $s = (F_i, a_{i_1}, \dots, a_{i_{k+1}})$ such that $i \in \{1, \dots, m\}$, k is the arity of F_i , $i_1, \dots, i_{k+1} \in \{1, \dots, n\}$ and $F_i(a_{i_1}, \dots, a_{i_k}) = a_{i_{k+1}}$ holds in the algebra A . For every $s = (F_i, a_{i_1}, \dots, a_{i_{k+1}}) \in M$ such that $k \geq 1$ put

$$g_s \equiv \exists D, U(\varphi_1^e(D, R) \ \& \ \chi_{13}^e(X, D, U, X_{i_{k+1}}) \ \& \ \varphi_{32}^e(Y_{i,1}, X_{i_1}, U) \ \& \ \varphi_{32}^e(Y_{i,k}, X_{i_k}, U)).$$

For every $s = (F_i, a_j) \in M$ such that F_i is nullary put

$$g_s \equiv \exists D(\varphi_1^e(D, R) \ \& \ \chi_{13}^e(X, D, Y_i, X_j)).$$

Denote by g the conjunction of the formulas g_s ($s \in M$). For every $i \in \{1, \dots, m\}$ such that F_i is of an arity $k \geq 1$ put

$$h_i \equiv \varphi_3^e(Y_i, Y_{i,1}) \ \& \ \dots \ \& \ \varphi_3^e(Y_i, Y_{i,k}).$$

For every $i \in \{1, \dots, m\}$ such that F_i is nullary put

$$h_i \equiv \alpha_0^e(Y_i).$$

Finally, put

$$\begin{aligned} f_A(T) &\equiv \exists X, S, R \exists (X_1, \dots, X_n)^\# \\ &\exists (Y_1, \dots, Y_m, Y_{1,1}, \dots, Y_{1,n_{F_1}}, \dots, Y_{m,1}, \dots, Y_{m,n_{F_m}})^\# \\ &(\chi_{19}(X, S, R, T) \ \& \ \forall U(\varphi_1^e(U, S) \leftrightarrow \\ &\leftrightarrow (U = X_1 \text{ VEL } \dots \text{ VEL } U = X_n)) \ \& \ h_1 \ \& \ \dots \ \& \ h_m \ \& \ g). \end{aligned}$$

1.6. Lemma. Let Δ be a finite, strictly large type; let A be a finite Δ -algebra; let $T \in \mathcal{L}_\Delta$. Then $f_A(T)$ in \mathcal{L}_Δ iff $T = h(\text{Eq}(A))$ for some automorphism h of \mathcal{L}_Δ .

2. LARGE BUT NOT STRICTLY LARGE TYPES

Throughout this section let Δ be a type such that $\Delta = \Delta_0 \cup \Delta_1$ and $\text{Card}(\Delta_1) \geq 2$.

By a codelement we mean an element of \mathcal{F}_Δ of the form $(FG^nFx)^*$ where $x \in V$, $n \geq 2$ and $F, G \in \Delta_1$ are two different symbols. The set of (F, i) -codelements is denoted by CEL.

2.1. Lemma. *CEL is a set of pairwise uncomparable elements of \mathcal{F}_Δ ; we have $\text{Card}(\text{CEL}) = \text{Max}(\aleph_0, \text{Card}(\Delta_1))$.*

Let $H \in \Delta_1$ and let A, B be two codelements. For every variable x there exists a unique pair s_1, s_2 of elements of $\Delta^{(-)}$ such that $A = (s_1x)^*$ and $B = (s_2x)^*$. The element $(s_2Hs_1Hs_2x)^*$ of \mathcal{F}_Δ will be denoted by $[H, A, B]$. The elements of \mathcal{F}_Δ of this form will be called definators of the first kind.

Let $C \in \Delta_0$ and let A be a codelement. For every variable x there exists a unique element s of $\Delta^{(-)}$ such that $A = (sx)^*$. The element $(sC)^*$ of \mathcal{F}_Δ will be denoted by $[C, A]$. The elements of \mathcal{F}_Δ of this form will be called definators of the second kind.

Definators are elements of \mathcal{F}_Δ that are definators of either the first or the second kind.

2.2. Lemma. *If $[H_1, A_1, B_1] \leq [H_2, A_2, B_2]$ then $H_1 = H_2$, $A_1 = A_2$ and $B_1 = B_2$. If $[C_1, A_1] \leq [C_2, A_2]$ then $C_1 = C_2$ and $A_1 = A_2$. No definator of the first kind can be comparable with a definator of the second kind.*

By a codset we mean an element S of \mathcal{F}_Δ such that every element of $I^*(S) = \{t^*; t \in I(U)\}$ is a codelement. Elements of $I^*(S)$ are called codelements of S . There is a natural one-to-one correspondence between codsets and subsets of CEL. The union of the sets in CEL is the largest codset, while the empty set is the least codset.

By a codalgebra we mean a pair S, R of elements of \mathcal{F}_Δ satisfying the following three conditions:

- (1) S is a nonempty codset;
- (2) every element of $I^*(R)$ is a definator; if $[H, A, B] \in I^*(R)$ then $A, B \in I^*(S)$; if $[C, A] \in I^*(R)$ then $A \in I^*(S)$;
- (3) for every $H \in \Delta_1$ and $A \in I^*(S)$ there exists exactly one $B \in I^*(S)$ with $[H, A, B] \in I^*(R)$; for every $C \in \Delta_0$ there exists exactly one $A \in I^*(S)$ with $[C, A] \in I^*(R)$.

Given a codalgebra S, R , we can define an algebra Q of type Δ with the underlying set $I^*(S)$ as follows: $H_Q(A) = B$ iff $[H, A, B] \in I^*(R)$; $C_Q = A$ iff $[C, A] \in I^*(R)$. This algebra Q is said to be the Δ -algebra corresponding to the codalgebra S, R .

2.3. Lemma. *Every Δ -algebra whose underlying set is a subset of CEL corresponds to exactly one codalgebra. A Δ -algebra Q is isomorphic to a Δ -algebra corresponding to a codalgebra, iff $\text{Card}(Q) \leq \text{Max}(\aleph_0, \text{Card}(\Delta_1))$.*

- Definition.** (i) $\chi_{22}(A, B, C) \equiv \exists X_1, X_2, Y, D(\varphi_{47}(X_1, X_2, Y, A, B, D) \& \varphi_{47}(X_1, X_2, Y, D, A, C))$.
- (ii) $\chi_{23}(Z) \equiv \exists A, B, X(\alpha_1(A) \& \varphi_{13}(X, B) \& X \neq A \& X \neq B \& \chi_{22}(A, B, Z))$.
- (iii) $\chi_{24}(X, A, B, Y) \equiv \alpha_1(X) \& \chi_{23}(A) \& \chi_{23}(B) \& \exists C(\chi_{22}(X, A, U) \& \chi_{22}(B, U, Y))$.
- (iv) $\chi_{25}(X, A, Y) \equiv \alpha_0(X) \& \chi_{23}(A) \& X \leq Y \& \varphi_8(Y, A)$.
- (v) $\chi_{26}(Y) \equiv \exists X, A, B(\chi_{24}(X, A, B, Y) \text{ VEL } \exists X, A(\chi_{25}(X, A, Y)))$.
- (vi) $\chi_{27}(Y) \equiv \forall A(\varphi_1(A, Y) \rightarrow \chi_{23}(A))$.
- (vii) $\chi_{28}(S, R) \equiv \chi_{27}(S) \& \neg \omega_0(S) \& \forall Z(\varphi_1(Z, R) \rightarrow (\exists X, A, B(\chi_{24}(X, A, B, Z) \& \varphi_1(A, S) \& \varphi_1(B, S)) \text{ VEL } \exists X, A(\chi_{25}(X, A, Z) \& \varphi_1(A, S)))) \& \forall X, A((\alpha_1(X) \& \varphi_1(A, S)) \rightarrow \exists!! B \exists Z(\chi_{24}(X, A, B, Z) \& \varphi_1(Z, R))) \& \forall X(\alpha_0(X) \rightarrow \exists!! A \exists Z(\chi_{25}(X, A, Z) \& \varphi_1(Z, R)))$.
- (viii) $\chi_{29}(X_1, X_2, Y, S, R, A, B, D) \equiv \chi_{28}(S, R) \& \tau(A) \& \varphi_{41}(X_1, X_2, Y, B, D) \& \exists D_0(D_0 < D \& \varphi_{45}(A, D_0)) \& \forall Z, U, C(\varphi_{40}(X_1, X_2, Y, B, Z, U, C) \rightarrow \varphi_1(C, S)) \& \forall P, Q, H, Z_1, U_1, C_1, Z_2, U_2, C_2((\varphi_{46}(X_1, X_2, Y, P, A) \& \varphi_{46}(X_1, X_2, Y, Q, A) \& \varphi_{38}(X_1, X_2, Y, H, P, Q) \& \varphi_{40}(X_1, X_2, Y, B, Z_1, U_1, C_1) \& \varphi_{40}(X_1, X_2, Y, B, Z_2, U_2, C_2) \& \varphi_{45}(Q, Z_1) \& Z_1 < Z_2) \rightarrow \exists X(\varphi_1(X, R) \& \chi_{24}(H, C_1, C_2, X))) \& \forall C((\alpha_0(C) \& C \leq A) \rightarrow \exists U, X, Z(\varphi_{40}(X_1, X_2, Y, B, X_1, U, X) \& \chi_{25}(C, X, Z) \& \varphi_1(Z, R)))$.
- (ix) $\chi_{30}(X_1, X_2, Y, A, U_1, B, U_2, S, R) \equiv \varphi_{33}(X_1, X_2, Y) \& \varphi_{43}(X_1, A, U_1) \& \varphi_{43}(X_1, B, U_2) \& \chi_{28}(S, R) \& \forall B_1, D_1, B_2, D_2, P_1, P_2, P_3, P_4, Q_1, Q_2, Q_3, Q_4((\chi_{29}(X_1, X_2, Y, S, R, A, B_1, D_1) \& \chi_{29}(X_1, X_2, Y, S, R, B_2, D_2) \& \varphi_{40}(X_1, X_2, Y, B_1, D_1, P_1, Q_1) \& \varphi_{40}(X_1, X_2, Y, B_2, D_2, P_2, Q_2) \& \varphi_{40}(X_1, X_2, Y, B_1, X_1, P_3, Q_3) \& \varphi_{40}(X_1, X_2, Y, B_2, X_1, P_4, Q_4) \& Q_1 \neq Q_2) \rightarrow (\neg \alpha_0(U_1) \& U_1 = U_2 \& Q_3 \neq Q_4))$.

2.4. Lemma. Let Δ be a large but not strictly large type. Then:

- i) $\chi_{22}(A, B, C)$ in \mathcal{F}_Δ iff there are two sequences $s_1, s_2 \in \Delta^{(-)}$ and a variable x such that $A = (s_1x)^*$, $B = (s_2x)^*$, $C = (s_1s_2s_1x)^*$.
- (ii) $\chi_{23}(Z)$ in \mathcal{F}_Δ iff Z is a codelement.
- (iii) $\chi_{24}(X, A, B, Y)$ in \mathcal{F}_Δ iff $X = F^*$ for some $F \in \Delta_1$, A, B are two codelements and $Y = [X, A, B]$.
- (iv) $\chi_{25}(X, A, Y)$ in \mathcal{F}_Δ iff $X = C^*$ for some $C \in \Delta_0$, A is a codelement and $Y = [X, A]$.
- (v) $\chi_{26}(Y)$ in \mathcal{F}_Δ iff Y is a definator.
- (vi) $\chi_{27}(Y)$ in \mathcal{F}_Δ iff Y is a codset.
- (vii) $\chi_{28}(S, R)$ in \mathcal{F}_Δ iff S, R is a codalgebra.
- (viii) Let $F, G \in \Delta_1$, $F \neq G$, $x \in V$, $X_1 = F^*$, $X_2 = G^*$, $Y = (GFx)^*$. Then $\chi_{29}(X_1, X_2, Y, S, R, A, B, D)$ in \mathcal{F}_Δ iff S, R is a codalgebra, $A = (H_n \dots H_1y)^*$ for some $y \in V \cup \Delta_0$ and $H_1, \dots, H_n \in \Delta_1$ ($n \geq 0$), and (B, D) is an (F, G, GF, x) -code of the sequence $h(y), h(H_1y), \dots, h(H_n \dots H_1y)$ for some homomorphism h of the algebra W_Δ into the Δ -algebra corresponding to the codalgebra S, R .

(ix) Let $F, G \in \Delta_1$, $F \neq G$, $x \in V$, $X_1 = F^*$, $X_2 = G^*$, $Y = (GFx)^*$. Then $\chi_{30}(X_1, X_2, Y, A, U_1, B, U_2, S, R)$ in \mathcal{F}_Δ iff S, R is a codalgebra, (A, U_1) is the fine F -code of a term a , (B, U_2) is the fine F -code of a term b and the equation (a, b) is satisfied in the Δ -algebra corresponding to S, R .

Definition. (i) $\chi_{31}(X, A, U_1, B, U_2, S, R) \equiv \exists X_2, Y(\psi_{35}(X, X_2, Y) \& \chi_{30}^e(X, X_2, Y, A, U_1, B, U_2, S, R))$.

(ii) $\chi_{32}(S, R, T) \equiv \chi_{28}^e(S, R) \& \forall X, A, U_1, B, U_2, Y(\psi_{45}(X, A, U_1, B, U_2, Y) \rightarrow (\chi_{31}(X, A, U_1, B, U_2, S, R) \leftrightarrow Y \leq T))$.

(iii) $\chi_{33}(T) \equiv \exists S, R, X_1, X_2, Y, A, D(\chi_{32}(S, R, T) \& \varphi_{41}^e(X_1, X_2, Y, A, D) \& \forall U(\varphi_1^e(U, S) \rightarrow \exists Z, B(\varphi_{40}^e(X_1, X_2, Y, A, Z, B, U))))$.

2.5. Lemma. Let Δ be a large but not strictly large type. Then:

(i) $\chi_{31}(X, A, U_1, B, U_2, S, R)$ in \mathcal{L}_Δ iff there are $F \in \Delta_1$, terms a, b and a codalgebra S_0, R_0 such that $X = Z(F^*)$, (A, U_1) is the fine F -code of a in \mathcal{L}_Δ , (B, U_2) is the fine F -code of b in \mathcal{L}_Δ , $S = Z(S_0)$, $R = Z(R_0)$ and the equation (a, b) is satisfied in the Δ -algebra corresponding to S_0, R_0 .

(ii) $\chi_{32}(S, R, T)$ in \mathcal{L}_Δ iff there is a codalgebra S_0, R_0 such that $S = Z(S_0)$, $R = Z(R_0)$ and T is the equational theory of the Δ -algebra corresponding to S_0, R_0 .

(iii) $\chi_{33}(T)$ in \mathcal{L}_Δ iff T is the equational theory of a finite algebra.

Now let Δ be a finite, large but not strictly large type. For every finite Δ -algebra A we shall construct a formula $f_A(T)$ with one free variable T in the following way. Denote by n the cardinality of A , by m_0 the cardinality of Δ_0 , by m_1 the cardinality of Δ_1 and put $A = \{a_1, \dots, a_n\}$, $\Delta_0 = \{C_1, \dots, C_{m_0}\}$ and $\Delta_1 = \{F_1, \dots, F_{m_1}\}$. Denote by M_1 the set of the triples $s = (F_i, a_j, a_k)$ such that $i \in \{1, \dots, m_1\}$, $j, k \in \{1, \dots, n\}$ and $F_i(a_j) = a_k$ holds in the algebra A ; denote by M_0 the set of the pairs $s = (C_i, a_j)$ such that $i \in \{1, \dots, m_0\}$, $j \in \{1, \dots, n\}$ and $C_i = a_j$ holds in A . For every $s = (F_i, a_j, a_k) \in M_1$ put

$$g_s \equiv \exists D(\varphi_1^e(D, R) \& \chi_{24}^e(Y_i, X_j, X_k, D)).$$

For every $s = (C_i, a_j) \in M_0$ put

$$g_s \equiv \exists D(\varphi_1^e(D, R) \& \chi_{25}^e(Z_i, X_j, D)).$$

Denote by g the conjunction of the formulas g_s ($s \in M_1 \cup M_0$). Finally, put

$$\begin{aligned} f_A(T) \equiv & \exists S, R \exists (X_1, \dots, X_n) \neq \exists (Y_1, \dots, Y_{m_1}) \neq \exists (Z_1, \dots, Z_{m_0}) \neq \\ & (\chi_{32}(S, R, T) \& \forall U(\varphi_1^e(U, S) \leftrightarrow (U = X_1 \text{ VEL } \dots \text{ VEL } U = X_n)) \& \\ & \& \alpha_1^e(Y_1) \& \dots \& \alpha_{m_1}^e(Y_{m_1}) \& \alpha_0^e(Z_1) \& \dots \& \alpha_{m_0}^e(Z_{m_0}) \& g). \end{aligned}$$

2.6. Lemma. Let Δ be a finite, large but not strictly large type; let A be a finite Δ -algebra; let $T \in \mathcal{L}_\Delta$. Then $f_A(T)$ in \mathcal{L}_Δ iff $T = h(\text{Eq}(A))$ for some automorphism h of \mathcal{L}_Δ .

3. SMALL TYPES

3.1. Lemma. *Let $\Delta = \Delta_0 \cup \{F\}$ for some unary symbol F and let $T \in \mathcal{L}_\Delta$. Then T is the equational theory of a finite algebra iff the following two conditions are satisfied:*

- (1) *there are non-negative integers n, m such that $n < m$ and $(F^n x, F^m x) \in T$ (where $x \in V$);*
- (2) *there exists a finite subset H of Δ_0 such that for every $F \in \Delta_0$ there is a $G \in H$ with $(F, G) \in T$.*

Proof. The direct implication is clear. Conversely, let (1) and (2) be satisfied. It is easy to see that the free algebra of rank 2 in the variety corresponding to T is finite; this algebra generates the variety, since Δ contains only nullary and unary symbols.

Definition. (i) $\chi_{34}(X) \equiv \exists A, B, C, P, Q(\psi_{59}(A, B, C) \ \& \ C \leq X \ \& \ \psi_{63}(P) \ \& \ \psi_{62}(P, Q) \ \& \ \forall U \exists Z, T((\alpha_0^e(U) \ \& \ \neg \varphi_1^e(U, Q)) \rightarrow (\varphi_1^e(Z, Q) \ \& \ \psi_{34}(U, Z, T) \ \& \ T \leq X))$.

(ii) $\chi_{35}(X) \equiv (\exists A, B(\alpha_0(A) \ \& \ \alpha_0(B) \ \& \ A \neq B) \ \& \ \chi_{34}(X)) \text{ VEL } (\exists!! A \alpha_0(A) \ \& \ \neg \omega_0(X) \ \& \ \neg \exists A, B \psi_{58}(A, B, X)) \text{ VEL } (\neg \exists A \alpha_0(A) \ \& \ \neg \omega_0(X))$.

3.2. Lemma. (i) *Let $\Delta = \Delta_0 \cup \{F\}$ where $F \in \Delta_1$ and $\text{Card}(\Delta_0) \geq 2$. Then $\chi_{34}(X)$ in \mathcal{L}_Δ iff X is the equational theory of a finite algebra.*

(ii) *Let Δ be a small type containing a unary symbol. Then $\chi_{35}(X)$ in \mathcal{L}_Δ iff X is the equational theory of a finite algebra.*

3.3. Lemma. *Let $\Delta = \Delta_0$ and let $T \in \mathcal{L}_\Delta$. Then T is the equational theory of a finite algebra iff there exists a finite subset H of Δ_0 such that for every $F \in \Delta_0$ there is a $G \in H$ with $(F, G) \in T$.*

Definition. $\chi_{36}(X) \equiv \omega_1(X) \text{ VEL } \exists A, B(\psi_2(A) \ \& \ \psi_{53}(B) \ \& \ A = B \vee X)$.

3.4. Lemma. *Let $\Delta = \Delta_0$. Then $\chi_{36}(X)$ in \mathcal{L}_Δ iff X is the equational theory of a finite algebra.*

4. THE MAIN RESULTS

Definition. $\chi(X) \equiv (\chi_{21}(X) \ \& \ \psi_5 \ \& \ \exists A \bar{\alpha}_2^e(A)) \text{ VEL } (\chi_{33}(X) \ \& \ \psi_5 \ \& \ \neg \exists A \bar{\alpha}_2^e(A)) \text{ VEL } (\psi_4 \ \& \ \chi_{36}(X)) \text{ VEL } (\chi_{35}(X) \ \& \ \neg \psi_4 \ \& \ \psi_5)$.

4.1. Theorem. *Let Δ be any type. Then $\chi(X)$ in \mathcal{L}_Δ iff X is the equational theory of a finite algebra. Consequently, the set of the equational theories of finite Δ -algebras is definable in \mathcal{L}_Δ .*

Proof. Theorem follows from 1.5(iii), 2.5(iii), 3.2(ii) and 3.4.

4.2. Theorem. *Let Δ be a finite type and A a finite Δ -algebra. Then the equational theory $\text{Eq}(A)$ is definable up to automorphisms in \mathcal{L}_Δ .*

Proof. For large types the appropriate formula is constructed in Lemmas 1.6 and 2.6. If Δ is a finite small type, then every equational theory of type Δ is finitely based (see [4]) and so by Theorem 13.4 of [3] every element of \mathcal{L}_Δ is definable up to automorphisms.

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