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GROUPOIDS WITH NON-ASSOCIATIVE TRIPLES ON THE DIAGONAL

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Given a non-empty set  $S$ , every binary operation  $\cdot$  on  $S$  divides  $S^3$  into two disjoint sets: that of associative triples (i.e.  $x \cdot yz = xy \cdot z$ ) and the complement. On the contrary, given  $T \subseteq S^3$ , we may ask if there is a binary operation on  $S$  whose set of non-associative triples coincides with  $T$ . It is known (see [1]) that for  $S$  finite such an operation exists whenever  $\text{card}(T) \leq (\text{card}(S) - 2)/4$ . On the other hand, there is no such an operation if  $T$  is the diagonal of  $S^3$  (i.e.  $T = \{(x, x, x); x \in S\}$ ). The class  $\mathcal{S}$  of groupoids whose non-associative triples belong to the diagonal seems therefore to be worth of study.

In this paper we describe the variety generated by  $\mathcal{S}$  and give an estimate of  $\text{card}(T)$ . Moreover, we show that an "almost free" groupoid  $E(X, s) \in \mathcal{S}$  can be connected (in a natural way) with every non-empty partially ordered set  $(X, s)$ .

1. INTRODUCTION

Let  $\mathcal{S}$  denote the class of all groupoids  $G$  such that  $a \cdot bc \neq ab \cdot c$  implies  $a = b = c$  for any  $a, b, c \in G$ . For  $G \in \mathcal{S}$  let  $K = K(G) = \{a \in G; a \cdot aa \neq aa \cdot a\}$  and  $L = L(G) = \{a \in G; a \cdot aa = aa \cdot a\}$ , so that  $G = K \cup L$  and  $K \cap L = \emptyset$ . Moreover, define a relation  $r = r(G)$  by  $(a, b) \in r$  iff  $a, b \in G$  and either  $a = b$  or  $b = ab \in K$ .

**1.1. Proposition.** (i) *The class  $\mathcal{S}$  is closed under subgroupoids and homomorphic images.*

(ii) *If  $G \in \mathcal{S}$ , then  $G \times G \in \mathcal{S}$  iff  $G$  is associative.*

(iii) *If  $G \in \mathcal{S}$  is not associative, then  $G$  is neither cancellative nor divisible.*

Proof. The assertions (i) and (ii) are easy and (iii) is clear from [2], [3] and [4].

**1.2. Lemma.** *Let  $G \in \mathcal{S}$ . Then*

(i) *For all  $a, b \in G$ , either  $ab \in L$  or  $a = ab$  or  $b = ab$ .*

(ii) *For every  $a \in G$ ,  $a^2 \in L$ .*

(iii) *For all  $a \in K$  and  $b \in G$ ,  $a = ab$  iff  $a = ba$ .*

(iv) If  $a, b, c, d \in G$ ,  $a = ab \in K$  and  $b = cd$ , then  $a = ac = ca = ad = da$  and  $c \neq a \neq d$ .

Proof. (i) Let  $ab = c$ ,  $a \neq c \neq b$ . Then  $cc \cdot c = (c \cdot ab) c = (ca \cdot b) c = ca \cdot bc = c(a \cdot bc) = c(ab \cdot c) = c \cdot cc$  and  $c \in L$ .

(ii) is an easy consequence of (i).

(iii) Let  $ab = a \neq ba$ . Then  $aa \cdot a = (a \cdot ab) a = (aa \cdot b) a = aa \cdot ba = a(a \cdot ba) = a(ab \cdot a) = a \cdot aa$ , a contradiction.

(iv) By (iii),  $ab = a = ba$ , and hence  $b \neq a^2$ . Consequently, either  $a \neq c$  or  $a \neq d$ . If  $a = d$ , then  $a \neq c$ ,  $c \cdot a^2 = ca \cdot a = cd \cdot a = ba = a \notin L$ ,  $c \cdot a^2 \neq c$ ,  $c \cdot a^2 = a^2$  by (i),  $a = a^2$ , a contradiction. Thus  $a \neq d$  and, similarly,  $a \neq c$ . However,  $ac \cdot d = a = c \cdot da$ , and therefore  $ac = a = da$  by (i). The rest follows from (iii).

**1.3. Corollary.** If  $G \in \mathcal{S}$ , then  $L$  is a subgroupoid of  $G$  and  $\text{card}(L) \geq 2$  provided  $G$  is nontrivial.

**1.4. Lemma.** Let  $A$  be a generator set of a groupoid  $G \in \mathcal{S}$ . Then:

(i)  $K \subseteq A$ .

(ii) If  $ab \in L$  for all  $a, b \in A$  then  $cd \in L$  for all  $c, d \in G$ .

Proof. (i) is an easy consequence of 1.2(i).

(ii) Suppose that  $cd \in K$  for some  $c, d \in G$ . In virtue of 1.2(i) we can restrict ourselves to the case  $cd = c$ . Let  $W$  be the absolutely free groupoid over  $A$  ( $A$  is non-empty by (i)) and let  $f$  be the homomorphism of  $W$  onto  $G$  such that  $f(a) = a$  for every  $a \in A$ . There is a term  $t \in W$  with  $f(t) = d$  and we can assume that  $d$  is chosen in such a way that the length  $l(t)$  of  $t$  is minimal. Since  $c \in A$ ,  $d \notin A$ , and hence  $t \notin A$ . We have  $t = pq$  for some  $p, q \in W$  and  $d = uv$  where  $u = f(p)$  and  $v = f(q)$ . Now,  $c = cu$  by 1.2(iv) and  $l(p) < l(t)$ , a contradiction.

**1.5. Lemma.** Let  $G \in \mathcal{S}$ ,  $a \in G$  and  $T(a) = \{b \in G; b = ab = ba\}$ . If the set  $T(a)$  is non-empty, then it is a subgroupoid of  $G$ .

Proof is easy.

**1.6. Lemma.** Let  $G \in \mathcal{S}$ . Then the relation  $r$  is a partial ordering.

Proof. By 1.2(i), (iii),  $r$  is antisymmetric. If  $(a, b), (b, c) \in r$ ,  $a \neq b \neq c$ , then  $ac = a \cdot bc = ab \cdot c = bc = c$  and  $(a, c) \in r$ .

**1.7. Lemma.** Let  $G \in \mathcal{S}$  and  $Z(a) = \{b \in G; (b, a) \in r, b \neq a\}$ . Then:

(i) For any  $a \in G$ ,  $Z(a)$  is either empty or a subgroupoid of  $G$ .

(ii) If  $A$  is a generator set of  $G$  and  $Z(a)$  is non-empty, then  $Z(a)$  is generated by the set  $A \cap Z(a)$ .

Proof is easy (use 1.2(iv)).

**1.8. Lemma.** Let  $G \in \mathcal{S}$ , let  $A, B$  be non-empty subsets of  $G$  and let  $C, D$  be the subgroupoids generated by  $A, B$ , respectively. Suppose that  $(b, a) \in r$  and  $b \neq a$

whenever  $a \in A$ ,  $b \in B$ . Then  $cd = dc = c$  for any  $c \in C$ ,  $d \in D$ . Moreover,  $\text{card}(C \cap D) \leq 1$ .

*Proof.* By 1.7(i),  $D \subseteq Z(a)$  for every  $a \in A$ . Hence, by 1.5,  $C \subseteq T(d)$  for every  $d \in D$ .

**1.9. Lemma.** *Let  $G \in \mathcal{S}$ ,  $a \in K$  and let  $H$  be the subgroupoid generated by  $a$ . Then  $H \cap Z(a) = \emptyset$ .*

*Proof.* If  $b \in H \cap Z(a)$ , then  $a = ab = ba \in K$ , which contradicts 1.4(ii).

**1.10. Lemma.** *Let  $G, H \in \mathcal{S}$  and let  $f$  be a homomorphism of  $G$  into  $H$ . If  $a, b \in G$ ,  $a \neq b$  and  $f(a) \in K(H)$ , then  $f(a) \neq f(b)$ .*

*Proof* is obvious.

## 2. REGULAR GROUPOIDS

Let  $G \in \mathcal{S}$ . We shall say that  $G$  is regular if  $ab \in L$  for all  $a, b \in G$ .

**2.1. Proposition.** *Let  $G \in \mathcal{S}$ .*

(i) *If  $G$  can be generated by the empty set (i.e.  $G$  contains no proper subgroupoid), then  $G$  is associative.*

(ii) *If  $G$  can be generated by a one-element set  $\{a\}$ , then  $K \subseteq \{a\}$  and  $G$  is regular.*

*Proof.* Apply 1.2(ii) and 1.4.

Now, let  $\mathcal{R}$  denote the variety of groupoids satisfying the following identities:  $xy \cdot uv = (xy \cdot u)v$ ,  $xy \cdot uv = x(y \cdot uv)$  and  $(x \cdot yu)v = x(yu \cdot v)$ .

**2.2. Lemma.** *Let  $W$  be the absolutely free groupoid over a non-empty set  $X$  and  $r, s \in W$ ,  $l(r) \geq 5$ . Then the identity  $r = s$  is satisfied in  $\mathcal{R}$  iff it is satisfied in every semigroup.*

*Proof.* Only the converse implication requires a proof. First, observe that for every  $t \in W$  with  $l(t) \geq 4$  there exist a variable  $x \in X$  and a term  $q \in W$  such that  $t = xq$  is satisfied in  $\mathcal{R}$ . On the other hand,  $x(y(uv \cdot z)) = (xy)(uv \cdot z) = (xy \cdot uv)z = ((xy \cdot u)v)z = (xy \cdot u)(vz) = (xy)(u \cdot vz) = x(y(u \cdot vz))$  holds in  $\mathcal{R}$  and the rest is clear.

**2.3. Lemma.** *Let  $F$  be a free groupoid in  $\mathcal{R}$  and let  $\alpha \geq 1$  be the rank of  $F$ . Then there exist two congruences  $p$  and  $q$  of  $F$  such that  $F/p$  is free in  $\mathcal{R}$  and of rank 1,  $F/q$  is a free semigroup of rank  $\alpha$  and  $p \cap q = \text{id}_F$ .*

*Proof* is easy.

**2.4. Corollary.** *Let  $F$  be a free  $\mathcal{R}$ -groupoid of rank 1. Then the variety  $\mathcal{R}$  is generated by  $F$  and by the variety of semigroups.*

**2.5. Proposition.** (i) *A groupoid  $G \in \mathcal{S}$  is regular iff  $G \in \mathcal{R}$ .*

(ii) *If  $G \in \mathcal{S}$  can be generated by at most one element, then  $G \in \mathcal{R}$ .*

(iii) *If  $G \in \mathcal{R}$  can be generated by at most one element, then  $G \in \mathcal{S}$ .*

Proof. (i) If  $G$  is regular, then clearly  $G \in \mathcal{R}$ . Now, suppose that  $ab \in K$  for some  $a, b \in G$ . Then we can assume  $ab = a$  and  $ab \cdot aa = a \cdot aa \neq aa \cdot a = (ab \cdot a) a$ , so that  $G \notin \mathcal{R}$ .

(ii) Follows from (i) and 2.1(ii).

(iii) It is easy to see that every free  $\mathcal{R}$ -groupoid of rank 1 is contained in  $\mathcal{S}$ .

**2.6. Corollary.**  $\mathcal{R}$  is just the variety generated by all regular groupoids from  $\mathcal{S}$ .

**2.7. Lemma.** Let  $G \in \mathcal{S}$  be a non-associative groupoid which is generated by an element  $a$ . Then  $K = \{a\}$ , the elements  $a, aa, a \cdot aa, aa \cdot a$  are pairwise different and  $\text{card}(G) \geq 4$ .

Proof. By 2.1(ii),  $K = \{a\}$ . If  $aa = a \cdot aa$ , then  $aa = a(a \cdot aa) = (a \cdot aa) a = aa \cdot a$ , a contradiction. The rest is clear.

**2.8. Example.** Consider the following groupoid  $G(*) = \{0, 1, 2, 3\}$ :  $a * b = 0$  if  $a, b \in G$ ,  $(a, b) \neq (1, 1), (2, 1)$ ;  $1 * 1 = 2$  and  $2 * 1 = 3$ . Then  $G(*) \in \mathcal{S}$  and  $G(*)$  is not associative. Moreover, if  $H \in \mathcal{S}$ ,  $H$  is not associative and  $\text{card}(H) = 4$ , then  $H$  is either isomorphic or antiisomorphic to  $G(*)$ .

**2.9. Corollary.** Let  $G \in \mathcal{S}$  be non-associative. Then  $\text{card}(L) \geq 3$ .

**2.10. Corollary.** Let  $G \in \mathcal{S}$  and  $a \in G$ . Then  $aa, a \cdot aa, aa \cdot a, \dots \in L$ .

**2.11. Lemma.** Let  $W$  be the absolutely free groupoid over a one-element set  $\{x\}$  and  $f$  a homomorphism of  $W$  into  $G \in \mathcal{S}$ .

(i) If  $y = f(x)$ , then  $y \cdot (yy \cdot y) = (y \cdot yy) \cdot y$  and  $y \cdot (y \cdot yy) = yy \cdot yy = (yy \cdot y)y$ .

(ii) If  $p, q \in W$  and  $l(p) = l(q) \geq 5$ , then  $f(p) = f(q)$ .

Proof. Use 2.5(ii) and 2.2 for the subgroupoid of  $G$  generated by  $y$ .

### 3. AUXILIARY RESULTS

Let  $X$  be a non-empty set with a partial ordering  $s$ ,  $W$  the absolutely free groupoid over  $X$  and  $S$  the free semigroup over  $X$ . Denote by  $g$  the unique surjective homomorphism of  $W$  onto  $S$  such that  $g(x) = x$  for every  $x \in X$ .

Let  $t \in W$ ,  $2 \leq l(t) = n$  and  $1 \leq i \leq n$ . We shall define a term  $d(t, i)$  by induction on  $n$  as follows: Let  $t = pq$ ,  $p, q \in W$ . If  $i = 1$  and  $p \in X$ , then  $d(t, i) = q$ . If  $1 \leq i \leq l(p)$  and  $2 \leq l(p)$ , then  $d(t, i) = d(p, i)q$ . If  $l(p) + 1 \leq i$  and  $2 \leq l(q)$ , then  $d(t, i) = pd(q, i - l(p))$ . If  $i = n$  and  $q \in X$ , then  $d(t, i) = p$ .

**3.1. Lemma.** Let  $t \in W$ ,  $3 \leq l(t)$  and  $1 \leq i < j \leq l(t)$ . Then  $d(d(t, j), i) = d(d(t, i), j - 1)$ .

Proof is obvious.

Now, if  $t \in W$  and  $M$  is a proper non-empty subset of  $\{1, 2, \dots, l(t)\}$ , we can define a term  $d(t, M)$  by 3.1. Further, we put  $d(t, \emptyset) = t$ .

Let  $t \in W$ ,  $l(t) = n$  and  $g(t) = x_1 x_2 \dots x_n$ . Define a relation  $s_t$  on the set  $\{1, 2, \dots, n\}$  as follows: If  $1 \leq i \leq n$  then  $(i, i) \in s_t$ . If  $1 \leq i < j \leq n$ , then  $(i, j) \in s_t$  iff  $(x_i, x_j) \in s$ ,  $(x_{i+1}, x_j) \in s, \dots, (x_{j-1}, x_j) \in s$  and  $x_i \neq x_j, x_{i+1} \neq x_j, \dots, x_{j-1} \neq x_j$ . If  $1 \leq i < j \leq n$ , then  $(j, i) \in s_t$  iff  $(x_{i+1}, x_i) \in s, (x_{i+2}, x_i) \in s, \dots, (x_j, x_i) \in s$  and  $x_{i+1} \neq x_i, x_{i+2} \neq x_i, \dots, x_j \neq x_i$ . It is easy to see that  $s_t$  is a partial ordering of the set  $\{1, 2, \dots, n\}$ . We denote by  $M(t)$  the set of all maximal elements of  $\{1, 2, \dots, n\}$  (in the ordering  $s_t$ ) and put  $N(t) = \{1, 2, \dots, n\} - M(t)$ . Further, define a relation  $s'_t$  on  $\{1, 2, \dots, n\}$  by  $(i, j) \in s'_t$  iff  $(i, j) \in s_t$  and  $|i - j| \leq 1$ .

A term  $t \in W$  is said to be *s-irreducible* iff  $s'_t = \text{id}$ . This is clearly equivalent to the fact that  $N(t) = \emptyset$ , i.e.  $s_t = \text{id}$ .

Now, define a relation  $\alpha$  on  $W$  by  $(p, q) \in \alpha$  iff  $p = d(q, i)$  for some  $(i, j) \in s'_q$ ,  $i \neq j$ . Let  $\beta$  be the least equivalence containing  $\alpha$ . Then, as one can easily see,  $\beta$  is a congruence of the absolutely free groupoid  $W$ .

**3.2. Lemma.** *Let  $p, q \in W$  and  $(p, q) \in \beta$ . Then  $t = d(p, N(p)) = d(q, N(q))$  is s-irreducible and  $(q, t) \in \beta$ .*

*Proof.* Without loss of generality, we may assume that  $(p, q) \in \alpha$ , i.e.  $p = d(q, i)$  for some  $(i, j) \in s'_q$ . Suppose that  $g(q) = x_1 \dots x_n$ ,  $n = l(q)$ . The rest of the proof is divided into two parts.

(i) Denote by  $f$  the bijection of the set  $\{1, 2, \dots, i - 1, i + 1, \dots, n\}$  onto the set  $\{1, 2, \dots, n - 1\}$  defined by  $f(k) = k$  for  $1 \leq k \leq i - 1$  and  $f(k) = k - 1$  for  $i + 1 \leq k \leq n$ . We shall show that  $N(p) = f(N(q) - \{i\})$ . Indeed, let  $(f(k), f(m)) \in s_p$  and put  $I = \{r; k \leq r \leq m \text{ or } m \leq r \leq k\}$ . If  $i \notin I$  then  $(k, m) \in s_q$ . If  $i \in I$  then  $j \in I$  as well, and hence  $(f(j), f(m)) \in s_p$ ,  $(x_j, x_m) \in s$  and  $(x_i, x_m) \in s$ ,  $x_i \neq x_m$ . Hence we get  $(i, m) \in s_q$  and then  $(k, m) \in s_q$ . The proof of the other inclusion is immediate.

(ii) From (i) we conclude that  $d(p, N(p)) = d(q, N(q)) = t$  and  $r = \text{card}(N(q)) = \text{card}(N(p)) + 1$ . There is a sequence  $q = q_r, q_{r-1}, \dots, q_1, q_0$  of terms such that  $(q_{r-1}, q_r) \in \alpha, (q_{r-2}, q_{r-1}) \in \alpha, \dots, (q_0, q_1) \in \alpha$  and  $\text{card}(N(q_k)) = k$  for any  $0 \leq k \leq r$ . This implies that  $t = q_0 = d(q, N(q))$  is s-irreducible and  $(q, t) \in \beta$ .

**3.3. Lemma.** *Every block of  $\beta$  contains just one s-irreducible term.*

*Proof.* If  $p, q \in W$  are s-irreducible terms such that  $(p, q) \in \beta$ , then  $p = d(p, N(p)) = d(q, N(q)) = q$  by 3.2.

Taking into account 3.3, we can view the set  $F(X, s)$  of all s-irreducible terms in a natural way as a groupoid isomorphic to the factorgroupoid  $W/\beta$ .

Finally, define an equivalence  $\gamma$  on  $F(X, s)$  by  $(xx \cdot xx, x \cdot (x \cdot xx)) \in \gamma, (xx \cdot xx, (xx \cdot x)x) \in \gamma, (x(xx \cdot x), (x \cdot xx)x) \in \gamma$  for every  $x \in X$  and  $(p, q) \in \gamma$  whenever  $p, q \in F(X, s)$ ,  $g(p) = g(q)$  and either  $l(p) \geq 5$  or  $p$  contains at least two different variables. Then  $\gamma$  is a congruence of the groupoid  $F(X, s)$  and we denote by  $E(X, s)$  the corresponding factorgroupoid.

#### 4. AUXILIARY RESULTS

Let  $X$  be a non-empty set,  $W$  the absolutely free groupoid over  $X$ ,  $S$  the free semigroup over  $X$  and  $g: W \rightarrow S$ ,  $g(x) = x$  for every  $x \in X$ . Further, let  $f$  be a homomorphism of  $W$  into  $G \in \mathcal{L}$ .

**4.1. Lemma.** *Let  $t \in W$ ,  $g(t) = x_1 \dots x_n$ . Then  $f(t) \in K(G)$  iff there is  $1 \leq k \leq n$  such that  $f(x_i) \neq f(x_k)$ ,  $(f(x_i), f(x_k)) \in r(G)$  for any  $1 \leq i \leq n$ ,  $k \neq i$ . Moreover, if  $f(t) \in K(G)$  then  $f(t) = f(x_k)$ .*

*Proof.* The case  $n = 1$  is trivial. If  $t = pq$ , then by 1.2(i)  $f(t) \in K(G)$  implies either  $f(p) = f(t)$ , or  $f(q) = f(t)$ . Using induction, we get the lemma from 1.2(iii) and (iv).

**4.2. Lemma.** *Let  $t \in W$ ,  $g(t) = x_1 \dots x_n$ ,  $n \geq 2$ ,  $1 \leq i, j \leq n$ ,  $j = i + 1$  (or  $j = i - 1$ ) such that  $f(x_i)f(x_j) = f(x_i)$  ( $f(x_j)f(x_i) = f(x_i)$ , respectively). Then  $f(t) = f(d(t, j))$ .*

*Proof.* Assume  $j = i + 1$ , the other case is similar. We shall proceed by induction on  $n = l(t)$ ; there is nothing to prove for  $n = 2$ . If  $t = pq$  and  $l(p) \neq i$ , the induction hypothesis can be used for  $p$  or  $q$ . Suppose  $g(p) = x_1 \dots x_i$ ,  $g(q) = x_{i+1} \dots x_n$ . Then either  $i > 1$  or  $i + 1 < n$ .

(i)  $i > 1$  and  $p = uv$ . Put  $a = f(d(q, 1))$ . If  $f(u)f(v) \cdot f(q) = f(u) \cdot f(v)f(q)$ , then  $f(vq) = f(d(vq, l(v) + 1)) = f(v)a$  (which holds by the induction hypothesis) implies that  $f(t) = f(u) \cdot f(v)a = f(u)f(v) \cdot a = f(d(t, j))$  whenever  $a \in L(G)$  or  $a = f(q)$  or  $f(v) \neq a$ . However, if  $f(q) \neq a = f(v) \in K(G)$ , then  $(f(x_i), a) \in r(G)$  by 4.1 and  $f(x_i)f(x_j) = f(x_i)$  yields  $(f(x_j), a) \in r(G)$ , hence  $a = f(q)$  by 4.1, a contradiction. On the other hand, if  $f(u)f(v) \cdot f(q) \neq f(u) \cdot f(v)f(q)$ , then  $f(u) = f(v) = f(q) = d \in K(G)$  and  $(f(x_i), d) \in r(G)$ ,  $(f(x_j), d) \in r(G)$  by 4.1. Since  $f(x_i)f(x_j) = f(x_i)$ , we get  $f(x_j) \neq d$ , and therefore  $f(q) = a$  by 4.1.

(ii)  $n > i + 1$  and  $q = uv$ . The proof can be done in a similar way as in (i).

**4.3. Lemma.** *Let  $p, q \in W$ ,  $g(p) = x_1 \dots x_n = g(q)$ . Then either  $f(p) = f(q)$ , or there is  $1 \leq k \leq n$  such that  $(f(x_i), f(x_k)) \in r(G)$  for any  $1 \leq i \leq n$  and  $\text{card} \{1 \leq i \leq n; f(x_i) = f(x_k)\} \in \{3, 4\}$ . Moreover, if  $f(p) \neq f(q)$ , then  $f(p) = f(d(p, M))$  and  $f(q) = f(d(q, M))$  for  $M = \{1 \leq i \leq n; f(x_i) \neq f(x_k)\}$ .*

*Proof.* There is nothing to prove for  $n = 1, 2$ ; we shall use induction for  $n > 2$ . (i) Suppose there are  $1 \leq i, j \leq n$  satisfying the hypothesis of 4.2. Then the induction hypothesis can be used for  $d(p, j)$ ,  $d(q, j)$  and since  $(f(x_i), f(x_k)) \in r(G)$  implies  $(f(x_j), f(x_k)) \in r(G)$ ,  $f(x_j) \neq f(x_k)$  for any  $1 \leq k \leq n$ ,  $j \neq k$ , we get our lemma in this case from the induction hypothesis and 4.2.

(ii) We can now assume that  $f(x_i) \neq f(x_i)f(x_j) \neq f(x_j)$  for any  $1 \leq i, j \leq n$ ,  $|i - j| = 1$ . If  $f(x_i) = f(x_j)$  for every  $1 \leq i, j \leq n$ , then 2.11(ii) may be used. Suppose there is  $f(x_i) \neq f(x_1)$  for some  $1 \leq i \leq n$  and let  $t_2 = x_2(x_3 \dots (x_{n-1}x_n) \dots)$ ,

$t_1 = x_1 t_2$ . If  $p = p_1 p_2 \cdot p_3$ , then by 4.1 our assumption yields  $f(p) = f(p_1 \cdot p_2 p_3)$ , and hence there is  $u \in W$  with  $f(p) = f(x_1 \cdot u)$ ,  $g(u) = x_2 \dots x_n$ . If there is  $x_2 \neq x_j$  for some  $2 < j \leq n$ , then  $f(u) = f(t_2)$  by the induction hypothesis and hence  $f(p) = f(t_1)$ . Let  $x_j = x_2$  for any  $2 \leq j \leq n$  and denote  $a = f(x_1)$ ,  $b = f(x_2)$ . Since  $a \neq ab \neq b$ , we have  $ab \in L(G)$  by 1.2(i) and the subgroupoid of  $G$  generated by  $a, b$  is therefore regular by 1.4(ii). Using 2.5(i) and 2.2 we get  $f(p) = f(t_1)$  for  $n \geq 5$ . For  $3 \leq n \leq 4$  we have either  $u = t_2$ , or  $u = bb \cdot b$ . In the latter case we have  $f(p) = a(bb \cdot b) = (a \cdot bb) \cdot b = (ab \cdot b) b = ab \cdot bb = a(b \cdot bb) = f(t_1)$ . Therefore we get  $f(p) = f(t_1)$  in all cases and since  $f(q) = f(t_1)$  holds as well, we have  $f(p) = f(q)$ .

## 5. ALMOST FREE GROUPOIDS

**5.1. Proposition.** *Let  $X$  be a non-empty set partially ordered by  $s$  and let  $E = E(X, s)$  (see Section 3). Then  $E \in \mathcal{S}$ ,  $K(E) = X$  and for  $x, y \in X$ ,  $xy = y$  iff  $x \neq y$  and  $(x, y) \in s$ . Hence  $s = r(E) \mid X$ .*

*Proof is easy.*

Let  $(A, u)$  and  $(B, v)$  be two partially ordered sets. A homomorphism  $f$  of  $A$  into  $B$  is said to be an *immersion*, if  $f$  induces an isomorphism of  $(A, u)$  onto  $(f(A), v \mid f(A))$ .

**5.2. Proposition.** *Let  $G, H \in \mathcal{S}$  and let  $f$  be a homomorphism of  $G$  into  $H$ ,  $A = f^{-1}(K(H))$ ,  $u = r(G) \mid A$  and  $v = r(H) \mid K(H)$ . If  $A$  is not empty, then  $A \subseteq K(G)$  and  $f \mid A$  is an immersion of  $(A, u)$  into  $(K(H), v)$ .*

*Proof.* Obviously  $f(L(G)) \subseteq L(H)$ , therefore  $A \subseteq K(G)$ . Suppose that  $A$  is non-empty, then  $f \mid A$  is injective by 1.10. If  $a, b \in A$  and  $ab = b$ , then  $f(a)f(b) = f(b)$ , and hence  $f \mid A$  is a homomorphism of  $(A, u)$  into  $(K(H), v)$ . If  $a, b \in A$ ,  $(a, b) \notin r(G)$ , then  $ab \in L(G)$ ,  $f(a)f(b) \in L(H)$ ,  $(f(a), f(b)) \notin r(H)$ , and hence  $f \mid A$  is an immersion.

**5.3. Corollary.** *Let  $G, H \in \mathcal{S}$  and let  $f$  be a surjective homomorphism of  $G$  onto  $H$ ,  $u = r(G) \mid K(G)$  and  $v = r(H) \mid K(H)$ . Then there exists an immersion of the partially ordered set  $(K(H), v)$  into  $(K(G), u)$ .*

**5.4. Corollary.** *Let  $G \in \mathcal{S}$  be generated by  $K(G)$ , let  $u = r(G) \mid K(G)$ , let  $X$  be a non-empty set partially ordered by  $s$  and let  $f: E(X, s) \rightarrow G$  be a homomorphism with  $f(X) = K(G)$ . Then  $f$  is surjective and  $(K(G), u)$  is isomorphic to  $(X, s)$ .*

**5.5. Proposition.** *Let  $X$  be a non-empty set partially ordered by  $s$  and let  $h$  be a mapping of  $X$  into  $G \in \mathcal{S}$ . Then  $h$  can be extended into a (unique) homomorphism  $f: E(X, s) \rightarrow G$  if and only if the following conditions are satisfied:*

- (a) *whenever  $(x, y) \in s$ ,  $x \neq y$ , then  $h(x)h(y) = h(y) = h(y)h(x)$ ,*
- (b) *whenever  $(x, y) \in s$  and  $h(y) \in K(G)$ , then  $h(x)h(y) \neq h(y) \neq h(x)$ .*

*Proof.* In virtue of 5.1, 1.10 and 1.2(i) only the converse implication requires



a proof. Denote by  $W$  the absolutely free groupoid over the set  $X$ , by  $S$  the free semi-group over  $X$  and let  $k: W \rightarrow E(X, s), j: W \rightarrow G, g: W \rightarrow S$  be such that  $k(x) = g(x) = x$  and  $j(x) = h(x)$ .

(i) If  $p, q \in W$  and  $(p, q) \in \alpha$  (see Section 3 for definition), then  $p = d(q, i), g(q) = x_1 \dots x_n, 1 \leq i \leq n$  and  $(x_i, y) \in s$ , where  $y = x_{i+1}, i < n$  or  $y = x_{i-1}, i > 1$ . By (a)  $h(x_i) h(y) = h(y) = h(y) h(x_i)$  and hence  $j(p) = j(q)$  by 4.2. Therefore  $j(p) = j(q)$  for any  $p, q \in W, (p, q) \in \beta$ .

(ii) Let  $p, q \in F(X, s), (p, q) \in \gamma$  and  $j(p) \neq j(q)$ . Then  $g(p) = g(q) = x_1 \dots x_n$  and we put  $V = \{x_1, \dots, x_n\}$ . If  $x = y$  for all  $x, y \in V$ , then  $j(p) = j(q)$  by 2.11. Thus there is  $x, y \in V$  such that  $(x, y) \in s$  and by 4.3 we can assume that  $j(y) = h(y) \in K(G)$  and  $(j(x), j(y)) \in r(G)$ . However, this contradicts (b). Therefore  $j(p) = j(q)$  for any  $p, q \in F(X, s), (p, q) \in \gamma$ .

(iii) Combining (i) and (ii) we conclude that  $\text{Ker}(k) \subseteq \text{Ker}(j)$  and hence we can put  $f(k(p)) = j(p)$  for any  $p \in W$ .

**5.6. Corollary.** *Let  $G \in \mathcal{S}$  be a groupoid generated by a non-empty set  $A$  and let  $s = r(G) \mid A$ . Then there exists a unique surjective homomorphism  $f$  of  $E(A, s)$  onto  $G$  such that  $f \mid A = \text{id}_A$ .*

**5.7. Proposition.** *Let  $X$  be a non-empty set partially ordered by  $s, G \in \mathcal{S}$  and let  $f: G \rightarrow E(X, s)$  be such that  $f(K(G)) = X$ . Then  $f$  is an isomorphism iff  $G$  is generated by  $K(G)$ .*

*Proof.* Suppose that  $G$  is generated by  $K(G)$ ; the other implication is obvious. By 5.2  $f \mid K(G)$  bijects onto  $X$  and by 5.5 there is a homomorphism  $h: E(X, s) \rightarrow G$  such that  $h(f(a)) = a$  for any  $a \in K(G)$ . Then  $f(h(x)) = x$  for any  $x \in X$  and  $fh$  is the identity mapping of  $E(X, s)$  by 5.6. Since  $h(X) = K(G)$  generates  $G$ ,  $h$  is surjective and therefore  $f = h^{-1}$ .

## 6. EQUATIONS

Let  $X = \{y_1, y_2, \dots\}$  be a countable infinite set of variables and let  $W$  be the absolutely free groupoid over  $X$ . Define an endomorphism  $e$  of  $W$  by  $e(y_i) = y_1$  for every  $i \geq 1$ .

Now, let  $t \in W$  and  $g(t) = x_1 x_2 \dots x_n, n \geq 1, x_1, \dots, x_n \in X$ . Then  $\text{var}(t) = \{x_1, \dots, x_n\}$  and for any proper subset  $V$  of  $\text{var}(t)$  we put  $v(V) = \{i; 1 \leq i \leq n, x_i \in V\}$ . Moreover, put  $e_V(t) = e(d(t, v(V)))$ .

Define sets  $\mathcal{E}$  and  $\mathcal{F}$  of identities as follows: The identities  $t = t, (xx \cdot x) x = xx \cdot xx, x(x \cdot xx) = xx \cdot xx$  and  $(x \cdot xx) x = x(xx \cdot x)$ , where  $x = y_1$  and  $t \in W$ , belong to  $\mathcal{E}$ . If  $p, q \in W, l(p) \geq 5$  and  $g(p) = g(q)$ , then the identity  $p = q$  belongs to  $\mathcal{E}$ . Finally, if  $u, w \in W$ , then  $u = w$  belongs to  $\mathcal{F}$  iff  $g(u) = g(w)$  and  $e_V(u) = e_V(w)$  belongs to  $\mathcal{E}$  for any proper subset  $V$  of  $\text{var}(u)$ .

**6.1. Lemma.** Let  $G \in \mathcal{S}$  and let  $p, q \in W$  be such that  $p = q$  belongs to  $\mathcal{F}$ . Then  $G$  satisfies the identity  $p = q$ .

*Proof.* Let  $f: W \rightarrow G$  be a homomorphism and assume  $f(p) \neq f(q)$ . We have  $g(p) = g(q)$  and by 4.3 there is  $V \subseteq \text{var}(p) = \text{var}(q)$  such that  $f(p) = f(d(p, v(V)))$ ,  $f(q) = f(d(q, v(V)))$  and  $f(x) = f(y)$  for any  $x, y \in \text{var}(p) - V$ . Since  $e_V(p) = e_V(q)$ , we get  $f(p) = f(q)$  from 2.11, a contradiction.

**6.2. Lemma.** Let  $Y = \{a, b\}$  be a two-element set partially ordered by  $s$ ,  $(a, b) \in s$ . Let  $h$  be a homomorphism of  $W$  into  $E(Y, s)$ ,  $h(X) \subseteq Y$ ,  $h(y_1) = b$ . Then for  $t \in W$ ,  $V = \{x \in \text{var}(t); h(x) = a\}$  either  $V = \text{var}(t)$ , or  $V \neq \text{var}(t)$  and  $h(t) = h(e_V(t))$ .

*Proof.* If  $x \in V$ ,  $y \in \text{var}(t) - V$ , then  $h(x)h(y) = ab = b = h(y)$ . Therefore we can (repeatedly) use 4.2.

**6.3. Lemma.** Let  $p, q \in W$  be such that every groupoid from  $\mathcal{S}$  satisfies  $p = q$ . Then the identity  $p = q$  belongs to  $\mathcal{F}$ .

*Proof.* Suppose, on the contrary, that  $p = q$  is not contained in  $\mathcal{F}$ . We may assume  $y_1 \notin \text{var}(p)$ . However, every semigroup satisfies  $p = q$ , hence  $g(p) = g(q)$  and therefore the identity  $e_V(p) = e_V(q)$  does not belong to  $\mathcal{E}$  for some proper subset  $V$  of  $\text{var}(p) = \text{var}(q)$ . Now, let  $Y = \{a, b\}$  be a two-element set partially ordered by  $s$ ,  $(a, b) \in s$ , and let  $h$  be the homomorphism from  $W$  onto  $E(Y, s)$  such that  $h(x) = a$  for  $x \in V$  and  $h(x) = b$  for  $x \in X - V$ . Then  $h(p) \neq h(q)$  by 6.2, a contradiction.

**6.5. Corollary.** The variety  $\mathcal{T}$  generated by  $\mathcal{S}$  is just the variety of groupoids satisfying all the identities from  $\mathcal{F}$ .

**6.5. Corollary.** Let  $Y = \{a, b\}$  be a two-element set partially ordered by  $s$ ,  $(a, b) \in s$ . Then the variety  $\mathcal{T}$  is generated by the groupoid  $E(Y, s)$ .

It seems to be an open problem whether the variety  $\mathcal{T}$  is finitely based.

## 7. FURTHER RESULTS

**7.1. Lemma.** Let  $G \in \mathcal{S}$  be such that  $a^2 = b^2$  for all  $a, b \in K = K(G)$ . Then  $ab \neq ca$  for all  $a, b, c \in K$ ,  $b \neq a \neq c$ .

*Proof.* Assume the contrary. Then  $a \cdot aa = a \cdot bb = ab \cdot b = ca \cdot b = c \cdot ab = c \cdot ca = cc \cdot a = aa \cdot a$ , a contradiction.

**7.2. Lemma.** Let  $G \in \mathcal{S}$  be such that  $a^2 = b^2$  for all  $a, b \in K$ . Then  $cd \in L = L(G)$  for all  $c, d \in K$ .

*Proof.* If  $cd \notin L$  then, by 1.2(i), we can assume that  $c = cd \in K$ . By 1.2(iii),  $c = dc$ , a contradiction with 7.1.

**7.3. Lemma.** Let  $G \in \mathcal{S}$  be a finite groupoid such that  $a^2 = b^2$  for all  $a, b \in K = K(G)$ . Put  $J(G) = \{ab; a, b \in K, a \neq b\}$  and suppose that  $J(G)$  is non-empty.

Then there exists a subgroupoid  $H$  of  $G$  such that  $2 \text{ card}(K(H)) \geq \text{card}(K)$  and  $\text{card}(J(G)) \geq \text{card}(J(H)) + 1$ .

Proof. Let  $x \in J(G)$ ,  $A = \{a \in K; ab = x \text{ for some } a \neq b \in K\}$  and  $B = \{b \in K; ab = x \text{ for some } b \neq a \in K\}$ . By 7.1,  $A \cap B = \emptyset$  and we can assume without loss of generality that  $\text{card}(B) \leq \text{card}(K)/2$ . Now, denote by  $H$  the subgroupoid generated by  $K - B$ . Then  $K(H) = K - B$  and  $x \notin J(H)$ .

**7.4. Lemma.** Let  $G \in \mathcal{S}$  be a finite groupoid such that  $a^2 = b^2$  for all  $a, b \in K = K(G)$ . Suppose that  $\text{card}(K) \geq 2^m$  for some  $m \geq 0$ . Then  $\text{card}(J(G)) \geq m$ .

Proof. The result follows from 7.2 and 7.3 by induction on  $m$ .

**7.5. Lemma.** Let  $G \in \mathcal{S}$  be a finite non-associative groupoid. Define an equivalence  $s$  on  $K = K(G)$  by  $(a, b) \in s$  iff  $a^2 = b^2$  and denote by  $n$  the number of blocks of  $s$ . Let  $m$  be an integer such that  $\text{card}(K) \geq n \cdot 2^m$ . Then  $\text{card}(L(G)) \geq \max(n, m)$ .

Proof. Let  $A$  be a block of  $s$  with the maximum  $\text{card}(A)$  and let  $H$  be the subgroupoid of  $G$  generated by  $A$ . Then  $K(H) = A$ ,  $\text{card}(A) \geq \text{card}(K)/n$  and  $\text{card}(L(H)) \geq m$  by 7.4. On the other hand,  $\text{card}(L(G)) \geq n$  by 1.2(ii).

**7.6. Corollary.** For every positive integer  $n$  there exists an integer  $m$  such that  $\text{card}(L(G)) \geq n$  whenever  $G \in \mathcal{S}$  and  $\text{card}(G) \geq m$ .

**7.7. Example.** Let  $n$  be a positive integer and let  $G = \{a_1, \dots, a_n, b_1, \dots, b_n, c, d\}$  be a set containing  $2n + 2$  elements. Define a multiplication on  $G$  by  $a_i \cdot a_i = b_i$ ,  $b_i a_i = c$  and  $xy = d$  in all the remaining cases. Then  $G \in \mathcal{S}$  and  $\text{card}(K(G)) = n$ ,  $\text{card}(L(G)) = n + 2$ .

**7.8. Remark.** For a positive integer  $n$ , let  $\alpha(n) = \min(\text{card}(L(G)); G \in \mathcal{S}, \text{card}(K(G)) = n)$ . By 7.7,  $\alpha(n) \leq n + 2$  and we have  $\alpha(1) = 3$  (see 2.8). On the other hand, by 7.6, the values  $\alpha(n)$  are not bounded.

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