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ON A THEOREM OF V. PTÁK CONCERNING BEST APPROXIMATION OF CONTINUOUS FUNCTIONS IN THE

$$\text{METRIC } \int_a^b |x(t)| dt$$

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The author derives from his previous results on best approximation in general normed linear spaces some improvements of a recent theorem of V. PTÁK concerning best approximation of continuous functions in the metric  $\int_a^b |x(t)| dt$ .

Let  $T = [a, b]$  be a finite segment of the real axis. We shall denote by  $C_L(T)$  the space of all continuous real-valued functions defined on  $T$ , endowed with the natural vector operations and with the norm  $\|x\| = \int_T |x(t)| dt$ .  $C_L(T)$  is a normed linear space, but it is not a Banach space.

Let  $E$  be an arbitrary normed linear space,  $G$  a linear subspace of  $E$ , and  $x \in E$ . We call *best approximation of the element  $x$*  any element  $g_0 \in G$  such that

$$\|x - g_0\| = \inf_{g \in G} \|x - g\|.$$

The main result of the recent paper [5] of V. PTÁK is the following (see [5], theorem 2):

Let  $G$  be a finite-dimensional linear subspace of the space  $C_L(T)$ . There exists an  $x_0 \in C_L(T)$  with a nonunique best approximation if and only if there exist two disjoint sets  $U_1$  and  $U_2$  open in  $T$  and an essentially bounded measurable function  $\alpha(t)$  defined on  $T - (U_1 \cup U_2)$ , with the following properties:

- (1)  $|\alpha(t)| \leq 1$  for every  $t \in T - (U_1 \cup U_2)$ ,
- (2)  $\int_{U_1} g(t) dt - \int_{U_2} g(t) dt + \int_{T - (U_1 \cup U_2)} g(t) \alpha(t) dt = 0$  for every  $g \in G$ ,
- (3) there exists a nonzero  $g_0 \in G$  vanishing on  $T - (U_1 \cup U_2)$ .

In the present paper we shall derive from our previous results on best approximation in general normed linear spaces ([7], [8], [9]) some improvements of the above theorem of V. Pták.

1. RECALL OF SOME RESULTS ON BEST APPROXIMATION IN GENERAL NORMED LINEAR SPACES

Let  $E$  be an arbitrary normed linear space. Then we have the following two theorems:

**Theorem (A).** (See [8], p. 184, and [9], theorem 1). *Let  $G$  be an arbitrary linear subspace of  $E$ . There exists an  $x_0 \in E$  with a nonunique best approximation if and only if there exists a linear<sup>1)</sup> functional  $f \in E^*$  with the following properties:*

$$(A_1) \|f\| = 1,$$

$$(A_2) f(g) = 0 \text{ for all } g \in G,$$

(A<sub>3</sub>)  $f(x) = \|x\|$  for at least two distinct elements  $x \in E$  whose difference belongs to  $G$ .

**Theorem (B).** (See [7], theorem 2.2 and [9], theorem 2). *Let  $G$  be an  $n$ -dimensional linear subspace of  $E$ . There exists an  $x_0 \in E$  with a nonunique best approximation if and only if there exist  $h$  distinct two by two non-opposite extreme points  $f_1, \dots, f_h$  of the unit sphere  $S^* \subset E^*$ , where  $1 \leq h \leq n$ , and  $h$  positive numbers  $\lambda_1, \dots, \lambda_h$  such that  $\sum_{i=1}^h \lambda_i = 1$ , with the following properties:*

$$(B_1) \sum_{i=1}^h \lambda_i f_i(g) = 0 \text{ for all } g \in G,$$

(B<sub>2</sub>)  $\sum_{i=1}^h \lambda_i f_i(x) = \|x\|$  for at least two distinct elements  $x \in E$  whose difference belongs to  $G$ .

The above theorems are stated in [7], [8] and [9] respectively, under the hypothesis that  $E$  is a Banach space. However the proofs given there make no use of the completeness of  $E$ , hence these theorems are clearly valid (with the same proofs) for an arbitrary normed linear space  $E$ .

Concerning some other questions related to theorem (B) and to [7], [8], [9] see also the recent paper [4] of V. Pták.

2. BEST APPROXIMATION IN THE SPACE  $C_{L^1}(T)$  BY MEANS OF THE ELEMENTS OF AN ARBITRARY LINEAR SUBSPACE

Since the completion of the space  $C_{L^1}(T)$  is nothing else but the space  $L^1(T)$ , the conjugate spaces of  $C_{L^1}(T)$  and  $L^1(T)$  are equivalent; consequently, the conjugate space of  $C_{L^1}(T)$  is equivalent to the space  $M(T)$  of all equivalence classes of essentially bounded measurable functions, endowed with the natural

<sup>1)</sup> I. e. additive and continuous.

vector operations and with the norm  $\|\beta\| = \text{vrai max}_{t \in T} |\beta(t)|$ , the equivalence  $f \leftrightarrow \beta$  being given by

$$f(x) = \int_T x(t) \beta(t) dt \quad \text{for all } x \in C_{L_1}(T).$$

Hence, theorem (A) gives the following:

**Proposition 1.** *Let  $G$  be an arbitrary linear subspace of  $C_{L_1}(T)$ . There exists an  $x_0 \in C_{L_1}(T)$  with a nonunique best approximation if and only if there exists an essentially bounded measurable function  $\beta(t)$  with the following properties:*

$$(4) \quad \text{vrai max}_{t \in T} |\beta(t)| = 1,$$

$$(5) \quad \int_T g(t) \beta(t) dt = 0 \quad \text{for all } g \in G,$$

$$(6) \quad \int_T x(t) \beta(t) dt = \int_T |x(t)| dt$$

for at least two distinct elements  $x \in C_{L_1}(T)$  whose difference belongs to  $G$ .

Now we shall show that the conditions (4), (5) and (6) are equivalent to (1), (2), (3).

Assume first that we have (4), (5) and (6).

Let  $x_0 + g_0$  and  $x_0 - g_0$  be two elements of  $C_{L_1}(T)$  satisfying (6); clearly, any couple  $x_1, x_2 \neq x_1$  satisfying (6) may be written in this form, since for  $x_1 - x_2 = 2g_0 \in G$  we have only to take  $x_0 = x_1 - g_0$ .

Put

$$U_1 = \{t \in T | x_0(t) > 0\}, \quad U_2 = \{t \in T | x_0(t) < 0\},$$

and let  $\alpha(t)$  be the restriction of  $\beta(t)$  to  $T - (U_1 \cap U_2)$ . Then  $U_1$  and  $U_2$  are disjoint and open in  $T$ , and (4) clearly implies (1).

Furthermore, (6) and (4) obviously imply that

$$(7) \quad \beta(t) = 1 \text{ a. e.}^2 \text{ on } U_1 \text{ and } \beta(t) = -1 \text{ a. e. on } U_2,$$

whence, by (5) we have (2).

Finally, by (6) for  $x_0 + g_0, x_0 - g_0$  and by (4) we have

$$\begin{aligned} \int_T |x_0(t)| dt &\leq \frac{1}{2} \int_T |x_0(t) + g_0(t)| dt + \frac{1}{2} \int_T |x_0(t) - g_0(t)| dt = \\ &= \int_T x_0(t) \beta(t) dt \leq \int_T |x_0(t)| dt, \end{aligned}$$

and thus, the equality, which is possible only if

$$(x_0(t) + g_0(t))(x_0(t) - g_0(t)) \geq 0 \quad (t \in T),$$

whence we infer that  $g_0$  vanishes on  $T - (U_1 \cup U_2)$ , i. e. (3).

Conversely, assume that we have (1), (2) and (3).

<sup>2</sup> I. e. almost everywhere.

Define

$$(8) \quad x_0(t) = \begin{cases} |g_0(t)| & \text{for } t \in U_1, \\ -|g_0(t)| & \text{for } t \in U_2, \\ 0 & \text{for } t \in T - (U_1 \cup U_2) \end{cases}$$

and

$$(9) \quad \beta(t) = \begin{cases} 1 & \text{for } t \in U_1, \\ -1 & \text{for } t \in U_2, \\ \alpha(t) & \text{for } t \in T - (U_1 \cup U_2). \end{cases}$$

Then  $x_0(t)$  is continuous, and  $\beta(t)$  is measurable. By (1) and (9) we shall have (4), and by (2) and (9) we shall have (5). Finally, (9), (8) and (3) imply

$$\int_T x_0(t) \beta(t) dt = \int_T |x_0(t)| dt$$

and

$$\int_T [x_0(t) - g_0(t)] \beta(t) dt = \int_T |x_0(t) - g_0(t)| dt,$$

i. e. (6) with  $x_1 = x_0$ ,  $x_2 = x_0 - g_0$ .

Thus we have proved the following theorem:

**Theorem 1.** *Let  $G$  be an arbitrary linear subspace of the space  $C_{L^1}(T)$ . There exists an  $x_0 \in C_{L^1}(T)$  with a nonunique best approximation if and only if there exist two disjoint sets  $U_1$  and  $U_2$  open in  $T$  and a measurable function  $\alpha(t)$  defined on  $T - (U_1 \cup U_2)$ , with the properties (1), (2) and (3).*

Remark. This theorem is *implicitly* proved also by V. Pták, in [5] (see also [6]). In fact, though in the formulation of theorem 2 of [5] is stated the hypothesis that  $G$  is a *finite-dimensional* subspace of  $C_{L^1}(T)$ , it is easy to verify that Pták's proof of that theorem, given in [5], [6], makes no use of this hypothesis.

### 3. BEST APPROXIMATION IN THE SPACE $C_{L^1}(T)$ BY MEANS OF THE ELEMENTS OF A FINITE-DIMENSIONAL LINEAR SUBSPACE

The extreme points of the unit sphere  $S^*$  of the conjugate space  $[C_{L^1}(T)]^*$  are, by the remark at the beginning of section 2 and by [7], lemma 1.4, the linear functionals  $f$  which have the form

$$f(x) = \int_T x(t) \beta_M(t) dt \quad \text{for all } x \in C_{L^1}(T),$$

where  $M$  is a measurable subset of  $T$  and where

$$(10) \quad \beta_M(t) \stackrel{\text{def}}{=} \begin{cases} 1 & \text{for a. e. } t \in M, \\ -1 & \text{for a. e. } t \in T - M. \end{cases}$$

Hence, theorem (B) gives the following:

**Proposition 2.** Let  $G$  be an  $n$ -dimensional linear subspace of  $C_L(T)$ . There exists an  $x_0 \in C_L(T)$  with a nonunique best approximation if and only if there exist  $h$  measurable subsets  $M_1, \dots, M_h$  of  $T$ , where  $1 \leq h \leq n$ , such that <sup>3)</sup>

$$M_i \not\sim M_j \text{ and } M_i \not\sim T - M_j \text{ for } i \neq j,$$

and an essentially bounded measurable function  $\beta(t)$  of the form

$$(11) \quad \beta(t) = \lambda_1 \beta_{M_1}(t) + \dots + \lambda_h \beta_{M_h}(t) \quad (t \in T),$$

where  $\lambda_i > 0$ ,  $i = 1, \dots, h$ ,  $\sum_{i=1}^h \lambda_i = 1$ , with the properties (4), (5) and (6).

Let us remark that the above  $\beta(t)$  is a. e. a finitely-valued function which assumes on  $T$  (excepting a set of measure zero) at most  $2^h$  distinct values, all between  $-1$  and  $+1$ .

Now we can prove

**Theorem 2.** Let  $G$  be an  $n$ -dimensional linear subspace of the space  $C_L(T)$ . There exists an  $x_0 \in C_L(T)$  with a nonunique best approximation if and only if there exist two disjoint open subsets  $U_1$  and  $U_2$  of  $T$ ,  $h$  measurable subsets  $M_1, \dots, M_h$  of  $T$ , where  $1 \leq h \leq n$ , such that

$$M_i \not\sim M_j \text{ and } M_i \not\sim T - M_j \text{ for } i \neq j,$$

and

$$(12) \quad M_i \supset U_1, \quad T - M_i \supset U_2$$

(excepting a set of measure zero),  $i = 1, \dots, h$ , and an essentially bounded measurable function  $\alpha(t)$  defined on  $T - (U_1 \cup U_2)$ , of the form

$$(13) \quad \alpha(t) = \lambda_1 \alpha_{M_1}(t) + \dots + \lambda_h \alpha_{M_h}(t) \quad (t \in T - (U_1 \cup U_2)),$$

where  $\alpha_{M_i}(t)$  denotes the restriction of  $\beta_{M_i}(t)$  to  $T - (U_1 \cup U_2)$  and where  $\lambda_i > 0$ ,  $i = 1, \dots, h$ ,  $\sum_{i=1}^h \lambda_i = 1$ , with the properties (1), (2) and (3).

*Proof.* This theorem follows from proposition 2 above, by the method used in the preceding section (for the derivation of theorem 1 from proposition 1). The only necessary additions are the following:

*To the necessity part:* From (7), (11),  $\lambda_i > 0$  ( $i = 1, \dots, h$ ) and  $\sum_{i=1}^h \lambda_i = 1$  it follows that

$$(14) \quad \beta_{M_i}(t) = \begin{cases} 1 \text{ a. e. on } U_1, \\ -1 \text{ a. e. on } U_2, \end{cases} \quad i = 1, \dots, h,$$

whence, by (10), we infer (12).

<sup>3)</sup> The symbol  $\not\sim$  denotes the non-equivalence of the sets in question (with respect to the Lebesgue measure).

To the sufficiency part: From  $\sum_{i=1}^h \lambda_i = 1$ , (12) and (10) it follows, since (12) and (10) imply (14), that the  $\beta(t)$  defined by (9) and (13) is nothing else but (11).

Remark 1. The above function  $\alpha(t)$  on  $T - (U_1 \cup U_2)$  is a. e. by (13), a finitely-valued function, which assumes on  $T - (U_1 \cup U_2)$  (excepting a set of measure zero) at most  $2^n$  distinct values, all between  $-1$  and  $+1$ .

Remark 2. It is easy (we omit the details) to derive from theorem 2 the following result, due essentially to D. JACKSON [3]:

*Let  $G$  be an  $n$ -dimensional linear subspace of  $C_L(T)$ . If there exists an  $x_0 \in C_L(T)$  with a nonunique best approximation, then there exists an element  $g_0 \in G$  and  $n$  distinct inner points  $t_i$  of  $T$  such that  $g_0(t_i) = 0$ .*

Let us mention that a short direct proof of this theorem has been given by V. Pták [5], [6].

Remark 3. Using the above methods, one can derive from theorem 3.1 of [8] a theorem of characterization of the polynomials of best approximation (in the metric  $\int_T |x(t)| dt$ ) of a continuous function  $x_0(t)$ .

Finally, let us mention that S. Ia. HAVINSON has given, in the papers [1], [2], some theorems concerning the best approximation in the metric  $\int_T |x(t)| d\mu(t)$  of a function belonging to a certain subclass of  $L^1(T, \mu)$ , where  $\mu$  is a nonnegative measure on a completely additive class of subsets of a separable metric space  $R$ , containing all the Borel sets, and where  $T$  is a  $\mu$ -measurable set "reduced" with respect to  $\mu$ , by means of the elements of a linear subspace  $G$  consisting of continuous functions. In the present paper we shall not discuss these theorems.

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## Резюме

### ОБ ОДНОЙ ТЕОРЕМЕ В. ПТАКА

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Используя общие результаты из [7], [8], [9], автор доказывает некоторые улучшения теоремы В. Птака [5] об аппроксимации непрерывных функций в норме  $\int_a^b |x(t)| dt$ .